

NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION



NEBRASKA STATE HIGHWAY COMMISSION

Quarterly Financial Report as of December 31, 2021

This report presents the financial position and projects of the Nebraska Department of Transportation and includes all funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30. Contracts let or planned to be let through the fiscal year, as of the specified day, are listed by letting dates. It was prepared entirely with Nebraska Department of Transportation resources.

October
2021

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

I-80 and I-76 Interchange near Big Springs

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October 2021 Highlights

- The state revenue projections in this report were developed in June 2021 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- Total expenditures in October exceeded revenue by \$5.3 million. Fiscal year to date revenue surpassed expenditures by \$52.6 million (page 4).
- Projected \$927.2 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 27.7 cents, effective July 1, 2021. The month of October's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.1 million or 5.3%, motor vehicle registration revenue was over the projected amount by \$98 thousand or 4.2% and motor vehicle sales tax was over the projected amount by 1.6 million or 12.9%. Highway Cash Fund receipts for FY22 to date were higher than projections by \$9.8 million or 6.2% (page 11, 12).
- Established an operating budget for Roads Division of \$1.1 billion for FY22 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).

October expenditures totaled \$100.9 million. Fiscal year to date expenditures totaled \$422.1 million, 36.9% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of September 13, 2021 thru October 10, 2021. The payroll additive rate is established at 66% and the administrative rate is 2.28%.
- Highway construction contract lettings fiscal year to date totaled \$336.9 million, \$329.6 million on the state highway system (page 18).
- The October report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- The Surface Transportation Extension Act of 2021, Public Law 117-44 authorized the FY22 Apportionments for the Continuing Resolution. Nebraska received core formula apportionments totaling \$26.9 million through October 31, 2021. As of October 31, 2021, NDOT had an obligation authority balance of \$29.2 million (pages 20, 21, and 22).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$559.5 million has been received to date with allocated expenditures totaling \$443.0 million (page 29).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$117.2 million has been received to date with expenditures totaling \$119.8 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$15 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$15 million as compared to \$70 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
October 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	431,033,274.54	444,490,895.10	(13,457,620.56)	(3.03)	264,292,355.12	166,740,919.42	63.09
Federal Receivables	10,649,938.28	8,694,430.15	1,955,508.13	22.49	13,026,608.31	(2,376,670.03)	(18.24)
Other Receivables	16,418,290.54	14,177,313.32	2,240,977.22	15.81	19,859,972.97	(3,441,682.43)	(17.33)
Inventories	2,619,060.68	2,684,121.42	(65,060.74)	(2.42)	3,038,683.82	(419,623.14)	(13.81)
Total Current Assets	\$460,720,564.04	\$470,046,759.99	(\$9,326,195.95)	(1.98)%	\$300,217,620.22	\$160,502,943.82	53.46 %
Capital Assets							
Equipment	65,265,071.42	65,027,898.94	237,172.48	0.36	66,144,151.29	(879,079.87)	(1.33)
Land	580,759,827.20	580,759,827.20	0.00	0.00	569,312,437.83	11,447,389.37	2.01
Infrastructures	7,964,905,974.30	7,964,905,974.30	0.00	0.00	7,900,636,476.35	64,269,497.95	0.81
Buildings	105,903,336.54	105,903,336.54	0.00	0.00	100,745,738.28	5,157,598.26	5.12
Total Capital Assets	\$8,716,834,209.46	\$8,716,597,036.98	\$237,172.48	0.00 %	\$8,636,838,803.75	\$79,995,405.71	0.93 %
Total Assets	\$9,177,554,773.50	\$9,186,643,796.97	(\$9,089,023.47)	(0.10)%	\$8,937,056,423.97	\$240,498,349.53	2.69 %
LIABILITIES							
Current Liabilities							
Accounts Payable	12,613,923.43	11,724,530.99	889,392.44	7.59	16,151,312.96	(3,537,389.53)	(21.90)
Retention Payable	183,767,209.11	173,925,696.08	9,841,513.03	5.66	65,259,706.65	118,507,502.46	181.59
Other Payables	56,063,463.40	60,897,719.88	(4,834,256.48)	(7.94)	69,746,956.45	(13,683,493.05)	(19.62)
Total Current Liabilities	\$252,444,595.94	\$246,547,946.95	\$5,896,648.99	2.39 %	\$151,157,976.06	\$101,286,619.88	67.01 %
Total Liabilities	\$252,444,595.94	\$246,547,946.95	\$5,896,648.99	2.39 %	\$151,157,976.06	\$101,286,619.88	67.01 %
NET ASSETS							
Capital Equity							
Capital	8,716,834,209.46	8,716,597,036.98	237,172.48	0.00	8,636,838,803.75	79,995,405.71	0.93
Total Capital Equity	\$8,716,834,209.46	\$8,716,597,036.98	\$237,172.48	0.00 %	\$8,636,838,803.75	\$79,995,405.71	0.93 %
Fund Balance							
Reserved Fund Balance	(181,148,148.43)	(171,241,574.66)	(9,906,573.77)	5.79	(62,221,022.83)	(118,927,125.60)	191.14
Unreserved Fund Balance	389,424,116.53	394,740,387.70	(5,316,271.17)	(1.35)	211,280,666.99	178,143,449.54	84.32
Total Fund Balance	\$208,275,968.10	\$223,498,813.04	(\$15,222,844.94)	(6.81)%	\$149,059,644.16	\$59,216,323.94	39.73 %
Total Net Assets	\$8,925,110,177.56	\$8,940,095,850.02	(\$14,985,672.46)	(0.17)%	\$8,785,898,447.91	\$139,211,729.65	1.58 %
Total Liabilities and Net Assets	\$9,177,554,773.50	\$9,186,643,796.97	(\$9,089,023.47)	(0.10)%	\$8,937,056,423.97	\$240,498,349.53	2.69 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report are not the same. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
OCTOBER 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	45,686,911.41	49,492,500.29	(3,805,588.88)	(7.69)	194,230,204.58	200,592,771.11	(6,362,566.53)	(3.17)
Federal Reimbursements	41,913,677.33	59,175,246.92	(17,261,569.59)	(29.17)	260,342,745.26	220,393,230.72	39,949,514.54	18.13
Local Revenues	7,517,706.18	2,639,022.08	4,878,684.10	184.87	18,095,550.93	20,058,865.61	(1,963,314.68)	(9.79)
Other Entities Revenues	473,845.64	1,540,995.03	(1,067,149.39)	(69.25)	1,969,552.27	909,313.58	1,060,238.69	116.60
Total Revenue	\$95,592,140.56	\$112,847,764.32	(\$17,255,623.76)	(15.29) %	\$474,638,053.04	\$441,954,181.02	\$32,683,872.02	7.40 %
Expenditures								
Administration	2,053,389.72	1,671,193.59	382,196.13	22.87	7,156,279.58	7,441,456.63	(285,177.05)	(3.83)
Highway Maintenance	12,924,454.51	14,131,572.74	(1,207,118.23)	(8.54)	59,522,495.19	64,680,892.50	(5,158,397.31)	(7.98)
Capital Facilities	386,205.55	456,042.08	(69,836.53)	(15.31)	2,850,760.05	1,214,984.90	1,635,775.15	134.63
Services and Support	2,657,087.34	2,472,338.23	184,749.11	7.47	11,428,679.74	9,535,005.30	1,893,674.44	19.86
Construction	80,507,958.99	87,098,873.68	(6,590,914.69)	(7.57)	332,626,850.61	351,574,169.78	(18,947,319.17)	(5.39)
Highway Safety Office	493,048.15	423,367.70	69,680.45	16.46	1,574,096.36	1,365,872.37	208,223.99	15.24
Public Transit	1,885,428.95	1,115,560.33	769,868.62	69.01	6,911,673.36	9,156,211.64	(2,244,538.28)	(24.51)
Total Expenditures	\$100,907,573.21	\$107,368,948.35	(\$6,461,375.14)	(6.02) %	\$422,070,834.89	\$444,968,593.12	(\$22,897,758.23)	(5.15) %
Excess Revenue (Expenditures)	(\$5,315,432.65)	\$5,478,815.97	(\$10,794,248.62)	(197.02) %	\$52,567,218.15	(\$3,014,412.10)	\$55,581,630.25	(1,843.86) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

MAPA Bridge Study = General Fund appropriations of \$100,000 were established as part of LB 294 (2019) for a feasibility study to evaluate the potential transportation and economic development benefits of constructing an additional bridge across the Missouri River within a city of the metropolitan class. The unexpended balance of the appropriation was carried over to the current biennium per LB 380 (2021).

**BALANCE SHEET BY FUND
October 2021**

	Mapa Bridge Study 1000	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS										
Cash	(2,451.82)	198,997,137.38	39,093,864.04	126,979,841.77	48,755,839.86	3,904,181.82	1,868,426.84	11,368,318.12	64,041.67	431,029,199.68
Other Current Assets	0.00	29,691,364.36	0.00	0.00	0.00	0.00	0.00	0.00	0.00	29,691,364.36
Capital Assets	0.00	8,716,834,209.46	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,716,834,209.46
TOTAL ASSETS	(\$2,451.82)	\$8,945,522,711.20	\$39,093,864.04	\$126,979,841.77	\$48,755,839.86	\$3,904,181.82	\$1,868,426.84	\$11,368,318.12	\$64,041.67	\$9,177,554,773.50
LIABILITIES										
Current Liabilities	0.00	252,444,595.94	0.00	0.00	0.00	0.00	0.00	0.00	0.00	252,444,595.94
TOTAL LIABILITIES	\$0.00	\$252,444,595.94	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$252,444,595.94
NET ASSETS										
Fund Balance	0.00	135,245,284.29	(124,237,691.36)	88,064,638.81	42,545,386.55	3,717,450.58	1,788,880.76	10,305,123.06	(1,720,322.74)	155,708,749.95
Capital Equity	0.00	8,716,834,209.46	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,716,834,209.46
Accrued Interfund Transfer	0.00	(13,348,085.46)	0.00	10,422,254.14	1,310,947.38	26,542.90	3,498.59	26,602.29	1,558,240.16	0.00
Revenues	0.00	268,923,042.14	163,331,555.40	29,897,781.38	10,498,259.91	235,400.50	128,393.22	1,367,506.23	256,114.26	474,638,053.04
Costs	(2,451.82)	(414,576,335.17)	0.00	(1,404,832.56)	(5,598,753.98)	(75,212.16)	(52,345.73)	(330,913.46)	(29,990.01)	(422,070,834.89)
TOTAL NET ASSETS	(\$2,451.82)	\$8,693,078,115.26	\$39,093,864.04	\$126,979,841.77	\$48,755,839.86	\$3,904,181.82	\$1,868,426.84	\$11,368,318.12	\$64,041.67	\$8,925,110,177.56
TOTAL LIABILITIES AND NET ASSETS	(\$2,451.82)	\$8,945,522,711.20	\$39,093,864.04	\$126,979,841.77	\$48,755,839.86	\$3,904,181.82	\$1,868,426.84	\$11,368,318.12	\$64,041.67	\$9,177,554,773.50

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
October 2021

The nature of revenue and expenditure trends create a cash flow situation in that typically the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a relatively strong cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY22	JUL	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	155.0	111.2	112.8	95.6								
Expenditures	107.7	106.1	107.4	100.9								
Balance	47.3	5.1	5.4	(5.3)								
Cumulative Balance	47.3	52.4	57.8	52.5								

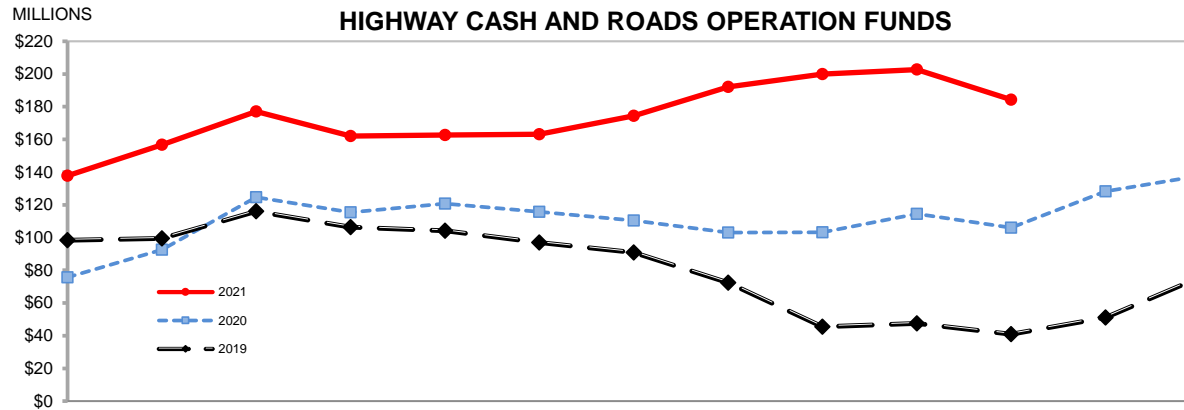
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$552,492.14 in October, with an interest rate of 1.58%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 22	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.48%	1.33%	1.35%	1.58%										1.44%
Earnings (Thousands)	\$446	\$434	\$476	\$552										\$477

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
October 2021
(IN MILLIONS)

Total of all funds available as of October 31st is \$418.9 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$232.4 million on the 1st to a low of \$184.3 million on the 27th.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1	162.1	162.7	163.1	174.5	192.2	199.9	202.7	184.3		
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6	35.5	41.7	79.9	82.8	88.1	114.4	117.0	119.5		
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7	48.6	49.5	50.0	48.2	45.1	45.1	45.7	47.0		
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5	4.6	5.7	5.7	5.3	5.5	5.5	5.5	5.7		
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2021	10.5	10.8	11.2	11.5	11.6	11.0	10.3	10.5	10.8	11.1		
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
October 2021**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	132,485.28									132,485.28
460000 Intergovernmental			660.70				783,492.16			784,152.86
470000 Sales & Charges				12,004.94		4,341.75				16,346.69
480000 Miscellaneous	9,107.99				9,161.00					18,268.99
490000 Other					10,970.10					10,970.10
TOTAL REVENUES	141,593.27	-	660.70	12,004.94	20,131.10	4,341.75	783,492.16	-	-	962,223.92
EXPENDITURES:										
510000 Personal Services	34,960.42		31,901.32	18,884.66	10,163.44					95,909.84
520000 Operating Expenses	13,042.60		699.14	2,687.30	12,325.10	81.75		9,397.75	1,334.95	39,568.59
570000 Travel Expenses	3,441.78		807.16	2,139.13		479.36		346.23		7,213.66
580000 Capital Outlay										-
590000 Government Aid							1,568,608.00			1,568,608.00
TOTAL EXPENDITURES	51,444.80	-	33,407.62	23,711.09	22,488.54	561.11	1,568,608.00	9,743.98	1,334.95	1,711,300.09
Excess (Deficiency) of Revenues Over Expenditures	90,148.47	-	(32,746.92)	(11,706.15)	(2,357.44)	3,780.64	(785,115.84)	(9,743.98)	(1,334.95)	(749,076.17)
OTHER FINANCING SOURCES (USES):										
Transfers In			32,746.92	11,706.15						-
Transfers Out	(44,453.07)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	45,695.40	-	-	-	(2,357.44)	3,780.64	(785,115.84)	(9,743.98)	(1,334.95)	(749,076.17)
Fund Balance September 30, 2021	1,204,278.00	(2,899.36)	-	-	1,422,191.71	894.47	3,542,347.24	(27,840.07)	1,329,678.82	7,468,650.81
Fund Balance October 31, 2021	1,249,973.40	(2,899.36)	-	-	1,419,834.27	4,675.11	2,757,231.40	(37,584.05)	1,328,343.87	6,719,574.64

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
FISCAL YEAR TO DATE (July 1, 2021 through October 31, 2021)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	612,769.39	-	-	-	-	-	-	-	-	612,769.39
460000	Intergovernmental	-	-	114,116.02	450.00	70,000.00	-	11,517,878.01	-	-	11,702,444.03
470000	Sales & Charges	-	-	5,850.00	54,015.28	18,874.87	24,797.95	-	-	-	103,538.10
480000	Miscellaneous	34,702.36	-	-	-	139,547.19	-	-	-	-	174,249.55
490000	Other	-	-	-	-	(13,291.30)	-	-	-	-	(13,291.30)
TOTAL REVENUES		647,471.75	-	119,966.02	54,465.28	215,130.76	24,797.95	11,517,878.01	-	-	12,579,709.77
EXPENDITURES:											
510000	Personal Services	146,854.73	-	152,735.07	73,312.01	36,606.26	12.36	-	-	-	409,520.43
520000	Operating Expenses	57,543.90	-	42,789.71	14,374.57	53,823.08	19,644.64	-	66,792.60	1,334.95	256,303.45
570000	Travel Expenses	7,594.24	-	3,155.98	10,233.79	-	479.36	-	1,252.67	-	22,716.04
580000	Capital Outlay	-	-	-	-	12,001.69	-	-	-	-	12,001.69
590000	Government Aid	20,387.91	-	-	-	-	-	12,716,372.95	-	-	12,736,760.86
TOTAL EXPENDITURES		232,380.78	-	198,680.76	97,920.37	102,431.03	20,136.36	12,716,372.95	68,045.27	1,334.95	13,437,302.47
Excess (Deficiency) of Revenues Over Expenditures		415,090.97	-	(78,714.74)	(43,455.09)	112,699.73	4,661.59	(1,198,494.94)	(68,045.27)	(1,334.95)	(857,592.70)
OTHER FINANCING SOURCES (USES):											
	Transfers In			78,714.74	43,455.09		(4,661.59)		68,045.27	-	
	Transfers Out	(185,553.51)									
	Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures		229,537.46	-	-	-	112,699.73	-	(1,198,494.94)	-	(1,334.95)	(857,592.70)
Fund Balance June 30, 2021		987,527.00	(2,899.36)	-	-	1,307,134.54	-	3,955,726.34	-	1,329,678.82	7,577,167.34
Fund Balance October 31, 2021		1,217,064.46	(2,899.36)	-	-	1,419,834.27	-	2,757,231.40	-	1,328,343.87	6,719,574.64

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	7/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	3.9	0.0
Wholesale Tax ¢	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	7.5	-1.0
Total Tax ¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	27.7¢	-1.0¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This cumulative 6¢ increase included 2¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY22 is 1.8% July through December.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT receives 53 ½ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The NDOT's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from NDOT and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2022 RECEIPTS
AS OF OCTOBER 31, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2021	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$101,667	\$ 8,835	\$ 9,281	\$ 446	5.0%	\$ 35,732	\$ 38,302	\$ 2,570	7.2%
Incremental Fixed	27,111	2,356	2,483	127	5.4%	9,528	10,248	719	7.5%
Variable	47,356	4,594	4,843	248	5.4%	18,581	19,984	1,403	7.6%
Wholesale	<u>71,524</u>	<u>5,831</u>	<u>6,147</u>	<u>315</u>	5.4%	<u>24,370</u>	<u>26,211</u>	<u>1,841</u>	7.6%
Subtotal	247,658	21,617	22,754	1,137	5.3%	88,211	94,745	6,534	7.4%
Motor Vehicle Registrations	28,669	2,035	2,012	(23)	(1.2%)	8,888	9,148	260	2.9%
Prorate Registrations	<u>12,295</u>	<u>324</u>	<u>446</u>	<u>122</u>	37.6%	<u>1,709</u>	<u>2,223</u>	<u>514</u>	30.1%
Subtotal	40,964	2,359	2,457	98	4.2%	10,597	11,371	774	7.3%
Sales Tax on Motor Vehicles	138,630	12,229	13,809	1,580	12.9%	54,829	56,932	2,103	3.8%
Interest	2,350	145	330	185	127.3%	664	1,175	511	77.0%
Sale of Supplies and Materials	1,300	123	139	16	12.8%	459	517	58	12.6%
Sale of Fixed Assets	1,030	312	153	(159)	(51.0%)	387	433	46	12.0%
Excess Limit	3,000	281	260	(21)	(7.6%)	1,067	1,039	(28)	(2.6%)
Overload Fines	780	73	55	(18)	(24.3%)	295	148	(147)	(49.7%)
Other Fees	<u>1,900</u>	<u>90</u>	<u>62</u>	<u>(28)</u>	(31.4%)	<u>503</u>	<u>409</u>	<u>(94)</u>	(18.7%)
SUBTOTAL HIGHWAY CASH FUND	\$ 437,612 (A)	\$ 37,229	\$ 40,018	\$ 2,789	7.5%	\$ 157,012	\$ 166,769	\$ 9,757 (B)	6.2%
Incremental Tax Transfer to TIB Fund	(27,091)	(2,403)	(2,606)	(203)	8.4%	(\$9,581)	(10,273)	(693)	7.2%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 410,521	\$ 34,826	\$ 37,412	\$ 2,586	7.4%	\$ 147,431	\$ 156,495	\$ 9,064	6.1%
State Hwy Capital Impr Fund	83,078	7,262	7,439	178	2.4%	27,934	29,898	1,964	7.0%
Transportation Infrastructure Bank Fund (TIB)	28,091	2,465	2,667	202	8.2%	9,863	10,498	635	6.4%
Grade Crossing Protection Fund	3,060	36	37	1	3.4%	798	364	(434)	(54.4%)
Recreation Road Fund	3,920	288	293	5	1.6%	1,336	1,368	32	2.4%
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.1%	<u>256</u>	<u>256</u>	<u>0</u>	0.0%
TOTAL STATE RECEIPTS	\$ 529,438	\$ 44,941	\$ 47,912	\$ 2,971	6.6%	\$ 187,618	\$ 198,879	\$ 11,261	6.0%
Federal Receipts									
FHWA	362,300	40,879	37,229	(3,650)	(8.9%)	185,219	243,859	58,640	31.7%
Transit	9,000	506	2,307	1,801	355.9%	3,154	5,762	2,608	82.7%
Highway Safety	<u>5,500</u>	<u>102</u>	<u>417</u>	<u>315</u>	308.6%	<u>1,261</u>	<u>1,075</u>	<u>(186)</u>	(14.8%)
Subtotal-Federal Receipts	376,800	41,487	39,953	(1,534)	(3.7%)	189,634	250,696	61,062	32.2%
Local Receipts	15,000	705	801	96	13.6%	9,364	8,345	(1,019)	(10.9%)
Other Entities	<u>6,000</u>	<u>185</u>	<u>156</u>	<u>(29)</u>	(15.8%)	<u>2,332</u>	<u>2,424</u>	<u>92</u>	4.0%
TOTAL DEPARTMENT RECEIPTS	\$ 927,238	\$ 87,318	\$ 88,822	\$ 1,504	1.7%	\$ 388,948	\$ 460,344	\$ 71,396	18.4%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 11, 2021	\$ 437,612
(B) Receipts Over/(Under) Projection To Date	9,757
Previous year's receipts over appropriation	40,732
Total Modified Projected Receipts	\$ 488,101
Highway Cash Fund Appropriation	\$ 477,000
Projected Receipts Over / (Under) Appropriation	11,101
% Variance From Appropriation	2.3%

** Numbers may not add due to rounding.

** Projections are updated semiannually in December and June.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
 October 2021

FISCAL YEAR 2022
 Period Expired 33.33%
 Pay Period Ending 10/10/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	112,699,727.71	7,848,824.20	31,116,690.95	81,583,036.76	27.61%	0.00
Temporary Salaries	2,111,287.82	115,069.09	745,121.45	1,366,166.37	35.29%	0.00
Overtime	6,861,898.22	513,280.72	2,072,736.74	4,789,161.48	30.21%	0.00
Employee Benefits	39,726,396.86	3,085,291.10	12,458,831.40	27,267,565.46	31.36%	0.00
SUBTOTAL: Personal Services	\$161,399,310.61	\$11,562,465.11	\$46,393,380.54	\$115,005,930.07	28.74%	\$0.00
Operating Expenses						
Utilities	3,674,331.00	222,751.12	975,958.47	2,698,372.53	26.56%	0.00
Rentals	982,423.92	88,656.95	375,842.40	606,581.52	38.26%	2,600.00
Repairs & Maintenance	11,223,285.00	652,146.47	2,904,246.75	8,319,038.25	25.88%	1,708,558.29
Maintenance Contracts	13,582,450.71	669,855.92	4,423,368.33	9,159,082.38	32.57%	27,265,598.37
Engineering Contracts	35,025,806.82	1,721,108.42	7,301,325.93	27,724,480.89	20.85%	34,683,595.04
Contractual Services	41,969,264.75	840,428.00	3,539,770.04	38,429,494.71	8.43%	13,032,979.22
Technology Expenses	26,556,860.51	1,893,461.20	6,398,223.61	20,158,636.90	24.09%	22,962,367.11
Other Operating Expenses	5,763,184.50	593,720.59	2,886,518.72	2,876,665.78	50.09%	190,513.89
SUBTOTAL: Operating Expenses	\$138,777,607.21	\$6,682,128.67	\$28,805,254.25	\$109,972,352.96	20.76%	\$99,846,211.92
Supplies and Materials						
General Supplies & Materials	1,881,509.36	154,901.45	694,103.46	1,187,405.90	36.89%	778,391.79
Maint & Const Materials	65,757,170.51	4,751,452.89	25,738,640.72	40,018,529.79	39.14%	11,096.33
Automotive Supplies & Materials	16,327,425.00	1,237,002.22	5,098,720.38	11,228,704.62	31.23%	0.00
SUBTOTAL: Supplies and Materials	\$83,966,104.87	\$6,143,356.56	\$31,531,464.56	\$52,434,640.31	37.55%	\$789,488.12
Travel						
In State Travel	877,184.00	91,231.22	256,586.98	620,597.02	29.25%	0.00
Out of State Travel	277,711.00	5,775.08	27,176.78	250,534.22	9.79%	0.00
SUBTOTAL: Travel	\$1,154,895.00	\$97,006.30	\$283,763.76	\$871,131.24	24.57%	\$0.00
Capital Outlay						
Land	16,500,000.00	132,774.00	805,045.30	15,694,954.70	4.88%	0.00
Hwy. Constr. - Contract Pymt.	549,018,207.30	64,619,224.66	262,580,604.46	286,437,602.84	47.83%	1,027,623,194.25
Buildings	28,129,339.38	367,122.85	2,157,995.51	25,971,343.87	7.67%	2,750,190.17
Heavy Equipment and Vehicles	26,241,169.00	1,270,050.42	3,822,787.30	22,418,381.70	14.57%	10,021,800.57
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,410,950.00	43,337.14	206,809.47	1,204,140.53	14.66%	475,815.00
SUBTOTAL: Capital Outlay	\$621,399,665.68	\$66,432,509.07	\$269,573,242.04	\$351,826,423.64	43.38%	\$1,040,870,999.99
Government Aid & Distr						
Public Transit Aid	32,572,122.15	1,842,296.98	6,754,535.42	25,817,586.73	20.74%	40,463,091.21
Highway Safety Office	5,200,000.00	455,533.99	1,433,125.61	3,766,874.39	27.56%	5,232,885.92
Other Government Aid	100,300,000.00	7,692,276.53	37,296,068.71	63,003,931.29	37.18%	98,973,819.79
SUBTOTAL: Government Aid & Distr	\$138,072,122.15	\$9,990,107.50	\$45,483,729.74	\$92,588,392.41	32.94%	\$144,669,796.92
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$1,144,769,705.52	\$100,907,573.21	\$422,070,834.89	\$722,698,870.63	36.87%	\$1,286,176,496.95

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
October 2021

FISCAL YEAR 2022
 Period Expired 33.33%
 Pay Period Ending 10/10/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	20,838,143.97	2,050,280.07	7,134,396.58	13,703,747.39	34.24%	83,532.52
Boards & Commissions	50,000.00	3,109.65	21,883.00	28,117.00	43.77%	0.00
SUBTOTAL: Administration	\$20,888,143.97	\$2,053,389.72	\$7,156,279.58	\$13,731,864.39	34.26%	\$83,532.52
Service and Support						
Charges to Others	1,100,000.00	135,605.56	598,542.61	501,457.39	54.41%	56,935.59
Deficiency Claims	17,184.00	0.00	26,290.00	(9,106.00)	152.99%	0.00
Supply Base/Inventories	1,000,000.00	(189,911.25)	(156,594.80)	1,156,594.80	(15.66)%	384,797.03
Building Operations	6,500,000.00	934,040.80	3,327,889.46	3,172,110.54	51.20%	2,253,074.67
Business Technology Services	18,063,023.32	1,169,670.05	5,545,005.93	12,518,017.39	30.70%	13,752,674.94
Support Centers	7,622,499.30	1,732.81	211,909.29	7,410,590.01	2.78%	0.00
Payroll Clearing	626,525.00	605,949.37	1,875,637.25	(1,249,112.25)	299.37%	2,050.00
SUBTOTAL: Service and Support	\$34,929,231.62	\$2,657,087.34	\$11,428,679.74	\$23,500,551.88	32.72%	\$16,449,532.23
Capital Facilities						
Capital Facilities	26,348,346.20	386,205.55	2,850,760.05	23,497,586.15	10.82%	3,302,441.72
SUBTOTAL: Capital Facilities	\$26,348,346.20	\$386,205.55	\$2,850,760.05	\$23,497,586.15	10.82%	\$3,302,441.72
Highway Maintenance						
System Preservation	55,789,176.96	4,616,014.83	29,226,172.52	26,563,004.44	52.39%	663,441.55
Operations	42,000,000.00	3,555,281.44	14,018,251.33	27,981,748.67	33.38%	26,081,431.62
Snow and Ice Control	43,000,000.00	1,250,506.79	4,419,057.77	38,580,942.23	10.28%	1,118,256.34
Unusual & Disaster Oper	1,500,000.00	71,788.89	633,417.59	866,582.41	42.23%	1,657,847.84
Equipment Operations	23,000,000.00	1,598,585.60	5,071,520.13	17,928,479.87	22.05%	10,117,390.75
Indirect Charges	25,177,324.66	1,832,276.96	6,154,075.85	19,023,248.81	24.44%	478,415.00
SUBTOTAL: Highway Maintenance	\$190,466,501.62	\$12,924,454.51	\$59,522,495.19	\$130,944,006.43	31.25%	\$40,116,783.10
Highway Construction						
Preliminary Engineering	53,250,000.00	3,273,828.11	12,402,084.74	40,847,915.26	23.29%	22,679,445.71
Right-Of-Way	15,000,000.00	278,331.92	1,311,773.94	13,688,226.06	8.75%	193,782.86
Construction	558,524,728.30	64,806,790.72	263,368,409.64	295,156,318.66	47.15%	1,027,616,166.18
Construction Engineering	25,000,000.00	2,507,749.34	10,220,211.55	14,779,788.45	40.88%	3,017,376.77
SUBTOTAL: Highway Construction	\$651,774,728.30	\$70,866,700.09	\$287,302,479.87	\$364,472,248.43	44.08%	\$1,053,506,771.52
Construction Related Expense						
Overhead	25,959,898.66	1,036,715.71	4,553,394.31	21,406,504.35	17.54%	17,113,214.52
Planning & Research	12,056,000.00	782,579.34	3,525,069.39	8,530,930.61	29.24%	15,372,162.70
Local Systems	144,548,833.00	7,821,963.85	37,245,907.04	107,302,925.96	25.77%	94,536,081.51
Highway Safety Office	5,220,250.00	493,048.15	1,574,096.36	3,646,153.64	30.15%	5,232,885.92
Public Transportation Asst	32,577,772.15	1,885,428.95	6,911,673.36	25,666,098.79	21.22%	40,463,091.21
SUBTOTAL: Construction Related Expense	\$220,362,753.81	\$12,019,736.00	\$53,810,140.46	\$166,552,613.35	24.42%	\$172,717,435.86
AGENCY TOTAL	\$1,144,769,705.52	\$100,907,573.21	\$422,070,834.89	\$722,698,870.63	36.87%	\$1,286,176,496.95

