

NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION



NEBRASKA STATE HIGHWAY COMMISSION

Quarterly Financial Report as of September 30, 2021

This report presents the financial position and projects of the Nebraska Department of Transportation and includes all funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30. Contracts let or planned to be let through the fiscal year, as of the specified day, are listed by letting dates. It was prepared entirely with Nebraska Department of Transportation resources.

July
2021

Nebraska Department of Transportation Financial Report



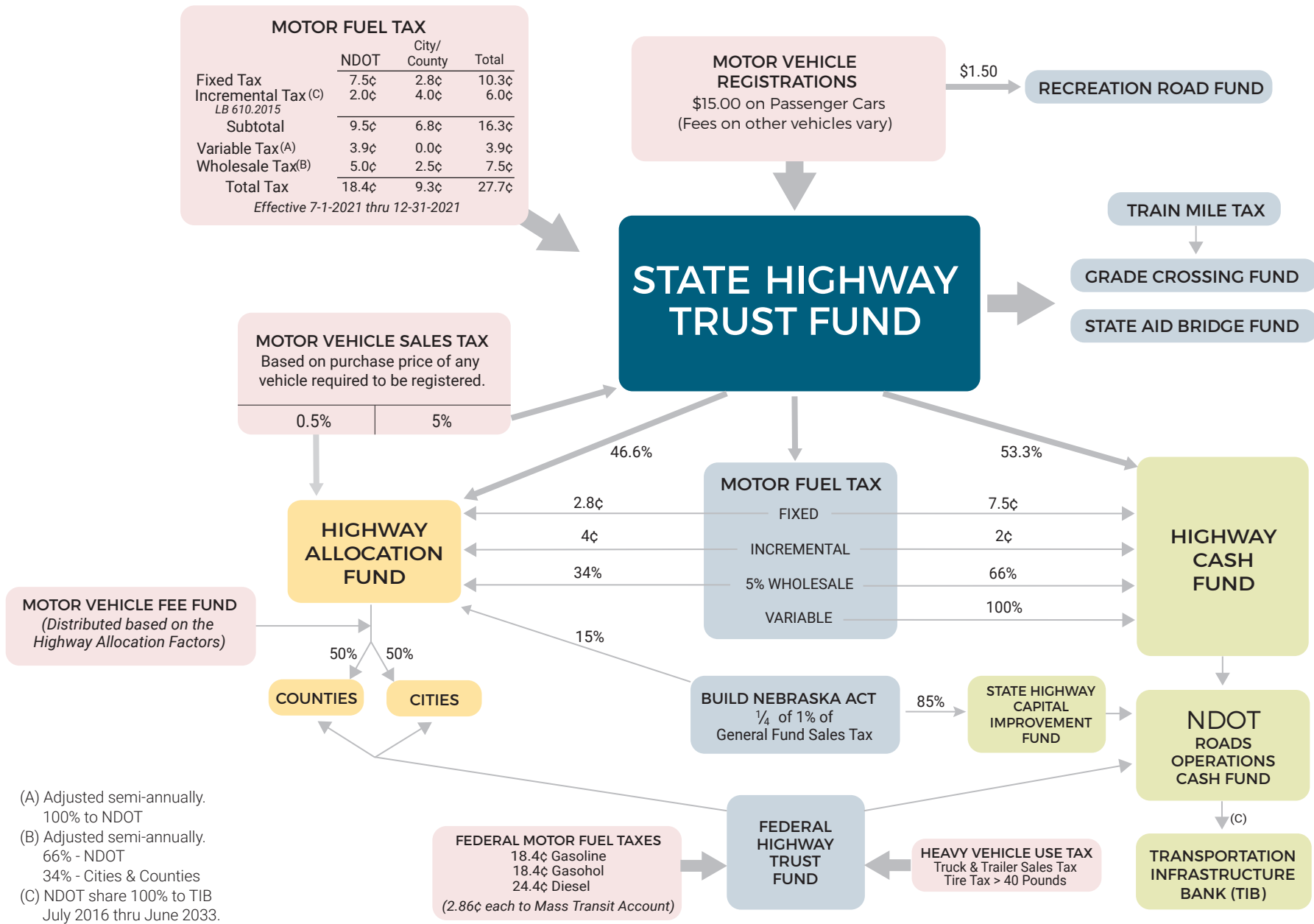
NEBRASKA

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DEPARTMENT OF TRANSPORTATION

I-80 and I-76 Interchange near Big Springs

Nebraska Transportation Financing



(A) Adjusted semi-annually.
100% to NDOT

(B) Adjusted semi-annually.
66% - NDOT
34% - Cities & Counties

(C) NDOT share 100% to TIB
July 2016 thru June 2033.

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July 2021 Highlights

- ⇒ The state revenue projections in this report were developed in June 2021 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- ⇒ Total revenue in July exceeded expenditures by \$47.3 million. Fiscal year to date revenue surpassed expenditures by \$47.3 million (page 6).
- ⇒ Projected \$927.2 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 27.7 cents, effective July 1, 2021. The month of July's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.7 million or 7.5%, motor vehicle registration revenue was over the projected amount by \$309 thousand or 10.9% and motor vehicle sales tax was under the projected amount by -\$13.0 thousand or -0.1%. Highway Cash Fund receipts for FY22 to date were higher than projections by \$2.1 million or 5.2% (page 13, 14).
- ⇒ Established an operating budget for Roads Division of \$1.0 billion for FY22 which represents our best estimate of cash requirements for the fiscal year (pages 15, 16 and 19).

July expenditures totaled \$107.7 million. Fiscal year to date expenditures totaled \$107.7 million, 10.3% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of June 21, 2021 thru July 18, 2021. The payroll additive rate is established at 66% and the administrative rate is 2.28%.
- ⇒ Highway construction contract lettings fiscal year to date totaled \$41.1 million, \$41.1 million on the state highway system (page 20).
- ⇒ The July report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 21).
- ⇒ Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. The annual obligation authority is 88.9% per public Law 116-260. As of July 31, 2021, obligations of \$214.4 million have resulted in an obligation authority balance of \$63.1 million (pages 24, 25 and 26).
- ⇒ Build Nebraska Act became effective July 1, 2013. Revenue totaling \$536.8 million has been received to date with allocated expenditures totaling \$422.3 million (page 31).
- ⇒ Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$109.3 million has been received to date with expenditures totaling \$116.2 million (page 32).

LEGISLATIVE APPROPRIATION BILLS

Effective July 1, 2021 through June 30, 2022

The 107th Nebraska Legislature, first session passed bills that provide funds or authorize their transfer from the Nebraska Department of Transportation in FY2022. The total new appropriation provided for all of the Department's programs in FY2022 is \$1,081,412,877.

Legislative bills 380 and 383 provide the funding for the Department's operations as detailed on the following page.

These bills provide for:

Highway Cash Fund appropriation to the Roads Operations Cash Fund was established at \$477 million. Any funds deposited into the Highway Cash Fund in excess of this appropriation cannot be utilized by the Department in fiscal year 2022 and will become part of the following year's appropriation.

Salaries limit of \$118,339,969 for Roads and \$1,395,553 for Aeronautics. This amount does not include encumbrances from fiscal year 2021 for salary costs incurred in that fiscal year but not paid until FY2022.

Nebraska State Patrol funding from the Roads Operations Cash Fund of:

\$9,683,062 for the Carrier Enforcement operations

\$ 489,979 for law enforcement coverage along state road construction zones.

Department of Motor Vehicles funding of:

\$1,400,000 for the "One Stop Shop" permit and registration function for interstate truckers from the Highway Trust Fund and \$10,350,000 for the License Plate Cash Fund from the Highway Trust Fund.