PROGRAM STATUS REPORT
BUSINESS MONTH - OCTOBER 2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	937,773.72	1,696,944.06	0.00	2,271,636.65	2,267,642.62	674,827.15	7,848,824.20
Temporary Salaries	3,030.79	4,545.27	0.00	83,452.22	14,758.42	9,282.39	115,069.09
Overtime	2,557.30	63,774.55	0.00	124,869.51	311,240.91	10,838.45	513,280.72
Employee Benefits	0.00	3,085,291.10	0.00	0.00	0.00	0.00	3,085,291.10
SUBTOTAL: Personal Services	\$943,361.81	\$4,850,554.98	\$0.00	\$2,479,958.38	\$2,593,641.95	\$694,947.99	\$11,562,465.11
Operating Expenses							
Utilities	0.00	126,644.61	0.00	95,240.87	819.50	46.14	222,751.12
Rentals	656.42	4,593.98	0.00	82,906.55	500.00	0.00	88,656.95
Repairs & Maintenance	0.00	158,404.74	0.00	478,684.13	584.00	14,473.60	652,146.47
Maintenance Contracts	0.00	0.00	0.00	669,855.92	0.00	0.00	669,855.92
Engineering Contracts	0.00	12,520.00	9,048.40	12,131.30	1,544,586.84	142,821.88	1,721,108.42
Contractual Services	138,201.27	113,557.51	0.00	210,520.80	1,373.50	376,774.92	840,428.00
Technology Expenses	324,236.62	856,128.91	0.00	538,184.51	0.00	174,911.16	1,893,461.20
Other Operating Expenses	30,248.72	553,804.40	0.00	(645.18)	1,804.65	8,508.00	593,720.59
SUBTOTAL: Operating Expenses	\$493,343.03	\$1,825,654.15	\$9,048.40	\$2,086,878.90	\$1,549,668.49	\$717,535.70	\$6,682,128.67
Supplies and Materials							
General Supplies & Materials	103,364.67	(488.43)	10,034.30	34,535.93	14.35	7,440.63	154,901.45
Maint & Const Materials	908.33	39,849.80	0.00	4,631,461.39	58,498.13	20,735.24	4,751,452.89
Automotive Supplies & Materials	0.00	(99,762.89)	0.00	1,336,765.11	0.00	0.00	1,237,002.22
SUBTOTAL: Supplies and Materials	\$104,273.00	(\$60,401.52)	\$10,034.30	\$6,002,762.43	\$58,512.48	\$28,175.87	\$6,143,356.56
Travel							
In State Travel	8,333.51	53,949.28	0.00	1,092.69	14,528.49	13,327.25	91,231.22
Out of State Travel	242.69	5,532.39	0.00	0.00	0.00	0.00	5,775.08
SUBTOTAL: Travel	\$8,576.20	\$59,481.67	\$0.00	\$1,092.69	\$14,528.49	\$13,327.25	\$97,006.30
Capital Outlay							
Land	0.00	100.00	0.00	0.00	132,674.00	0.00	132,774.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	(3,190.50)	64,622,415.16	0.00	64,619,224.66
Buildings	0.00	0.00	367,122.85	0.00	0.00	0.00	367,122.85
Heavy Equipment and Vehicles	0.00	0.00	0.00	1,270,050.42	0.00	0.00	1,270,050.42
Specialty Equipment	0.00	0.00	0.00	24,987.14	9,557.00	8,793.00	43,337.14
SUBTOTAL: Capital Outlay	\$0.00	\$100.00	\$367,122.85	\$1,291,847.06	\$64,764,646.16	\$8,793.00	\$66,432,509.07
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	1,842,296.98	1,842,296.98
Highway Safety Office	0.00	(861.75)	0.00	0.00	0.00	456,395.74	455,533.99
Other Government Aid	0.00	0.00	0.00	0.00	(93,663.00)	7,785,939.53	7,692,276.53
SUBTOTAL: Government Aid & Distr	\$0.00	(\$861.75)	\$0.00	\$0.00	(\$93,663.00)	\$10,084,632.25	\$9,990,107.50
Internal Redistributions							
Redistribution	503,835.68	(4,017,440.19)	0.00	1,061,915.05	1,979,365.52	472,323.94	0.00
SUBTOTAL: Internal Redistributions	\$503,835.68	(\$4,017,440.19)	\$0.00	\$1,061,915.05	\$1,979,365.52	\$472,323.94	\$0.00
GRAND TOTAL:	\$2,053,389.72	\$2,657,087.34	\$386,205.55	\$12,924,454.51	\$70,866,700.09	\$12,019,736.00	\$100,907,573.21

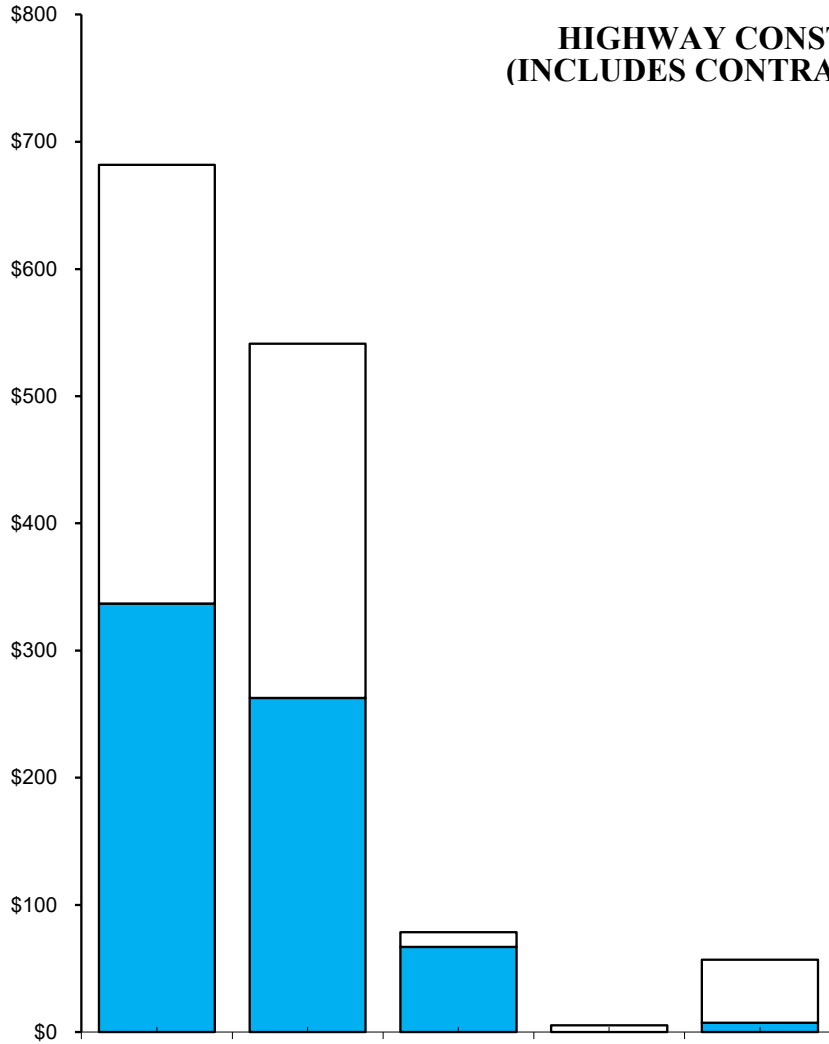
**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - OCTOBER 2021**

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	3,214,780.38	7,083,226.41	0.00	9,366,068.86	8,759,823.72	2,692,791.58	31,116,690.95
Temporary Salaries	9,348.70	43,039.17	0.00	524,717.36	96,862.43	71,153.79	745,121.45
Overtime	8,708.11	259,024.55	0.00	649,055.63	1,115,852.76	40,095.69	2,072,736.74
Employee Benefits	0.00	12,458,831.40	0.00	0.00	0.00	0.00	12,458,831.40
SUBTOTAL: Personal Services	\$3,232,837.19	\$19,844,121.53	\$0.00	\$10,539,841.85	\$9,972,538.91	\$2,804,041.06	\$46,393,380.54
Operating Expenses							
Utilities	0.00	587,876.46	0.00	384,901.31	2,996.14	184.56	975,958.47
Rentals	3,604.04	30,938.65	0.00	340,724.71	575.00	0.00	375,842.40
Repairs & Maintenance	6,579.84	834,961.97	0.00	2,006,902.27	2,971.29	52,831.38	2,904,246.75
Maintenance Contracts	0.00	118,583.01	0.00	4,304,785.32	0.00	0.00	4,423,368.33
Engineering Contracts	0.00	(81,695.50)	418,709.22	43,547.99	5,898,795.09	1,021,969.13	7,301,325.93
Contractual Services	303,150.49	600,362.60	0.00	881,910.68	35,869.58	1,718,476.69	3,539,770.04
Technology Expenses	868,509.62	4,304,252.35	0.00	797,806.24	0.00	427,655.40	6,398,223.61
Other Operating Expenses	278,705.07	1,144,454.41	18.00	1,345,774.92	4,475.40	113,090.92	2,886,518.72
SUBTOTAL: Operating Expenses	\$1,460,549.06	\$7,539,733.95	\$418,727.22	\$10,106,353.44	\$5,945,682.50	\$3,334,208.08	\$28,805,254.25
Supplies and Materials							
General Supplies & Materials	484,813.77	29,290.86	21,966.49	138,050.05	50.24	19,932.05	694,103.46
Maint & Const Materials	10,383.03	233,874.67	0.00	25,075,820.68	315,578.62	102,983.72	25,738,640.72
Automotive Supplies & Materials	0.00	177,025.35	0.00	4,921,631.04	0.00	63.99	5,098,720.38
SUBTOTAL: Supplies and Materials	\$495,196.80	\$440,190.88	\$21,966.49	\$30,135,501.77	\$315,628.86	\$122,979.76	\$31,531,464.56
Travel							
In State Travel	37,187.80	77,347.05	0.00	6,510.19	63,810.68	71,731.26	256,586.98
Out of State Travel	1,553.58	25,335.20	0.00	0.00	288.00	0.00	27,176.78
SUBTOTAL: Travel	\$38,741.38	\$102,682.25	\$0.00	\$6,510.19	\$64,098.68	\$71,731.26	\$283,763.76
Capital Outlay							
Land	0.00	(424,140.83)	424,415.83	0.00	804,770.30	0.00	805,045.30
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	(3,190.50)	262,583,794.96	0.00	262,580,604.46
Buildings	0.00	172,345.00	1,985,650.51	0.00	0.00	0.00	2,157,995.51
Heavy Equipment and Vehicles	0.00	0.00	0.00	3,822,787.30	0.00	0.00	3,822,787.30
Specialty Equipment	0.00	9,105.00	0.00	48,660.47	97,607.00	51,437.00	206,809.47
SUBTOTAL: Capital Outlay	\$0.00	(\$242,690.83)	\$2,410,066.34	\$3,868,257.27	\$263,486,172.26	\$51,437.00	\$269,573,242.04
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	6,754,535.42	6,754,535.42
Highway Safety Office	0.00	(861.75)	0.00	0.00	0.00	1,433,987.36	1,433,125.61
Other Government Aid	0.00	0.00	0.00	0.00	(51,777.40)	37,347,846.11	37,296,068.71
SUBTOTAL: Government Aid & Distr	\$0.00	(\$861.75)	\$0.00	\$0.00	(\$51,777.40)	\$45,536,368.89	\$45,483,729.74
Internal Redistributions							
Redistribution	1,928,955.15	(16,254,496.29)	0.00	4,866,030.67	7,570,136.06	1,889,374.41	0.00
SUBTOTAL: Internal Redistributions	\$1,928,955.15	(\$16,254,496.29)	\$0.00	\$4,866,030.67	\$7,570,136.06	\$1,889,374.41	\$0.00
GRAND TOTAL:	\$7,156,279.58	\$11,428,679.74	\$2,850,760.05	\$59,522,495.19	\$287,302,479.87	\$53,810,140.46	\$422,070,834.89

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
 October 2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	728,147.14	56,209.44	227,451.92	500,695.22	31.24%	0.00
140 - LEGAL	1,229,535.40	98,737.12	388,160.21	841,375.19	31.57%	171,636.73
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,486,736.16	156,016.85	708,632.72	1,778,103.44	28.50%	148,181.25
SUBTOTAL: OFFICE OF THE DIRECTOR	\$4,444,418.70	\$310,963.41	\$1,324,244.85	\$3,120,173.85	29.80%	\$319,817.98
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,113,849.11	169,835.79	689,590.22	1,424,258.89	32.62%	0.00
250 - STRATEGIC PLANNING DIVISION	3,412,005.83	191,989.63	765,564.94	2,646,440.89	22.44%	842,932.00
320 - BRIDGE DIVISION	7,151,605.84	493,372.13	2,078,596.37	5,073,009.47	29.06%	1,306,505.44
340 - TRAFFIC ENGINEERING DIVISION	4,421,628.10	465,584.16	1,442,378.42	2,979,249.68	32.62%	556,374.41
350 - RIGHT OF WAY DIVISION	4,963,079.13	373,329.09	1,440,360.53	3,522,718.60	29.02%	119,523.23
360 - PROJECT DEVELOPMENT DIVISION	14,386,870.66	923,297.69	3,432,520.55	10,954,350.11	23.86%	10,210,010.36
370 - ROADWAY DESIGN DIVISION	24,779,050.91	1,438,312.74	5,944,709.24	18,834,341.67	23.99%	12,790,442.58
420 - PROGRAM MANAGEMENT DIVISION	1,335,953.47	88,704.18	420,541.07	915,412.40	31.48%	87,047.50
580 - LOCAL ASSISTANCE DIVISION	2,962,264.89	193,746.62	778,350.34	2,183,914.55	26.28%	1,337,207.07
SUBTOTAL: OFFICE OF ENGINEERING	\$65,526,307.94	\$4,338,172.03	\$16,992,611.68	\$48,533,696.26	25.93%	\$27,250,042.59
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,285,093.83	145,388.38	590,755.73	1,694,338.10	25.85%	2,050.00
260 - OPERATIONS DIVISION	16,645,525.24	1,463,221.66	5,115,859.53	11,529,665.71	30.73%	9,724,206.35
280 - BUSINESS TECH SUPPORT DIVISION	27,569,908.07	1,689,299.28	7,090,823.25	20,479,084.82	25.72%	23,740,758.90
380 - CONSTRUCTION DIVISION	2,990,404.82	224,137.73	903,689.76	2,086,715.06	30.22%	1,112.50
390 - MATERIALS & RESEARCH DIVISION	12,853,834.73	764,325.51	3,356,983.67	9,496,851.06	26.12%	9,423,764.73
610 - DISTRICT 1	33,947,496.11	2,655,765.50	13,495,595.32	20,451,900.79	39.75%	6,274,984.83
620 - DISTRICT 2	23,279,072.08	1,696,756.75	6,572,066.25	16,707,005.83	28.23%	6,683,108.68
630 - DISTRICT 3	32,110,108.72	2,839,909.24	11,898,949.96	20,211,158.76	37.06%	2,815,193.81
640 - DISTRICT 4	33,260,781.82	2,577,391.24	11,344,263.32	21,916,518.50	34.11%	5,169,356.63
650 - DISTRICT 5	23,779,814.81	1,556,154.78	7,632,621.58	16,147,193.23	32.10%	3,449,283.68
660 - DISTRICT 6	26,133,919.86	2,102,068.77	9,229,387.26	16,904,532.60	35.32%	6,976,853.47
670 - DISTRICT 7	17,553,092.95	1,393,468.21	5,863,546.85	11,689,546.10	33.40%	3,480,430.23
680 - DISTRICT 8	16,205,641.80	1,053,642.22	6,566,539.53	9,639,102.27	40.52%	3,254,817.66
SUBTOTAL: OFFICE OF OPERATIONS	\$268,614,694.84	\$20,161,529.27	\$89,661,082.01	\$178,953,612.83	33.38%	\$80,995,921.47
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	(281,727.68)	(511,751.76)	511,751.76	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,039,117.00	423,443.86	866,706.31	172,410.69	83.41%	50,387.95
904 - TRANSPORTATION CAPITAL	805,145,167.04	75,955,192.32	313,737,941.80	491,407,225.24	38.97%	1,177,560,326.96
SUBTOTAL: BUDGETARY CONTROL	\$806,184,284.04	\$76,096,908.50	\$314,092,896.35	\$492,091,387.69	38.96%	\$1,177,610,714.91
AGENCY TOTAL	\$1,144,769,705.52	\$100,907,573.21	\$422,070,834.89	\$722,698,870.63	36.87%	\$1,286,176,496.95

**FY-2022
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2022 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2022 PROJECTS	
7/22/2021	41.11				41.11
8/26/2021	121.26			4.62	125.88
9/30/2021	48.97	66.87		2.35	118.19
10/21/2021	51.42			0.25	51.67
11/4/2021					
11/18/2021					
12/16/2021					
1/27/2022					
3/3/2022					
5/12/2022					
6/16/2022					
	262.76	66.87	0.00	7.22	336.85

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/22/2021	1.47	6.93	21.43		1.37	0.76	9.15		41.11
8/26/2021	5.43	74.20	5.51	14.40	6.44	12.40	5.20	2.30	125.88
9/30/2021	1.99	59.60	0.21	4.36	7.27	13.61	14.42	16.73	118.19
10/21/2021	1.83	1.68	1.91	16.20	0.43	25.89		3.73	51.67
11/4/2021									
11/18/2021									
12/16/2021									
1/27/2022									
3/3/2022									
5/12/2022									
6/16/2022									
	10.72	142.41	29.06	34.96	15.51	52.66	28.77	22.76	336.85

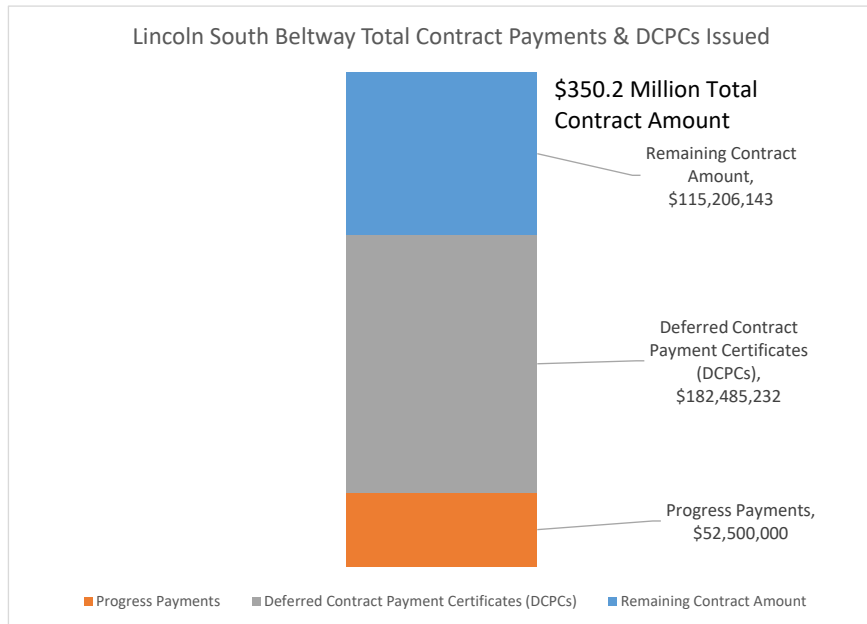
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2022 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of October 31, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through October 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through October 2021)

Remaining Contract Amount	\$115,206,143
Progress Payments	\$52,500,000
Deferred Contract Payment Certificates (DCPCs)	\$182,485,232

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through October 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$29,877,875
FY 2028	\$29,875,000
FY 2029	<u>\$18,029,857</u>
Total DCPCs to date	\$182,485,232

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Fixing America's Surface Transportation = FAST														
All data per preliminary tables prior to all set asides and penalties.														
Federal Trust Fund	Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment		Fiscal 2022 Apportionment	
	Apportionment Type	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National
National Hwy Perf Prog (NHPP)	20,895	161.392	22,828	164.017	21,855	167.506	22,134	171.617	22,820	174.622	22,811	173.531	1,899	14.762
Surface Transportation Block Grant	10,812	81.732	10,589	83.247	11,219	85.196	11,432	87.186	11,704	88.896	11,717	88.296	654	4.691
STP - Bridge Off System		-		3.777		3.777		3.777		3.777		3.777		0.321
STP - Flexible - Any Area		33.470		33.379		33.456		33.508		33.412		33.159		
STP - MAPA - Omaha		13.935		14.468		15.092		15.733		16.338		16.227		1.381
STP - LCLC - Lincoln		5.492		5.702		5.948		6.200		6.439		6.395		0.544
STP - 5,001 to 200,000 Population		7.659		7.952		8.295		8.647		8.979		8.919		0.759
STP - 5,000 and Less Population		11.682		12.130		12.652		13.190		13.697		13.604		1.157
Highway Planning		4.288		4.379		4.482		4.598		4.691		4.661		0.397
Research		1.429		1.494		1.494		1.533		1.563		1.554		0.132
Transportation Alternatives (TAP)	835	-	751	5.677	766	5.800	766	5.800	767	5.801	768	5.801		
Recreational Trails	84	-	84	1.217	83	1.215	83	1.217	83	1.217	82	1.217		
Highway Safety Improvement Prog	2,059	12.655	2,275	14.910	2,272	15.221	2,312	15.566	2,359	15.819	2,359	15.713	200	1.337
Rail-Highway Crossings	350	5.702	230	3.692	235	3.767	240	3.834	245	3.900	245	3.883	21	0.330
Congestion Mitigation & Air Qual CMAQ	2,263	10.043	2,360	10.200	2,355	10.411	2,393	10.637	2,446	10.812	2,444	10.744	188	0.914
Metropolitan Planning	329	1.651	336	1.673	343	1.711	350	1.754	358	1.788	358	1.777	30	0.151
National Freight Program	1,117	8.270	1,091	7.860	1,196	8.588	1,341	9.694	1,488	10.730	1,489	10.663	124	0.907
Redistribution - Certain Authorizations		0.874		0.968	51	0.376	61	0.451	45	0.327	55	0.398		
Redistribution - TIFIA														
Sub-Total Core Funds	\$38,744	\$282.319	\$40,544	\$293.461	\$40,375	\$299.791	\$41,112	\$307.756	\$42,315	\$313.912	\$42,328	\$312.023	\$3,116	\$23.092
National Highway Perf Exempt	639	4.524	595	4.489	597	4.512	599	4.546	601	4.543	603	4.524	51	0.385
Others & Ext of Alloc Programs		1.274												
Total	\$39,383	\$288.117	\$41,139	\$297.950	\$40,972	\$304.303	\$41,711	\$312.302	\$42,916	\$318.455	\$42,931	\$316.547	\$3,167	\$23.477
Obligation Authority														
Core Formula Obligation Limitation	37,015	273.728	40,548	271.600	44,234	274.849	36,629	277.028	46,365	284.111	46,365	277.251	3,938	23.585
August Redistribution	2,833	19.000	3,137	31.224	4,184	32.000	3,972	34.443	4,762	45.000	4,178	20.000		
Total Annual Obligation Authority	\$39,848	292.728	\$43,685	302.824	\$48,418	306.849	\$40,601	311.471	\$51,127	329.111	\$50,543	297.251	\$3,938	23.585

Footnotes:

FY22 Apportionment per Public Law #117-44 reflects 31/365 days through October 31, 2021.

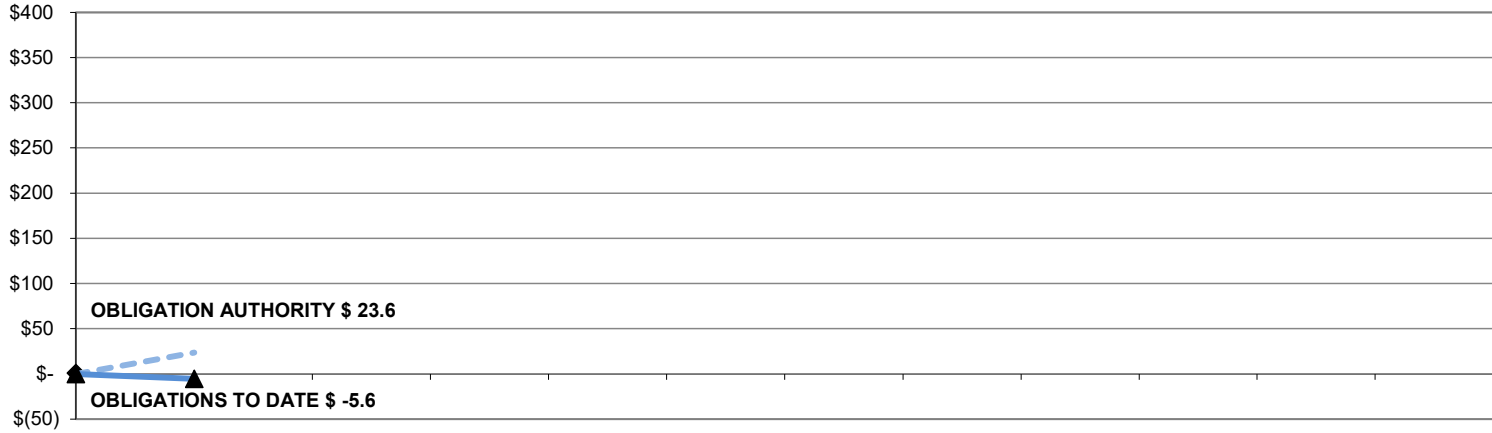
**STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2022
OCTOBER 31, 2021**

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2022	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2021	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	3,241,403	14,762,092	-	18,003,495	(2,663,497)	20,666,992	164,459,226	101,178,547
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	48,819
STP - Bridge Off System	432,195	320,808	-	753,003	48,982	704,021	-	3,180,217
STP - Flexible - Any Area	2,715,801	-	-	2,715,801	573,868	2,141,933	87,784,179	73,293,030
STP - MAPA - Omaha	13,611,522	1,380,594	-	14,992,116	-	14,992,116	23,322,866	37,098,770
STP - LCLC - Lincoln	13,546,212	544,107	-	14,090,319	(72,359)	14,162,678	73,093	5,890,797
STP - 5,001 to 200,000 Pop	43,101,577	758,794	-	43,860,371	(10,735)	43,871,106	8,000	1,621,329
STP - 5,000 & Less Population	141	1,157,451	-	1,157,592	(233,810)	1,391,402	-	10,893,989
Congestion Mitigation & Air Qual	1,059,007	914,002	-	1,973,009	(658,580)	2,631,589	-	9,399,188
Highway Safety Improvemt Prog	15,027,981	1,336,977	-	16,364,958	(2,059,845)	18,424,803	1,915,919	23,178,347
Rail-Hwy - Hazard Elimination	553,194	165,013	-	718,207	-	718,207	2,355,797	2,363,056
Rail-Hwy - Protection Devices	9,682,510	165,013	-	9,847,523	(332,330)	10,179,853	-	2,614,155
Highway Planning	3,618,064	396,548	-	4,014,612	-	4,014,612	2,089	7,402,666
Research	11,643	132,183	-	143,826	-	143,826	141,795	5,776,885
Metropolitan Planning	570,910	151,125	-	722,035	(78,093)	800,127	-	2,524,936
National Hwy Freight Program	-	907,113	-	907,113	-	907,113	-	12,036,084
TAP - Flex	2,993,838	-	-	2,993,838	-	2,993,838	-	795,776
TAP - >200,000 Population	2,352,479	-	-	2,352,479	-	2,352,479	-	1,327,056
TAP - 5,001 to 200,000 Pop	643,636	-	-	643,636	-	643,636	-	488,731
TAP - 5,000 and Less Population	1,734,022	-	-	1,734,022	(32,727)	1,766,749	-	647,677
Recreational Trails	2,394,934	-	-	2,394,934	(70,587)	2,465,521	-	3,488,048
Enhancement	266,366	-	-	266,366	-	266,366	-	95,856
Safe Routes to School Prog	200,493	-	-	200,493	-	200,493	-	49,835
Redistribution - Certain Auth.	-	-	-	-	-	-	-	7,175,221
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	147,958	-	-	147,958	-	147,958	-	1,667,200
Other	-	-	-	-	-	-	-	23,514
Total Formula Funds	\$ 117,905,886	\$ 23,091,820	\$ -	\$ 140,997,706	\$ (5,589,712)	\$ 146,587,418	\$ 280,062,964	\$ 314,259,729
Allocated/Discretionary Funds	60,400	-	-	60,400	-	60,400	-	5,624,252
Total Subject to Annual Obligation Limits	\$ 117,966,285	\$ 23,091,820	\$ -	\$ 141,058,105	\$ (5,589,712)	\$ 146,647,818	\$ 280,062,964	\$ 319,883,981
Special Limit/Allocated Exempt Equity Bonus	83,340,075	384,824	-	83,724,899	(42,409)	83,767,308	7,960,003	82,242,503
GRAND TOTAL	\$ 201,306,361	\$ 23,476,644	\$ -	\$ 224,783,005	\$ (5,632,121)	\$ 230,415,126	\$ 288,022,967	\$ 402,126,483

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY22 Apportionment per Public Law #117-44 reflects 31/365 days through October 31, 2021.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2022
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	23.6											
OA Used	0.0	(5.6)											

	<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2022</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2021		As of October 31, 2021		
Formula Obligation Limitation	\$	277.2	\$	23.6	
August Redistribution		20.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.3	\$	-	8.3%
Subtotal	\$	297.5	\$	23.6	
Other Allocation Obligation Limitation		0.3		-	
Annual Obligation Limitation	\$	297.8	\$	23.6	
Formula Obligations to Date		0.0		5.6	Obligated
Allocated Obligations to Date		-		0.0	-23.7%
Subtotal	\$	-	\$	5.6	
Obligation Authority Balance	\$	297.80	\$	29.2	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		0.4	
Congestion MGMT Tech Deploy		2.7		0.0	
Highway Infrastructure COVID		71.7		0.0	
Highway Infrastructure (NON-COVID)		22.9		0.0	
Competitive Highway Bridge Program		8.5		0.0	
Training and Education Fast		0.2		0.0	
Tech Deploy Stic Incentive Ext		0.1		0.0	
National Infrastructure Invest TIGER IX		0.0		0.0	
National Infrastructure Invest Build 2019		0.0		0.0	
National Infrastructure Invest Build 2018		0.0		0.0	
Previous Years Funding		136.9		77.2	
Total Special Obligation Limitation	\$	247.5	\$	77.6	
Obligations to Date		0.0		0.0	
Obligation Authority Balance	\$	247.5	\$	77.6	

FY22 Apportionment per Public Law #117-44 reflects 31/365 days through October 31, 2021.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - OCTOBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,939,537.05	0.00	0.00	3,832.38	450.28	1,943,819.71
	RIGHT OF WAY	99,717.55	0.00	0.00	8,201.94	0.00	107,919.49
	CONSTRUCTION	26,469,614.92	32,058,941.96	0.00	6,088,737.19	191,671.34	64,808,965.41
	CONSTRUCTION ENGINEERING	864,364.47	1,118,518.32	0.00	35,902.51	11,681.03	2,030,466.33
	PLANNING & RESEARCH	517.79	2,071.18	0.00	0.00	0.00	2,588.97
	TOTAL	\$ 29,373,751.78	\$ 33,179,531.46	\$ 0.00	\$ 6,136,674.02	\$ 203,802.65	\$ 68,893,759.91
LOCAL	PRELIMINARY ENGINEERING	19,131.92	262,208.59	26,938.49	7,663.68	545.52	316,488.20
	RIGHT OF WAY	2,527.21	62,288.59	3,721.80	7,052.55	444.85	76,035.00
	CONSTRUCTION	234,162.44	5,348,644.59	349,111.18	945,670.28	83,780.83	6,961,369.32
	CONSTRUCTION ENGINEERING	9,643.99	213,255.36	35,793.66	19,353.59	2,990.31	281,036.91
	PLANNING & RESEARCH	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL	\$ 265,465.56	\$ 5,886,397.13	\$ 415,565.13	\$ 979,740.10	\$ 87,761.51	\$ 7,634,929.43
NON-HWY	PRELIMINARY ENGINEERING	1,379,649.97	38,680.36	0.00	10,603.13	(118.25)	1,428,815.21
	RIGHT OF WAY	92,936.64	18,124.33	0.00	4,531.07	0.00	115,592.04
	CONSTRUCTION	13.05	109,356.50	0.00	27,309.76	0.00	136,679.31
	CONSTRUCTION ENGINEERING	526,010.83	(10,987.51)	6.93	(2,740.92)	0.00	512,289.33
	TRAFFIC SAFETY & TRANS	138,044.41	541,745.34	0.00	0.00	0.00	679,789.75
	PLANNING & RESEARCH	281,965.33	571,682.27	0.00	9,963.76	7,312.87	870,924.23
	PUBLIC TRANSPORTATION ASSIST	250,292.10	1,579,147.45	9,217.80	9,217.80	74,682.03	1,922,557.18
	TOTAL	\$ 2,668,912.33	\$ 2,847,748.74	\$ 9,224.73	\$ 58,884.60	\$ 81,876.65	\$ 5,666,647.05
TOTAL - CURRENT MONTH		\$ 32,308,129.67	\$ 41,913,677.33	\$ 424,789.86	\$ 7,175,298.72	\$ 373,440.81	\$ 82,195,336.39

FISCAL YEAR TO DATE - OCTOBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	7,196,050.09	1,761.23	5,222.16	7,830.98	13,593.66	7,224,458.12
	RIGHT OF WAY	450,170.77	0.00	0.00	9,039.77	0.00	459,210.54
	CONSTRUCTION	38,081,630.21	212,514,054.17	(1,501.55)	12,223,846.98	586,786.55	263,404,816.36
	CONSTRUCTION ENGINEERING	1,023,966.55	6,792,187.29	(208.94)	102,475.93	40,486.69	7,958,907.52
	PLANNING & RESEARCH	15,097.32	60,788.43	0.00	0.00	(592.87)	75,292.88
	TOTAL	\$ 46,766,914.94	\$ 219,368,791.12	\$ 3,511.67	\$ 12,343,193.66	\$ 640,274.03	\$ 279,122,685.42
LOCAL	PRELIMINARY ENGINEERING	170,325.70	1,241,323.02	90,874.43	115,450.22	(1,898.47)	1,616,074.90
	RIGHT OF WAY	167,896.61	223,476.20	3,615.01	51,776.91	22,674.10	469,438.83
	CONSTRUCTION	724,098.78	25,185,064.47	2,232,906.81	3,504,561.57	439,434.90	32,086,066.53
	CONSTRUCTION ENGINEERING	47,185.03	1,717,137.45	181,432.90	275,916.23	6,772.26	2,228,443.87
	PLANNING & RESEARCH	18.26	(1,100.73)	2,211.58	710.43	0.00	1,839.54
	TOTAL	\$ 1,109,524.38	\$ 28,365,900.41	\$ 2,511,040.73	\$ 3,948,415.36	\$ 466,982.79	\$ 36,401,863.67
NON-HWY	PRELIMINARY ENGINEERING	5,365,855.02	97,138.36	124.45	23,865.15	3,981.04	5,490,964.02
	RIGHT OF WAY	339,894.64	308,428.30	0.00	77,106.96	0.00	725,429.90
	CONSTRUCTION	32,368.64	1,154,852.83	0.00	300,715.16	0.00	1,487,936.63
	CONSTRUCTION ENGINEERING	2,174,505.03	136,649.24	6.93	34,165.61	0.00	2,345,326.81
	TRAFFIC SAFETY & TRANS	(22,624.87)	1,979,316.75	0.00	0.00	0.00	1,956,691.88
	PLANNING & RESEARCH	1,078,147.30	2,888,701.76	(622.97)	(47,297.51)	108,954.63	4,027,883.21
	PUBLIC TRANSPORTATION ASSIST	885,217.05	5,919,692.13	78,217.71	9,217.80	127,886.62	7,020,231.31
	TOTAL	\$ 9,853,362.81	\$ 12,484,779.37	\$ 77,726.12	\$ 397,773.17	\$ 240,822.29	\$ 23,054,463.76
TOTAL - FISCAL YEAR TO DATE		\$ 57,729,802.13	\$ 260,219,470.90	\$ 2,592,278.52	\$ 16,689,382.19	\$ 1,348,079.11	\$ 338,579,012.85

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
OCTOBER 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	2,056,966,049.92	995,835,263.24	1,061,130,786.68	29,373,751.78	46,766,914.94	87,879,330.39
	FEDERAL	1,570,179,721.63	1,276,780,332.70	293,399,388.93	33,179,531.46	219,368,791.12	352,601,719.96
	COUNTY	3,141,992.91	2,470,037.07	671,955.84	0.00	3,511.67	140,271.71
	CITY	95,362,760.37	49,400,774.71	45,961,985.66	6,136,674.02	12,343,193.66	19,434,739.84
	OTHER	19,862,791.97	19,245,571.02	617,220.95	203,802.65	640,274.03	817,730.20
STATE HIGHWAY SYSTEM TOTALS		\$ 3,745,513,316.80	\$ 2,343,731,978.74	\$ 1,401,781,338.06	\$ 68,893,759.91	\$ 279,122,685.42	\$ 460,873,792.10
LOCAL HIGHWAY SYSTEM							
	STATE	63,313,052.60	39,341,206.61	23,971,845.99	265,465.56	1,109,524.38	3,089,992.25
	FEDERAL	353,320,826.34	268,352,539.54	84,968,286.80	5,886,397.13	28,365,900.41	56,344,248.47
	COUNTY	18,118,604.49	13,180,176.51	4,938,427.98	415,565.13	2,511,040.73	4,969,667.77
	CITY	103,070,865.34	79,355,100.85	23,715,764.49	979,740.10	3,948,415.36	8,792,036.59
	OTHER	6,710,111.41	4,338,049.96	2,372,061.45	87,761.51	466,982.79	501,051.84
LOCAL HIGHWAY SYSTEM TOTALS		\$ 544,533,460.18	\$ 404,567,073.47	\$ 139,966,386.71	\$ 7,634,929.43	\$ 36,401,863.67	\$ 73,696,996.92
NON-HIGHWAY							
	STATE	448,550,948.22	366,823,849.63	81,727,098.59	2,668,912.33	9,853,362.81	58,235,397.34
	FEDERAL	262,457,798.63	130,704,283.87	131,753,514.76	2,847,748.74	12,484,779.37	35,006,902.71
	COUNTY	1,391,298.76	527,916.64	863,382.12	9,224.73	77,726.12	209,258.82
	CITY	10,195,107.08	6,268,856.17	3,926,250.91	58,884.60	397,773.17	2,455,334.72
	OTHER	14,705,972.03	12,426,594.00	2,279,378.03	81,876.65	240,822.29	981,023.24
NON-HIGHWAY TOTALS		\$ 737,301,124.72	\$ 516,751,500.31	\$ 220,549,624.41	\$ 5,666,647.05	\$ 23,054,463.76	\$ 96,887,916.83
GRAND TOTALS		\$ 5,027,347,901.70	\$ 3,265,050,552.52	\$ 1,762,297,349.18	\$ 82,195,336.39	\$ 338,579,012.85	\$ 631,458,705.85

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
OCTOBER 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	1,032,890.96	0.00	1,032,890.96	0.00	0.00	0.00
PRELIMINARY ENGINEERING	580,353,882.79	395,554,739.90	184,799,142.89	3,689,123.12	14,331,497.04	36,281,012.73
RIGHT OF WAY	175,943,678.13	130,827,186.58	45,116,491.55	299,546.53	1,654,079.27	8,781,005.74
UTILITIES	53,354,138.35	29,266,992.34	24,087,146.01	43,861.91	393,482.01	7,407,970.52
CONSTRUCTION	3,692,295,107.66	2,389,195,588.65	1,303,099,519.01	71,863,152.13	296,585,337.51	507,496,966.95
CONSTRUCTION ENGINEERING	253,205,600.32	153,553,706.66	99,651,893.66	2,823,792.57	12,532,678.20	29,017,493.23
TRAFFIC SAFETY	35,597,042.19	18,210,572.19	17,386,470.00	679,789.75	1,956,691.88	5,662,246.27
PLANNING & RESEARCH	105,103,020.43	71,044,001.91	34,059,018.52	873,513.20	4,105,015.63	12,729,575.79
PUBLIC TRANSPORTATION	130,462,540.87	77,397,764.29	53,064,776.58	1,922,557.18	7,020,231.31	24,082,434.62
GRAND TOTALS	\$ 5,027,347,901.70	\$ 3,265,050,552.52	\$ 1,762,297,349.18	\$ 82,195,336.39	\$ 338,579,012.85	\$ 631,458,705.85

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
OCTOBER 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,259,746,304.29	931,309,308.93	328,436,995.36	12,389,609.36	51,791,164.84	132,796,533.41
ROADS OPERATION FUND AC*	189,314,562.70	15,694,354.58	173,620,208.12	8,128,432.31	(1,539,232.28)	10,965,649.34
GENERAL BRIDGE STUDY	81,519.96	2,451.82	79,068.14	0.00	2,451.82	2,451.82
GRADE CROSSING FUND	3,146,893.03	1,874,166.55	1,272,726.48	3,420.60	51,178.86	474,828.81
GRADE SEPARATION-TMT	27,033,284.51	24,640,376.81	2,392,907.70	25,951.21	73,535.55	168,152.89
RECREATION ROAD FUND	16,900,796.00	10,021,991.15	6,878,804.85	20,267.78	317,795.32	2,577,572.24
ST HWY CAPITAL IMPR	857,407,842.10	298,803,329.72	558,604,512.38	10,422,254.14	1,404,832.56	(19,642,439.12)
STATE AID BRIDGE	3,824,697.95	3,471,618.39	353,079.56	7,246.89	29,321.48	112,806.87
TRANS INFRA BANK	211,374,150.20	116,182,721.53	95,191,428.67	1,310,947.38	5,598,753.98	21,749,163.72
TOTAL STATE FUNDS	\$ 2,568,830,050.74	\$ 1,402,000,319.48	\$ 1,166,829,731.26	\$ 32,308,129.67	\$ 57,729,802.13	\$ 149,204,719.98
FEDERAL FUNDS	2,185,958,346.60	1,675,837,156.11	510,121,190.49	41,913,677.33	260,219,470.90	443,952,871.14
COUNTY FUNDS	22,651,896.16	16,178,130.22	6,473,765.94	424,789.86	2,592,278.52	5,319,198.30
CITY FUNDS	208,628,732.79	135,024,731.73	73,604,001.06	7,175,298.72	16,689,382.19	30,682,111.15
OTHER FUNDS	41,278,875.41	36,010,214.98	5,268,660.43	373,440.81	1,348,079.11	2,299,805.28
GRAND TOTALS	\$ 5,027,347,901.70	\$ 3,265,050,552.52	\$ 1,762,297,349.18	\$ 82,195,336.39	\$ 338,579,012.85	\$ 631,458,705.85

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
October 31, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund					
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures
Revenue	\$ 7,439,336.18	\$ 29,897,781.38	\$ 559,537,492.70		
Expenditures					
Expressway and High Priority Corridors	10,412,482.08	1,380,317.41	220,406,205.34	533,727,318.68	206,418,219.77
Other Highways	9,772.06	24,515.15	78,397,124.38	24,877,193.70	109,909,743.78
BNA Projects Completed/Closed			144,176,575.68		
Total	\$ 10,422,254.14	\$ 1,404,832.56	\$ 442,979,905.40	\$ 558,604,512.38	\$ 316,327,963.55
Funds Available			\$ 116,557,587.30		

Note: Expenditures reflect crediting of \$24.1 million of Federal Emergency Relief in July 2021 related to March 2019 flood repair expenses.

**Transportation Innovation Act
Financial Status
October 31, 2021**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,666,878.30	\$ 10,498,259.91	\$ 167,235,021.45		
Expenditures					
Accelerated State Highway Capital Improvement Program	1,101,767.97	5,045,330.54	108,577,998.88	82,736,683.58	111,038,135.57
County Bridge Match Program	209,179.41	521,164.83	5,557,122.68	10,994,919.69	8,209,363.12
Economic Opportunity Program		32,258.61	2,047,599.97	1,459,825.40	16,003,000.00
TIB Projects Completed/Closed			3,607,407.44		
Total Expenditures	\$ 1,310,947.38	\$ 5,598,753.98	\$ 119,790,128.97	\$ 95,191,428.67	\$ 135,250,498.69
Funds Available			\$ 47,444,892.48		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2022 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 8.49%

	FAST Act ⁽¹⁾ FY-2022 <u>APPORT</u>	FY-2022 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2022 <u>OBL LIMIT</u>	OBLIGATED THRU <u>10/31/21</u>	<u>BALANCE</u>
BRIDGE STP OFF SYSTEM (BRO)	0.321	0.027	-	-	0.027	0.049	(0.022)
MAPA - OMAHA	1.381	\$ 0.117	-	(4)	0.117	-	0.117
LCLC - LINCOLN	0.544	\$ 0.046	-	-	0.046	-	0.046
SubTotal Local	\$ 2.246	\$ 0.190	\$ -	\$ -	\$ 0.190	\$ 0.049	\$ 0.141
METRO PLANNING	0.151	0.013	-	-	0.013	(0.078)	0.091
Omaha	66.836%	0.034	0.003	-	0.003	-	0.003
Lincoln	26.341%	0.038	0.003	-	0.003	-	0.003
South Sioux City	1.688%	0.040	0.003	-	0.003	(0.078)	0.081
Grand Island	5.135%	0.040	0.003	-	0.003	-	0.003
TAP - Flex	0.000	-	-	-	0.000	-	-
TAP - 5K and Under	0.000	-	-	-	0.000	(0.032)	0.032
TAP - 5K-200K	0.000	-	-	-	0.000	-	-
TAP - MAPA - OMAHA	0.000	-	-	-	0.000	-	-
TAP - LCLC - LINCOLN	0.000	-	-	-	0.000	-	-
REC TRAILS	0.000	-	-	-	0.000	(0.071)	0.071
TOTAL	\$ 2.397	\$ 0.203	\$ -	\$ -	\$ 0.203	\$ (0.132)	\$ 0.335

(1) FY22 Apportionment per Public Law 117-44 through October 31, 2021.

(2) Pending calculations of prior year balances.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

	Federal FY-17		Federal FY-18		Federal FY-19		Federal FY-20		Federal FY-21	
	Payment was made March 2018		Payment was made March 2019		Payment was made March 2020		Payment was made March 2021		Payment will be made March 2022	
Bridge										
Annual Obligation Authority		273,085,952.00		274,849,099.00		277,028,447.00		284,111,089.00		277,251,202.00
10% for Bridges		27,308,595.20		27,484,909.90		27,702,844.70		28,411,108.90		27,725,120.20
60% Local Share		16,385,157.12		16,490,945.94		16,621,706.82		17,046,665.34		16,635,072.12
Less STP Bridge Off System		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)		(900,000.00)		(1,000,000.00)		(300,000.00)		-
Less Under Water Inspection		-		(500,000.00)		-		-		-
Less Quality Assurance		(400,000.00)		(400,000.00)		(300,000.00)		(300,000.00)		(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)		-		-		-		-
Load Rating of Fracture Critical Bridges		(400,000.00)		-		-		-		-
Funds Available To Be Purchased		8,407,900.12		10,913,688.94		11,544,449.82		12,669,408.34		12,557,815.12
Bridge Buy Out Total	90%	\$ 7,567,110.00	90%	\$ 9,822,320.00	90%	\$ 10,390,005.00	90%	\$ 11,402,468.00	90.0%	\$ 11,302,034.00
Less Major On System Bridges Reserve		-		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)
Bridge Buy Out Payment		\$ 7,567,110.00		\$ 7,822,320.00		\$ 8,390,005.00		\$ 9,402,468.00		\$ 9,302,034.00
Counties										
Annual Apportionment		12,129,914.00		12,652,394.00		13,189,762.00		13,697,023.00		13,604,127.00
Funds Available To Be Purchased	92.8%	11,256,560.19	91.7%	11,602,245.30	90.1%	11,883,975.56	90.6%	12,409,502.84	88.9%	12,094,068.90
County Buy Out Payment	90%	\$ 10,130,904.00	90%	\$ 10,442,021.00	90%	\$ 10,695,578.00	90%	\$ 11,168,553.00	90%	\$ 10,884,662.00
First Class Cities										
Annual Apportionment		7,952,055.00		8,294,580.00		8,646,863.00		8,979,411.00		8,918,511.00
Funds Available To Be Purchased	92.8%	7,379,507.04	91.7%	7,606,129.86	90.1%	7,790,823.56	90.6%	8,135,346.37	88.9%	7,928,556.28
First Class City Buy Out Payment	90%	\$ 6,641,556.00	90%	\$ 6,845,517.00	90%	\$ 7,011,741.00	90%	\$ 7,321,812.00	90%	\$ 7,135,701.00
Total Funds Distributed To Locals		\$ 24,339,570.00		\$ 25,109,858.00		\$ 26,097,324.00		\$ 27,892,833.00		\$ 27,322,397.00

Soft Match Balance By County

As of October 31, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	974,068.00
3002	ANTELOPE COUNTY	286,699.21
3005	BLAINE COUNTY	246,249.16
3006	BOONE COUNTY	237,751.74
3010	BUFFALO COUNTY	356,273.25
3012	BUTLER COUNTY	30,164.57
3013	CASS COUNTY	940,983.62
3014	CEDAR COUNTY	380,189.71
3018	CLAY COUNTY	262,914.19
3019	COLFAX COUNTY	1,177,489.16
3020	CUMING COUNTY	527,909.82
3021	CUSTER COUNTY	510.87
3022	DAKOTA COUNTY	120,157.20
3024	DAWSON COUNTY	52,367.67
3026	DIXON COUNTY	240,458.87
3028	DOUGLAS COUNTY	424,940.67
3030	FILLMORE COUNTY	804,144.50
3032	FRONTIER COUNTY	156,224.64
3033	FURNAS COUNTY	47,710.32
3034	GAGE COUNTY	244,741.82
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	53,684.71
3039	GREELEY COUNTY	8,307.98
3040	HALL COUNTY	673,201.61
3045	HOLT COUNTY	209,890.22
3047	HOWARD COUNTY	7,565.06
3048	JEFFERSON COUNTY	360,423.92
3049	JOHNSON COUNTY	124,891.97

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	104,795.52
3056	LINCOLN COUNTY	445,851.44
3059	MADISON COUNTY	96,220.48
3061	MERRICK COUNTY	62,593.12
3063	NANCE COUNTY	69,216.04
3064	NEMAHA COUNTY	228,389.73
3065	NUCKOLLS COUNTY	409,062.75
3066	OTOE COUNTY	734,569.59
3067	PAWNEE COUNTY	218,953.29
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	536,115.46
3071	PLATTE COUNTY	28,746.69
3074	RICHARDSON COUNTY	16,853.05
3076	SALINE COUNTY	1,991,623.56
3078	SAUNDERS COUNTY	104,832.44
3079	SCOTTS BLUFF COUNTY	7,401.71
3080	SEWARD COUNTY	1,398,913.97
3084	STANTON COUNTY	1,170,419.68
3085	THAYER COUNTY	214,967.61
3087	THURSTON COUNTY	350,253.50
3089	WASHINGTON COUNTY	1,482,778.24
3090	WAYNE COUNTY	373,455.61
3091	WEBSTER COUNTY	295,358.84
3092	WHEELER COUNTY	56,182.66
3093	YORK COUNTY	488,545.44

November
2021

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

I-80 and I-76 Interchange near Big Springs

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November 2021 Highlights

- The state revenue projections in this report were developed in June 2021 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- Total revenue in November exceeded expenditures by \$20.2 million. Fiscal year to date revenue surpassed expenditures by \$72.8 million (page 4).
- Projected \$927.2 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 27.7 cents, effective July 1, 2021. The month of November's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.0 million or 4.8%, motor vehicle registration revenue was over the projected amount by \$179 thousand or 6.9% and motor vehicle sales tax was over the projected amount by \$1.7 million or 14.8%. Highway Cash Fund receipts for FY22 to date were higher than projections by \$12.3 million or 6.4% (page 11, 12).
- Established an operating budget for Roads Division of \$1.1 billion for FY22 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).

November expenditures totaled \$81.8 million. Fiscal year to date expenditures totaled \$503.9 million, 44.0% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of October 11, 2021 thru November 7, 2021. The payroll additive rate is established at 66% and the administrative rate is 2.28%.
- Highway construction contract lettings fiscal year to date totaled \$427.1 million, \$419.6 million on the state highway system (page 18).
- The November report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- Nebraska has received core formula apportionments totaling \$26.9 million to date. As of November 30, 2021, NDOT had an obligation authority balance of \$2.5 million (pages 22, 23, and 24).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$567.1 million has been received to date with allocated expenditures totaling \$447.0 million (page 29).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$119.8 million has been received to date with expenditures totaling \$121.1 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$15 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$15 million as compared to \$70 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
November 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	442,863,923.66	431,033,274.54	11,830,649.12	2.74	272,761,278.82	170,102,644.84	62.36
Federal Receivables	15,280,230.95	10,649,938.28	4,630,292.67	43.48	7,758,330.68	7,521,900.27	96.95
Other Receivables	20,409,587.26	16,418,290.54	3,991,296.72	24.31	22,851,599.06	(2,442,011.80)	(10.69)
Inventories	2,688,359.99	2,619,060.68	69,299.31	2.65	2,970,348.95	(281,988.96)	(9.49)
Total Current Assets	\$481,242,101.86	\$460,720,564.04	\$20,521,537.82	4.45 %	\$306,341,557.51	\$174,900,544.35	57.09 %
Capital Assets							
Equipment	65,545,331.91	65,265,071.42	280,260.49	0.43	65,946,331.64	(400,999.73)	(0.61)
Land	580,759,827.20	580,759,827.20	0.00	0.00	569,312,437.83	11,447,389.37	2.01
Infrastructures	7,964,905,974.30	7,964,905,974.30	0.00	0.00	7,900,636,476.35	64,269,497.95	0.81
Buildings	105,903,336.54	105,903,336.54	0.00	0.00	100,745,738.28	5,157,598.26	5.12
Total Capital Assets	\$8,717,114,469.95	\$8,716,834,209.46	\$280,260.49	0.00 %	\$8,636,640,984.10	\$80,473,485.85	0.93 %
Total Assets	\$9,198,356,571.81	\$9,177,554,773.50	\$20,801,798.31	0.23 %	\$8,942,982,541.61	\$255,374,030.20	2.86 %
LIABILITIES							
Current Liabilities							
Accounts Payable	10,847,942.74	12,613,923.43	(1,765,980.69)	(14.00)	2,984,449.41	7,863,493.33	263.48
Retention Payable	195,891,014.24	183,767,209.11	12,123,805.13	6.60	79,203,392.73	116,687,621.51	147.33
Other Payables	58,053,705.53	56,063,463.40	1,990,242.13	3.55	69,217,291.19	(11,163,585.66)	(16.13)
Total Current Liabilities	\$264,792,662.51	\$252,444,595.94	\$12,348,066.57	4.89 %	\$151,405,133.33	\$113,387,529.18	74.89 %
Total Liabilities	\$264,792,662.51	\$252,444,595.94	\$12,348,066.57	4.89 %	\$151,405,133.33	\$113,387,529.18	74.89 %
NET ASSETS							
Capital Equity							
Capital	8,717,114,469.95	8,716,834,209.46	280,260.49	0.00	8,636,640,984.10	80,473,485.85	0.93
Total Capital Equity	\$8,717,114,469.95	\$8,716,834,209.46	\$280,260.49	0.00 %	\$8,636,640,984.10	\$80,473,485.85	0.93 %
Fund Balance							
Reserved Fund Balance	(193,202,654.25)	(181,148,148.43)	(12,054,505.82)	6.65	(76,233,043.78)	(116,969,610.47)	153.44
Unreserved Fund Balance	409,652,093.60	389,424,116.53	20,227,977.07	5.19	231,169,467.96	178,482,625.64	77.21
Total Fund Balance	\$216,449,439.35	\$208,275,968.10	\$8,173,471.25	3.92 %	\$154,936,424.18	\$61,513,015.17	39.70 %
Total Net Assets	\$8,933,563,909.30	\$8,925,110,177.56	\$8,453,731.74	0.09 %	\$8,791,577,408.28	\$141,986,501.02	1.62 %
Total Liabilities and Net Assets	\$9,198,356,571.81	\$9,177,554,773.50	\$20,801,798.31	0.23 %	\$8,942,982,541.61	\$255,374,030.20	2.86 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report are not the same. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
NOVEMBER 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	46,933,725.99	45,686,911.41	1,246,814.58	2.73	241,163,930.57	253,208,446.48	(12,044,515.91)	(4.76)
Federal Reimbursements	51,936,729.18	41,913,677.33	10,023,051.85	23.91	312,279,474.44	246,876,846.95	65,402,627.49	26.49
Local Revenues	2,882,325.94	7,517,706.18	(4,635,380.24)	(61.66)	20,977,876.87	23,233,445.59	(2,255,568.72)	(9.71)
Other Entities Revenues	298,793.86	473,845.64	(175,051.78)	(36.94)	2,268,346.13	3,108,883.10	(840,536.97)	(27.04)
Total Revenue	\$102,051,574.97	\$95,592,140.56	\$6,459,434.41	6.76 %	\$576,689,628.01	\$526,427,622.12	\$50,262,005.89	9.55 %
Expenditures								
Administration	2,014,812.98	2,053,389.72	(38,576.74)	(1.88)	9,171,092.56	9,347,481.28	(176,388.72)	(1.89)
Highway Maintenance	11,026,370.83	12,924,454.51	(1,898,083.68)	(14.69)	70,548,866.02	75,531,291.33	(4,982,425.31)	(6.60)
Capital Facilities	253,374.94	386,205.55	(132,830.61)	(34.39)	3,104,134.99	1,599,496.42	1,504,638.57	94.07
Services and Support	3,100,174.99	2,657,087.34	443,087.65	16.68	14,528,854.73	13,652,767.45	876,087.28	6.42
Construction	61,297,250.75	80,507,958.99	(19,210,708.24)	(23.86)	393,924,101.36	398,830,118.28	(4,906,016.92)	(1.23)
Highway Safety Office	694,744.76	493,048.15	201,696.61	40.91	2,268,841.12	2,073,275.84	195,565.28	9.43
Public Transit	3,438,101.01	1,885,428.95	1,552,672.06	82.35	10,349,774.37	10,429,562.95	(79,788.58)	(0.77)
Total Expenditures	\$81,824,830.26	\$100,907,573.21	(\$19,082,742.95)	(18.91) %	\$503,895,665.15	\$511,463,993.55	(\$7,568,328.40)	(1.48) %
Excess Revenue (Expenditures)	\$20,226,744.71	(\$5,315,432.65)	\$25,542,177.36	(480.53) %	\$72,793,962.86	\$14,963,628.57	\$57,830,334.29	386.47 %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

MAPA Bridge Study = General Fund appropriations of \$100,000 were established as part of LB 294 (2019) for a feasibility study to evaluate the potential transportation and economic development benefits of constructing an additional bridge across the Missouri River within a city of the metropolitan class. The unexpended balance of the appropriation was carried over to the current biennium per LB 380 (2021).