Department of Revenue funding of \$1,347,588 for the motor fuel tax enforcement function from the Highway Trust Fund.

**NEBRASKA DEPARTMENT OF TRANSPORTATION
FISCAL YEAR 2022
LEGISLATIVE APPROPRIATION BY PROGRAM
PENDING APPROVAL OF CERTIFIED ENCUMBRANCES**

Program	No.	Footnote	As	Encumbrance		Reappropriation ^C	Total Appropriations/ Encumbrances
			Appropriated ^F	Payroll ^A	Other ^B		
ADMINISTRATION & SERVICES	026		3,403,720	30,936	106,120		3,540,776
PUBLIC AIRPORTS	301		35,505,300		439,413		35,944,713
TRANSIT - OPERATING ASST	305	E	5,777,705		1,136,984		6,914,689
TRANSIT - INTERCITY BUS	305	E	535,000		184,842		719,842
HIGHWAY ADMINISTRATION	568		19,434,644	318,857	680,906		20,434,407
CONSTRUCTION	569	D	807,100,872	1,501,621	53,053,923		861,656,416
SERVICES & SUPPORT	572		32,201,123	165,085	1,687,976		34,054,184
HIGHWAY MAINTENANCE	574		166,076,979	1,350,019	22,882,123		190,309,121
STATE OWNED AIRCRAFT	596		377,534		7,448		384,982
FACILITY IMPROVEMENTS	901	E	10,000,000		1,075,503	15,272,843	26,348,346
TOTAL			\$ 1,080,412,877	\$ 3,366,518	\$ 81,255,238	\$ 15,272,843	\$ 1,180,307,476

- A. Represents carryover for the last payroll encumbered from the preceding fiscal year (pending final approval).
 - B. Represents carryover for expenditures encumbered from the preceding fiscal year as of June 30 (pending final approval).
 - C. Reappropriation balance represents remaining carry-over funding from the preceding fiscal year after accounting for encumbrances.
 - D. Federal funds associated with the Department's highway construction program are reimbursements of previously expended cash funds.
 - E. Amounts shown represent fixed ceilings beyond which expenditures cannot exceed.
 - F. This represents the expenditure authority appropriated by the Legislature for the fiscal year.
- These are contained in the FY2022 Department Budget.

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
July 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	439,205,243.84	384,863,855.38	54,341,388.46	14.12	244,352,685.71	194,852,558.13	79.74
Federal Receivables	5,978,089.39	1,355,164.12	4,622,925.27	341.13	31,299,219.63	(25,321,130.24)	(80.90)
Other Receivables	15,700,717.72	15,904,277.61	(203,559.89)	(1.28)	28,181,058.04	(12,480,340.32)	(44.29)
Inventories	2,715,274.87	2,887,999.86	(172,724.99)	(5.98)	3,142,276.25	(427,001.38)	(13.59)
Total Current Assets	\$463,599,325.82	\$405,011,296.97	\$58,588,028.85	14.47 %	\$306,975,239.63	\$156,624,086.19	51.02 %
Capital Assets							
Equipment	65,276,396.52	65,605,843.11	(329,446.59)	(0.50)	67,559,971.43	(2,283,574.91)	(3.38)
Land	569,312,437.83	569,312,437.83	0.00	0.00	569,312,437.83	0.00	0.00
Infrastructures	7,800,583,530.80	7,800,583,530.80	0.00	0.00	7,900,636,476.35	(100,052,945.55)	(1.27)
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	100,745,738.28	0.00	0.00
Total Capital Assets	\$8,535,918,103.43	\$8,536,247,550.02	(\$329,446.59)	0.00 %	\$8,638,254,623.89	(\$102,336,520.46)	(1.18)%
Total Assets	\$8,999,517,429.25	\$8,941,258,846.99	\$58,258,582.26	0.65 %	\$8,945,229,863.52	\$54,287,565.73	0.61 %
LIABILITIES							
Current Liabilities							
Accounts Payable	11,504,410.22	61,053.17	11,443,357.05	18,743.2	12,577,065.04	(1,072,654.82)	(8.53)
Retention Payable	140,049,328.64	127,077,202.65	12,972,125.99	10.21	19,450,116.64	120,599,212.00	620.04
Other Payables	65,210,943.43	65,205,540.99	5,402.44	0.01	73,157,670.04	(7,946,726.61)	(10.86)
Total Current Liabilities	\$216,764,682.29	\$192,343,796.81	\$24,420,885.48	12.70 %	\$105,184,851.72	\$111,579,830.57	106.08 %
Total Liabilities	\$216,764,682.29	\$192,343,796.81	\$24,420,885.48	12.70 %	\$105,184,851.72	\$111,579,830.57	106.08 %
NET ASSETS							
Capital Equity							
Capital	8,535,918,103.43	8,536,247,550.02	(329,446.59)	0.00	8,638,254,623.89	(102,336,520.46)	(1.18)
Total Capital Equity	\$8,535,918,103.43	\$8,536,247,550.02	(\$329,446.59)	0.00 %	\$8,638,254,623.89	(\$102,336,520.46)	(1.18)%
Fund Balance							
Reserved Fund Balance	(137,334,053.77)	(124,189,202.79)	(13,144,850.98)	10.58	(16,307,840.39)	(121,026,213.38)	742.14
Unreserved Fund Balance	384,168,697.30	336,856,702.95	47,311,994.35	14.05	218,098,228.30	166,070,469.00	76.14
Total Fund Balance	\$246,834,643.53	\$212,667,500.16	\$34,167,143.37	16.07 %	\$201,790,387.91	\$45,044,255.62	22.32 %
Total Net Assets	\$8,782,752,746.96	\$8,748,915,050.18	\$33,837,696.78	0.39 %	\$8,840,045,011.80	(\$57,292,264.84)	(0.65)%
Total Liabilities and Net Assets	\$8,999,517,429.25	\$8,941,258,846.99	\$58,258,582.26	0.65 %	\$8,945,229,863.52	\$54,287,565.73	0.61 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 6, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 6 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 6 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
JULY 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	49,202,357.32	50,957,372.50	(1,755,015.18)	(3.44)	49,202,357.32	51,605,770.56	(2,403,413.24)	(4.66)
Federal Reimbursements	103,276,928.27	43,197,253.13	60,079,675.14	139.08	103,276,928.27	87,636,953.49	15,639,974.78	17.85
Local Revenues	5,264,033.54	1,920,949.05	3,343,084.49	174.03	5,264,033.54	3,723,965.13	1,540,068.41	41.36
Other Entities Revenues	(2,783,417.07)	588,008.71	(3,371,425.78)	(573.36)	(2,783,417.07)	(2,795,843.40)	12,426.33	(0.44)
Total Revenue	\$154,959,902.06	\$96,663,583.39	\$58,296,318.67	60.31 %	\$154,959,902.06	\$140,170,845.78	\$14,789,056.28	10.55 %
Expenditures								
Administration	1,402,704.39	2,188,363.75	(785,659.36)	(35.90)	1,402,704.39	2,196,233.17	(793,528.78)	(36.13)
Highway Maintenance	14,891,911.85	13,713,147.61	1,178,764.24	8.60	14,891,911.85	19,209,100.19	(4,317,188.34)	(22.47)
Capital Facilities	1,347,373.31	537,729.38	809,643.93	150.57	1,347,373.31	54,808.92	1,292,564.39	2,358.31
Services and Support	2,332,353.03	3,795,068.35	(1,462,715.32)	(38.54)	2,332,353.03	1,826,762.25	505,590.78	27.68
Construction	84,433,508.80	69,114,077.22	15,319,431.58	22.17	84,433,508.80	110,927,200.59	(26,493,691.79)	(23.88)
Highway Safety Office	380,559.39	247,374.85	133,184.54	53.84	380,559.39	244,506.26	136,053.13	55.64
Public Transit	2,873,199.09	3,281,185.56	(407,986.47)	(12.43)	2,873,199.09	1,909,364.84	963,834.25	50.48
Total Expenditures	\$107,661,609.86	\$92,876,946.72	\$14,784,663.14	15.92 %	\$107,661,609.86	\$136,367,976.22	(\$28,706,366.36)	(21.05) %
Excess Revenue (Expenditures)	\$47,298,292.20	\$3,786,636.67	\$43,511,655.53	1,149.08 %	\$47,298,292.20	\$3,802,869.56	\$43,495,422.64	1,143.75 %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
July 2021**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	220,056,949.95	42,179,440.36	115,577,480.21	45,113,878.11	3,722,044.73	1,820,893.40	10,666,461.39	64,020.83	439,201,168.98
Other Current Assets	24,398,156.84	0.00	0.00	0.00	0.00	0.00	0.00	0.00	24,398,156.84
Capital Assets	8,535,918,103.43	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,535,918,103.43
TOTAL ASSETS	\$8,780,373,210.22	\$42,179,440.36	\$115,577,480.21	\$45,113,878.11	\$3,722,044.73	\$1,820,893.40	\$10,666,461.39	\$64,020.83	\$8,999,517,429.25
LIABILITIES									
Current Liabilities	216,764,682.29	0.00	0.00	0.00	0.00	0.00	0.00	0.00	216,764,682.29
TOTAL LIABILITIES	\$216,764,682.29	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$216,764,682.29
NET ASSETS									
Fund Balance	54,835,194.31	0.00	88,064,638.81	42,545,386.55	3,717,450.58	1,788,880.76	10,305,123.06	(1,720,322.74)	199,536,351.33
Capital Equity	8,535,918,103.43	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,535,918,103.43
Accrued Interfund Transfer	(5,154,903.78)	0.00	1,138,937.75	2,057,413.56	22,128.29	25,440.50	176,260.83	1,734,722.85	0.00
Revenues	102,607,314.30	42,179,440.36	7,142,689.89	2,568,491.56	4,594.15	32,012.64	361,338.33	64,020.83	154,959,902.06
Costs	(124,597,180.33)	0.00	19,231,213.76	(2,057,413.56)	(22,128.29)	(25,440.50)	(176,260.83)	(14,400.11)	(107,661,609.86)
TOTAL NET ASSETS	\$8,563,608,527.93	\$42,179,440.36	\$115,577,480.21	\$45,113,878.11	\$3,722,044.73	\$1,820,893.40	\$10,666,461.39	\$64,020.83	\$8,782,752,746.96
TOTAL LIABILITIES AND NET ASSETS	\$8,780,373,210.22	\$42,179,440.36	\$115,577,480.21	\$45,113,878.11	\$3,722,044.73	\$1,820,893.40	\$10,666,461.39	\$64,020.83	\$8,999,517,429.25

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
July 2021

The nature of revenue and expenditure trends create a cash flow situation in that typically the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a relatively strong cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY22	JUL	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	155.0											
Expenditures	107.7											
Balance	47.3											
Cumulative Balance	47.3											

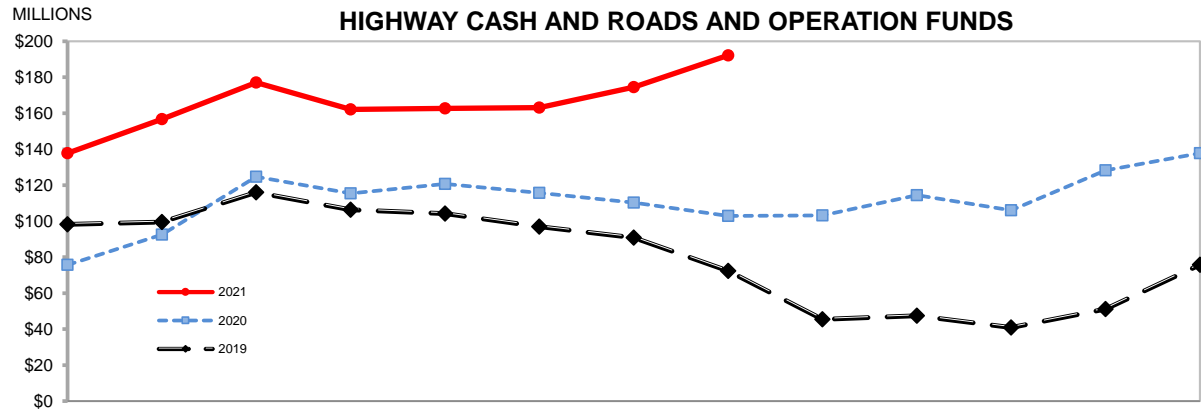
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$445,628.99 in July, with an interest rate of 1.48%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.48%													1.48%
Earnings (Thousands)	\$446													\$446

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
July 2021
(IN MILLIONS)

Total of all funds available as of July 31st is \$428.1 million. The chart below compares the Highway Cash and Road Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$251.1 million on the 30th to a low of \$192.2 million on the 7th.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1	162.1	162.7	163.1	174.5	192.2					
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6	35.5	41.7	79.9	82.8	88.1					
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7	48.6	49.5	50.0	48.2	45.1					
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5	4.6	5.7	5.7	5.3	5.5					
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2021	10.5	10.8	11.2	11.5	11.6	11.0	10.3					
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0	0.0	0.0	0.0	0.0	0.0					
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
July 2021

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	146,781.98									146,781.98
460000 Intergovernmental			(356,001.28)				2,160,915.14			1,804,913.86
470000 Sales & Charges			5,850.00	13,204.51	10,463.36					29,517.87
480000 Miscellaneous	8,948.02				106,289.19					115,237.21
490000 Other					(47,871.49)					(47,871.49)
TOTAL REVENUES	155,730.00	-	(350,151.28)	13,204.51	68,881.06	-	2,160,915.14	-	-	2,048,579.43
EXPENDITURES:										
510000 Personal Services	39,623.99		39,147.12	16,883.46	8,388.59	12.36				104,055.52
520000 Operating Expenses	11,791.08		29,214.12	2,722.17	4,113.90	1.16		13,807.64		61,650.07
570000 Travel Expenses	1,423.13		706.69	1,232.22				418.55		3,780.59
580000 Capital Outlay					12,001.69					12,001.69
590000 Government Aid							1,651,990.82			1,651,990.82
TOTAL EXPENDITURES	52,838.20	-	69,067.93	20,837.85	24,504.18	13.52	1,651,990.82	14,226.19	-	1,833,478.69
Excess (Deficiency) of Revenues Over Expenditures	102,891.80	-	(419,219.21)	(7,633.34)	44,376.88	(13.52)	508,924.32	(14,226.19)	-	215,100.74
Misc Expenditure Adjustment 865101										-
OTHER FINANCING SOURCES (USES):										
Transfers In			419,219.21	7,633.34		13.52		14,226.19	-	
Transfers Out	(441,092.26)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(338,200.46)	-	-	-	44,376.88	-	508,924.32	-	-	215,100.74
Fund Balance June 30, 2021	987,527.00	(2,899.36)	-	-	1,307,134.54	-	3,955,726.34	-	1,329,678.82	7,577,167.34
Fund Balance July 31, 2021	649,326.54	(2,899.36)	-	-	1,351,511.42	-	4,464,650.66	-	1,329,678.82	7,792,268.08