**BALANCE SHEET BY FUND
November 2021**

	Mapa Bridge Study 1000	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS										
Cash	(2,451.82)	212,996,010.06	38,279,587.75	124,135,788.74	49,981,816.82	3,881,836.33	1,897,066.59	11,626,373.81	64,020.52	442,860,048.80
Other Current Assets	0.00	38,382,053.06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	38,382,053.06
Capital Assets	0.00	8,717,114,469.95	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,717,114,469.95
TOTAL ASSETS	(\$2,451.82)	\$8,968,492,533.07	\$38,279,587.75	\$124,135,788.74	\$49,981,816.82	\$3,881,836.33	\$1,897,066.59	\$11,626,373.81	\$64,020.52	\$9,198,356,571.81
LIABILITIES										
Current Liabilities	0.00	264,792,662.51	0.00	0.00	0.00	0.00	0.00	0.00	0.00	264,792,662.51
TOTAL LIABILITIES	\$0.00	\$264,792,662.51	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$264,792,662.51
NET ASSETS										
Fund Balance	0.00	162,285,874.87	(163,331,555.40)	88,064,638.81	42,545,386.55	3,717,450.58	1,788,880.76	10,305,123.06	(1,720,322.74)	143,655,476.49
Capital Equity	0.00	8,717,114,469.95	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,717,114,469.95
Accrued Interfund Transfer	7,762.85	(7,322,862.97)	0.00	3,965,136.28	1,302,072.90	711.76	16,049.22	522,406.85	1,508,723.11	0.00
Revenues	0.00	322,194,889.66	201,611,143.15	37,475,982.49	13,035,184.25	239,597.91	160,531.56	1,652,164.21	320,134.78	576,689,628.01
Costs	(10,214.67)	(490,572,500.95)	0.00	(5,369,968.84)	(6,900,826.88)	(75,923.92)	(68,394.95)	(853,320.31)	(44,514.63)	(503,895,665.15)
TOTAL NET ASSETS	(\$2,451.82)	\$8,703,699,870.56	\$38,279,587.75	\$124,135,788.74	\$49,981,816.82	\$3,881,836.33	\$1,897,066.59	\$11,626,373.81	\$64,020.52	\$8,933,563,909.30
TOTAL LIABILITIES AND NET ASSETS	(\$2,451.82)	\$8,968,492,533.07	\$38,279,587.75	\$124,135,788.74	\$49,981,816.82	\$3,881,836.33	\$1,897,066.59	\$11,626,373.81	\$64,020.52	\$9,198,356,571.81

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
November 2021

The nature of revenue and expenditure trends create a cash flow situation in that typically the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a relatively strong cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY22	JUL	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	155.0	111.2	112.8	95.6	102.1							
Expenditures	107.7	106.1	107.4	100.9	81.8							
Balance	47.3	5.1	5.4	(5.3)	20.3							
Cumulative Balance	47.3	52.4	57.8	52.5	72.8							

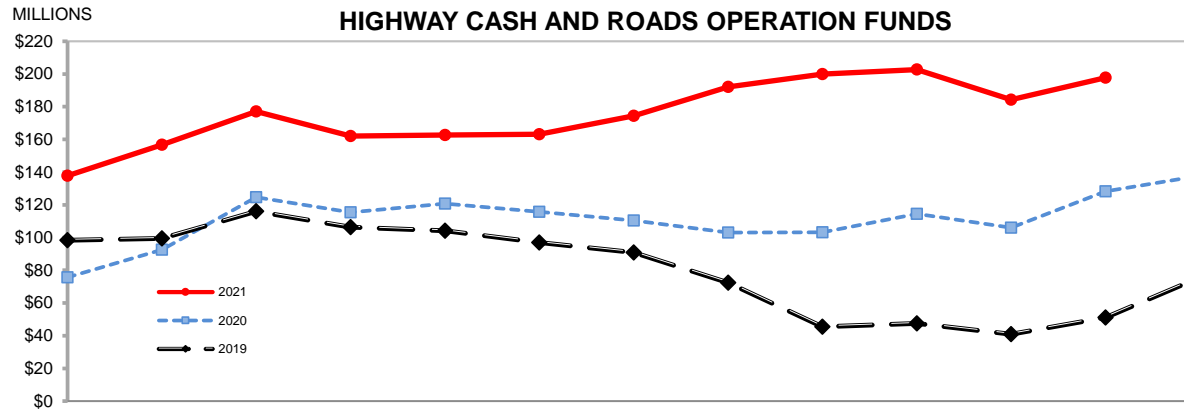
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$457,592.21 in November, with an interest rate of 1.30%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 22	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.48%	1.33%	1.35%	1.58%	1.30%									1.41%
Earnings (Thousands)	\$446	\$434	\$476	\$552	\$458									\$473

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
November 2021
(IN MILLIONS)

Total of all funds available as of November 30th is \$432.5 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$240.9 million on the 30th to a low of \$197.7 million on the 9th.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1	162.1	162.7	163.1	174.5	192.2	199.9	202.7	184.3	197.7	
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6	35.5	41.7	79.9	82.8	88.1	114.4	117.0	119.5	116.6	
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7	48.6	49.5	50.0	48.2	45.1	45.1	45.7	47.0	48.8	
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5	4.6	5.7	5.7	5.3	5.5	5.5	5.5	5.7	5.7	
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2021	10.5	10.8	11.2	11.5	11.6	11.0	10.3	10.5	10.8	11.1	11.3	
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES
November 2021

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	57,609.24									57,609.24
460000 Intergovernmental							5,853,514.55			5,853,514.55
470000 Sales & Charges			11,050.00	12,424.34	6,485.68	20,567.05		3,440.92		53,967.99
480000 Miscellaneous	7,302.48				183,998.37			62,876.11		254,176.96
490000 Other					11,273.37					11,273.37
TOTAL REVENUES	64,911.72	-	11,050.00	12,424.34	201,757.42	20,567.05	5,853,514.55	66,317.03	-	6,230,542.11
EXPENDITURES:										
510000 Personal Services	34,960.43		31,873.21	18,617.71	7,887.45					93,338.80
520000 Operating Expenses	12,027.82		5,936.78	6,424.86	4,954.47	629.70		3,442.44		33,416.07
570000 Travel Expenses	2,692.80		300.00	719.03		599.86				4,311.69
580000 Capital Outlay							4,901,489.00			-
590000 Government Aid										4,901,489.00
TOTAL EXPENDITURES	49,681.05	-	38,109.99	25,761.60	12,841.92	1,229.56	4,901,489.00	3,442.44	-	5,032,555.56
Excess (Deficiency) of Revenues Over Expenditures	15,230.67	-	(27,059.99)	(13,337.26)	188,915.50	19,337.49	952,025.55	62,874.59	-	1,197,986.55
OTHER FINANCING SOURCES (USES):										
Transfers In			27,059.99	13,337.26					-	
Transfers Out	(40,397.25)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(25,166.58)	-	-	-	188,915.50	19,337.49	952,025.55	62,874.59	-	1,197,986.55
Fund Balance October 31, 2021	1,249,973.40	(2,899.36)	-	-	1,419,834.27	4,675.11	2,757,231.40	(37,584.05)	1,328,343.87	6,719,574.64
Fund Balance November 30, 2021	1,224,806.82	(2,899.36)	-	-	1,608,749.77	24,012.60	3,709,256.95	25,290.54	1,328,343.87	7,917,561.19

**COMBINED SUMMARY OF REVENUES & EXPENDITURES
FISCAL YEAR TO DATE (July 1, 2021 through November 30, 2021)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	670,378.63									670,378.63
460000	Intergovernmental			114,116.02	450.00	70,000.00		17,371,392.56			17,555,958.58
470000	Sales & Charges			16,900.00	66,439.62	25,360.55	45,365.00		3,440.92		157,506.09
480000	Miscellaneous	42,004.84				323,545.56			62,876.11		428,426.51
490000	Other					(2,017.93)					(2,017.93)
TOTAL REVENUES		712,383.47	-	131,016.02	66,889.62	416,888.18	45,365.00	17,371,392.56	66,317.03	-	18,810,251.88
EXPENDITURES:											
510000	Personal Services	181,815.16		184,608.28	91,929.72	44,493.71	12.36				502,859.23
520000	Operating Expenses	69,571.72		48,726.49	20,799.43	58,777.55	20,274.34		70,235.04	1,334.95	289,719.52
570000	Travel Expenses	10,287.04		3,455.98	10,952.82		1,079.22		1,252.67		27,027.73
580000	Capital Outlay					12,001.69					12,001.69
590000	Government Aid	20,387.91						17,617,861.95			17,638,249.86
TOTAL EXPENDITURES		282,061.83	-	236,790.75	123,681.97	115,272.95	21,365.92	17,617,861.95	71,487.71	1,334.95	18,469,858.03
Excess (Deficiency) of Revenues Over Expenditures		430,321.64	-	(105,774.73)	(56,792.35)	301,615.23	23,999.08	(246,469.39)	(5,170.68)	(1,334.95)	340,393.85
OTHER FINANCING SOURCES (USES):											
	Transfers In			105,774.73	56,792.35		(23,999.08)		5,170.68	-	
	Transfers Out	(143,738.68)									
	Grant \$ transfer	(475,000.00)						475,000.00			
Excess (Deficiency) of Revenues Over Expenditures		(188,417.04)	-	-	-	301,615.23	-	228,530.61	-	(1,334.95)	340,393.85
Fund Balance June 30, 2021		987,527.00	(2,899.36)	-	-	1,307,134.54	-	3,955,726.34	-	1,329,678.82	7,577,167.34
Fund Balance November 30, 2021		799,109.96	(2,899.36)	-	-	1,608,749.77	-	4,184,256.95	-	1,328,343.87	7,917,561.19

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	7/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	3.9	0.0
Wholesale Tax ¢	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	7.5	-1.0
Total Tax ¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	27.7¢	-1.0¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This cumulative 6¢ increase included 2¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY22 is 1.8% July through December.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT receives 53 ½ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The NDOT's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from NDOT and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2022 RECEIPTS
AS OF NOVEMBER 30, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2021	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$101,667	\$ 8,819	\$ 9,220	\$ 400	4.5%	\$ 44,551	\$ 47,521	\$ 2,970	6.7%
Incremental Fixed	27,111	2,352	2,467	115	4.9%	11,880	12,715	835	7.0%
Variable	47,356	4,586	4,811	225	4.9%	23,167	24,794	1,628	7.0%
Wholesale	<u>71,524</u>	<u>5,821</u>	<u>6,106</u>	<u>285</u>	4.9%	<u>30,191</u>	<u>32,317</u>	<u>2,126</u>	7.0%
Subtotal	247,658	21,578	22,603	1,025	4.8%	109,789	117,348	7,559	6.9%
Motor Vehicle Registrations	28,669	1,820	1,753	(67)	(3.7%)	10,708	10,900	192	1.8%
Prorate Registrations	<u>12,295</u>	<u>778</u>	<u>1,024</u>	<u>246</u>	31.7%	<u>2,487</u>	<u>3,247</u>	<u>760</u>	30.6%
Subtotal	40,964	2,598	2,777	179	6.9%	13,195	14,148	953	7.2%
Sales Tax on Motor Vehicles	138,630	11,159	12,809	1,650	14.8%	65,988	69,741	3,753	5.6%
Interest	2,350	157	265	108	68.7%	821	1,440	619	75.4%
Sale of Supplies and Materials	1,300	106	28	(78)	(73.6%)	565	545	(20)	(3.6%)
Sale of Fixed Assets	1,030	182	58	(124)	(68.2%)	569	491	(78)	(13.7%)
Excess Limit	3,000	230	224	(6)	(2.5%)	1,297	1,263	(34)	(2.6%)
Overload Fines	780	67	52	(15)	(23.0%)	362	200	(162)	(44.7%)
Other Fees	<u>1,900</u>	<u>265</u>	<u>86</u>	<u>(179)</u>	(67.6%)	<u>768</u>	<u>495</u>	<u>(273)</u>	(35.5%)
SUBTOTAL HIGHWAY CASH FUND	\$ 437,612 (A)	\$ 36,342	\$ 38,902	\$ 2,560	7.0%	\$ 193,354	\$ 205,671	\$ 12,317 (B)	6.4%
Incremental Tax Transfer to TIB Fund	(27,091)	(2,356)	(2,483)	(127)	5.4%	(\$11,937)	(12,757)	(820)	6.9%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 410,521	\$ 33,986	\$ 36,419	\$ 2,433	7.2%	\$ 181,417	\$ 192,914	\$ 11,497	6.3%
State Hwy Capital Impr Fund	83,078	7,152	7,578	427	6.0%	35,085	37,476	2,391	6.8%
Transportation Infrastructure Bank Fund (TIB)	28,091	2,422	2,537	115	4.7%	12,285	13,035	750	6.1%
Grade Crossing Protection Fund	3,060	37	36	(1)	(1.8%)	835	400	(435)	(52.1%)
Recreation Road Fund	3,920	294	285	(9)	(3.2%)	1,630	1,652	22	1.4%
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.0%	<u>320</u>	<u>320</u>	<u>0</u>	0.0%
TOTAL STATE RECEIPTS	\$ 529,438	\$ 43,955	\$ 46,919	\$ 2,964	6.7%	\$ 231,573	\$ 245,798	\$ 14,225	6.1%
Federal Receipts									
FHWA	362,300	34,341	46,669	12,328	35.9%	219,560	290,528	70,968	32.3%
Transit	9,000	422	1,292	870	206.1%	3,576	7,053	3,477	97.2%
Highway Safety	<u>5,500</u>	<u>702</u>	<u>485</u>	<u>(217)</u>	(30.9%)	<u>1,963</u>	<u>1,560</u>	<u>(403)</u>	(20.5%)
Subtotal-Federal Receipts	376,800	35,465	48,445	12,980	36.6%	225,099	299,141	74,042	32.9%
Local Receipts	15,000	705	1,465	760	107.7%	10,069	9,810	(259)	(2.6%)
Other Entities	<u>6,000</u>	<u>355</u>	<u>330</u>	<u>(25)</u>	(7.1%)	<u>2,687</u>	<u>2,754</u>	<u>67</u>	2.5%
TOTAL DEPARTMENT RECEIPTS	\$ 927,238	\$ 80,480	\$ 97,158	\$ 16,678	20.7%	\$ 469,428	\$ 557,503	\$ 88,075	18.8%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 11, 2021	\$ 437,612
(B) Receipts Over/(Under) Projection To Date	12,317
Previous year's receipts over appropriation	40,732
Total Modified Projected Receipts	\$ 490,661
Highway Cash Fund Appropriation	\$ 477,000
Projected Receipts Over / (Under) Appropriation	13,661
% Variance From Appropriation	2.9%

** Numbers may not add due to rounding.

** Projections are updated semiannually in December and June.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

**BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
November 2021**

FISCAL YEAR 2022
Period Expired 41.67%
Pay Period Ending 11/07/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	112,699,727.71	7,795,392.94	38,912,083.89	73,787,643.82	34.53%	0.00
Temporary Salaries	2,111,287.82	96,108.34	841,229.79	1,270,058.03	39.84%	0.00
Overtime	6,861,898.22	482,149.16	2,554,885.90	4,307,012.32	37.23%	0.00
Employee Benefits	39,726,396.86	3,071,239.10	15,530,070.50	24,196,326.36	39.09%	0.00
SUBTOTAL: Personal Services	\$161,399,310.61	\$11,444,889.54	\$57,838,270.08	\$103,561,040.53	35.84%	\$0.00
Operating Expenses						
Utilities	3,674,331.00	265,717.59	1,241,676.06	2,432,654.94	33.79%	0.00
Rentals	982,423.92	53,385.70	429,228.10	553,195.82	43.69%	2,600.00
Repairs & Maintenance	11,223,285.00	1,414,515.22	4,318,761.97	6,904,523.03	38.48%	1,911,211.62
Maintenance Contracts	13,582,450.71	1,262,335.37	5,685,703.70	7,896,747.01	41.86%	26,716,695.25
Engineering Contracts	35,025,806.82	1,546,199.55	8,847,525.48	26,178,281.34	25.26%	35,063,342.49
Contractual Services	41,969,264.75	786,231.88	4,326,001.92	37,643,262.83	10.31%	12,601,645.24
Technology Expenses	26,556,860.51	2,614,875.15	9,013,098.76	17,543,761.75	33.94%	22,678,766.02
Other Operating Expenses	5,763,184.50	265,311.15	3,151,829.87	2,611,354.63	54.69%	190,513.89
SUBTOTAL: Operating Expenses	\$138,777,607.21	\$8,208,571.61	\$37,013,825.86	\$101,763,781.35	26.67%	\$99,164,774.51
Supplies and Materials						
General Supplies & Materials	1,881,509.36	110,153.28	804,256.74	1,077,252.62	42.75%	778,391.79
Maint & Const Materials	65,757,170.51	3,222,100.04	28,960,740.76	36,796,429.75	44.04%	26,385.42
Automotive Supplies & Materials	16,327,425.00	1,260,556.54	6,359,276.92	9,968,148.08	38.95%	0.00
SUBTOTAL: Supplies and Materials	\$83,966,104.87	\$4,592,809.86	\$36,124,274.42	\$47,841,830.45	43.02%	\$804,777.21
Travel						
In State Travel	877,184.00	70,685.47	327,272.45	549,911.55	37.31%	0.00
Out of State Travel	277,711.00	19,701.58	46,878.36	230,832.64	16.88%	0.00
SUBTOTAL: Travel	\$1,154,895.00	\$90,387.05	\$374,150.81	\$780,744.19	32.40%	\$0.00
Capital Outlay						
Land	16,500,000.00	109,708.00	914,753.30	15,585,246.70	5.54%	0.00
Hwy. Constr. - Contract Pymt.	549,018,207.30	44,231,678.15	306,812,282.61	242,205,924.69	55.88%	1,041,842,859.05
Buildings	28,129,339.38	237,897.00	2,395,892.51	25,733,446.87	8.52%	3,176,544.18
Heavy Equipment and Vehicles	26,241,169.00	1,276,543.92	5,099,331.22	21,141,837.78	19.43%	8,824,911.51
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,410,950.00	86,745.00	293,554.47	1,117,395.53	20.81%	509,065.00
SUBTOTAL: Capital Outlay	\$621,399,665.68	\$45,942,572.07	\$315,515,814.11	\$305,883,851.57	50.78%	\$1,054,353,379.74
Government Aid & Distr						
Public Transit Aid	32,572,122.15	2,495,906.03	9,250,441.45	23,321,680.70	28.40%	38,722,645.76
Highway Safety Office	5,200,000.00	669,232.81	2,102,358.42	3,097,641.58	40.43%	5,229,058.96
Other Government Aid	100,300,000.00	8,380,461.29	45,676,530.00	54,623,470.00	45.54%	93,077,316.08
SUBTOTAL: Government Aid & Distr	\$138,072,122.15	\$11,545,600.13	\$57,029,329.87	\$81,042,792.28	41.30%	\$137,029,020.80
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$1,144,769,705.52	\$81,824,830.26	\$503,895,665.15	\$640,874,040.37	44.02%	\$1,291,351,952.26

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
November 2021

FISCAL YEAR 2022
 Period Expired 41.67%
 Pay Period Ending 11/07/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	20,838,143.97	2,004,637.83	9,139,034.41	11,699,109.56	43.86%	71,513.16
Boards & Commissions	50,000.00	10,175.15	32,058.15	17,941.85	64.12%	0.00
SUBTOTAL: Administration	\$20,888,143.97	\$2,014,812.98	\$9,171,092.56	\$11,717,051.41	43.91%	\$71,513.16
Service and Support						
Charges to Others	1,100,000.00	44,779.52	643,322.13	456,677.87	58.48%	56,935.59
Deficiency Claims	17,184.00	0.00	26,290.00	(9,106.00)	152.99%	0.00
Supply Base/Inventories	1,000,000.00	590,320.76	433,725.96	566,274.04	43.37%	357,748.49
Building Operations	6,500,000.00	1,029,308.08	4,357,197.54	2,142,802.46	67.03%	2,278,901.69
Business Technology Services	18,063,023.32	903,736.83	6,448,742.76	11,614,280.56	35.70%	13,193,266.51
Support Centers	7,622,499.30	23,843.12	235,752.41	7,386,746.89	3.09%	0.00
Payroll Clearing	626,525.00	508,186.68	2,383,823.93	(1,757,298.93)	380.48%	2,050.00
SUBTOTAL: Service and Support	\$34,929,231.62	\$3,100,174.99	\$14,528,854.73	\$20,400,376.89	41.60%	\$15,888,902.28
Capital Facilities						
Capital Facilities	26,348,346.20	253,374.94	3,104,134.99	23,244,211.21	11.78%	3,713,317.79
SUBTOTAL: Capital Facilities	\$26,348,346.20	\$253,374.94	\$3,104,134.99	\$23,244,211.21	11.78%	\$3,713,317.79
Highway Maintenance						
System Preservation	55,789,176.96	3,770,194.18	32,996,366.70	22,792,810.26	59.14%	995,987.35
Operations	42,000,000.00	2,972,451.55	16,990,702.88	25,009,297.12	40.45%	25,311,393.13
Snow and Ice Control	43,000,000.00	1,424,334.42	5,843,392.19	37,156,607.81	13.59%	1,076,583.31
Unusual & Disaster Oper	1,500,000.00	(219,162.06)	414,255.53	1,085,744.47	27.62%	2,015,235.16
Equipment Operations	23,000,000.00	1,964,158.93	7,035,679.06	15,964,320.94	30.59%	8,919,634.66
Indirect Charges	25,177,324.66	1,114,393.81	7,268,469.66	17,908,855.00	28.87%	511,665.00
SUBTOTAL: Highway Maintenance	\$190,466,501.62	\$11,026,370.83	\$70,548,866.02	\$119,917,635.60	37.04%	\$38,830,498.61
Highway Construction						
Preliminary Engineering	53,250,000.00	2,806,909.12	15,208,993.86	38,041,006.14	28.56%	23,852,542.29
Right-Of-Way	15,000,000.00	272,956.11	1,584,730.05	13,415,269.95	10.56%	168,805.85
Construction	558,524,728.30	45,798,085.98	309,166,495.62	249,358,232.68	55.35%	1,041,980,806.67
Construction Engineering	25,000,000.00	2,563,721.49	12,783,933.04	12,216,066.96	51.14%	3,053,299.81
SUBTOTAL: Highway Construction	\$651,774,728.30	\$51,441,672.70	\$338,744,152.57	\$313,030,575.73	51.97%	\$1,069,055,454.62
Construction Related Expense						
Overhead	25,959,898.66	1,645,695.95	6,199,090.26	19,760,808.40	23.88%	16,431,741.59
Planning & Research	12,056,000.00	1,063,958.79	4,589,028.18	7,466,971.82	38.06%	14,151,066.00
Local Systems	144,548,833.00	8,038,704.19	45,284,611.23	99,264,221.77	31.33%	89,257,753.49
Highway Safety Office	5,220,250.00	694,744.76	2,268,841.12	2,951,408.88	43.46%	5,229,058.96
Public Transportation Asst	32,577,772.15	2,545,320.13	9,456,993.49	23,120,778.66	29.03%	38,722,645.76
SUBTOTAL: Construction Related Expense	\$220,362,753.81	\$13,988,423.82	\$67,798,564.28	\$152,564,189.53	30.77%	\$163,792,265.80
AGENCY TOTAL	\$1,144,769,705.52	\$81,824,830.26	\$503,895,665.15	\$640,874,040.37	44.02%	\$1,291,351,952.26

**PROGRAM STATUS REPORT
BUSINESS MONTH - NOVEMBER 2021**

FISCAL YEAR 2022
Period Expired 41.7%
Pay Period Ending 11/07/2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	786,328.96	2,022,632.88	0.00	2,166,421.96	2,148,376.76	671,632.38	7,795,392.94
Temporary Salaries	2,505.58	5,378.16	0.00	66,985.10	10,800.00	10,439.50	96,108.34
Overtime	3,793.36	42,089.05	0.00	132,658.91	293,082.43	10,525.41	482,149.16
Employee Benefits	0.00	3,071,239.10	0.00	0.00	0.00	0.00	3,071,239.10
SUBTOTAL: Personal Services	\$792,627.90	\$5,141,339.19	\$0.00	\$2,366,065.97	\$2,452,259.19	\$692,597.29	\$11,444,889.54
Operating Expenses							
Utilities	0.00	170,060.12	0.00	94,778.65	832.68	46.14	265,717.59
Rentals	3,008.49	416.29	0.00	49,960.92	0.00	0.00	53,385.70
Repairs & Maintenance	365.74	150,345.15	0.00	1,256,521.33	0.00	7,283.00	1,414,515.22
Maintenance Contracts	0.00	17,424.42	0.00	1,244,910.95	0.00	0.00	1,262,335.37
Engineering Contracts	0.00	0.00	15,477.94	5,128.20	1,120,225.42	405,367.99	1,546,199.55
Contractual Services	34,463.48	157,539.16	0.00	195,150.40	210,948.00	188,130.84	786,231.88
Technology Expenses	552,852.00	609,208.18	0.00	31,145.22	892,780.88	528,888.87	2,614,875.15
Other Operating Expenses	66,171.80	39,750.70	0.00	(1,568.46)	1,442.88	159,514.23	265,311.15
SUBTOTAL: Operating Expenses	\$656,861.51	\$1,144,744.02	\$15,477.94	\$2,876,027.21	\$2,226,229.86	\$1,289,231.07	\$8,208,571.61
Supplies and Materials							
General Supplies & Materials	42,402.70	31,892.47	0.00	31,359.25	0.00	4,498.86	110,153.28
Maint & Const Materials	4,687.35	224,009.39	0.00	2,943,860.79	21,162.02	28,380.49	3,222,100.04
Automotive Supplies & Materials	0.00	469,565.41	0.00	790,988.44	0.00	2.69	1,260,556.54
SUBTOTAL: Supplies and Materials	\$47,090.05	\$725,467.27	\$0.00	\$3,766,208.48	\$21,162.02	\$32,882.04	\$4,592,809.86
Travel							
In State Travel	28,874.21	5,060.86	0.00	1,815.56	18,190.41	16,744.43	70,685.47
Out of State Travel	304.00	17,170.81	0.00	831.27	0.00	1,395.50	19,701.58
SUBTOTAL: Travel	\$29,178.21	\$22,231.67	\$0.00	\$2,646.83	\$18,190.41	\$18,139.93	\$90,387.05
Capital Outlay							
Land	0.00	0.00	0.00	0.00	108,768.00	940.00	109,708.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	(400,514.31)	44,632,192.46	0.00	44,231,678.15
Buildings	0.00	0.00	237,897.00	0.00	0.00	0.00	237,897.00
Heavy Equipment and Vehicles	0.00	0.00	0.00	1,276,543.92	0.00	0.00	1,276,543.92
Specialty Equipment	0.00	0.00	0.00	9,795.00	76,950.00	0.00	86,745.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$237,897.00	\$885,824.61	\$44,817,910.46	\$940.00	\$45,942,572.07
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	2,495,906.03	2,495,906.03
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	669,232.81	669,232.81
Other Government Aid	0.00	0.00	0.00	0.00	58,660.28	8,321,801.01	8,380,461.29
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$58,660.28	\$11,486,939.85	\$11,545,600.13
Internal Redistributions							
Redistribution	489,055.31	(3,933,607.16)	0.00	1,129,597.73	1,847,260.48	467,693.64	0.00
SUBTOTAL: Internal Redistributions	\$489,055.31	(\$3,933,607.16)	\$0.00	\$1,129,597.73	\$1,847,260.48	\$467,693.64	\$0.00
GRAND TOTAL:	\$2,014,812.98	\$3,100,174.99	\$253,374.94	\$11,026,370.83	\$51,441,672.70	\$13,988,423.82	\$81,824,830.26

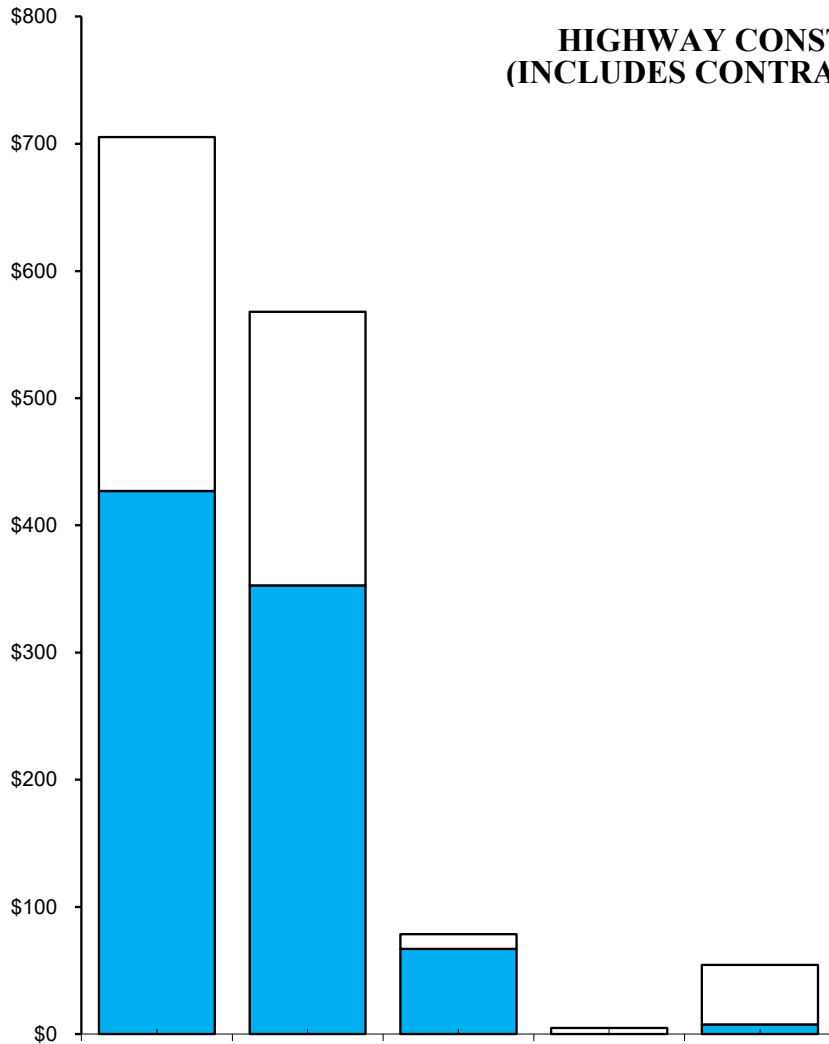
**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - NOVEMBER 2021**

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	4,001,109.34	9,105,859.29	0.00	11,532,490.82	10,908,200.48	3,364,423.96	38,912,083.89
Temporary Salaries	11,854.28	48,417.33	0.00	591,702.46	107,662.43	81,593.29	841,229.79
Overtime	12,501.47	301,113.60	0.00	781,714.54	1,408,935.19	50,621.10	2,554,885.90
Employee Benefits	0.00	15,530,070.50	0.00	0.00	0.00	0.00	15,530,070.50
SUBTOTAL: Personal Services	\$4,025,465.09	\$24,985,460.72	\$0.00	\$12,905,907.82	\$12,424,798.10	\$3,496,638.35	\$57,838,270.08
Operating Expenses							
Utilities	0.00	757,936.58	0.00	479,679.96	3,828.82	230.70	1,241,676.06
Rentals	6,612.53	31,354.94	0.00	390,685.63	575.00	0.00	429,228.10
Repairs & Maintenance	6,945.58	985,307.12	0.00	3,263,423.60	2,971.29	60,114.38	4,318,761.97
Maintenance Contracts	0.00	136,007.43	0.00	5,549,696.27	0.00	0.00	5,685,703.70
Engineering Contracts	0.00	(81,695.50)	434,187.16	48,676.19	7,019,020.51	1,427,337.12	8,847,525.48
Contractual Services	337,613.97	757,901.76	0.00	1,077,061.08	246,817.58	1,906,607.53	4,326,001.92
Technology Expenses	1,421,361.62	4,913,460.53	0.00	828,951.46	892,780.88	956,544.27	9,013,098.76
Other Operating Expenses	344,876.87	1,184,205.11	18.00	1,344,206.46	5,918.28	272,605.15	3,151,829.87
SUBTOTAL: Operating Expenses	\$2,117,410.57	\$8,684,477.97	\$434,205.16	\$12,982,380.65	\$8,171,912.36	\$4,623,439.15	\$37,013,825.86
Supplies and Materials							
General Supplies & Materials	527,216.47	61,183.33	21,966.49	169,409.30	50.24	24,430.91	804,256.74
Maint & Const Materials	15,070.38	457,884.06	0.00	28,019,681.47	336,740.64	131,364.21	28,960,740.76
Automotive Supplies & Materials	0.00	646,590.76	0.00	5,712,619.48	0.00	66.68	6,359,276.92
SUBTOTAL: Supplies and Materials	\$542,286.85	\$1,165,658.15	\$21,966.49	\$33,901,710.25	\$336,790.88	\$155,861.80	\$36,124,274.42
Travel							
In State Travel	66,062.01	82,407.91	0.00	8,325.75	82,001.09	88,475.69	327,272.45
Out of State Travel	1,857.58	42,506.01	0.00	831.27	288.00	1,395.50	46,878.36
SUBTOTAL: Travel	\$67,919.59	\$124,913.92	\$0.00	\$9,157.02	\$82,289.09	\$89,871.19	\$374,150.81
Capital Outlay							
Land	0.00	(424,140.83)	424,415.83	0.00	913,538.30	940.00	914,753.30
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	(403,704.81)	307,215,987.42	0.00	306,812,282.61
Buildings	0.00	172,345.00	2,223,547.51	0.00	0.00	0.00	2,395,892.51
Heavy Equipment and Vehicles	0.00	0.00	0.00	5,099,331.22	0.00	0.00	5,099,331.22
Specialty Equipment	0.00	9,105.00	0.00	58,455.47	174,557.00	51,437.00	293,554.47
SUBTOTAL: Capital Outlay	\$0.00	(\$242,690.83)	\$2,647,963.34	\$4,754,081.88	\$308,304,082.72	\$52,377.00	\$315,515,814.11
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	9,250,441.45	9,250,441.45
Highway Safety Office	0.00	(861.75)	0.00	0.00	0.00	2,103,220.17	2,102,358.42
Other Government Aid	0.00	0.00	0.00	0.00	6,882.88	45,669,647.12	45,676,530.00
SUBTOTAL: Government Aid & Distr	\$0.00	(\$861.75)	\$0.00	\$0.00	\$6,882.88	\$57,023,308.74	\$57,029,329.87
Internal Redistributions							
Redistribution	2,418,010.46	(20,188,103.45)	0.00	5,995,628.40	9,417,396.54	2,357,068.05	0.00
SUBTOTAL: Internal Redistributions	\$2,418,010.46	(\$20,188,103.45)	\$0.00	\$5,995,628.40	\$9,417,396.54	\$2,357,068.05	\$0.00
GRAND TOTAL:	\$9,171,092.56	\$14,528,854.73	\$3,104,134.99	\$70,548,866.02	\$338,744,152.57	\$67,798,564.28	\$503,895,665.15