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RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	7/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	3.9	0.0
Wholesale Tax ¢	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	7.5	-1.0
Total Tax ¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	27.7¢	-1.0¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This cumulative 6¢ increase included 2¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY22 is 1.8% July through December.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT receives 53 ½ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The NDOT's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from NDOT and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2022 RECEIPTS
AS OF JULY 31, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2021	M O N T H L Y				F I S C A L Y E A R T O D A T E				
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF	
Motor Fuel Taxes										
Fixed	\$101,667	\$ 8,946	\$ 9,601	\$ 655	7.3%	\$ 8,946	\$ 9,601	\$ 655	7.3%	
Incremental Fixed	27,111	2,386	2,569	183	7.7%	2,386	2,569	183	7.7%	
Variable	47,356	4,652	5,009	357	7.7%	4,652	5,009	357	7.7%	
Wholesale	<u>71,524</u>	<u>6,692</u>	<u>7,205</u>	<u>514</u>	7.7%	<u>6,692</u>	<u>7,205</u>	<u>514</u>	7.7%	
Subtotal	247,658	22,676	24,384	1,708	7.5%	22,676	24,384	1,708	7.5%	
Motor Vehicle Registrations	28,669	2,385	2,436	51	2.1%	2,385	2,436	51	2.1%	
Prorate Registrations	<u>12,295</u>	<u>441</u>	<u>699</u>	<u>258</u>	58.5%	<u>441</u>	<u>699</u>	<u>258</u>	58.5%	
Subtotal	40,964	2,826	3,135	309	10.9%	2,826	3,135	309	10.9%	
Sales Tax on Motor Vehicles	138,630	14,600	14,587	(13)	(0.1%)	14,600	14,587	(13)	0.0%	
Interest	2,350	184	283	99	54.0%	184	283	99	54.0%	
Sale of Supplies and Materials	1,300	129	157	28	21.7%	129	157	28	21.7%	
Sale of Fixed Assets	1,030	18	86	68	378.5%	18	86	68	378.5%	
Excess Limit	3,000	251	275	24	9.6%	251	275	24	9.6%	
Overload Fines	780	74	21	(53)	(71.2%)	74	21	(53)	(71.2%)	
Other Fees	<u>1,900</u>	<u>156</u>	<u>119</u>	<u>(37)</u>	<u>(23.6%)</u>	<u>156</u>	<u>119</u>	<u>(37)</u>	<u>(23.6%)</u>	
SUBTOTAL HIGHWAY CASH FUND	\$ 437,612 (A)	\$ 40,914	\$ 43,048	\$ 2,134	5.2%	\$ 40,914	\$ 43,048	\$ 2,134 (B)	5.2%	
Incremental Tax Transfer to TIB Fund	(27,091)	(2,409)	(2,509)	(100)	4.2%	(\$2,409)	(2,509)	(100)	4.2%	
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 410,521	\$ 38,505	\$ 40,539	\$ 2,034	5.3%	\$ 38,505	\$ 40,539	\$ 2,034	5.3%	
State Hwy Capital Impr Fund	83,078	6,151	7,143	992	16.1%	6,151	7,143	992	16.1%	
Transportation Infrastructure Bank Fund (TIB)	28,091	2,489	2,568	80	3.2%	2,489	2,568	80	3.2%	
Grade Crossing Protection Fund	3,060	38	37	(1)	(3.7%)	38	37	(1)	(3.7%)	
Recreation Road Fund	3,920	344	361	17	5.0%	344	361	17	5.0%	
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.0%	<u>64</u>	<u>64</u>	<u>0</u>	0.0%	
TOTAL STATE RECEIPTS	\$ 529,438	\$ 47,590	\$ 50,712	\$ 3,122	6.6%	\$ 47,590	\$ 50,712	\$ 3,122	6.6%	
Federal Receipts										
FHWA	362,300	43,821	96,245	52,424	119.6%	43,821	96,245	52,424	119.6%	
Transit	9,000	467	2,174	1,707	365.4%	467	2,174	1,707	365.4%	
Highway Safety	<u>5,500</u>	<u>0</u>	<u>12</u>	<u>12</u>		<u>0</u>	<u>12</u>	<u>12</u>		
Subtotal-Federal Receipts	376,800	44,288	98,430	54,142	122.3%	44,288	98,430	54,142	122.3%	
Local Receipts	15,000	3,152	4,146	994	31.5%	3,152	4,146	994	31.6%	
Other Entities	<u>6,000</u>	<u>364</u>	<u>521</u>	<u>157</u>	43.1%	<u>364</u>	<u>521</u>	<u>157</u>	43.2%	
TOTAL DEPARTMENT RECEIPTS	\$ 927,238	\$ 95,394	\$ 153,810	\$ 58,415	61.2%	\$ 95,394	\$ 153,810	\$ 58,415	61.2%	

HIGHWAY CASH FUND APPROPRIATION ANALYSIS

(A) Total Projected Receipts as of June 11, 2021	\$ 437,612
(B) Receipts Over/(Under) Projection To Date	2,134
Previous year's receipts over appropriation	40,732
Total Modified Projected Receipts	\$ 480,478
Highway Cash Fund Appropriation	\$ 477,000
Projected Receipts Over / (Under) Appropriation	3,478
% Variance From Appropriation	0.7%

** Numbers may not add due to rounding.

** Projections are updated semiannually in December and June.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

**BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
July 2021**

FISCAL YEAR 2022
Period Expired 8.33%
Pay Period Ending 7/18/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	109,667,318.00	7,732,492.96	7,732,492.96	101,934,825.04	7.05%	0.00
Temporary Salaries	1,999,371.00	261,800.62	261,800.62	1,737,570.38	13.09%	0.00
Overtime	6,668,780.00	478,591.57	478,591.57	6,190,188.43	7.18%	0.00
Employee Benefits	39,726,396.86	3,146,669.32	3,146,669.32	36,579,727.54	7.92%	0.00
SUBTOTAL: Personal Services	\$158,061,865.86	\$11,619,554.47	\$11,619,554.47	\$146,442,311.39	7.35%	\$0.00
Operating Expenses						
Utilities	3,674,331.00	251,190.96	251,190.96	3,423,140.04	6.84%	0.00
Rentals	982,423.92	102,297.21	102,297.21	880,126.71	10.41%	3,000.00
Repairs & Maintenance	10,723,285.00	845,979.38	845,979.38	9,877,305.62	7.89%	1,091,661.49
Maintenance Contracts	13,591,429.71	1,424,519.83	1,424,519.83	12,166,909.88	10.48%	27,855,375.41
Engineering Contracts	31,563,800.00	1,970,970.87	1,970,970.87	29,592,829.13	6.24%	36,837,317.59
Contractual Services	41,969,264.75	783,510.46	783,510.46	41,185,754.29	1.87%	13,667,684.58
Technology Expenses	23,759,194.36	1,089,380.55	1,089,380.55	22,669,813.81	4.59%	24,254,034.41
Other Operating Expenses	5,763,184.50	210,682.31	210,682.31	5,552,502.19	3.66%	190,513.89
SUBTOTAL: Operating Expenses	\$132,026,913.24	\$6,678,531.57	\$6,678,531.57	\$125,348,381.67	5.06%	\$103,899,587.37
Supplies and Materials						
General Supplies & Materials	1,481,509.36	81,482.26	81,482.26	1,400,027.10	5.50%	717,415.74
Maint & Const Materials	50,708,495.02	6,802,965.87	6,802,965.87	43,905,529.15	13.42%	12,856.33
Automotive Supplies & Materials	16,327,425.00	1,230,504.05	1,230,504.05	15,096,920.95	7.54%	0.00
SUBTOTAL: Supplies and Materials	\$68,517,429.38	\$8,114,952.18	\$8,114,952.18	\$60,402,477.20	11.84%	\$730,272.07
Travel						
In State Travel	877,184.00	44,625.42	44,625.42	832,558.58	5.09%	0.00
Out of State Travel	277,711.00	2,457.66	2,457.66	275,253.34	0.88%	0.00
SUBTOTAL: Travel	\$1,154,895.00	\$47,083.08	\$47,083.08	\$1,107,811.92	4.08%	\$0.00
Capital Outlay						
Land	16,500,000.00	515,166.00	515,166.00	15,984,834.00	3.12%	0.00
Hwy. Constr. - Contract Pymt.	504,968,783.04	64,746,994.48	64,746,994.48	440,221,788.56	12.82%	961,936,147.00
Buildings	11,993,000.00	865,245.66	865,245.66	11,127,754.34	7.21%	3,644,407.91
Heavy Equipment and Vehicles	18,232,190.00	777,843.48	777,843.48	17,454,346.52	4.27%	7,872,768.76
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,420,950.00	101,773.33	101,773.33	1,319,176.67	7.16%	475,815.00
SUBTOTAL: Capital Outlay	\$553,214,923.04	\$67,007,022.95	\$67,007,022.95	\$486,207,900.09	12.11%	\$973,929,138.67
Government Aid & Distr						
Public Transit Aid	29,450,296.50	2,841,829.01	2,841,829.01	26,608,467.49	9.65%	39,787,957.45
Highway Safety Office	5,200,000.00	343,937.89	343,937.89	4,856,062.11	6.61%	5,240,876.04
Other Government Aid	93,500,000.00	11,008,698.71	11,008,698.71	82,491,301.29	11.77%	113,280,863.17
SUBTOTAL: Government Aid & Distr	\$128,150,296.50	\$14,194,465.61	\$14,194,465.61	\$113,955,830.89	11.08%	\$158,309,696.66
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$1,041,126,323.02	\$107,661,609.86	\$107,661,609.86	\$933,464,713.16	10.34%	\$1,236,868,694.77

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
 July 2021

FISCAL YEAR 2022
 Period Expired 8.33%
 Pay Period Ending 7/18/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,384,644.00	1,389,089.88	1,389,089.88	17,995,554.12	7.17%	128,922.31
Boards & Commissions	50,000.00	13,614.51	13,614.51	36,385.49	27.23%	0.00
SUBTOTAL: Administration	\$19,434,644.00	\$1,402,704.39	\$1,402,704.39	\$18,031,939.61	7.22%	\$128,922.31
Service and Support						
Charges to Others	1,100,000.00	151,071.30	151,071.30	948,928.70	13.73%	43,625.59
Deficiency Claims	17,184.00	0.00	0.00	17,184.00	0.00%	0.00
Supply Base/Inventories	1,000,000.00	(201,833.34)	(201,833.34)	1,201,833.34	(20.18)%	397,831.02
Building Operations	6,000,000.00	448,770.21	448,770.21	5,551,229.79	7.48%	1,738,032.89
Business Technology Services	16,000,000.00	1,200,967.90	1,200,967.90	14,799,032.10	7.51%	14,330,693.04
Support Centers	7,457,414.00	158,953.29	158,953.29	7,298,460.71	2.13%	0.00
Payroll Clearing	626,525.00	574,423.67	574,423.67	52,101.33	91.68%	2,050.00
SUBTOTAL: Service and Support	\$32,201,123.00	\$2,332,353.03	\$2,332,353.03	\$29,868,769.97	7.24%	\$16,512,232.54
Capital Facilities						
Capital Facilities	10,000,000.00	1,347,373.31	1,347,373.31	8,652,626.69	13.47%	4,245,084.86
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$1,347,373.31	\$1,347,373.31	\$8,652,626.69	13.47%	\$4,245,084.86
Highway Maintenance						
System Preservation	43,750,501.47	8,008,708.12	8,008,708.12	35,741,793.35	18.31%	603,487.35
Operations	42,000,000.00	3,380,322.41	3,380,322.41	38,619,677.59	8.05%	27,058,108.05
Snow and Ice Control	40,000,000.00	960,555.28	960,555.28	39,039,444.72	2.40%	1,303,653.67
Unusual & Disaster Oper	1,500,000.00	264,822.35	264,822.35	1,235,177.65	17.65%	1,364,175.47
Equipment Operations	15,000,000.00	781,922.28	781,922.28	14,218,077.72	5.21%	7,972,467.38
Indirect Charges	23,826,477.53	1,495,581.41	1,495,581.41	22,330,896.12	6.28%	478,815.00
SUBTOTAL: Highway Maintenance	\$166,076,979.00	\$14,891,911.85	\$14,891,911.85	\$151,185,067.15	8.97%	\$38,780,706.92
Highway Construction						
Preliminary Engineering	50,100,000.00	3,000,756.73	3,000,756.73	47,099,243.27	5.99%	24,363,724.46
Right-Of-Way	15,000,000.00	627,289.26	627,289.26	14,372,710.74	4.18%	173,131.71
Construction	514,475,304.04	65,071,716.43	65,071,716.43	449,403,587.61	12.65%	961,929,118.93
Construction Engineering	25,000,000.00	2,611,426.78	2,611,426.78	22,388,573.22	10.45%	3,496,704.81
SUBTOTAL: Highway Construction	\$604,575,304.04	\$71,311,189.20	\$71,311,189.20	\$533,264,114.84	11.80%	\$989,962,679.91
Construction Related Expense						
Overhead	24,357,243.48	1,171,926.10	1,171,926.10	23,185,317.38	4.81%	16,686,798.73
Planning & Research	12,056,000.00	1,137,673.97	1,137,673.97	10,918,326.03	9.44%	16,988,437.60
Local Systems	137,748,833.00	10,812,719.53	10,812,719.53	126,936,113.47	7.85%	108,534,998.41
Highway Safety Office	5,220,250.00	380,559.39	380,559.39	4,839,690.61	7.29%	5,240,876.04
Public Transportation Asst	29,455,946.50	2,873,199.09	2,873,199.09	26,582,747.41	9.75%	39,787,957.45
SUBTOTAL: Construction Related Expense	\$208,838,272.98	\$16,376,078.08	\$16,376,078.08	\$192,462,194.90	7.84%	\$187,239,068.23
AGENCY TOTAL	\$1,041,126,323.02	\$107,661,609.86	\$107,661,609.86	\$933,464,713.16	10.34%	\$1,236,868,694.77