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
 November 2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	728,147.14	71,467.55	298,919.47	429,227.67	41.05%	0.00
140 - LEGAL	1,229,535.40	306,695.90	694,856.11	534,679.29	56.51%	171,636.73
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,486,736.16	170,403.77	879,036.49	1,607,699.67	35.35%	157,943.57
SUBTOTAL: OFFICE OF THE DIRECTOR	\$4,444,418.70	\$548,567.22	\$1,872,812.07	\$2,571,606.63	42.14%	\$329,580.30
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,113,849.11	170,979.55	860,569.77	1,253,279.34	40.71%	0.00
250 - STRATEGIC PLANNING DIVISION	3,412,005.83	354,869.37	1,120,434.31	2,291,571.52	32.84%	841,415.30
320 - BRIDGE DIVISION	7,151,605.84	515,712.64	2,594,309.01	4,557,296.83	36.28%	1,731,849.13
340 - TRAFFIC ENGINEERING DIVISION	4,421,628.10	297,202.38	1,739,580.80	2,682,047.30	39.34%	521,948.71
350 - RIGHT OF WAY DIVISION	4,963,079.13	349,528.24	1,789,888.77	3,173,190.36	36.06%	103,421.71
360 - PROJECT DEVELOPMENT DIVISION	14,386,870.66	684,153.30	4,116,673.85	10,270,196.81	28.61%	10,348,551.06
370 - ROADWAY DESIGN DIVISION	24,779,050.91	1,469,331.87	7,414,041.11	17,365,009.80	29.92%	12,883,607.53
420 - PROGRAM MANAGEMENT DIVISION	1,335,953.47	92,001.04	512,542.11	823,411.36	38.37%	78,160.48
580 - LOCAL ASSISTANCE DIVISION	2,962,264.89	256,676.65	1,035,026.99	1,927,237.90	34.94%	1,301,005.04
SUBTOTAL: OFFICE OF ENGINEERING	\$65,526,307.94	\$4,190,455.04	\$21,183,066.72	\$44,343,241.22	32.33%	\$27,809,958.96
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,285,093.83	152,768.53	743,524.26	1,541,569.57	32.54%	2,050.00
260 - OPERATIONS DIVISION	16,645,525.24	855,436.07	5,971,295.60	10,674,229.64	35.87%	9,753,438.11
280 - BUSINESS TECH SUPPORT DIVISION	27,569,908.07	2,988,157.93	10,078,981.18	17,490,926.89	36.56%	23,457,157.81
380 - CONSTRUCTION DIVISION	2,990,404.82	225,238.25	1,128,928.01	1,861,476.81	37.75%	1,112.50
390 - MATERIALS & RESEARCH DIVISION	12,853,834.73	615,710.62	3,972,694.29	8,881,140.44	30.91%	8,969,055.14
610 - DISTRICT 1	33,947,496.11	2,647,126.42	16,142,721.74	17,804,774.37	47.55%	6,052,969.31
620 - DISTRICT 2	23,279,072.08	1,620,147.31	8,192,213.56	15,086,858.52	35.19%	6,185,143.28
630 - DISTRICT 3	32,110,108.72	2,243,315.04	14,142,265.00	17,967,843.72	44.04%	2,968,525.46
640 - DISTRICT 4	33,260,781.82	2,294,172.80	13,638,436.12	19,622,345.70	41.00%	4,869,516.07
650 - DISTRICT 5	23,779,814.81	1,442,501.87	9,075,123.45	14,704,691.36	38.16%	2,853,644.60
660 - DISTRICT 6	26,133,919.86	1,855,162.25	11,084,549.51	15,049,370.35	42.41%	6,696,933.96
670 - DISTRICT 7	17,553,092.95	1,441,678.48	7,305,225.33	10,247,867.62	41.62%	3,671,349.82
680 - DISTRICT 8	16,205,641.80	1,242,306.43	7,808,845.96	8,396,795.84	48.19%	3,213,484.00
SUBTOTAL: OFFICE OF OPERATIONS	\$268,614,694.84	\$19,623,722.00	\$109,284,804.01	\$159,329,890.83	40.68%	\$78,694,380.06
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	473,626.92	(38,124.84)	38,124.84	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,039,117.00	754,021.63	1,620,727.94	(581,610.94)	155.97%	48,791.78
904 - TRANSPORTATION CAPITAL	805,145,167.04	56,234,437.45	369,972,379.25	435,172,787.79	45.95%	1,184,469,241.16
SUBTOTAL: BUDGETARY CONTROL	\$806,184,284.04	\$57,462,086.00	\$371,554,982.35	\$434,629,301.69	46.09%	\$1,184,518,032.94
AGENCY TOTAL	\$1,144,769,705.52	\$81,824,830.26	\$503,895,665.15	\$640,874,040.37	44.02%	\$1,291,351,952.26

**FY-2022
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2022 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2022 PROJECTS	
7/22/2021	41.11				41.11
8/26/2021	121.26			4.62	125.88
9/30/2021	48.97	66.87		2.54	118.38
10/21/2021	51.42			0.25	51.67
11/4/2021	90.01				90.01
11/18/2021					
12/16/2021					
1/27/2022					
3/3/2022					
5/12/2022					
6/16/2022					
	352.77	66.87	0.00	7.41	427.05

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/22/2021	1.47	6.93	21.43		1.37	0.76	9.15		41.11
8/26/2021	5.43	74.20	5.51	14.40	6.44	12.40	5.20	2.30	125.88
9/30/2021	1.99	59.60	0.40	4.36	7.27	13.61	14.42	16.73	118.38
10/21/2021	1.83	1.68	1.91	16.20	0.43	25.89		3.73	51.67
11/4/2021	15.62	0.11	12.01	5.90	43.61	8.34	4.39	0.03	90.01
11/18/2021									
12/16/2021									
1/27/2022									
3/3/2022									
5/12/2022									
6/16/2022									
	26.34	142.52	41.26	40.86	59.12	61.00	33.16	22.79	427.05

	State System			Local System	
	Total Letting(1)	FY-2022 Program (2)	Prior Year Projects (3)	Advanced Projects	FY-2022 Program (4)
% Let to Date	60.5%	62.1%	85.1%	0.0%	13.7%
Actual \$ Let	427.05	352.77	66.87	0.00	7.41
Projected \$ Remaining	278.43	215.17	11.71	4.68	46.87
Total	\$705.48	\$567.94	\$78.58	\$4.68	\$54.28

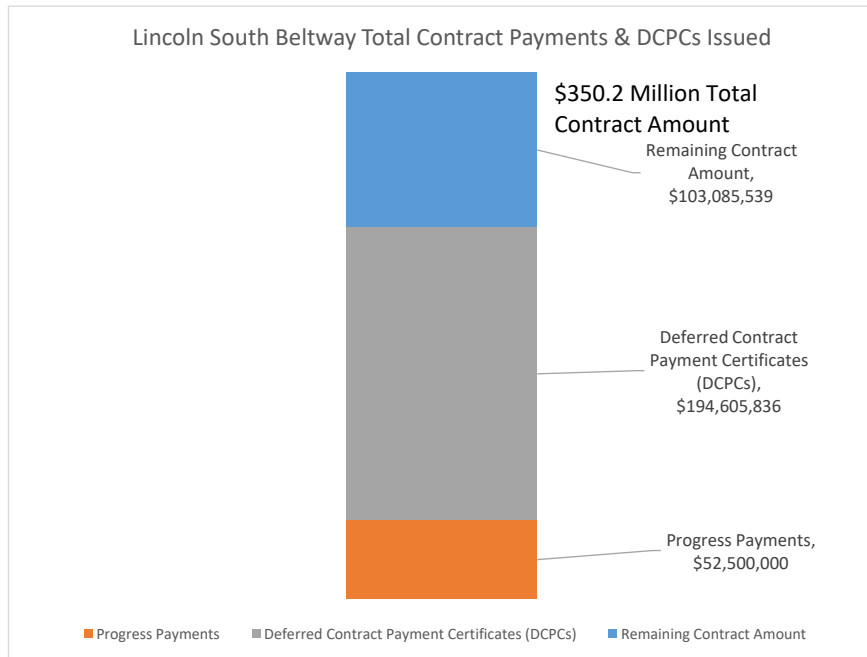
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2022 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of November 30, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through November 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through November 2021)

Remaining Contract Amount	\$103,085,539
Progress Payments	\$52,500,000
Deferred Contract Payment Certificates (DCPCs)	\$194,605,836

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through November 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$29,877,875
FY 2028	\$29,875,000
FY 2029	\$29,882,563
FY 2030	\$267,898
Total DCPCs to date	\$194,605,836

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Fixing America's Surface Transportation = FAST														
All data per preliminary tables prior to all set asides and penalties.														
Federal Trust Fund	Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment		Fiscal 2022 Apportionment	
	Apportionment Type	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National
National Hwy Perf Prog (NHPP)	20,895	161.392	22,828	164.017	21,855	167.506	22,134	171.617	22,820	174.622	22,811	173.531	1,899	14.762
Surface Transportation Block Grant	10,812	81.732	10,589	83.247	11,219	85.196	11,432	87.186	11,704	88.896	11,717	88.296	993	7.513
STP - Bridge Off System		-		3.777		3.777		3.777		3.777		3.777		0.321
STP - Flexible - Any Area		33.470		33.379		33.456		33.508		33.412		33.159		2.822
STP - MAPA - Omaha		13.935		14.468		15.092		15.733		16.338		16.227		1.381
STP - LCLC - Lincoln		5.492		5.702		5.948		6.200		6.439		6.395		0.544
STP - 5,001 to 200,000 Population		7.659		7.952		8.295		8.647		8.979		8.919		0.759
STP - 5,000 and Less Population		11.682		12.130		12.652		13.190		13.697		13.604		1.157
Highway Planning		4.288		4.379		4.482		4.598		4.691		4.661		0.397
Research		1.429		1.494		1.494		1.533		1.563		1.554		0.132
Transportation Alternatives (TAP)	835	0.418	751	5.677	766	5.800	766	5.800	767	5.801	768	5.801	65	0.493
Recreational Trails	84	0.102	84	1.217	83	1.215	83	1.217	83	1.217	82	1.217	7	0.102
Highway Safety Improvement Prog	2,059	12.655	2,275	14.910	2,272	15.221	2,312	15.566	2,359	15.819	2,359	15.713	200	1.337
Rail-Highway Crossings	350	5.702	230	3.692	235	3.767	240	3.834	245	3.900	245	3.883	21	0.330
Congestion Mitigation & Air Qual CMAQ	2,263	10.043	2,360	10.200	2,355	10.411	2,393	10.637	2,446	10.812	2,444	10.744	188	0.914
Metropolitan Planning	329	1.651	336	1.673	343	1.711	350	1.754	358	1.788	358	1.777	30	0.151
National Freight Program	1,117	8.270	1,091	7.860	1,196	8.588	1,341	9.694	1,488	10.730	1,489	10.663	124	0.907
Redistribution - Certain Authorizations		0.874		0.968		51	0.376		61	0.451		45	0.327	
Redistribution - TIFIA														
Sub-Total Core Funds	\$38,744	\$282.839	\$40,544	\$293.461	\$40,375	\$299.791	\$41,112	\$307.756	\$42,315	\$313.912	\$42,328	\$312.023	\$3,527	\$26.509
National Highway Perf Exempt	639	4.524	595	4.489	597	4.512	599	4.546	601	4.543	603	4.524	51	0.385
Others & Ext of Alloc Programs		1.274												
Total	\$39,383	\$288.637	\$41,139	\$297.950	\$40,972	\$304.303	\$41,711	\$312.302	\$42,916	\$318.455	\$42,931	\$316.547	\$3,578	\$26.894
Obligation Authority														
Core Formula Obligation Limitation	37,015	273.728	40,548	271.600	44,234	274.849	36,629	277.028	46,365	284.111	46,365	277.251	3,938	23.585
August Redistribution	2,833	19.000	3,137	31.224	4,184	32.000	3,972	34.443	4,762	45.000	4,178	20.000		
Total Annual Obligation Authority	\$39,848	292.728	\$43,685	302.824	\$48,418	306.849	\$40,601	311.471	\$51,127	329.111	\$50,543	297.251	\$3,938	23.585

Footnotes:

To date, NDOT has not received their full obligation authority for fiscal year 2022.

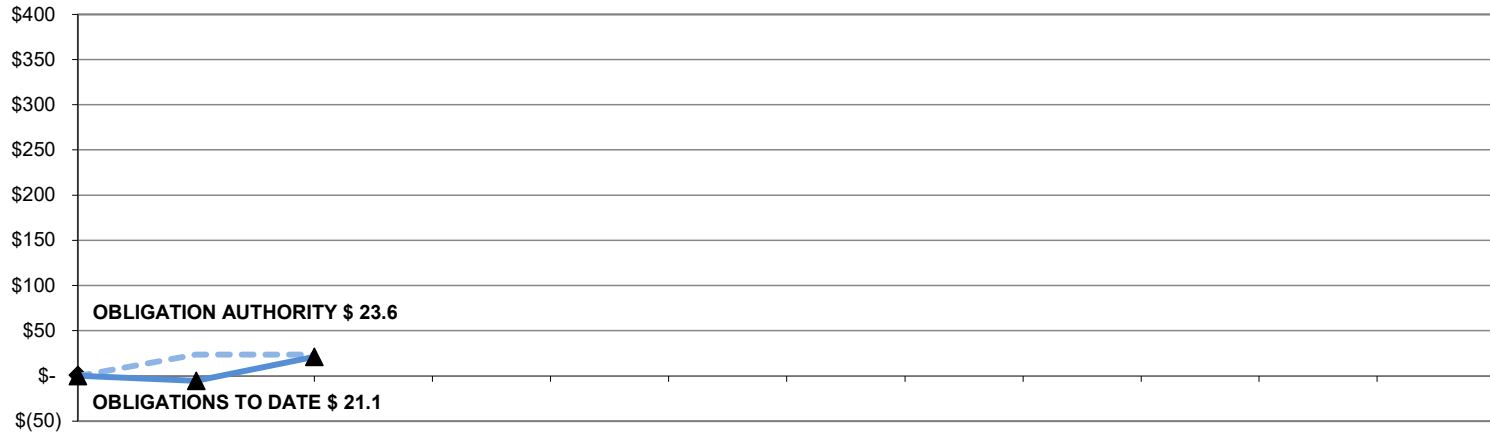
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2022
NOVEMBER 30, 2021

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2022	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2021	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	3,241,403	14,762,092	-	18,003,495	16,328,893	1,674,603	165,267,518	97,867,420
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	46,552
STP - Bridge Off System	432,195	320,808	-	753,003	207,672	545,331	-	3,267,383
STP - Flexible - Any Area	2,715,801	2,821,784	-	5,537,585	1,286,722	4,250,863	95,457,417	62,614,084
STP - MAPA - Omaha	13,611,522	1,380,594	-	14,992,116	1,740,982	13,251,134	23,322,866	36,764,467
STP - LCLC - Lincoln	13,546,212	544,107	-	14,090,319	(72,359)	14,162,678	73,093	5,640,447
STP - 5,001 to 200,000 Pop	43,101,577	758,794	-	43,860,371	(10,735)	43,871,106	8,000	1,606,802
STP - 5,000 & Less Population	141	1,157,451	-	1,157,592	(233,810)	1,391,402	-	7,665,516
Congestion Mitigation & Air Qual	1,059,007	914,002	-	1,973,009	1,863,675	109,334	-	11,907,341
Highway Safety Improvemt Prog	15,027,981	1,336,977	-	16,364,958	(3,391,502)	19,756,461	1,915,919	21,296,479
Rail-Hwy - Hazard Elimination	553,194	165,013	-	718,207	-	718,207	2,355,797	2,351,550
Rail-Hwy - Protection Devices	9,682,510	165,013	-	9,847,523	(337,185)	10,184,709	-	2,598,127
Highway Planning	3,618,064	396,548	17,143	4,031,755	-	4,031,755	2,089	6,848,333
Research	11,643	132,183	-	143,826	-	143,826	141,795	5,776,885
Metropolitan Planning	570,910	151,125	-	722,035	(75,462)	797,496	-	2,136,679
National Hwy Freight Program	-	907,113	-	907,113	-	907,113	-	11,817,607
TAP - Flex	2,993,838	246,324	-	3,240,162	(53,216)	3,293,378	-	762,895
TAP - >200,000 Population	2,352,479	123,433	-	2,475,912	-	2,475,912	-	1,206,159
TAP - 5,001 to 200,000 Pop	643,636	48,662	-	692,298	-	692,298	-	446,874
TAP - 5,000 and Less Population	1,734,022	74,229	-	1,808,251	25,696	1,782,555	-	588,272
Recreational Trails	2,394,934	102,361	-	2,497,295	(70,587)	2,567,882	-	3,308,375
Enhancement	266,366	-	-	266,366	-	266,366	-	95,086
Safe Routes to School Prog	200,493	-	-	200,493	-	200,493	-	43,479
Redistribution - Certain Auth.	-	1,034	-	1,034	-	1,034	-	7,147,159
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	147,958	-	-	147,958	3,901,453	(3,753,495)	-	5,177,544
Other	-	-	-	-	-	-	-	23,514
Total Formula Funds	\$ 117,905,886	\$ 26,509,647	\$ 17,143	\$ 144,432,676	\$ 21,110,237	\$ 123,322,439	\$ 288,544,494	\$ 299,005,028
Allocated/Discretionary Funds	60,400	-	(172)	60,228	-	60,228	-	3,303,002
Total Subject to Annual Obligation Limits	\$ 117,966,285	\$ 26,509,647	\$ 16,971	\$ 144,492,903	\$ 21,110,237	\$ 123,382,667	\$ 288,544,494	\$ 302,308,030
Special Limit/Allocated Exempt Equity Bonus	83,340,075	384,824	-	83,724,899	15,749,677	67,975,222	7,960,003	95,470,743
	-	-	-	-	-	-	-	-
GRAND TOTAL	\$ 201,306,361	\$ 26,894,471	\$ 16,971	\$ 228,217,803	\$ 36,859,914	\$ 191,357,889	\$ 296,504,497	\$ 397,778,773

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) To date, NDOT has not received their full obligation authority for fiscal year 2022.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2022
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	23.6	23.6										
OA Used	0.0	(5.6)	21.1										

	FEDERAL FY-2021 OBLIGATION AUTHORITY		FEDERAL FY-2022 OBLIGATION AUTHORITY		
FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION	As of September 30, 2021		As of November 30, 2021		
Formula Obligation Limitation	\$	277.2	\$	23.6	
August Redistribution		20.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.3	\$	-	16.7%
Subtotal	\$	297.5	\$	23.6	
Other Allocation Obligation Limitation		0.3		-	
Annual Obligation Limitation	\$	297.8	\$	23.6	
Formula Obligations to Date		0.0		(21.1)	Obligated
Allocated Obligations to Date		-		0.0	89.4%
Subtotal	\$	-	\$	(21.1)	
Obligation Authority Balance	\$	297.80	\$	2.5	
SPECIAL LIMITATION					
National Highway Perf Exempt		4.5		0.4	
Congestion MGMT Tech Deploy		2.7		0.0	
Highway Infrastructure COVID		71.7		0.0	
Highway Infrastructure (NON-COVID)		22.9		0.0	
Competitive Highway Bridge Program		8.5		0.0	
Training and Education Fast		0.2		0.0	
Tech Deploy Stic Incentive Ext		0.1		0.0	
National Infrastructure Invest TIGER IX		0.0		0.0	
National Infrastructure Invest Build 2019		0.0		0.0	
National Infrastructure Invest Build 2018		0.0		0.0	
Previous Years Funding		136.9		77.2	
Total Special Obligation Limitation	\$	247.5	\$	77.6	
Obligations to Date		0.0		(15.7)	
Obligation Authority Balance	\$	247.5	\$	61.9	

To date, NDOT has not received their full obligation authority for fiscal year 2022.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - NOVEMBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,644,152.82	(158,015.69)	0.00	49,235.93	28,048.62	1,563,421.68
	RIGHT OF WAY	93,812.36	0.00	0.00	12,015.06	0.00	105,827.42
	CONSTRUCTION	2,654,147.48	40,959,055.23	(98,910.85)	1,211,788.14	144.00	44,726,224.00
	CONSTRUCTION ENGINEERING	818,474.27	1,079,725.81	0.00	55,761.65	13,690.17	1,967,651.90
	PLANNING & RESEARCH	0.00	6,042.17	0.00	0.00	0.00	6,042.17
	TOTAL	\$ 5,210,586.93	\$ 41,886,807.52	\$ (98,910.85)	\$ 1,328,800.78	\$ 41,882.79	\$ 48,369,167.17
LOCAL	PRELIMINARY ENGINEERING	22,920.20	186,766.13	25,681.66	10,843.35	123.59	246,334.93
	RIGHT OF WAY	958.52	65,717.40	909.63	12,768.69	675.63	81,029.87
	CONSTRUCTION	467,083.46	4,993,725.15	754,301.77	803,615.73	56,200.92	7,074,927.03
	CONSTRUCTION ENGINEERING	52,271.48	504,606.81	36,778.06	75,651.47	3,400.89	672,708.71
	PLANNING & RESEARCH	0.00	(41.53)	0.00	(10.38)	0.00	(51.91)
	TOTAL	\$ 543,233.66	\$ 5,750,773.96	\$ 817,671.12	\$ 902,868.86	\$ 60,401.03	\$ 8,074,948.63
NON-HWY	PRELIMINARY ENGINEERING	1,302,319.91	3,705.86	0.00	365.98	702.95	1,307,094.70
	RIGHT OF WAY	108,870.69	68.80	0.00	17.19	0.00	108,956.68
	CONSTRUCTION	241.48	143,160.31	0.00	36,439.37	0.00	179,841.16
	CONSTRUCTION ENGINEERING	561,998.01	(1,210.97)	0.00	(202.24)	0.00	560,584.80
	TRAFFIC SAFETY & TRANS	(20,379.45)	387,673.66	0.00	0.00	0.00	367,294.21
	PLANNING & RESEARCH	272,859.04	872,828.25	0.00	161.91	97,061.21	1,242,910.41
	PUBLIC TRANSPORTATION ASSIST	409,187.76	2,108,478.99	89,064.93	0.00	27,810.40	2,634,542.08
	Information Technology	108,338.08	784,442.80	0.00	0.00	0.00	892,780.88
	TOTAL	\$ 2,743,435.52	\$ 4,299,147.70	\$ 89,064.93	\$ 36,782.21	\$ 125,574.56	\$ 7,294,004.92
TOTAL - CURRENT MONTH	\$ 8,497,256.11	\$ 51,936,729.18	\$ 807,825.20	\$ 2,268,451.85	\$ 227,858.38	\$ 63,738,120.72	

FISCAL YEAR TO DATE - NOVEMBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	8,840,202.91	(156,254.46)	5,222.16	57,066.91	41,642.28	8,787,879.80
	RIGHT OF WAY	543,983.13	0.00	0.00	21,054.83	0.00	565,037.96
	CONSTRUCTION	40,735,777.69	253,473,109.40	(100,412.40)	13,435,635.12	586,930.55	308,131,040.36
	CONSTRUCTION ENGINEERING	1,842,440.82	7,871,913.10	(208.94)	158,237.58	54,176.86	9,926,559.42
	PLANNING & RESEARCH	15,097.32	66,830.60	0.00	0.00	(592.87)	81,335.05
	TOTAL	\$ 51,977,501.87	\$ 261,255,598.64	\$ (95,399.18)	\$ 13,671,994.44	\$ 682,156.82	\$ 327,491,852.59
LOCAL	PRELIMINARY ENGINEERING	193,245.90	1,428,089.15	116,556.09	126,293.57	(1,774.88)	1,862,409.83
	RIGHT OF WAY	168,855.13	289,193.60	4,524.64	64,545.60	23,349.73	550,468.70
	CONSTRUCTION	1,191,182.24	30,178,789.62	2,987,208.58	4,308,177.30	495,635.82	39,160,993.56
	CONSTRUCTION ENGINEERING	99,456.51	2,221,744.26	218,210.96	351,567.70	10,173.15	2,901,152.58
	PLANNING & RESEARCH	18.26	(1,142.26)	2,211.58	700.05	0.00	1,787.63
	TOTAL	\$ 1,652,758.04	\$ 34,116,674.37	\$ 3,328,711.85	\$ 4,851,284.22	\$ 527,383.82	\$ 44,476,812.30
NON-HWY	PRELIMINARY ENGINEERING	6,668,174.93	100,844.22	124.45	24,231.13	4,683.99	6,798,058.72
	RIGHT OF WAY	448,765.33	308,497.10	0.00	77,124.15	0.00	834,386.58
	CONSTRUCTION	32,610.12	1,298,013.14	0.00	337,154.53	0.00	1,667,777.79
	CONSTRUCTION ENGINEERING	2,736,503.04	135,438.27	6.93	33,963.37	0.00	2,905,911.61
	TRAFFIC SAFETY & TRANS	(43,004.32)	2,366,990.41	0.00	0.00	0.00	2,323,986.09
	PLANNING & RESEARCH	1,351,006.34	3,761,530.01	(622.97)	(47,135.60)	206,015.84	5,270,793.62
	PUBLIC TRANSPORTATION ASSIST	1,294,404.81	8,028,171.12	167,282.64	9,217.80	155,697.02	9,654,773.39
	Information Technology	108,338.08	784,442.80	0.00	0.00	0.00	892,780.88
	TOTAL	\$ 12,596,798.33	\$ 16,783,927.07	\$ 166,791.05	\$ 434,555.38	\$ 366,396.85	\$ 30,348,468.68
TOTAL - FISCAL YEAR TO DATE	\$ 66,227,058.24	\$ 312,156,200.08	\$ 3,400,103.72	\$ 18,957,834.04	\$ 1,575,937.49	\$ 402,317,133.57	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
NOVEMBER 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	2,037,916,932.54	1,001,045,850.17	1,036,871,082.37	5,210,586.93	51,977,501.87	93,089,917.32
	FEDERAL	1,599,057,571.37	1,318,667,140.22	280,390,431.15	41,886,807.52	261,255,598.64	394,488,527.48
	COUNTY	2,954,845.72	2,371,126.22	583,719.50	(98,910.85)	(95,399.18)	41,360.86
	CITY	96,359,821.22	50,729,575.49	45,630,245.73	1,328,800.78	13,671,994.44	20,763,540.62
	OTHER	20,162,385.81	19,287,453.81	874,932.00	41,882.79	682,156.82	859,612.99
STATE HIGHWAY SYSTEM TOTALS		\$ 3,756,451,556.66	\$ 2,392,101,145.91	\$ 1,364,350,410.75	\$ 48,369,167.17	\$ 327,491,852.59	\$ 509,242,959.27
LOCAL HIGHWAY SYSTEM							
	STATE	63,594,892.09	39,884,440.27	23,710,451.82	543,233.66	1,652,758.04	3,633,225.91
	FEDERAL	355,530,931.14	274,103,313.50	81,427,617.64	5,750,773.96	34,116,674.37	62,095,022.43
	COUNTY	18,719,466.58	13,997,847.63	4,721,618.95	817,671.12	3,328,711.85	5,787,338.89
	CITY	103,370,431.62	80,257,969.71	23,112,461.91	902,868.86	4,851,284.22	9,694,905.45
	OTHER	6,736,988.26	4,398,450.99	2,338,537.27	60,401.03	527,383.82	561,452.87
LOCAL HIGHWAY SYSTEM TOTALS		\$ 547,952,709.69	\$ 412,642,022.10	\$ 135,310,687.59	\$ 8,074,948.63	\$ 44,476,812.30	\$ 81,771,945.55
NON-HIGHWAY							
	STATE	449,940,515.38	369,567,285.15	80,373,230.23	2,743,435.52	12,596,798.33	60,978,832.86
	FEDERAL	271,956,809.41	135,515,697.57	136,441,111.84	4,299,147.70	16,783,927.07	39,306,050.41
	COUNTY	1,391,298.76	616,981.57	774,317.19	89,064.93	166,791.05	298,323.75
	CITY	10,239,237.14	6,305,638.38	3,933,598.76	36,782.21	434,555.38	2,492,116.93
	OTHER	15,043,751.49	12,893,681.83	2,150,069.66	125,574.56	366,396.85	1,106,597.80
NON-HIGHWAY TOTALS		\$ 748,571,612.18	\$ 524,899,284.50	\$ 223,672,327.68	\$ 7,294,004.92	\$ 30,348,468.68	\$ 104,181,921.75
GRAND TOTALS		\$ 5,052,975,878.53	\$ 3,329,642,452.51	\$ 1,723,333,426.02	\$ 63,738,120.72	\$ 402,317,133.57	\$ 695,196,826.57

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
NOVEMBER 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	8,373,490.96	892,780.88	7,480,710.08	892,780.88	892,780.88	892,780.88
PRELIMINARY ENGINEERING	583,771,525.79	398,671,591.21	185,099,934.58	3,116,851.31	17,448,348.35	39,397,864.04
RIGHT OF WAY	176,219,414.32	131,123,000.55	45,096,413.77	295,813.97	1,949,893.24	9,076,819.71
UTILITIES	53,402,259.82	29,266,992.34	24,135,267.48	0.00	393,482.01	7,407,970.52
CONSTRUCTION	3,700,603,289.30	2,441,176,580.84	1,259,426,708.46	51,980,992.19	348,566,329.70	559,477,959.14
CONSTRUCTION ENGINEERING	255,977,761.38	156,754,652.07	99,223,109.31	3,200,945.41	15,733,623.61	32,218,438.64
TRAFFIC SAFETY	35,197,043.19	18,577,866.40	16,619,176.79	367,294.21	2,323,986.09	6,029,540.48
PLANNING & RESEARCH	104,912,420.68	72,292,902.58	32,619,518.10	1,248,900.67	5,353,916.30	13,978,476.46
PUBLIC TRANSPORTATION	134,518,673.09	80,886,085.64	53,632,587.45	2,634,542.08	9,654,773.39	26,716,976.70
GRAND TOTALS	\$ 5,052,975,878.53	\$ 3,329,642,452.51	\$ 1,723,333,426.02	\$ 63,738,120.72	\$ 402,317,133.57	\$ 695,196,826.57

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
NOVEMBER 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,264,858,945.43	944,334,707.29	320,524,238.14	13,025,398.36	64,816,563.20	145,821,931.77
ROADS OPERATION FUND AC*	167,629,992.83	5,349,890.63	162,280,102.20	(10,344,463.95)	(11,883,696.23)	621,185.39
GENERAL BRIDGE STUDY	81,519.96	10,214.67	71,305.29	7,762.85	10,214.67	10,214.67
GRADE CROSSING FUND	3,314,858.73	1,889,858.00	1,425,000.73	15,691.45	66,870.31	490,520.26
GRADE SEPARATION-TMT	27,033,284.51	24,641,072.70	2,392,211.81	695.89	74,231.44	168,848.78
RECREATION ROAD FUND	17,091,696.96	10,532,752.64	6,558,944.32	510,761.49	828,556.81	3,088,333.73
ST HWY CAPITAL IMPR	857,539,316.44	302,768,466.00	554,770,850.44	3,965,136.28	5,369,968.84	(15,677,302.84)
STATE AID BRIDGE	3,835,297.95	3,485,819.23	349,478.72	14,200.84	43,522.32	127,007.71
TRANS INFRA BANK	210,067,427.20	117,484,794.43	92,582,632.77	1,302,072.90	6,900,826.88	23,051,236.62
TOTAL STATE FUNDS	\$ 2,551,452,340.01	\$ 1,410,497,575.59	\$ 1,140,954,764.42	\$ 8,497,256.11	\$ 66,227,058.24	\$ 157,701,976.09
FEDERAL FUNDS	2,226,545,311.92	1,728,286,151.29	498,259,160.63	51,936,729.18	312,156,200.08	495,889,600.32
COUNTY FUNDS	23,065,611.06	16,985,955.42	6,079,655.64	807,825.20	3,400,103.72	6,127,023.50
CITY FUNDS	209,969,489.98	137,293,183.58	72,676,306.40	2,268,451.85	18,957,834.04	32,950,563.00
OTHER FUNDS	41,943,125.56	36,579,586.63	5,363,538.93	227,858.38	1,575,937.49	2,527,663.66
GRAND TOTALS	\$ 5,052,975,878.53	\$ 3,329,642,452.51	\$ 1,723,333,426.02	\$ 63,738,120.72	\$ 402,317,133.57	\$ 695,196,826.57

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
November 30, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund					
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures
Revenue	\$ 7,578,201.11	\$ 37,475,982.49	\$ 567,115,693.81		
Expenditures					
Expressway and High Priority Corridors	3,956,404.87	5,336,722.28	224,362,610.21	529,820,413.81	312,819,060.00
Other Highways	8,731.41	33,246.56	78,405,855.79	24,950,436.63	109,909,743.78
BNA Projects Completed/Closed			144,176,575.68		
Total	\$ 3,965,136.28	\$ 5,369,968.84	\$ 446,945,041.68	\$ 554,770,850.44	\$ 422,728,803.78
Funds Available			\$ 120,170,652.13		

Note: Expenditures reflect crediting of \$24.1 million of Federal Emergency Relief in July 2021 related to March 2019 flood repair expenses.