**PROGRAM STATUS REPORT
BUSINESS MONTH - JULY 2021**

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	753,308.03	1,958,415.19	0.00	2,296,352.65	2,084,905.51	639,511.58	7,732,492.96
Temporary Salaries	2,224.46	15,821.28	0.00	181,893.72	35,562.99	26,298.17	261,800.62
Overtime	2,038.54	49,805.69	0.00	167,623.61	247,677.89	11,445.84	478,591.57
Employee Benefits	0.00	3,146,669.32	0.00	0.00	0.00	0.00	3,146,669.32
SUBTOTAL: Personal Services	\$757,571.03	\$5,170,711.48	\$0.00	\$2,645,869.98	\$2,368,146.39	\$677,255.59	\$11,619,554.47
Operating Expenses							
Utilities	0.00	153,742.40	0.00	96,741.11	661.31	46.14	251,190.96
Rentals	944.54	5,977.58	0.00	95,300.09	75.00	0.00	102,297.21
Repairs & Maintenance	0.00	281,328.24	0.00	534,752.47	505.00	29,393.67	845,979.38
Maintenance Contracts	0.00	0.00	0.00	1,424,519.83	0.00	0.00	1,424,519.83
Engineering Contracts	0.00	(155,413.00)	192,056.82	12,672.93	1,583,518.44	338,135.68	1,970,970.87
Contractual Services	(3,718.31)	144,344.67	0.00	37,371.65	21,098.38	584,414.07	783,510.46
Technology Expenses	395.27	899,008.03	0.00	168,532.42	0.00	21,444.83	1,089,380.55
Other Operating Expenses	134,616.76	12,377.60	0.00	2,909.91	1,012.30	59,765.74	210,682.31
SUBTOTAL: Operating Expenses	\$132,238.26	\$1,341,365.52	\$192,056.82	\$2,372,800.41	\$1,606,870.43	\$1,033,200.13	\$6,678,531.57
Supplies and Materials							
General Supplies & Materials	27,586.82	1,779.93	0.00	46,765.35	35.89	5,314.27	81,482.26
Maint & Const Materials	640.86	44,196.72	0.00	6,578,697.37	161,535.64	17,895.28	6,802,965.87
Automotive Supplies & Materials	0.00	5,368.34	0.00	1,225,071.72	0.00	63.99	1,230,504.05
SUBTOTAL: Supplies and Materials	\$28,227.68	\$51,344.99	\$0.00	\$7,850,534.44	\$161,571.53	\$23,273.54	\$8,114,952.18
Travel							
In State Travel	9,643.51	5,409.21	0.00	2,106.82	15,904.35	11,561.53	44,625.42
Out of State Travel	1,064.46	1,393.20	0.00	0.00	0.00	0.00	2,457.66
SUBTOTAL: Travel	\$10,707.97	\$6,802.41	\$0.00	\$2,106.82	\$15,904.35	\$11,561.53	\$47,083.08
Capital Outlay							
Land	0.00	(424,315.83)	424,415.83	0.00	515,066.00	0.00	515,166.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	64,746,994.48	0.00	64,746,994.48
Buildings	0.00	134,345.00	730,900.66	0.00	0.00	0.00	865,245.66
Heavy Equipment and Vehicles	0.00	0.00	0.00	777,843.48	0.00	0.00	777,843.48
Specialty Equipment	0.00	0.00	0.00	13,723.33	88,050.00	0.00	101,773.33
SUBTOTAL: Capital Outlay	\$0.00	(\$289,970.83)	\$1,155,316.49	\$791,566.81	\$65,350,110.48	\$0.00	\$67,007,022.95
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	2,841,829.01	2,841,829.01
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	343,937.89	343,937.89
Other Government Aid	0.00	0.00	0.00	0.00	20,580.60	10,988,118.11	11,008,698.71
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$20,580.60	\$14,173,885.01	\$14,194,465.61
Internal Redistributions							
Redistribution	473,959.45	(3,947,900.54)	0.00	1,229,033.39	1,788,005.42	456,902.28	0.00
SUBTOTAL: Internal Redistributions	\$473,959.45	(\$3,947,900.54)	\$0.00	\$1,229,033.39	\$1,788,005.42	\$456,902.28	\$0.00
GRAND TOTAL:	\$1,402,704.39	\$2,332,353.03	\$1,347,373.31	\$14,891,911.85	\$71,311,189.20	\$16,376,078.08	\$107,661,609.86

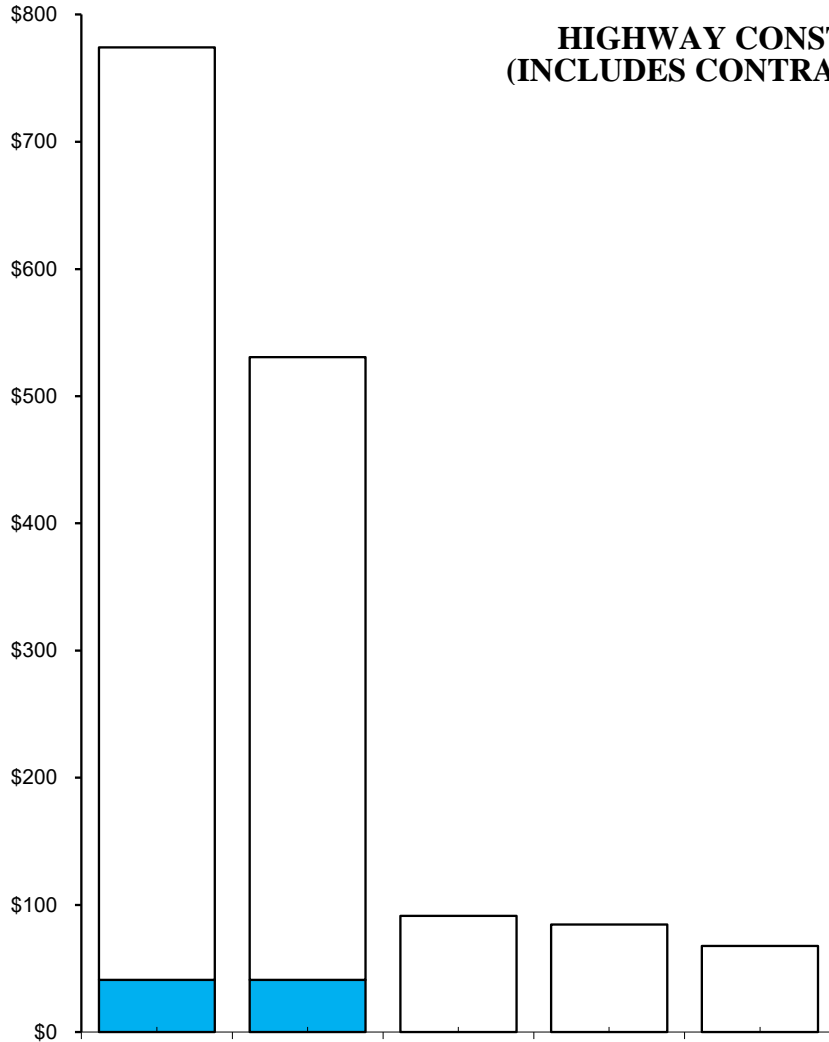
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BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
 July 2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	728,147.14	54,691.56	54,691.56	673,455.58	7.51%	0.00
140 - LEGAL	1,229,535.40	97,582.45	97,582.45	1,131,952.95	7.94%	171,636.73
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,486,736.16	170,760.49	170,760.49	2,315,975.67	6.87%	139,235.16
SUBTOTAL: OFFICE OF THE DIRECTOR	\$4,444,418.70	\$323,034.50	\$323,034.50	\$4,121,384.20	7.27%	\$310,871.89
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,113,849.11	159,576.21	159,576.21	1,954,272.90	7.55%	0.00
250 - STRATEGIC PLANNING DIVISION	3,412,005.83	202,684.31	202,684.31	3,209,321.52	5.94%	782,894.32
320 - BRIDGE DIVISION	7,151,605.84	511,847.59	511,847.59	6,639,758.25	7.16%	1,473,546.36
340 - TRAFFIC ENGINEERING DIVISION	4,421,628.10	399,035.79	399,035.79	4,022,592.31	9.02%	654,505.42
350 - RIGHT OF WAY DIVISION	4,963,079.13	349,421.97	349,421.97	4,613,657.16	7.04%	146,427.30
360 - PROJECT DEVELOPMENT DIVISION	14,386,870.66	882,369.20	882,369.20	13,504,501.46	6.13%	10,960,261.85
370 - ROADWAY DESIGN DIVISION	24,543,788.78	1,612,844.13	1,612,844.13	22,930,944.65	6.57%	13,587,255.93
420 - PROGRAM MANAGEMENT DIVISION	1,335,953.47	142,138.54	142,138.54	1,193,814.93	10.64%	17,653.10
580 - LOCAL ASSISTANCE DIVISION	2,962,264.89	204,665.44	204,665.44	2,757,599.45	6.91%	1,780,855.15
SUBTOTAL: OFFICE OF ENGINEERING	\$65,291,045.81	\$4,464,583.18	\$4,464,583.18	\$60,826,462.63	6.84%	\$29,403,399.43
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,285,093.83	167,328.84	167,328.84	2,117,764.99	7.32%	2,050.00
260 - OPERATIONS DIVISION	16,645,525.24	1,186,921.56	1,186,921.56	15,458,603.68	7.13%	8,799,201.88
280 - BUSINESS TECH SUPPORT DIVISION	27,569,908.07	1,247,370.52	1,247,370.52	26,322,537.55	4.52%	24,971,450.15
380 - CONSTRUCTION DIVISION	2,990,404.82	230,718.48	230,718.48	2,759,686.34	7.72%	1,112.50
390 - MATERIALS & RESEARCH DIVISION	12,853,834.73	944,159.10	944,159.10	11,909,675.63	7.35%	10,277,148.30
610 - DISTRICT 1	33,947,496.11	3,794,399.95	3,794,399.95	30,153,096.16	11.18%	6,330,202.77
620 - DISTRICT 2	23,279,072.08	1,551,596.59	1,551,596.59	21,727,475.49	6.67%	5,764,539.12
630 - DISTRICT 3	32,110,108.72	2,776,245.59	2,776,245.59	29,333,863.13	8.65%	2,609,045.83
640 - DISTRICT 4	33,260,781.82	2,863,109.26	2,863,109.26	30,397,672.56	8.61%	5,000,784.14
650 - DISTRICT 5	23,779,814.81	1,955,787.82	1,955,787.82	21,824,026.99	8.22%	3,510,459.57
660 - DISTRICT 6	26,133,919.86	2,205,072.13	2,205,072.13	23,928,847.73	8.44%	6,210,644.83
670 - DISTRICT 7	17,553,092.95	1,889,949.50	1,889,949.50	15,663,143.45	10.77%	3,829,362.07
680 - DISTRICT 8	16,205,641.80	1,764,727.99	1,764,727.99	14,440,913.81	10.89%	3,180,420.31
SUBTOTAL: OFFICE OF OPERATIONS	\$268,614,694.84	\$22,577,387.33	\$22,577,387.33	\$246,037,307.51	8.41%	\$80,486,421.47
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	(294,352.72)	(294,352.72)	294,352.72	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,039,117.00	(185,064.36)	(185,064.36)	1,224,181.36	(17.81)%	53,977.37
904 - TRANSPORTATION CAPITAL	701,737,046.67	80,776,021.93	80,776,021.93	620,961,024.74	11.51%	1,126,614,024.61
SUBTOTAL: BUDGETARY CONTROL	\$702,776,163.67	\$80,296,604.85	\$80,296,604.85	\$622,479,558.82	11.43%	\$1,126,668,001.98
AGENCY TOTAL	\$1,041,126,323.02	\$107,661,609.86	\$107,661,609.86	\$933,464,713.16	10.34%	\$1,236,868,694.77

**FY-2022
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2022 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2022 PROJECTS	
7/22/2021	41.11				41.11
8/26/2021					
9/30/2021					
10/21/2021					
11/4/2021					
11/18/2021					
12/16/2021					
1/27/2022					
3/3/2022					
5/12/2022					
6/16/2022					
	41.11	0.00	0.00	0.00	41.11

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/22/2021	1.47	6.93	21.43		1.37	0.76	9.15		41.11
8/26/2021									
9/30/2021									
10/21/2021									
11/4/2021									
11/18/2021									
12/16/2021									
1/27/2022									
3/3/2022									
5/12/2022									
6/16/2022									
	1.47	6.93	21.43	0.00	1.37	0.76	9.15	0.00	41.11

	State System			Local System	
	Total Letting(1)	FY-2022 Program (2)	Prior Year Projects (3)	Advanced Projects	FY-2022 Program (4)
% Let to Date	5.3%	7.8%	0.0%	0.0%	0.0%
Actual \$ Let	41.11	41.11	0.00	0.00	0.00
Projected \$ Remaining	733.16	489.50	91.28	84.60	67.78
Total	\$774.27	\$530.61	\$91.28	\$84.60	\$67.78

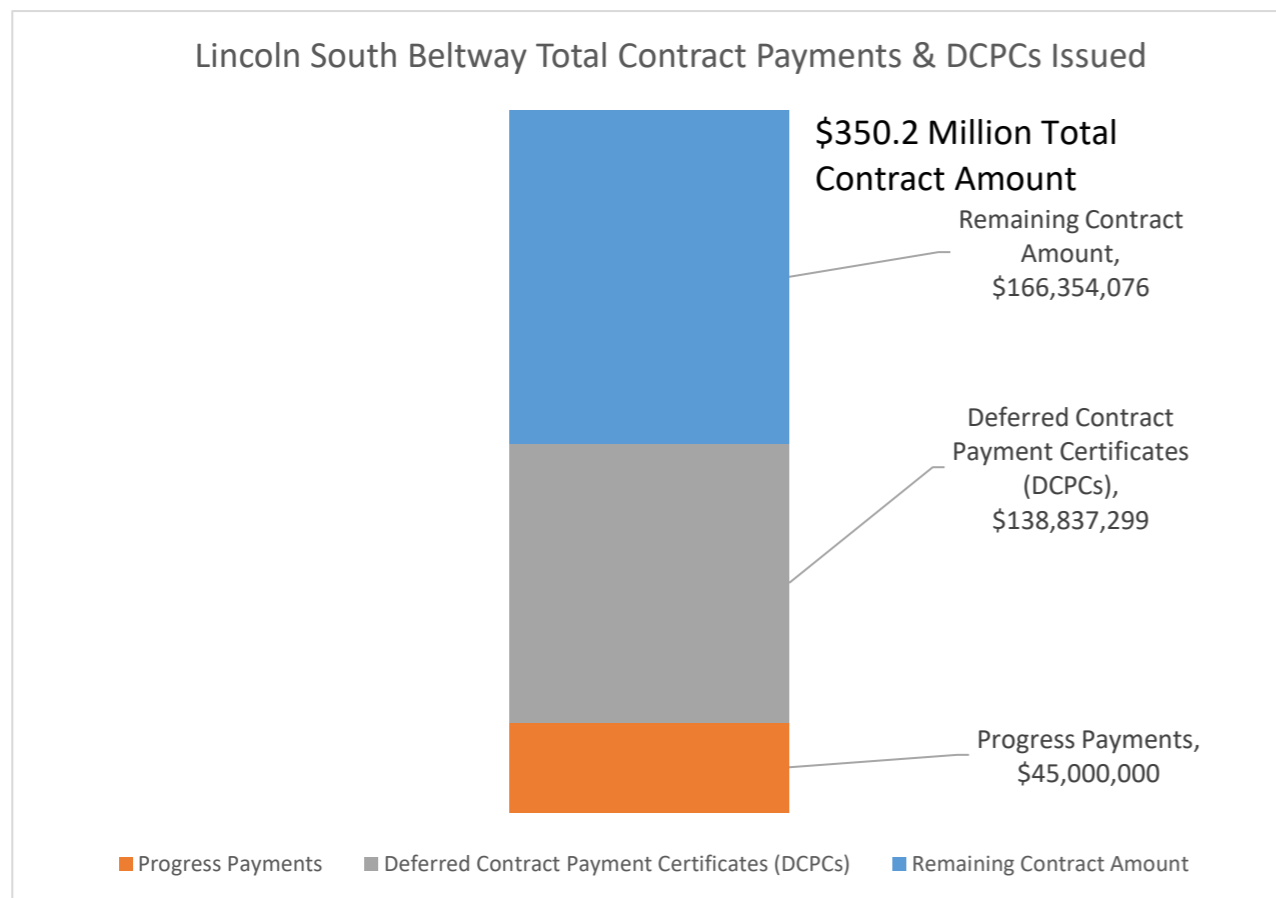
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2022 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of July 31, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through July 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through July 2021)