Transportation Innovation Act Financial Status November 30, 2021

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,536,924.34	\$ 13,035,184.25	\$ 169,771,945.79		
Expenditures					
Accelerated State Highway Capital Improvement Program	1,302,072.90	6,347,403.44	109,880,071.78	80,127,887.68	111,038,135.57
County Bridge Match Program		521,164.83	5,557,122.68	10,994,919.69	8,209,363.12
Economic Opportunity Program		32,258.61	2,047,599.97	1,459,825.40	16,003,000.00
TIB Projects Completed/Closed			3,607,407.44		
Total Expenditures	\$ 1,302,072.90	\$ 6,900,826.88	\$ 121,092,201.87	\$ 92,582,632.77	\$ 135,250,498.69
Funds Available			\$ 48,679,743.92		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2022 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 8.49%

	FAST Act ⁽¹⁾ FY-2022 <u>APPORT</u>	FY-2022 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2022 <u>OBL LIMIT</u>	OBLIGATED THRU <u>11/30/21</u>	<u>BALANCE</u>
BRIDGE STP OFF SYSTEM (BRO)	0.321	0.027	-	-	0.027	0.204	(0.177)
MAPA - OMAHA	1.381	\$ 0.117	-	(4)	0.117	1.741	(1.624)
LCLC - LINCOLN	0.544	\$ 0.046	-	-	0.046	(0.072)	0.118
SubTotal Local	\$ 2.246	\$ 0.190	\$ -	\$ -	\$ 0.190	\$ 1.873	\$ (1.683)
METRO PLANNING	0.151	0.013	-	-	0.013	(0.075)	0.088
Omaha	66.836%	0.034	0.003	-	0.003	-	0.003
Lincoln	26.341%	0.038	0.003	-	0.003	0.003	0.000
South Sioux City	1.688%	0.040	0.003	-	0.003	(0.078)	0.081
Grand Island	5.135%	0.040	0.003	-	0.003	-	0.003
TAP - Flex	0.246	0.021	-	-	0.021	(0.053)	0.074
TAP - 5K and Under	0.074	0.006	-	-	0.006	0.026	(0.020)
TAP - 5K-200K	0.049	0.004	-	-	0.004	-	0.004
TAP - MAPA - OMAHA	0.089	0.008	-	-	0.008	-	0.008
TAP - LCLC - LINCOLN	0.035	0.003	-	-	0.003	-	0.003
REC TRAILS	0.102	0.009	-	-	0.009	(0.071)	0.080
TOTAL	\$ 2.992	\$ 0.254	\$ -	\$ -	\$ 0.254	\$ 1.700	\$ (1.446)

(1) FY22 Apportionment per Public Law 117-44 through October 31, 2021.

(2) Pending calculations of prior year balances.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

	Federal FY-17		Federal FY-18		Federal FY-19		Federal FY-20		Federal FY-21	
	Payment was made March 2018		Payment was made March 2019		Payment was made March 2020		Payment was made March 2021		Payment will be made March 2022	
Bridge										
Annual Obligation Authority		273,085,952.00		274,849,099.00		277,028,447.00		284,111,089.00		277,251,202.00
10% for Bridges		27,308,595.20		27,484,909.90		27,702,844.70		28,411,108.90		27,725,120.20
60% Local Share		16,385,157.12		16,490,945.94		16,621,706.82		17,046,665.34		16,635,072.12
Less STP Bridge Off System		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)		(900,000.00)		(1,000,000.00)		(300,000.00)		-
Less Under Water Inspection		-		(500,000.00)		-		-		-
Less Quality Assurance		(400,000.00)		(400,000.00)		(300,000.00)		(300,000.00)		(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)		-		-		-		-
Load Rating of Fracture Critical Bridges		(400,000.00)		-		-		-		-
Funds Available To Be Purchased		8,407,900.12		10,913,688.94		11,544,449.82		12,669,408.34		12,557,815.12
Bridge Buy Out Total	90%	\$ 7,567,110.00	90%	\$ 9,822,320.00	90%	\$ 10,390,005.00	90%	\$ 11,402,468.00	90.0%	\$ 11,302,034.00
Less Major On System Bridges Reserve		-		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)
Bridge Buy Out Payment		\$ 7,567,110.00		\$ 7,822,320.00		\$ 8,390,005.00		\$ 9,402,468.00		\$ 9,302,034.00
Counties										
Annual Apportionment		12,129,914.00		12,652,394.00		13,189,762.00		13,697,023.00		13,604,127.00
Funds Available To Be Purchased	92.8%	11,256,560.19	91.7%	11,602,245.30	90.1%	11,883,975.56	90.6%	12,409,502.84	88.9%	12,094,068.90
County Buy Out Payment	90%	\$ 10,130,904.00	90%	\$ 10,442,021.00	90%	\$ 10,695,578.00	90%	\$ 11,168,553.00	90%	\$ 10,884,662.00
First Class Cities										
Annual Apportionment		7,952,055.00		8,294,580.00		8,646,863.00		8,979,411.00		8,918,511.00
Funds Available To Be Purchased	92.8%	7,379,507.04	91.7%	7,606,129.86	90.1%	7,790,823.56	90.6%	8,135,346.37	88.9%	7,928,556.28
First Class City Buy Out Payment	90%	\$ 6,641,556.00	90%	\$ 6,845,517.00	90%	\$ 7,011,741.00	90%	\$ 7,321,812.00	90%	\$ 7,135,701.00
Total Funds Distributed To Locals		\$ 24,339,570.00		\$ 25,109,858.00		\$ 26,097,324.00		\$ 27,892,833.00		\$ 27,322,397.00

Soft Match Balance By County

As of November 30, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	974,068.00
3002	ANTELOPE COUNTY	286,699.21
3005	BLAINE COUNTY	246,249.16
3006	BOONE COUNTY	237,751.74
3010	BUFFALO COUNTY	356,273.25
3012	BUTLER COUNTY	30,164.57
3013	CASS COUNTY	940,983.62
3014	CEDAR COUNTY	380,189.71
3018	CLAY COUNTY	262,914.19
3019	COLFAX COUNTY	1,177,489.16
3020	CUMING COUNTY	527,909.82
3021	CUSTER COUNTY	510.87
3022	DAKOTA COUNTY	120,157.20
3024	DAWSON COUNTY	52,367.67
3026	DIXON COUNTY	240,458.87
3028	DOUGLAS COUNTY	424,940.67
3030	FILLMORE COUNTY	804,144.50
3032	FRONTIER COUNTY	156,224.64
3033	FURNAS COUNTY	47,710.32
3034	GAGE COUNTY	244,741.82
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	53,684.71
3039	GREELEY COUNTY	8,307.98
3040	HALL COUNTY	673,207.99
3045	HOLT COUNTY	209,890.22
3047	HOWARD COUNTY	7,565.06
3048	JEFFERSON COUNTY	360,423.92
3049	JOHNSON COUNTY	124,891.97

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	104,795.52
3056	LINCOLN COUNTY	445,851.44
3059	MADISON COUNTY	96,220.48
3061	MERRICK COUNTY	62,593.12
3063	NANCE COUNTY	69,216.04
3064	NEMAHA COUNTY	228,389.73
3065	NUCKOLLS COUNTY	409,062.75
3066	OTOE COUNTY	734,569.59
3067	PAWNEE COUNTY	218,953.29
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	536,115.46
3071	PLATTE COUNTY	28,746.69
3074	RICHARDSON COUNTY	16,853.05
3076	SALINE COUNTY	1,991,623.56
3078	SAUNDERS COUNTY	104,587.12
3079	SCOTTS BLUFF COUNTY	7,401.71
3080	SEWARD COUNTY	1,398,913.97
3084	STANTON COUNTY	1,170,419.68
3085	THAYER COUNTY	214,967.61
3087	THURSTON COUNTY	350,253.50
3089	WASHINGTON COUNTY	1,482,778.24
3090	WAYNE COUNTY	373,455.61
3091	WEBSTER COUNTY	295,358.84
3092	WHEELER COUNTY	56,182.66
3093	YORK COUNTY	488,545.44

December
2021

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

I-80 and I-76 Interchange near Big Springs

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December 2021 Highlights

- The state revenue projections in this report were developed in June 2021 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- Total revenue in December exceeded expenditures by \$6.4 million. Fiscal year to date revenue surpassed expenditures by \$79.2 million (page 4).
- Projected \$927.2 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 27.7 cents, effective July 1, 2021. The month of December's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.7 million or 9.0%, motor vehicle registration revenue was under the projected amount by -\$99 thousand or -3.3% and motor vehicle sales tax was over the projected amount by \$2.3 million or 22.6%. Highway Cash Fund receipts for FY22 to date were higher than projections by \$16.5 million or 7.3% (page 11, 12).
- Established an operating budget for Roads Division of \$1.1 billion for FY22 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).

December expenditures totaled \$66.7 million. Fiscal year to date expenditures totaled \$570.6 million, 49.9% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of November 8, 2021 thru December 15, 2021. The payroll additive rate is established at 66% and the administrative rate is 2.28%.
- Highway construction contract lettings fiscal year to date totaled \$495.3 million, \$482.7 million on the state highway system (page 18).
- The December report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- Nebraska has received core formula apportionments totaling \$26.9 million to date and obligation limitation of \$105.2 million through February 18, 2022. No new apportionment was received in December. As of December 31, 2021 NDOT had an obligation authority balance of \$86.3 million (pages 22, 23, and 24).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$574.7 million has been received to date with allocated expenditures totaling \$457.1 million (page 29).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$122.3 million has been received to date with expenditures totaling \$122.4 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$15 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$15 million as compared to \$70 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
December 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	450,386,958.20	442,863,923.66	7,523,034.54	1.70	278,428,279.65	171,958,678.55	61.76
Federal Receivables	7,256,248.21	15,280,230.95	(8,023,982.74)	(52.51)	6,058,026.50	1,198,221.71	19.78
Other Receivables	19,120,112.26	20,409,587.26	(1,289,475.00)	(6.32)	21,771,807.52	(2,651,695.26)	(12.18)
Inventories	2,599,371.61	2,688,359.99	(88,988.38)	(3.31)	2,851,164.12	(251,792.51)	(8.83)
Total Current Assets	\$479,362,690.28	\$481,242,101.86	(\$1,879,411.58)	(0.39)%	\$309,109,277.79	\$170,253,412.49	55.08 %
Capital Assets							
Equipment	65,709,937.93	65,545,331.91	164,606.02	0.25	64,930,458.16	779,479.77	1.20
Land	580,759,827.20	580,759,827.20	0.00	0.00	569,312,437.83	11,447,389.37	2.01
Infrastructures	7,964,905,974.30	7,964,905,974.30	0.00	0.00	7,900,636,476.35	64,269,497.95	0.81
Buildings	105,903,336.54	105,903,336.54	0.00	0.00	100,745,738.28	5,157,598.26	5.12
Total Capital Assets	\$8,717,279,075.97	\$8,717,114,469.95	\$164,606.02	0.00 %	\$8,635,625,110.62	\$81,653,965.35	0.95 %
Total Assets	\$9,196,641,766.25	\$9,198,356,571.81	(\$1,714,805.56)	(0.02)%	\$8,944,734,388.41	\$251,907,377.84	2.82 %
LIABILITIES							
Current Liabilities							
Accounts Payable	7,116,754.70	10,847,942.74	(3,731,188.04)	(34.40)	3,627,965.31	3,488,789.39	96.16
Retention Payable	206,165,310.68	195,891,014.24	10,274,296.44	5.24	101,512,450.38	104,652,860.30	103.09
Other Payables	58,553,701.06	58,053,705.53	499,995.53	0.86	67,614,868.35	(9,061,167.29)	(13.40)
Total Current Liabilities	\$271,835,766.44	\$264,792,662.51	\$7,043,103.93	2.66 %	\$172,755,284.04	\$99,080,482.40	57.35 %
Total Liabilities	\$271,835,766.44	\$264,792,662.51	\$7,043,103.93	2.66 %	\$172,755,284.04	\$99,080,482.40	57.35 %
NET ASSETS							
Capital Equity							
Capital	8,717,279,075.97	8,717,114,469.95	164,606.02	0.00	8,635,625,110.62	81,653,965.35	0.95
Total Capital Equity	\$8,717,279,075.97	\$8,717,114,469.95	\$164,606.02	0.00 %	\$8,635,625,110.62	\$81,653,965.35	0.95 %
Fund Balance							
Reserved Fund Balance	(203,565,939.07)	(193,202,654.25)	(10,363,284.82)	5.36	(98,661,286.26)	(104,904,652.81)	106.33
Unreserved Fund Balance	411,092,862.91	409,652,093.60	1,440,769.31	0.35	235,015,280.01	176,077,582.90	74.92
Total Fund Balance	\$207,526,923.84	\$216,449,439.35	(\$8,922,515.51)	(4.12)%	\$136,353,993.75	\$71,172,930.09	52.20 %
Total Net Assets	\$8,924,805,999.81	\$8,933,563,909.30	(\$8,757,909.49)	(0.10)%	\$8,771,979,104.37	\$152,826,895.44	1.74 %
Total Liabilities and Net Assets	\$9,196,641,766.25	\$9,198,356,571.81	(\$1,714,805.56)	(0.02)%	\$8,944,734,388.41	\$251,907,377.84	2.82 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report are not the same. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
DECEMBER 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	45,591,362.55	46,933,725.99	(1,342,363.44)	(2.86)	286,755,293.12	301,625,214.05	(14,869,920.93)	(4.93)
Federal Reimbursements	23,927,180.87	51,936,729.18	(28,009,548.31)	(53.93)	336,206,655.31	266,448,906.41	69,757,748.90	26.18
Local Revenues	3,013,457.29	2,882,325.94	131,131.35	4.55	23,991,334.16	26,635,225.28	(2,643,891.12)	(9.93)
Other Entities Revenues	634,266.98	298,793.86	335,473.12	112.28	2,902,613.11	3,808,748.66	(906,135.55)	(23.79)
Total Revenue	\$73,166,267.69	\$102,051,574.97	(\$28,885,307.28)	(28.30) %	\$649,855,895.70	\$598,518,094.40	\$51,337,801.30	8.58 %
Expenditures								
Administration	1,226,614.75	2,014,812.98	(788,198.23)	(39.12)	10,397,707.31	11,954,791.26	(1,557,083.95)	(13.02)
Highway Maintenance	9,364,858.84	11,026,370.83	(1,661,511.99)	(15.07)	79,913,724.86	86,756,496.11	(6,842,771.25)	(7.89)
Capital Facilities	1,228,108.01	253,374.94	974,733.07	384.70	4,332,243.00	2,255,154.94	2,077,088.06	92.10
Services and Support	4,976,428.58	3,100,174.99	1,876,253.59	60.52	19,505,283.31	19,520,685.28	(15,401.97)	(0.08)
Construction	48,969,616.49	61,297,250.75	(12,327,634.26)	(20.11)	442,893,717.85	442,562,181.76	331,536.09	0.07
Highway Safety Office	576,086.16	694,744.76	(118,658.60)	(17.08)	2,844,927.28	2,383,140.44	461,786.84	19.38
Public Transit	387,842.74	3,438,101.01	(3,050,258.27)	(88.72)	10,737,617.11	12,365,443.34	(1,627,826.23)	(13.16)
Total Expenditures	\$66,729,555.57	\$81,824,830.26	(\$15,095,274.69)	(18.45) %	\$570,625,220.72	\$577,797,893.13	(\$7,172,672.41)	(1.24) %
Excess Revenue (Expenditures)	\$6,436,712.12	\$20,226,744.71	(\$13,790,032.59)	(68.18) %	\$79,230,674.98	\$20,720,201.27	\$58,510,473.71	282.38 %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

MAPA Bridge Study = General Fund appropriations of \$100,000 were established as part of LB 294 (2019) for a feasibility study to evaluate the potential transportation and economic development benefits of constructing an additional bridge across the Missouri River within a city of the metropolitan class. The unexpended balance of the appropriation was carried over to the current biennium per LB 380 (2021).

**BALANCE SHEET BY FUND
December 2021**

	Mapa Bridge Study 1000	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS										
Cash	(10,214.67)	217,560,245.56	36,401,641.13	127,784,269.62	51,203,101.86	4,102,973.84	1,913,068.62	11,363,978.12	64,019.26	450,383,083.34
Other Current Assets	0.00	28,979,606.94	0.00	0.00	0.00	0.00	0.00	0.00	0.00	28,979,606.94
Capital Assets	0.00	8,717,279,075.97	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,717,279,075.97
TOTAL ASSETS	(\$10,214.67)	\$8,963,818,928.47	\$36,401,641.13	\$127,784,269.62	\$51,203,101.86	\$4,102,973.84	\$1,913,068.62	\$11,363,978.12	\$64,019.26	\$9,196,641,766.25
LIABILITIES										
Current Liabilities	0.00	271,835,766.44	0.00	0.00	0.00	0.00	0.00	0.00	0.00	271,835,766.44
TOTAL LIABILITIES	\$0.00	\$271,835,766.44	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$271,835,766.44
NET ASSETS										
Fund Balance	0.00	185,206,234.99	(201,611,143.15)	88,064,638.81	42,545,386.55	3,717,450.58	1,788,880.76	10,305,123.06	(1,720,322.74)	128,296,248.86
Capital Equity	0.00	8,717,279,075.97	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,717,279,075.97
Accrued Interfund Transfer	5,623.21	(13,552,865.81)	0.00	10,124,128.28	1,357,467.96	1,618.70	51,816.03	555,259.38	1,456,952.25	0.00
Revenues	0.00	348,244,610.18	238,012,784.28	45,089,599.65	15,558,542.19	461,447.18	192,582.81	1,912,175.37	384,154.04	649,855,895.70
Costs	(15,837.88)	(545,193,893.30)	0.00	(15,494,097.12)	(8,258,294.84)	(77,542.62)	(120,210.98)	(1,408,579.69)	(56,764.29)	(570,625,220.72)
TOTAL NET ASSETS	(\$10,214.67)	\$8,691,983,162.03	\$36,401,641.13	\$127,784,269.62	\$51,203,101.86	\$4,102,973.84	\$1,913,068.62	\$11,363,978.12	\$64,019.26	\$8,924,805,999.81
TOTAL LIABILITIES AND NET ASSETS	(\$10,214.67)	\$8,963,818,928.47	\$36,401,641.13	\$127,784,269.62	\$51,203,101.86	\$4,102,973.84	\$1,913,068.62	\$11,363,978.12	\$64,019.26	\$9,196,641,766.25

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
December 2021

The nature of revenue and expenditure trends create a cash flow situation in that typically the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a relatively strong cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY22	JUL	AUG	SEPT	OCT	NOV	DEC	JAN*	FEB	MAR	APR	MAY	JUN*
Revenue	155.0	111.2	112.8	95.6	102.1	73.2						
Expenditures	107.7	106.1	107.4	100.9	81.8	66.7						
Balance	47.3	5.1	5.4	(5.3)	20.3	6.5						
Cumulative Balance	47.3	52.4	57.8	52.5	72.8	79.3						

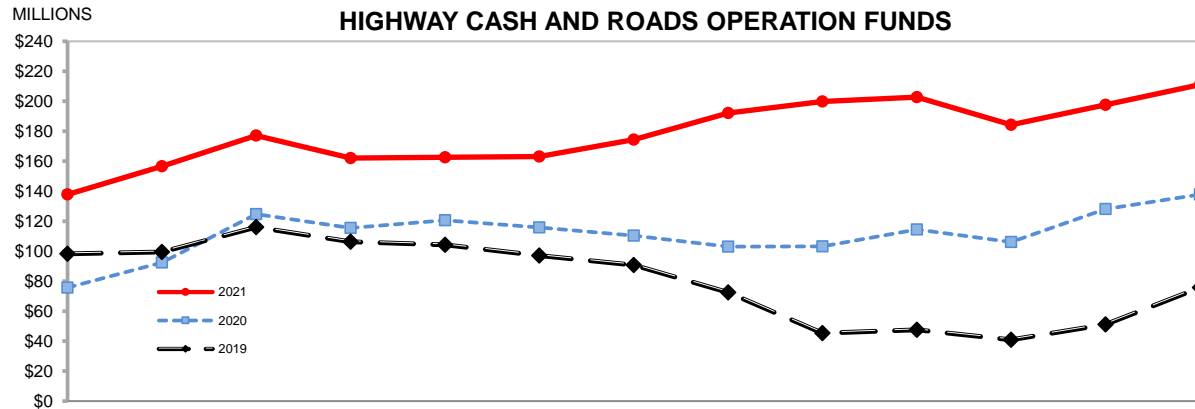
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$471,188.96 in December, with an interest rate of 1.37%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 22	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.48%	1.33%	1.35%	1.58%	1.30%	1.37%								1.40%
Earnings (Thousands)	\$446	\$434	\$476	\$552	\$458	\$471								\$473

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
December 2021
(IN MILLIONS)

Total of all funds available as of December 31st is \$443.7 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$247.3 million on the 30th to a low of \$211.1 million on the 29th.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1	162.1	162.7	163.1	174.5	192.2	199.9	202.7	184.3	197.7	211.1
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6	35.5	41.7	79.9	82.8	88.1	114.4	117.0	119.5	116.6	120.2
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7	48.6	49.5	50.0	48.2	45.1	45.1	45.7	47.0	48.8	50.0
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5	4.6	5.7	5.7	5.3	5.5	5.5	5.5	5.7	5.7	5.8
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2021	10.5	10.8	11.2	11.5	11.6	11.0	10.3	10.5	10.8	11.1	11.3	11.1
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES
December 2021

	<u>ADMINISTRATION 026</u>						<u>301</u>	<u>AIRCRAFT 596</u>		<u>TOTALS</u>
	<u>Admin.</u>	<u>COVID 19</u>	<u>Proj Plan/Mgt</u>	<u>Nav. Aids</u>	<u>Airfields</u>	<u>Pave. Maint.</u>	<u>Capital Projs.</u>	<u>Operations</u>	<u>Aircraft Res.</u>	
REVENUES:										
450000 Taxes	191,949.16									191,949.16
460000 Intergovernmental							150,785.33			150,785.33
470000 Sales & Charges				12,004.94	300.80					12,305.74
480000 Miscellaneous	6,128.19				13,323.50					19,451.69
490000 Other										-
TOTAL REVENUES	198,077.35	-	-	12,004.94	13,624.30	-	150,785.33	-	-	374,491.92
EXPENDITURES:										
510000 Personal Services	49,651.64		46,394.83	26,720.31	13,645.71					136,412.49
520000 Operating Expenses	19,772.21		113,411.90	(376.03)	1,007.27	0.53		11,920.10		145,735.98
570000 Travel Expenses	120.96			1,224.62	74.48			437.22		1,857.28
580000 Capital Outlay										-
590000 Government Aid							4,884,299.19			4,884,299.19
TOTAL EXPENDITURES	69,544.81	-	159,806.73	27,568.90	14,727.46	0.53	4,884,299.19	12,357.32	-	5,168,304.94
Excess (Deficiency) of Revenues Over Expenditures	128,532.54	-	(159,806.73)	(15,563.96)	(1,103.16)	(0.53)	(4,733,513.86)	(12,357.32)	-	(4,793,813.02)
OTHER FINANCING SOURCES (USES):										
Transfers In			159,806.73	15,563.96	1,103.16	0.53			-	
Transfers Out	(176,474.38)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(47,941.84)	-	-	-	-	-	(4,733,513.86)	(12,357.32)	-	(4,793,813.02)
Fund Balance November 30, 2021	1,224,806.82	(2,899.36)	-	-	1,608,749.77	24,012.60	3,709,256.95	25,290.54	1,328,343.87	7,917,561.19
Fund Balance December 31, 2021	1,176,864.98	(2,899.36)	-	-	1,608,749.77	24,012.60	(1,024,256.91)	12,933.22	1,328,343.87	3,123,748.17

**COMBINED SUMMARY OF REVENUES & EXPENDITURES
FISCAL YEAR TO DATE (July 1, 2021 through December 31, 2021)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	862,327.79									862,327.79
460000	Intergovernmental			114,116.02	450.00	70,000.00		17,522,177.89			17,706,743.91
470000	Sales & Charges			16,900.00	78,444.56	25,661.35	45,365.00		3,440.92		169,811.83
480000	Miscellaneous	48,133.03				336,869.06			62,876.11		447,878.20
490000	Other					(2,017.93)					(2,017.93)
TOTAL REVENUES		910,460.82	-	131,016.02	78,894.56	430,512.48	45,365.00	17,522,177.89	66,317.03	-	19,184,743.80
EXPENDITURES:											
510000	Personal Services	231,466.80		231,003.11	118,650.03	58,139.42	12.36				639,271.72
520000	Operating Expenses	89,343.93		162,138.39	20,423.40	59,784.82	20,274.87		82,155.14	1,334.95	435,455.50
570000	Travel Expenses	10,408.00		3,455.98	12,177.44	74.48	1,079.22		1,689.89		28,885.01
580000	Capital Outlay					12,001.69					12,001.69
590000	Government Aid	20,387.91						22,502,161.14			22,522,549.05
TOTAL EXPENDITURES		351,606.64	-	396,597.48	151,250.87	130,000.41	21,366.45	22,502,161.14	83,845.03	1,334.95	23,638,162.97
Excess (Deficiency) of Revenues Over Expenditures		558,854.18	-	(265,581.46)	(72,356.31)	300,512.07	23,998.55	(4,979,983.25)	(17,528.00)	(1,334.95)	(4,453,419.17)
OTHER FINANCING SOURCES (USES):											
	Transfers In			265,581.46	72,356.31		(23,998.55)		17,528.00	-	
	Transfers Out	(331,467.22)									
	Grant \$ transfer	(475,000.00)						475,000.00			
Excess (Deficiency) of Revenues Over Expenditures		(247,613.04)	-	-	-	300,512.07	-	(4,504,983.25)	-	(1,334.95)	(4,453,419.17)
Fund Balance June 30, 2021		987,527.00	(2,899.36)	-	-	1,307,134.54	-	3,955,726.34	-	1,329,678.82	7,577,167.34
Fund Balance December 31, 2021		739,913.96	(2,899.36)	-	-	1,607,646.61	-	(549,256.91)	-	1,328,343.87	3,123,748.17

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	7/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	3.9	0.0
Wholesale Tax ¢	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	7.5	-1.0
Total Tax ¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	27.7¢	-1.0¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This cumulative 6¢ increase included 2¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY22 is 1.8% July through December.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT receives 53 ½ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The NDOT's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from NDOT and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2022 RECEIPTS
AS OF DECEMBER 31, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2021	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$101,667	\$ 7,868	\$ 8,555	\$ 688	8.7%	\$ 52,419	\$ 56,077	\$ 3,658	7.0%
Incremental Fixed	27,111	2,098	2,290	192	9.2%	13,978	15,005	1,027	7.3%
Variable	47,356	4,091	4,465	374	9.1%	27,258	29,260	2,002	7.3%
Wholesale	71,524	5,193	5,668	475	9.1%	35,384	37,985	2,601	7.4%
Subtotal	247,658	19,249	20,978	1,729	9.0%	129,039	138,327	9,288	7.2%
Motor Vehicle Registrations	28,669	1,444	1,447	3	0.2%	12,152	12,347	195	1.6%
Prorate Registrations	12,295	1,578	1,476	(102)	(6.4%)	4,065	4,724	659	16.2%
Subtotal	40,964	3,022	2,923	(99)	(3.3%)	16,217	17,071	854	5.3%
Sales Tax on Motor Vehicles	138,630	10,025	12,289	2,264	22.6%	76,013	82,029	6,016	7.9%
Interest	2,350	160	277	117	73.2%	981	1,717	736	75.0%
Sale of Supplies and Materials	1,300	104	10	(94)	(90.1%)	669	555	(114)	(17.0%)
Sale of Fixed Assets	1,030	18	80	62	342.0%	587	571	(16)	(2.8%)
Excess Limit	3,000	235	235	(0)	(0.0%)	1,532	1,498	(34)	(2.2%)
Overload Fines	780	71	46	(25)	(35.1%)	433	246	(187)	(43.1%)
Other Fees	1,900	317	510	193	61.0%	1,085	1,005	(80)	(7.4%)
SUBTOTAL HIGHWAY CASH FUND	\$ 437,612 (A)	\$ 33,201	\$ 37,348	\$ 4,147	12.5%	\$ 226,556	\$ 243,019	\$ 16,463 (B)	7.3%
Incremental Tax Transfer to TIB Fund	(27,091)	(2,352)	(2,467)	(115)	4.9%	(\$14,289)	(15,224)	(935)	6.5%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 410,521	\$ 30,849	\$ 34,881	\$ 4,032	13.1%	\$ 212,267	\$ 227,795	\$ 15,528	7.3%
State Hwy Capital Impr Fund	83,078	6,654	7,614	960	14.4%	41,739	45,090	3,351	8.0%
Transportation Infrastructure Bank Fund (TIB)	28,091	2,419	2,523	105	4.3%	14,704	15,559	855	5.8%
Grade Crossing Protection Fund	3,060	687	254	(433)	(63.0%)	1,522	654	(868)	(57.0%)
Recreation Road Fund	3,920	250	260	10	4.0%	1,880	1,912	32	1.7%
State Aid Bridge Fund	768	64	64	0	0.0%	384	384	0	0.0%
TOTAL STATE RECEIPTS	\$ 529,438	\$ 40,923	\$ 45,596	\$ 4,673	11.4%	\$ 272,496	\$ 291,394	\$ 18,898	6.9%
Federal Receipts									
FHWA	362,300	20,000	30,234	10,234	51.2%	239,560	320,762	81,202	33.9%
Transit	9,000	294	2,227	1,933	657.4%	3,870	9,280	5,410	139.8%
Highway Safety	5,500	838	688	(150)	(17.9%)	2,801	2,248	(553)	(19.7%)
Subtotal-Federal Receipts	376,800	21,132	33,149	12,017	56.9%	246,231	332,290	86,059	35.0%
Local Receipts	15,000	705	5,113	4,408	625.2%	10,774	14,922	4,148	38.6%
Other Entities	6,000	954	172	(782)	(81.9%)	3,641	2,926	(715)	(19.7%)
TOTAL DEPARTMENT RECEIPTS	\$ 927,238	\$ 63,714	\$ 84,029	\$ 20,315	31.9%	\$ 533,142	\$ 641,532	\$ 108,390	20.3%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS

(A) Total Projected Receipts as of June 11, 2021	\$ 437,612
(B) Receipts Over/(Under) Projection To Date	16,463
Previous year's receipts over appropriation	40,732
Total Modified Projected Receipts	\$ 494,807
Highway Cash Fund Appropriation	\$ 477,000
Projected Receipts Over / (Under) Appropriation	17,807
% Variance From Appropriation	3.7%

** Numbers may not add due to rounding.

** Projections are updated semiannually in December and June.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
 December 2021

FISCAL YEAR 2022
 Period Expired 50.00%
 Pay Period Ending 12/5/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	112,699,727.71	7,726,024.53	46,638,108.42	66,061,619.29	41.38%	0.00
Temporary Salaries	2,111,287.82	83,421.47	924,651.26	1,186,636.56	43.80%	0.00
Overtime	6,861,898.22	382,286.22	2,937,172.12	3,924,726.10	42.80%	0.00
Employee Benefits	39,726,396.86	3,106,200.91	18,636,271.41	21,090,125.45	46.91%	0.00
SUBTOTAL: Personal Services	\$161,399,310.61	\$11,297,933.13	\$69,136,203.21	\$92,263,107.40	42.84%	\$0.00
Operating Expenses						
Utilities	3,674,331.00	292,150.52	1,533,826.58	2,140,504.42	41.74%	0.00
Rentals	982,423.92	75,999.78	505,227.88	477,196.04	51.43%	2,600.00
Repairs & Maintenance	11,223,285.00	1,016,663.10	5,335,425.07	5,887,859.93	47.54%	1,909,310.82
Maintenance Contracts	13,582,450.71	952,319.39	6,638,023.09	6,944,427.62	48.87%	27,368,869.28
Engineering Contracts	34,915,806.82	2,020,746.09	10,868,271.57	24,047,535.25	31.13%	35,439,805.76
Contractual Services	41,969,264.75	463,088.15	4,789,090.07	37,180,174.68	11.41%	12,312,345.80
Technology Expenses	26,556,860.51	1,153,871.13	10,166,969.89	16,389,890.62	38.28%	23,189,528.93
Other Operating Expenses	5,873,184.50	113,161.55	3,264,991.42	2,608,193.08	55.59%	190,513.89
SUBTOTAL: Operating Expenses	\$138,777,607.21	\$6,087,999.71	\$43,101,825.57	\$95,675,781.64	31.06%	\$100,412,974.48
Supplies and Materials						
General Supplies & Materials	1,881,509.36	84,257.84	888,514.58	992,994.78	47.22%	778,391.79
Maint & Const Materials	65,757,170.51	1,878,553.84	30,839,294.60	34,917,875.91	46.90%	26,385.42
Automotive Supplies & Materials	16,327,425.00	1,331,536.26	7,690,813.18	8,636,611.82	47.10%	0.00
SUBTOTAL: Supplies and Materials	\$83,966,104.87	\$3,294,347.94	\$39,418,622.36	\$44,547,482.51	46.95%	\$804,777.21
Travel						
In State Travel	877,184.00	38,516.84	365,789.29	511,394.71	41.70%	0.00
Out of State Travel	277,711.00	5,724.14	52,602.50	225,108.50	18.94%	0.00
SUBTOTAL: Travel	\$1,154,895.00	\$44,240.98	\$418,391.79	\$736,503.21	36.23%	\$0.00
Capital Outlay						
Land	16,500,000.00	1,109,762.74	2,024,516.04	14,475,483.96	12.27%	0.00
Hwy. Constr. - Contract Pymt.	549,018,207.30	32,280,111.69	339,092,394.30	209,925,813.00	61.76%	1,154,611,913.39
Buildings	28,129,339.38	1,147,991.82	3,543,884.33	24,585,455.05	12.60%	2,920,216.41
Heavy Equipment and Vehicles	26,241,169.00	1,280,598.08	6,379,929.30	19,861,239.70	24.31%	8,775,042.83
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,410,950.00	33,544.67	327,099.14	1,083,850.86	23.18%	509,065.00
SUBTOTAL: Capital Outlay	\$621,399,665.68	\$35,852,009.00	\$351,367,823.11	\$270,031,842.57	56.54%	\$1,166,816,237.63
Government Aid & Distr						
Public Transit Aid	32,572,122.15	1,244,200.20	10,494,641.65	22,077,480.50	32.22%	44,748,163.59
Highway Safety Office	5,200,000.00	556,987.79	2,659,346.21	2,540,653.79	51.14%	5,226,311.24
Other Government Aid	100,300,000.00	8,351,836.82	54,028,366.82	46,271,633.18	53.87%	87,456,799.84
SUBTOTAL: Government Aid & Distr	\$138,072,122.15	\$10,153,024.81	\$67,182,354.68	\$70,889,767.47	48.66%	\$137,431,274.67
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$1,144,769,705.52	\$66,729,555.57	\$570,625,220.72	\$574,144,484.80	49.85%	\$1,405,465,263.99

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
December 2021

FISCAL YEAR 2022
 Period Expired 50.00%
 Pay Period Ending 12/5/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	20,838,143.97	1,225,046.11	10,364,080.52	10,474,063.45	49.74%	76,881.80
Boards & Commissions	50,000.00	1,568.64	33,626.79	16,373.21	67.25%	0.00
SUBTOTAL: Administration	\$20,888,143.97	\$1,226,614.75	\$10,397,707.31	\$10,490,436.66	49.78%	\$76,881.80
Service and Support						
Charges to Others	1,100,000.00	19,914.94	663,237.07	436,762.93	60.29%	47,990.59
Deficiency Claims	17,184.00	0.00	26,290.00	(9,106.00)	152.99%	0.00
Supply Base/Inventories	1,000,000.00	382,214.97	815,940.93	184,059.07	81.59%	365,170.46
Building Operations	6,500,000.00	1,511,115.57	5,868,313.11	631,686.89	90.28%	3,248,234.33
Business Technology Services	18,063,023.32	890,340.04	7,339,082.80	10,723,940.52	40.63%	14,097,476.76
Support Centers	7,622,499.30	22,274.09	258,026.50	7,364,472.80	3.39%	0.00
Payroll Clearing	626,525.00	2,150,568.97	4,534,392.90	(3,907,867.90)	723.74%	2,050.00
SUBTOTAL: Service and Support	\$34,929,231.62	\$4,976,428.58	\$19,505,283.31	\$15,423,948.31	55.84%	\$17,760,922.14
Capital Facilities						
Capital Facilities	26,348,346.20	1,228,108.01	4,332,243.00	22,016,103.20	16.44%	2,859,749.89
SUBTOTAL: Capital Facilities	\$26,348,346.20	\$1,228,108.01	\$4,332,243.00	\$22,016,103.20	16.44%	\$2,859,749.89
Highway Maintenance						
System Preservation	55,789,176.96	1,269,742.90	34,266,109.60	21,523,067.36	61.42%	995,987.35
Operations	42,000,000.00	3,586,507.89	20,577,210.77	21,422,789.23	48.99%	25,399,005.60
Snow and Ice Control	43,000,000.00	889,262.77	6,732,654.96	36,267,345.04	15.66%	966,562.64
Unusual & Disaster Oper	1,500,000.00	210,049.47	624,305.00	875,695.00	41.62%	2,516,798.36
Equipment Operations	23,000,000.00	1,937,982.33	8,973,661.39	14,026,338.61	39.02%	8,866,316.13
Indirect Charges	25,177,324.66	1,471,313.48	8,739,783.14	16,437,541.52	34.71%	511,665.00
SUBTOTAL: Highway Maintenance	\$190,466,501.62	\$9,364,858.84	\$79,913,724.86	\$110,552,776.76	41.96%	\$39,256,335.08
Highway Construction						
Preliminary Engineering	53,250,000.00	3,128,327.50	18,337,321.36	34,912,678.64	34.44%	24,438,920.52
Right-Of-Way	15,000,000.00	737,212.44	2,321,942.49	12,678,057.51	15.48%	165,061.87
Construction	558,524,728.30	32,829,675.75	341,996,171.37	216,528,556.93	61.23%	1,154,766,826.01
Construction Engineering	25,000,000.00	1,988,614.47	14,772,547.51	10,227,452.49	59.09%	2,864,897.91
SUBTOTAL: Highway Construction	\$651,774,728.30	\$38,683,830.16	\$377,427,982.73	\$274,346,745.57	57.91%	\$1,182,235,706.31
Construction Related Expense						
Overhead	25,959,898.66	1,019,533.73	7,218,623.99	18,741,274.67	27.81%	16,013,552.47
Planning & Research	12,056,000.00	557,087.65	5,146,115.83	6,909,884.17	42.69%	13,461,472.89
Local Systems	144,548,833.00	7,816,384.07	53,100,995.30	91,447,837.70	36.74%	83,826,168.58
Highway Safety Office	5,220,250.00	576,086.16	2,844,927.28	2,375,322.72	54.50%	5,226,311.24
Public Transportation Asst	32,577,772.15	1,280,623.62	10,737,617.11	21,840,155.04	32.96%	44,748,163.59
SUBTOTAL: Construction Related Expense	\$220,362,753.81	\$11,249,715.23	\$79,048,279.51	\$141,314,474.30	35.87%	\$163,275,668.77
AGENCY TOTAL	\$1,144,769,705.52	\$66,729,555.57	\$570,625,220.72	\$574,144,484.80	49.85%	\$1,405,465,263.99

**PROGRAM STATUS REPORT
BUSINESS MONTH - DECEMBER 2021**

FISCAL YEAR 2022
Period Expired 50.0%
Pay Period Ending 12/05/2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	665,257.56	2,812,549.54	0.00	1,913,132.72	1,749,024.75	586,059.96	7,726,024.53
Temporary Salaries	2,007.23	7,442.63	0.00	53,028.13	11,758.36	9,185.12	83,421.47
Overtime	2,760.83	109,823.45	0.00	104,377.58	159,324.19	6,000.17	382,286.22
Employee Benefits	0.00	3,106,200.91	0.00	0.00	0.00	0.00	3,106,200.91
SUBTOTAL: Personal Services	\$670,025.62	\$6,036,016.53	\$0.00	\$2,070,538.43	\$1,920,107.30	\$601,245.25	\$11,297,933.13
Operating Expenses							
Utilities	0.00	182,596.30	0.00	108,603.47	904.61	46.14	292,150.52
Rentals	1,244.64	13,153.53	0.00	61,288.27	0.00	313.34	75,999.78
Repairs & Maintenance	270.00	271,104.73	0.00	745,288.37	0.00	0.00	1,016,663.10
Maintenance Contracts	0.00	2,760.00	0.00	949,559.39	0.00	0.00	952,319.39
Engineering Contracts	0.00	10,198.40	(16,627.66)	0.00	1,800,012.08	227,163.27	2,020,746.09
Contractual Services	45,021.10	145,634.25	0.00	198,397.66	19,277.68	54,757.46	463,088.15
Technology Expenses	125.77	655,381.11	0.00	362,549.54	2,149.11	133,665.60	1,153,871.13
Other Operating Expenses	43,821.61	24,695.28	0.00	(4,918.98)	683.17	48,880.47	113,161.55
SUBTOTAL: Operating Expenses	\$90,483.12	\$1,305,523.60	(\$16,627.66)	\$2,420,767.72	\$1,823,026.65	\$464,826.28	\$6,087,999.71
Supplies and Materials							
General Supplies & Materials	35,230.67	(1,889.67)	14,806.85	30,888.93	0.00	5,221.06	84,257.84
Maint & Const Materials	3,824.46	59,014.44	0.00	1,786,206.84	1,898.03	27,610.07	1,878,553.84
Automotive Supplies & Materials	0.00	390,010.89	0.00	941,525.37	0.00	0.00	1,331,536.26
SUBTOTAL: Supplies and Materials	\$39,055.13	\$447,135.66	\$14,806.85	\$2,758,621.14	\$1,898.03	\$32,831.13	\$3,294,347.94
Travel							
In State Travel	12,724.39	4,260.32	0.00	1,902.99	6,814.01	12,815.13	38,516.84
Out of State Travel	0.00	6,555.41	0.00	(831.27)	0.00	0.00	5,724.14
SUBTOTAL: Travel	\$12,724.39	\$10,815.73	\$0.00	\$1,071.72	\$6,814.01	\$12,815.13	\$44,240.98
Capital Outlay							
Land	0.00	311,823.91	195,337.00	0.00	602,601.83	0.00	1,109,762.74
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	(163,129.99)	32,443,241.68	0.00	32,280,111.69
Buildings	0.00	113,400.00	1,034,591.82	0.00	0.00	0.00	1,147,991.82
Heavy Equipment and Vehicles	0.00	0.00	0.00	1,280,598.08	0.00	0.00	1,280,598.08
Specialty Equipment	0.00	0.00	0.00	0.00	0.00	33,544.67	33,544.67
SUBTOTAL: Capital Outlay	\$0.00	\$425,223.91	\$1,229,928.82	\$1,117,468.09	\$33,045,843.51	\$33,544.67	\$35,852,009.00
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	1,244,200.20	1,244,200.20
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	556,987.79	556,987.79
Other Government Aid	0.00	0.00	0.00	0.00	453,837.00	7,897,999.82	8,351,836.82
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$453,837.00	\$9,699,187.81	\$10,153,024.81
Internal Redistributions							
Redistribution	414,326.49	(3,248,286.85)	0.00	996,391.74	1,432,303.66	405,264.96	0.00
SUBTOTAL: Internal Redistributions	\$414,326.49	(\$3,248,286.85)	\$0.00	\$996,391.74	\$1,432,303.66	\$405,264.96	\$0.00
GRAND TOTAL:	\$1,226,614.75	\$4,976,428.58	\$1,228,108.01	\$9,364,858.84	\$38,683,830.16	\$11,249,715.23	\$66,729,555.57

PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - DECEMBER 2021

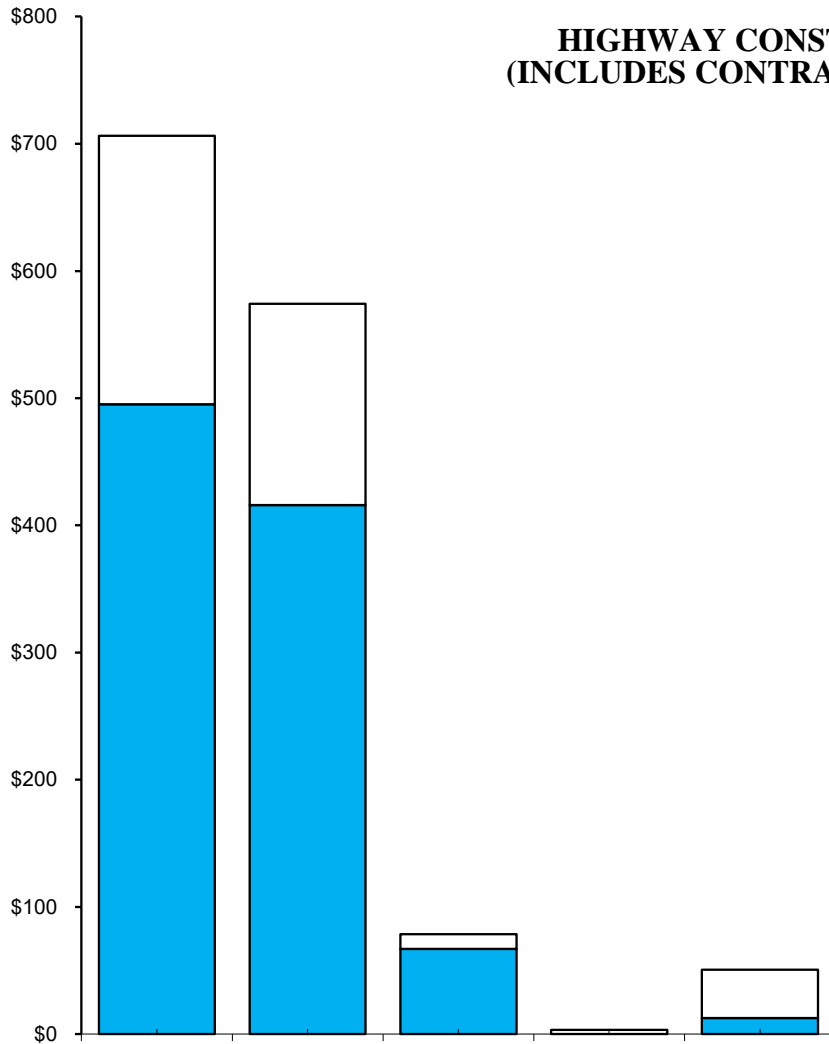
FISCAL YEAR 2022
 Period Expired 50.0%
 Pay Period Ending 12/05/2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	4,666,366.90	11,918,408.83	0.00	13,445,623.54	12,657,225.23	3,950,483.92	46,638,108.42
Temporary Salaries	13,861.51	55,859.96	0.00	644,730.59	119,420.79	90,778.41	924,651.26
Overtime	15,262.30	410,937.05	0.00	886,092.12	1,568,259.38	56,621.27	2,937,172.12
Employee Benefits	0.00	18,636,271.41	0.00	0.00	0.00	0.00	18,636,271.41
SUBTOTAL: Personal Services	\$4,695,490.71	\$31,021,477.25	\$0.00	\$14,976,446.25	\$14,344,905.40	\$4,097,883.60	\$69,136,203.21
Operating Expenses							
Utilities	0.00	940,532.88	0.00	588,283.43	4,733.43	276.84	1,533,826.58
Rentals	7,857.17	44,508.47	0.00	451,973.90	575.00	313.34	505,227.88
Repairs & Maintenance	7,215.58	1,256,411.85	0.00	4,008,711.97	2,971.29	60,114.38	5,335,425.07
Maintenance Contracts	0.00	138,767.43	0.00	6,499,255.66	0.00	0.00	6,638,023.09
Engineering Contracts	0.00	(71,497.10)	417,559.50	48,676.19	8,819,032.59	1,654,500.39	10,868,271.57
Contractual Services	382,635.07	903,536.01	0.00	1,275,458.74	266,095.26	1,961,364.99	4,789,090.07
Technology Expenses	1,421,487.39	5,568,841.64	0.00	1,191,501.00	894,929.99	1,090,209.87	10,166,969.89
Other Operating Expenses	388,698.48	1,208,900.39	18.00	1,339,287.48	6,601.45	321,485.62	3,264,991.42
SUBTOTAL: Operating Expenses	\$2,207,893.69	\$9,990,001.57	\$417,577.50	\$15,403,148.37	\$9,994,939.01	\$5,088,265.43	\$43,101,825.57
Supplies and Materials							
General Supplies & Materials	562,447.14	59,293.66	36,773.34	200,298.23	50.24	29,651.97	888,514.58
Maint & Const Materials	18,894.84	516,898.50	0.00	29,805,888.31	338,638.67	158,974.28	30,839,294.60
Automotive Supplies & Materials	0.00	1,036,601.65	0.00	6,654,144.85	0.00	66.68	7,690,813.18
SUBTOTAL: Supplies and Materials	\$581,341.98	\$1,612,793.81	\$36,773.34	\$36,660,331.39	\$338,688.91	\$188,692.93	\$39,418,622.36
Travel							
In State Travel	78,786.40	86,668.23	0.00	10,228.74	88,815.10	101,290.82	365,789.29
Out of State Travel	1,857.58	49,061.42	0.00	0.00	288.00	1,395.50	52,602.50
SUBTOTAL: Travel	\$80,643.98	\$135,729.65	\$0.00	\$10,228.74	\$89,103.10	\$102,686.32	\$418,391.79
Capital Outlay							
Land	0.00	(112,316.92)	619,752.83	0.00	1,516,140.13	940.00	2,024,516.04
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	(566,834.80)	339,659,229.10	0.00	339,092,394.30
Buildings	0.00	285,745.00	3,258,139.33	0.00	0.00	0.00	3,543,884.33
Heavy Equipment and Vehicles	0.00	0.00	0.00	6,379,929.30	0.00	0.00	6,379,929.30
Specialty Equipment	0.00	9,105.00	0.00	58,455.47	174,557.00	84,981.67	327,099.14
SUBTOTAL: Capital Outlay	\$0.00	\$182,533.08	\$3,877,892.16	\$5,871,549.97	\$341,349,926.23	\$85,921.67	\$351,367,823.11
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	10,494,641.65	10,494,641.65
Highway Safety Office	0.00	(861.75)	0.00	0.00	0.00	2,660,207.96	2,659,346.21
Other Government Aid	0.00	0.00	0.00	0.00	460,719.88	53,567,646.94	54,028,366.82
SUBTOTAL: Government Aid & Distr	\$0.00	(\$861.75)	\$0.00	\$0.00	\$460,719.88	\$66,722,496.55	\$67,182,354.68
Internal Redistributions							
Redistribution	2,832,336.95	(23,436,390.30)	0.00	6,992,020.14	10,849,700.20	2,762,333.01	0.00
SUBTOTAL: Internal Redistributions	\$2,832,336.95	(\$23,436,390.30)	\$0.00	\$6,992,020.14	\$10,849,700.20	\$2,762,333.01	\$0.00
GRAND TOTAL:	\$10,397,707.31	\$19,505,283.31	\$4,332,243.00	\$79,913,724.86	\$377,427,982.73	\$79,048,279.51	\$570,625,220.72

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
 December 2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	728,147.14	56,025.14	354,944.61	373,202.53	48.75%	0.00
140 - LEGAL	1,229,535.40	96,178.19	791,034.30	438,501.10	64.34%	171,636.73
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,486,736.16	180,792.65	1,059,829.14	1,426,907.02	42.62%	151,012.43
SUBTOTAL: OFFICE OF THE DIRECTOR	\$4,444,418.70	\$332,995.98	\$2,205,808.05	\$2,238,610.65	49.63%	\$322,649.16
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,113,849.11	169,634.70	1,030,204.47	1,083,644.64	48.74%	0.00
250 - STRATEGIC PLANNING DIVISION	3,412,005.83	219,294.62	1,339,728.93	2,072,276.90	39.27%	797,012.55
320 - BRIDGE DIVISION	7,151,605.84	819,408.58	3,413,717.59	3,737,888.25	47.73%	1,487,913.01
340 - TRAFFIC ENGINEERING DIVISION	4,476,628.10	281,171.51	2,020,752.31	2,455,875.79	45.14%	508,647.91
350 - RIGHT OF WAY DIVISION	4,963,079.13	389,636.20	2,179,524.97	2,783,554.16	43.91%	84,030.08
360 - PROJECT DEVELOPMENT DIVISION	14,286,870.66	1,032,665.62	5,149,339.47	9,137,531.19	36.04%	9,477,587.14
370 - ROADWAY DESIGN DIVISION	24,779,050.91	1,178,550.47	8,592,591.58	16,186,459.33	34.68%	14,803,481.00
420 - PROGRAM MANAGEMENT DIVISION	1,435,953.47	90,576.22	603,118.33	832,835.14	42.00%	78,160.48
580 - LOCAL ASSISTANCE DIVISION	2,962,264.89	150,673.96	1,185,700.95	1,776,563.94	40.03%	1,311,143.92
SUBTOTAL: OFFICE OF ENGINEERING	\$65,581,307.94	\$4,331,611.88	\$25,514,678.60	\$40,066,629.34	38.91%	\$28,547,976.09
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,285,093.83	176,531.78	920,056.04	1,365,037.79	40.26%	2,050.00
260 - OPERATIONS DIVISION	16,645,525.24	1,196,798.35	7,168,093.95	9,477,431.29	43.06%	9,776,696.97
280 - BUSINESS TECH SUPPORT DIVISION	27,569,908.07	1,169,923.34	11,248,904.52	16,321,003.55	40.80%	23,967,920.72
380 - CONSTRUCTION DIVISION	2,990,404.82	217,247.18	1,346,175.19	1,644,229.63	45.02%	1,112.50
390 - MATERIALS & RESEARCH DIVISION	12,853,834.73	643,743.54	4,616,437.83	8,237,396.90	35.91%	8,549,870.07
610 - DISTRICT 1	33,947,496.11	2,238,872.95	18,381,594.69	15,565,901.42	54.15%	5,745,897.15
620 - DISTRICT 2	23,279,072.08	1,709,346.73	9,901,560.29	13,377,511.79	42.53%	6,658,882.94
630 - DISTRICT 3	32,110,108.72	1,989,909.10	16,132,174.10	15,977,934.62	50.24%	2,937,808.29
640 - DISTRICT 4	33,260,781.82	2,100,272.34	15,738,708.46	17,522,073.36	47.32%	5,225,364.77
650 - DISTRICT 5	23,779,814.81	1,391,647.63	10,466,771.08	13,313,043.73	44.02%	2,644,560.50
660 - DISTRICT 6	26,133,919.86	1,528,202.63	12,612,752.14	13,521,167.72	48.26%	7,046,955.58
670 - DISTRICT 7	17,553,092.95	973,910.29	8,279,135.62	9,273,957.33	47.17%	3,524,850.57
680 - DISTRICT 8	16,205,641.80	806,380.76	8,615,226.72	7,590,415.08	53.16%	3,268,050.77
SUBTOTAL: OFFICE OF OPERATIONS	\$268,614,694.84	\$16,142,786.62	\$125,427,590.63	\$143,187,104.21	46.69%	\$79,350,020.83
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	306,507.51	268,382.67	(268,382.67)	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,039,117.00	834,755.86	2,455,483.80	(1,416,366.80)	236.30%	47,771.03
904 - TRANSPORTATION CAPITAL	805,090,167.04	44,780,897.72	414,753,276.97	390,336,890.07	51.52%	1,297,196,846.88
SUBTOTAL: BUDGETARY CONTROL	\$806,129,284.04	\$45,922,161.09	\$417,477,143.44	\$388,652,140.60	51.79%	\$1,297,244,617.91
AGENCY TOTAL	\$1,144,769,705.52	\$66,729,555.57	\$570,625,220.72	\$574,144,484.80	49.85%	\$1,405,465,263.99

**FY-2022
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2022 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2022 PROJECTS	
7/22/2021	41.11				41.11
8/26/2021	121.26			4.62	125.88
9/30/2021	48.97	66.87		2.54	118.38
10/21/2021	51.42			0.25	51.67
11/18/2021	90.01				90.01
12/16/2021	63.07			5.18	68.25
2/10/2022					
3/3/2022					
4/7/2022					
5/12/2022					
6/16/2022					
	415.84	66.87	0.00	12.59	495.30

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/22/2021	1.47	6.93	21.43		1.37	0.76	9.15		41.11
8/26/2021	5.43	74.20	5.51	14.40	6.44	12.40	5.20	2.30	125.88
9/30/2021	1.99	59.60	0.40	4.36	7.27	13.61	14.42	16.73	118.38
10/21/2021	1.83	1.68	1.91	16.20	0.43	25.89		3.73	51.67
11/18/2021	15.62	0.11	12.01	5.90	43.61	8.34	4.39	0.03	90.01
12/16/2021	12.16	16.20	15.79	24.10					68.25
2/10/2022									
3/3/2022									
4/7/2022									
5/12/2022									
6/16/2022									
	38.50	158.72	57.05	64.96	59.12	61.00	33.16	22.79	495.30

	State System				Local System
	Total Letting(1)	FY-2022 Program (2)	Prior Year Projects (3)	Advanced Projects	FY-2022 Program (4)
% Let to Date	70.1%	72.4%	85.1%	0.0%	25.0%
Actual \$ Let	495.30	415.84	66.87	0.00	12.59
Projected \$ Remaining	211.07	158.37	11.70	3.15	37.85
Total	\$706.37	\$574.21	\$78.57	\$3.15	\$50.44

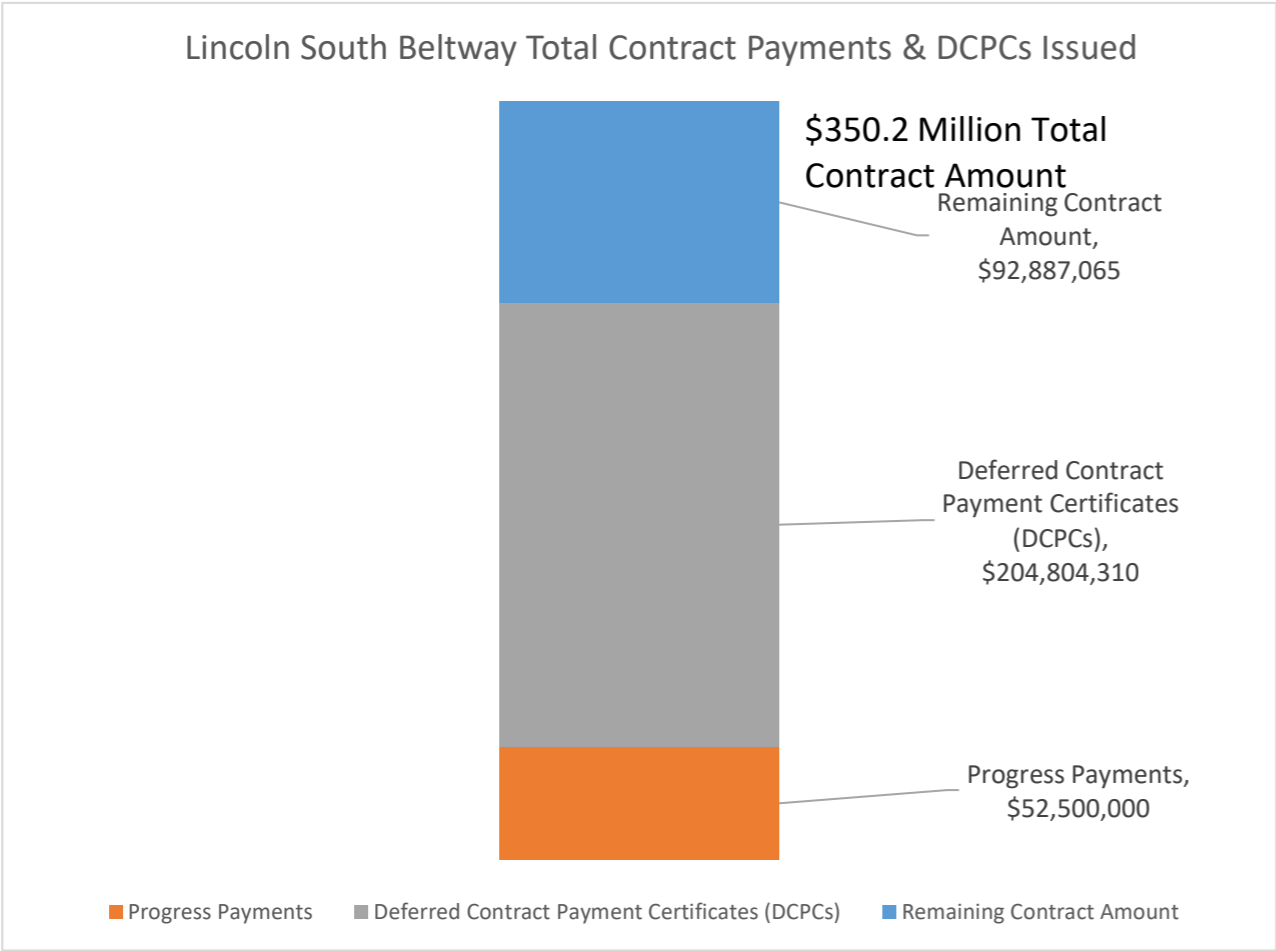
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2022 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of December 31, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through December 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through December 2021)

Remaining Contract Amount	\$92,887,065
Progress Payments	\$52,500,000
Deferred Contract Payment Certificates (DCPCs)	\$204,804,310

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through December 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$29,877,875
FY 2028	\$29,875,000
FY 2029	\$29,882,563
FY 2030	\$10,466,372
Total DCPCs to date	\$204,804,310

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Fixing America's Surface Transportation = FAST														
All data per preliminary tables prior to all set asides and penalties.														
Federal Trust Fund	Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment		Fiscal 2022 Apportionment	
	Apportionment Type	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National
National Hwy Perf Prog (NHPP)	20,895	161.392	22,828	164.017	21,855	167.506	22,134	171.617	22,820	174.622	22,811	173.531	1,899	14.762
Surface Transportation Block Grant	10,812	81.732	10,589	83.247	11,219	85.196	11,432	87.186	11,704	88.896	11,717	88.296	993	7.513
STP - Bridge Off System		-		3.777		3.777		3.777		3.777		3.777		0.321
STP - Flexible - Any Area		33.470		33.379		33.456		33.508		33.412		33.159		2.822
STP - MAPA - Omaha		13.935		14.468		15.092		15.733		16.338		16.227		1.381
STP - LCLC - Lincoln		5.492		5.702		5.948		6.200		6.439		6.395		0.544
STP - 5,001 to 200,000 Population		7.659		7.952		8.295		8.647		8.979		8.919		0.759
STP - 5,000 and Less Population		11.682		12.130		12.652		13.190		13.697		13.604		1.157
Highway Planning		4.288		4.379		4.482		4.598		4.691		4.661		0.397
Research		1.429		1.494		1.494		1.533		1.563		1.554		0.132
Transportation Alternatives (TAP)	835	0.418	751	5.677	766	5.800	766	5.800	767	5.801	768	5.801	65	0.493
Recreational Trails	84	0.102	84	1.217	83	1.215	83	1.217	83	1.217	82	1.217	7	0.102
Highway Safety Improvement Prog	2,059	12.655	2,275	14.910	2,272	15.221	2,312	15.566	2,359	15.819	2,359	15.713	200	1.337
Rail-Highway Crossings	350	5.702	230	3.692	235	3.767	240	3.834	245	3.900	245	3.883	21	0.330
Congestion Mitigation & Air Qual CMAQ	2,263	10.043	2,360	10.200	2,355	10.411	2,393	10.637	2,446	10.812	2,444	10.744	188	0.914
Metropolitan Planning	329	1.651	336	1.673	343	1.711	350	1.754	358	1.788	358	1.777	30	0.151
National Freight Program	1,117	8.270	1,091	7.860	1,196	8.588	1,341	9.694	1,488	10.730	1,489	10.663	124	0.907
Redistribution - Certain Authorizations		0.874		0.968		51	0.376		61	0.451		45	0.327	
Redistribution - TIFIA														
Sub-Total Core Funds	\$38,744	\$282.839	\$40,544	\$293.461	\$40,375	\$299.791	\$41,112	\$307.756	\$42,315	\$313.912	\$42,328	\$312.023	\$3,527	\$26.509
National Highway Perf Exempt	639	4.524	595	4.489	597	4.512	599	4.546	601	4.543	603	4.524	51	0.385
Others & Ext of Alloc Programs		1.274												
Total	\$39,383	\$288.637	\$41,139	\$297.950	\$40,972	\$304.303	\$41,711	\$312.302	\$42,916	\$318.455	\$42,931	\$316.547	\$3,578	\$26.894
Obligation Authority														
Core Formula Obligation Limitation	37,015	273.728	40,548	271.600	44,234	274.849	36,629	277.028	46,365	284.111	46,365	277.251	3,938	23.585
August Redistribution	2,833	19.000	3,137	31.224	4,184	32.000	3,972	34.443	4,762	45.000	4,178	20.000		
Total Annual Obligation Authority	\$39,848	292.728	\$43,685	302.824	\$48,418	306.849	\$40,601	311.471	\$51,127	329.111	\$50,543	297.251	\$3,938	23.585

Footnotes:

To date, NDOT has not received their full obligation authority for fiscal year 2022.

**STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2022
DECEMBER 31, 2021**

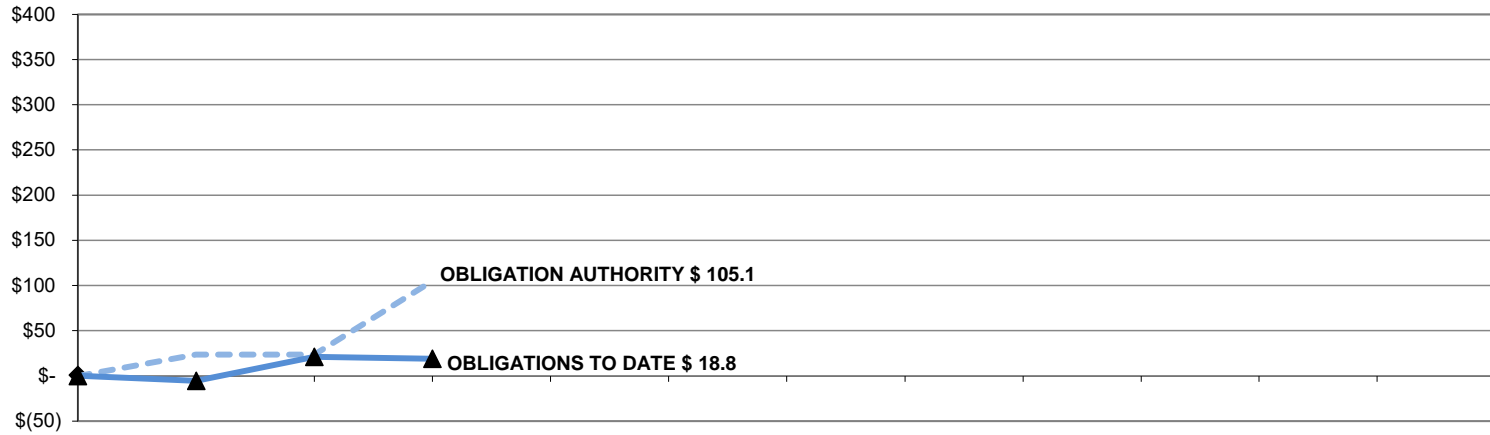
APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS		CURRENT	ADVANCED		
	BALANCE 9/30/2021	FY-2022 APPORT ^(B)	ADJ & SPECIAL APPORT	TOTAL	APPORT BALANCE	CONSTRUCTION COMMITTED	UNPAID OBLIGATIONS	
National Hwy Perf Prog (NHPP)	3,241,403	14,762,092	-	18,003,495	15,958,888	2,044,607	168,236,488	88,224,259
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	45,991
STP - Bridge Off System	432,195	320,808	-	753,003	141,899	611,104	-	2,850,860
STP - Flexible - Any Area	2,715,801	2,821,784	-	5,537,585	1,012,015	4,525,570	85,900,494	55,961,108
STP - MAPA - Omaha	13,611,522	1,380,594	-	14,992,116	1,740,982	13,251,134	23,322,866	30,833,660
STP - LCLC - Lincoln	13,546,212	544,107	-	14,090,319	(72,359)	14,162,678	73,093	3,687,067
STP - 5,001 to 200,000 Pop	43,101,577	758,794	-	43,860,371	(207,727)	44,068,098	8,000	1,372,013
STP - 5,000 & Less Population	141	1,157,451	-	1,157,592	(233,810)	1,391,402	-	6,048,421
Congestion Mitigation & Air Qual	1,059,007	914,002	-	1,973,009	1,863,675	109,334	-	11,848,970
Highway Safety Improvemt Prog	15,027,981	1,336,977	-	16,364,958	(4,150,181)	20,515,139	1,915,919	20,095,085
Rail-Hwy - Hazard Elimination	553,194	165,013	-	718,207	-	718,207	2,355,797	2,338,158
Rail-Hwy - Protection Devices	9,682,510	165,013	-	9,847,523	(546,876)	10,394,400	-	2,322,695
Highway Planning	3,618,064	396,548	17,143	4,031,755	-	4,031,755	2,089	6,611,438
Research	11,643	132,183	133,000	276,826	-	276,826	141,795	5,760,564
Metropolitan Planning	570,910	151,125	-	722,035	(75,462)	797,496	-	2,136,679
National Hwy Freight Program	-	907,113	-	907,113	-	907,113	-	11,452,743
TAP - Flex	2,993,838	246,324	-	3,240,162	(53,216)	3,293,378	-	755,404
TAP - >200,000 Population	2,352,479	123,433	-	2,475,912	(259,465)	2,735,377	-	866,989
TAP - 5,001 to 200,000 Pop	643,636	48,662	-	692,298	-	692,298	-	446,722
TAP - 5,000 and Less Population	1,734,022	74,229	-	1,808,251	25,696	1,782,555	-	507,220
Recreational Trails	2,394,934	102,361	-	2,497,295	(70,587)	2,567,882	-	3,308,375
Enhancement	266,366	-	-	266,366	-	266,366	-	93,478
Safe Routes to School Prog	200,493	-	-	200,493	(15,358)	215,851	-	28,121
Redistribution - Certain Auth.	-	1,034	-	1,034	-	1,034	-	7,010,275
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	6,321,300	-	-	6,321,300	3,720,868	2,600,432	-	4,885,522
Other	-	-	-	-	-	-	-	23,514
Total Formula Funds	\$ 124,079,228	\$ 26,509,647	\$ 150,143	\$ 150,739,018	\$ 18,778,983	\$ 131,960,035	\$ 281,956,541	\$ 269,515,330
Allocated/Discretionary Funds	60,400	-	(953)	59,447	-	59,447	-	2,970,470
Total Subject to Annual Obligation Limits	\$ 124,139,628	\$ 26,509,647	\$ 149,190	\$ 150,798,464	\$ 18,778,983	\$ 132,019,481	\$ 281,956,541	\$ 272,485,800
Special Limit/Allocated Exempt	77,166,733	384,824	(833,492)	76,718,065	14,749,224	61,721,285	7,960,003	91,842,915
Equity Bonus	-	-	-	-	-	-	-	-
GRAND TOTAL	\$ 201,306,361	\$ 26,894,471	\$ (684,302)	\$ 227,516,530	\$ 33,528,207	\$ 193,740,767^(C)	\$ 289,916,544	\$ 364,328,715

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) To date, NDOT has not received their full obligation authority for fiscal year 2022.

(C) Current Apportionment Balance reflects FY20 NE OJTSS-NSTI deallocation per December 6, 2021 FHWA memorandum.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2022
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	23.6	23.6	105.1									
OA Used	0.0	(5.6)	21.1	18.8									

	FEDERAL FY-2021 OBLIGATION AUTHORITY		FEDERAL FY-2022 OBLIGATION AUTHORITY		
FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION	As of September 30, 2021		As of December 31, 2021		
Formula Obligation Limitation	\$	277.2	\$	105.2	
August Redistribution		20.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.3	\$	(0.1)	25.0%
Subtotal	\$	297.5	\$	105.1	
Other Allocation Obligation Limitation		0.3		-	
Annual Obligation Limitation	\$	297.8	\$	105.1	
Formula Obligations to Date		0.0		(18.8)	Obligated
Allocated Obligations to Date		-		0.0	17.9%
Subtotal	\$	-	\$	(18.8)	
Obligation Authority Balance	\$	297.80	\$	86.3	
SPECIAL LIMITATION					
National Highway Perf Exempt		4.5		0.4	
Congestion MGMT Tech Deploy		2.7		0.0	
Highway Infrastructure COVID		71.7		0.0	
Highway Infrastructure (NON-COVID)		22.9		0.0	
Competitive Highway Bridge Program		8.5		0.0	
Training and Education Fast		0.2		0.0	
Tech Deploy Stic Incentive Ext		0.1		0.0	
National Infrastructure Invest TIGER IX		0.0		0.0	
National Infrastructure Invest Build 2019		0.0		0.0	
National Infrastructure Invest Build 2018		0.0		0.0	
Previous Years Funding		136.9		76.3	
Total Special Obligation Limitation	\$	247.5	\$	76.7	
Obligations to Date		0.0		(15.0)	
Obligation Authority Balance	\$	247.5	\$	61.7	

To date, NDOT has not received their full obligation authority for fiscal year 2022.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - DECEMBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,737,957.45	0.00	0.00	1,509.46	223,901.90	1,963,368.81
	RIGHT OF WAY	392,299.87	0.00	0.00	50,006.07	0.00	442,305.94
	CONSTRUCTION	15,473,433.45	16,316,493.91	0.00	1,006,611.97	48,155.39	32,844,694.72
	CONSTRUCTION ENGINEERING	667,540.14	398,121.71	0.00	22,821.35	8,960.26	1,097,443.46
	PLANNING & RESEARCH	3,510.00	14,636.95	0.00	0.00	0.00	18,146.95
	TOTAL	\$ 18,274,740.91	\$ 16,729,252.57	\$ 0.00	\$ 1,080,948.85	\$ 281,017.55	\$ 36,365,959.88
LOCAL	PRELIMINARY ENGINEERING	80,321.77	193,640.51	20,499.75	8,782.27	369.54	303,613.84
	RIGHT OF WAY	842.57	185,837.36	1,748.82	26,956.23	14,444.28	229,829.26
	CONSTRUCTION	1,091,344.45	3,907,665.18	361,093.84	1,386,398.67	40,959.11	6,787,461.25
	CONSTRUCTION ENGINEERING	2,601.78	803,664.50	37,312.56	130,531.16	4,402.62	978,512.62
	PLANNING & RESEARCH	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL	\$ 1,175,110.57	\$ 5,090,807.55	\$ 420,654.97	\$ 1,552,668.33	\$ 60,175.55	\$ 8,299,416.97
NON-HWY	PRELIMINARY ENGINEERING	1,198,467.19	59,905.61	0.00	732.87	12,010.26	1,271,115.93
	RIGHT OF WAY	78,678.66	40.00	0.00	10.00	0.00	78,728.66
	CONSTRUCTION	248.44	147,800.51	0.00	36,952.53	0.00	185,001.48
	CONSTRUCTION ENGINEERING	394,002.70	(5,604.86)	0.00	(1,401.24)	0.00	386,996.60
	TRAFFIC SAFETY & TRANS	14,722.18	576,662.82	0.00	0.00	0.00	591,385.00
	PLANNING & RESEARCH	165,509.33	461,889.56	0.00	41,473.27	9,592.73	678,464.89
	PUBLIC TRANSPORTATION ASSIST	403,403.58	866,427.11	0.00	0.00	19,043.61	1,288,874.30
	Information Technology	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL	\$ 2,255,032.08	\$ 2,107,120.75	\$ 0.00	\$ 77,767.43	\$ 40,646.60	\$ 4,480,566.86
TOTAL - CURRENT MONTH	\$ 21,704,883.56	\$ 23,927,180.87	\$ 420,654.97	\$ 2,711,384.61	\$ 381,839.70	\$ 49,145,943.71	

FISCAL YEAR TO DATE - DECEMBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	10,578,160.36	(156,254.46)	5,222.16	58,576.37	265,544.18	10,751,248.61
	RIGHT OF WAY	936,283.00	0.00	0.00	71,060.90	0.00	1,007,343.90
	CONSTRUCTION	56,209,211.14	269,789,603.31	(100,412.40)	14,442,247.09	635,085.94	340,975,735.08
	CONSTRUCTION ENGINEERING	2,509,980.96	8,270,034.81	(208.94)	181,058.93	63,137.12	11,024,002.88
	PLANNING & RESEARCH	18,607.32	81,467.55	0.00	0.00	(592.87)	99,482.00
	TOTAL	\$ 70,252,242.78	\$ 277,984,851.21	\$ (95,399.18)	\$ 14,752,943.29	\$ 963,174.37	\$ 363,857,812.47
LOCAL	PRELIMINARY ENGINEERING	273,567.67	1,621,729.66	137,055.84	135,075.84	(1,405.34)	2,166,023.67
	RIGHT OF WAY	169,697.70	475,030.96	6,273.46	91,501.83	37,794.01	780,297.96
	CONSTRUCTION	2,282,526.69	34,086,454.80	3,348,302.42	5,694,575.97	536,594.93	45,948,454.81
	CONSTRUCTION ENGINEERING	102,058.29	3,025,408.76	255,523.52	482,098.86	14,575.77	3,879,665.20
	PLANNING & RESEARCH	18.26	(1,142.26)	2,211.58	700.05	0.00	1,787.63
	TOTAL	\$ 2,827,868.61	\$ 39,207,481.92	\$ 3,749,366.82	\$ 6,403,952.55	\$ 587,559.37	\$ 52,776,229.27
NON-HWY	PRELIMINARY ENGINEERING	7,866,642.12	160,749.83	124.45	24,964.00	16,694.25	8,069,174.65
	RIGHT OF WAY	527,443.99	308,537.10	0.00	77,134.15	0.00	913,115.24
	CONSTRUCTION	32,858.56	1,445,813.65	0.00	374,107.06	0.00	1,852,779.27
	CONSTRUCTION ENGINEERING	3,130,505.74	129,833.41	6.93	32,562.13	0.00	3,292,908.21
	TRAFFIC SAFETY & TRANS	(28,282.14)	2,943,653.23	0.00	0.00	0.00	2,915,371.09
	PLANNING & RESEARCH	1,516,515.67	4,223,419.57	(622.97)	(5,662.33)	215,608.57	5,949,258.51
	PUBLIC TRANSPORTATION ASSIST	1,697,808.39	8,894,598.23	167,282.64	9,217.80	174,740.63	10,943,647.69
	Information Technology	108,338.08	784,442.80	0.00	0.00	0.00	892,780.88
	TOTAL	\$ 14,851,830.41	\$ 18,891,047.82	\$ 166,791.05	\$ 512,322.81	\$ 407,043.45	\$ 34,829,035.54
TOTAL - FISCAL YEAR TO DATE	\$ 87,931,941.80	\$ 336,083,380.95	\$ 3,820,758.69	\$ 21,669,218.65	\$ 1,957,777.19	\$ 451,463,077.28	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
DECEMBER 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	2,151,395,139.92	1,019,320,591.08	1,132,074,548.84	18,274,740.91	70,252,242.78	111,364,658.23
	FEDERAL	1,617,786,895.79	1,335,396,392.79	282,390,503.00	16,729,252.57	277,984,851.21	411,217,780.05
	COUNTY	2,954,845.72	2,371,126.22	583,719.50	0.00	(95,399.18)	41,360.86
	CITY	97,395,327.08	51,810,524.34	45,584,802.74	1,080,948.85	14,752,943.29	21,844,489.47
	OTHER	21,162,385.81	19,568,471.36	1,593,914.45	281,017.55	963,174.37	1,140,630.54
STATE HIGHWAY SYSTEM TOTALS		\$ 3,890,694,594.32	\$ 2,428,467,105.79	\$ 1,462,227,488.53	\$ 36,365,959.88	\$ 363,857,812.47	\$ 545,608,919.15
LOCAL HIGHWAY SYSTEM							
	STATE	63,597,410.79	41,059,550.84	22,537,859.95	1,175,110.57	2,827,868.61	4,808,336.48
	FEDERAL	360,049,748.00	279,194,121.05	80,855,626.95	5,090,807.55	39,207,481.92	67,185,829.98
	COUNTY	18,728,175.23	14,418,502.60	4,309,672.63	420,654.97	3,749,366.82	6,207,993.86
	CITY	104,485,305.92	81,810,638.04	22,674,667.88	1,552,668.33	6,403,952.55	11,247,573.78
	OTHER	6,736,588.16	4,458,626.54	2,277,961.62	60,175.55	587,559.37	621,628.42
LOCAL HIGHWAY SYSTEM TOTALS		\$ 553,597,228.10	\$ 420,941,439.07	\$ 132,655,789.03	\$ 8,299,416.97	\$ 52,776,229.27	\$ 90,071,362.52
NON-HIGHWAY							
	STATE	455,336,619.67	371,822,317.23	83,514,302.44	2,255,032.08	14,851,830.41	63,233,864.94
	FEDERAL	273,089,474.30	137,622,818.32	135,466,655.98	2,107,120.75	18,891,047.82	41,413,171.16
	COUNTY	1,391,298.89	616,981.57	774,317.32	0.00	166,791.05	298,323.75
	CITY	10,239,257.14	6,383,405.81	3,855,851.33	77,767.43	512,322.81	2,569,884.36
	OTHER	15,043,751.48	12,934,328.43	2,109,423.05	40,646.60	407,043.45	1,147,244.40
NON-HIGHWAY TOTALS		\$ 755,100,401.48	\$ 529,379,851.36	\$ 225,720,550.12	\$ 4,480,566.86	\$ 34,829,035.54	\$ 108,662,488.61
GRAND TOTALS		\$ 5,199,392,223.90	\$ 3,378,788,396.22	\$ 1,820,603,827.68	\$ 49,145,943.71	\$ 451,463,077.28	\$ 744,342,770.28

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
DECEMBER 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	8,373,490.96	892,780.88	7,480,710.08	0.00	892,780.88	892,780.88
PRELIMINARY ENGINEERING	587,882,460.57	402,209,689.79	185,672,770.78	3,538,098.58	20,986,446.93	42,935,962.62
RIGHT OF WAY	177,008,029.32	131,873,864.41	45,134,164.91	750,863.86	2,700,757.10	9,827,683.57
UTILITIES	53,606,448.86	32,652,374.69	20,954,074.17	3,385,382.35	3,778,864.36	10,793,352.87
CONSTRUCTION	3,834,704,715.66	2,477,608,355.94	1,357,096,359.72	36,431,775.10	384,998,104.80	595,909,734.24
CONSTRUCTION ENGINEERING	256,672,218.40	159,217,604.75	97,454,613.65	2,462,952.68	18,196,576.29	34,681,391.32
TRAFFIC SAFETY	35,227,395.15	19,169,251.40	16,058,143.75	591,385.00	2,915,371.09	6,620,925.48
PLANNING & RESEARCH	106,191,420.14	72,989,514.42	33,201,905.72	696,611.84	6,050,528.14	14,675,088.30
PUBLIC TRANSPORTATION	139,726,044.84	82,174,959.94	57,551,084.90	1,288,874.30	10,943,647.69	28,005,851.00
GRAND TOTALS	\$ 5,199,392,223.90	\$ 3,378,788,396.22	\$ 1,820,603,827.68	\$ 49,145,943.71	\$ 451,463,077.28	\$ 744,342,770.28

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
DECEMBER 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,293,683,279.52	953,042,987.41	340,640,292.11	8,708,280.12	73,524,843.32	154,530,211.89
ROADS OPERATION FUND AC*	257,365,167.65	6,252,172.77	251,112,994.88	902,282.14	(10,981,414.09)	1,523,467.53
GENERAL BRIDGE STUDY	81,519.96	15,837.88	65,682.08	5,623.21	15,837.88	15,837.88
GRADE CROSSING FUND	3,314,858.73	1,940,518.96	1,374,339.77	50,660.96	117,531.27	541,181.22
GRADE SEPARATION-TMT	27,033,284.51	24,642,655.32	2,390,629.19	1,582.62	75,814.06	170,431.40
RECREATION ROAD FUND	17,091,715.66	11,075,634.32	6,016,081.34	542,881.68	1,371,438.49	3,631,215.41
ST HWY CAPITAL IMPR	857,841,951.61	312,892,594.28	544,949,357.33	10,124,128.28	15,494,097.12	(5,553,174.56)
STATE AID BRIDGE	3,835,297.95	3,497,795.82	337,502.13	11,976.59	55,498.91	138,984.30
TRANS INFRA BANK	210,082,094.79	118,842,262.39	91,239,832.40	1,357,467.96	8,258,294.84	24,408,704.58
TOTAL STATE FUNDS	\$ 2,670,329,170.38	\$ 1,432,202,459.15	\$ 1,238,126,711.23	\$ 21,704,883.56	\$ 87,931,941.80	\$ 179,406,859.65
FEDERAL FUNDS	2,250,926,118.09	1,752,213,332.16	498,712,785.93	23,927,180.87	336,083,380.95	519,816,781.19
COUNTY FUNDS	23,074,319.84	17,406,610.39	5,667,709.45	420,654.97	3,820,758.69	6,547,678.47
CITY FUNDS	212,119,890.14	140,004,568.19	72,115,321.95	2,711,384.61	21,669,218.65	35,661,947.61
OTHER FUNDS	42,942,725.45	36,961,426.33	5,981,299.12	381,839.70	1,957,777.19	2,909,503.36
GRAND TOTALS	\$ 5,199,392,223.90	\$ 3,378,788,396.22	\$ 1,820,603,827.68	\$ 49,145,943.71	\$ 451,463,077.28	\$ 744,342,770.28

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
December 31, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund					
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures
Revenue	\$ 7,613,617.16	\$ 45,089,599.65	\$ 574,729,310.97		
Expenditures					
Expressway and High Priority Corridors	10,118,190.63	15,454,912.91	234,480,800.84	520,004,858.35	313,105,522.14
Other Highways	5,937.65	39,184.21	78,411,793.44	24,944,498.98	109,909,743.78
BNA Projects Completed/Closed			144,176,575.68		
Total	\$ 10,124,128.28	\$ 15,494,097.12	\$ 457,069,169.96	\$ 544,949,357.33	\$ 423,015,265.92
Funds Available			\$ 117,660,141.01		

Note: Expenditures reflect crediting of \$24.1 million of Federal Emergency Relief in July 2021 related to March 2019 flood repair expenses.

**Transportation Innovation Act
Financial Status
December 31, 2021**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,523,357.94	\$ 15,558,542.19	\$ 172,295,303.73		
Expenditures					
Accelerated State Highway Capital Improvement Program	782,140.37	7,129,543.81	110,662,212.15	79,360,414.90	111,038,135.57
County Bridge Match Program	575,327.59	1,096,492.42	6,132,450.27	10,419,592.10	8,209,363.12
Economic Opportunity Program		32,258.61	2,047,599.97	1,459,825.40	16,003,000.00
TIB Projects Completed/Closed			3,607,407.44		
Total Expenditures	\$ 1,357,467.96	\$ 8,258,294.84	\$ 122,449,669.83	\$ 91,239,832.40	\$ 135,250,498.69
Funds Available			\$ 49,845,633.90		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2022 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 38.63%

	FAST Act ⁽¹⁾ FY-2022 <u>APPORT</u>	FY-2022 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2022 <u>OBL LIMIT</u>	OBLIGATED THRU <u>12/31/21</u>	<u>BALANCE</u>
BRIDGE STP OFF SYSTEM (BRO)	0.321	0.124	-	-	0.124	0.138	(0.014)
MAPA - OMAHA	1.381	\$ 0.533	-	(4)	0.533	1.741	(1.208)
LCLC - LINCOLN	0.544	\$ 0.210	-	-	0.210	(0.072)	0.282
SubTotal Local	\$ 2.246	\$ 0.867	\$ -	\$ -	\$ 0.867	\$ 1.807	\$ (0.940)
METRO PLANNING	0.151	0.058	-	-	0.058	(0.075)	0.133
Omaha	66.836%	0.034	0.013	-	0.013	-	0.013
Lincoln	26.341%	0.038	0.015	-	0.015	0.003	0.012
South Sioux City	1.688%	0.040	0.015	-	0.015	(0.078)	0.093
Grand Island	5.135%	0.040	0.015	-	0.015	-	0.015
TAP - Flex	0.246	0.095	-	-	0.095	(0.053)	0.148
TAP - 5K and Under	0.074	0.029	-	-	0.029	0.025	0.004
TAP - 5K-200K	0.049	0.019	-	-	0.019	-	0.019
TAP - MAPA - OMAHA	0.089	0.034	-	-	0.034	(0.274)	0.308
TAP - LCLC - LINCOLN	0.035	0.014	-	-	0.014	-	0.014
REC TRAILS	0.102	0.039	-	-	0.039	(0.071)	0.110
TOTAL	\$ 2.992	\$ 1.155	\$ -	\$ -	\$ 1.155	\$ 1.359	\$ (0.204)

(1) As of December 31, 2021, FY22 Apportionment available to NDOT according to FHWA is still per Public Law 117-44 with prorated apportionment available only through October 31, 2021.

(2) Pending calculations of prior year balances.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20	Federal FY-21
	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment was made March 2021	Payment will be made March 2022
Bridge					
Annual Obligation Authority	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00	277,251,202.00
10% for Bridges	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90	27,725,120.20
60% Local Share	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34	16,635,072.12
Less STP Bridge Off System	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)	-
Less Under Water Inspection	-	(500,000.00)	-	-	-
Less Quality Assurance	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge	(2,500,000.00)	-	-	-	-
Load Rating of Fracture Critical Bridges	(400,000.00)	-	-	-	-
Funds Available To Be Purchased	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34	12,557,815.12
Bridge Buy Out Total	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00	90.0% \$ 11,302,034.00
Less Major On System Bridges Reserve	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00	\$ 9,302,034.00
Counties					
Annual Apportionment	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00	13,604,127.00
Funds Available To Be Purchased	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84	88.9% 12,094,068.90
County Buy Out Payment	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00	90% \$ 10,884,662.00
First Class Cities					
Annual Apportionment	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00	8,918,511.00
Funds Available To Be Purchased	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37	88.9% 7,928,556.28
First Class City Buy Out Payment	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00	90% \$ 7,135,701.00
Total Funds Distributed To Locals	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00	\$ 27,322,397.00

Soft Match Balance By County

As of December 31, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	974,068.00
3002	ANTELOPE COUNTY	286,699.21
3005	BLAINE COUNTY	246,249.16
3006	BOONE COUNTY	237,751.74
3010	BUFFALO COUNTY	356,273.25
3012	BUTLER COUNTY	30,164.57
3013	CASS COUNTY	940,983.62
3014	CEDAR COUNTY	380,189.71
3018	CLAY COUNTY	262,914.19
3019	COLFAX COUNTY	1,177,489.16
3020	CUMING COUNTY	527,909.82
3021	CUSTER COUNTY	510.87
3022	DAKOTA COUNTY	120,157.20
3024	DAWSON COUNTY	52,367.67
3026	DIXON COUNTY	240,458.87
3028	DOUGLAS COUNTY	424,940.67
3030	FILLMORE COUNTY	804,144.50
3032	FRONTIER COUNTY	156,224.64
3033	FURNAS COUNTY	47,710.32
3034	GAGE COUNTY	244,741.82
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	53,684.71
3039	GREELEY COUNTY	8,307.98
3040	HALL COUNTY	673,207.99
3045	HOLT COUNTY	209,890.22
3047	HOWARD COUNTY	7,565.06
3048	JEFFERSON COUNTY	360,423.92
3049	JOHNSON COUNTY	124,891.97

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	104,795.52
3056	LINCOLN COUNTY	445,851.44
3059	MADISON COUNTY	96,220.48
3061	MERRICK COUNTY	62,593.12
3063	NANCE COUNTY	69,216.04
3064	NEMAHA COUNTY	228,389.73
3065	NUCKOLLS COUNTY	409,062.75
3066	OTOE COUNTY	734,569.59
3067	PAWNEE COUNTY	218,953.29
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	536,115.46
3071	PLATTE COUNTY	28,746.69
3074	RICHARDSON COUNTY	16,853.05
3076	SALINE COUNTY	1,991,623.56
3078	SAUNDERS COUNTY	104,587.12
3079	SCOTTS BLUFF COUNTY	7,401.71
3080	SEWARD COUNTY	1,398,913.97
3084	STANTON COUNTY	1,170,419.68
3085	THAYER COUNTY	214,967.61
3087	THURSTON COUNTY	350,253.50
3089	WASHINGTON COUNTY	1,482,778.24
3090	WAYNE COUNTY	373,455.61
3091	WEBSTER COUNTY	295,358.84
3092	WHEELER COUNTY	56,182.66
3093	YORK COUNTY	488,545.44