Remaining Contract Amount	\$166,354,076
Progress Payments	\$45,000,000
Deferred Contract Payment Certificates (DCPCs)	\$138,837,299

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through July 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$29,877,875
FY 2028	\$4,256,924
Total DCPCs to date	\$138,837,299

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	46,365	277,251
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000		
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 51,127	329,111	\$ 46,365	277,251

Footnotes:
FY21 Apportionment per Public Law 116-159. Available Obligation Limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

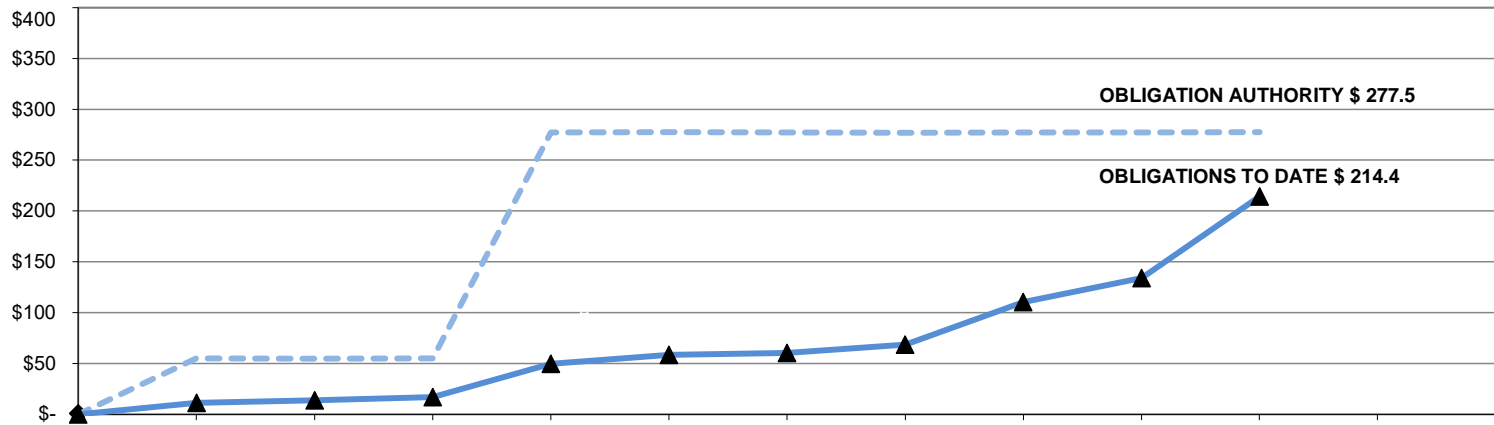
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
JULY 31, 2021

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	-	187,913,464	126,207,860	61,705,604	127,214,043	138,084,738
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	56,619
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	3,382,453	432,195	-	3,915,202
STP - Flexible - Any Area	1,350,799	33,159,291	-	34,510,090	34,351,967	158,123	51,418,274	74,226,136
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	3,466,727	13,628,341	23,322,866	44,900,830
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	4,058,148	16,591,599	73,093	4,677,994
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	981,500	42,685,104	8,000	2,197,117
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	13,521,447	475,558	-	15,321,552
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	1,091,698	9,890,458	-	2,389,830
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	14,014,913	22,678,420	1,915,919	18,545,129
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	2,823,511	553,194	2,355,797	2,425,865
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	585,398	9,589,399	-	3,044,201
Highway Planning	1,539,880	4,661,443	(13)	6,201,309	2,579,245	3,622,064	2,089	8,442,100
Research	293,360	1,553,815	255,698	2,102,873	2,030,229	72,643	141,795	5,966,808
Metropolitan Planning	293,435	1,776,501	-	2,069,936	1,499,026	570,910	-	2,852,338
National Hwy Freight Program	-	10,663,255	-	10,663,255	-	10,663,255	-	2,868,169
TAP - Flex	127,447	2,900,268	-	3,027,715	33,877	2,993,838	-	866,254
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	43,439	2,352,479	-	1,701,712
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	(54,579)	643,636	-	504,374
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	75,514	1,734,022	-	1,292,383
Recreational Trails	1,444,778	1,205,213	-	2,649,991	255,057	2,394,934	-	4,005,360
Enhancement	218,634	-	-	218,634	(47,732)	266,366	-	128,825
Safe Routes to School Prog	163,140	-	-	163,140	(37,353)	200,493	-	83,247
Redistribution - Certain Auth.	1,498,117	2,127,259	-	3,625,376	3,613,030	12,346	-	6,222,631
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	(147,958)	147,958	-	1,747,894
Other	-	-	(12,174)	(12,174)	-	(12,174)	-	1,093,443
Total Formula Funds	\$ 104,540,835	\$ 313,740,147	\$ 97,201	\$ 418,378,182	\$ 214,327,417	\$ 204,050,766	\$ 206,451,875	\$ 347,560,749
Allocated/Discretionary Funds	14,859	107,385	2,855,476	2,977,720	154,098	2,823,622	-	15,609,560
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 313,847,532	\$ 2,952,677	\$ 421,355,903	\$ 214,481,515	\$ 206,874,388	\$ 206,451,875	\$ 363,170,309
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	103,008,663	241,382,183	149,911,434	91,470,749	12,612,097	94,869,355
GRAND TOTAL	\$ 238,405,628	\$ 318,371,118	\$ 105,961,340	\$ 662,738,085	\$ 364,392,948	\$ 298,345,137	\$ 219,063,972	\$ 458,039,665

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8	54.9	277.2	277.4	277.2	276.8	277.1	277.1	277.5		
OA Used	0.0	11.3	13.7	16.9	49.6	58.4	60.4	68.6	110.5	133.9	214.4		

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2020		As of July 31, 2021		
Formula Obligation Limitation	\$	284.1	\$	277.2	
August Redistribution		45.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	0.1	83.3%
Subtotal	\$	329.8	\$	277.3	
Other Allocation Obligation Limitation		18.5		0.2	
Annual Obligation Limitation	\$	348.3	\$	277.5	
Formula Obligations to Date		0.0		(214.2)	Obligated
Allocated Obligations to Date		-		(0.2)	77.3%
Subtotal	\$	-	\$	(214.4)	
Obligation Authority Balance	\$	348.30	\$	63.1	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Congestion MGMT Tech Deploy		0.0		2.7	
Highway Infrastructure COVID		0.0		71.7	
Highway Infrastructure (NON-COVID)		0.0		22.9	
Competitive Highway Bridge Program		0.0		8.5	
Training and Education Fast		0.2		-	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 2018		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	247.2	
Obligations to Date		0.0		(149.9)	
Obligation Authority Balance	\$	218.6	\$	97.3	

FY21 Available Obligation limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - JULY 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,689,807.41	0.00	0.00	134.52	0.00	1,689,941.93
	RIGHT OF WAY	192,584.13	0.00	0.00	1,928.54	0.00	194,512.67
	CONSTRUCTION	(22,089,701.27)	86,829,766.70	(1,501.55)	3,732,535.32	(3,395,883.13)	65,075,216.07
	CONSTRUCTION ENGINEERING	(1,330,660.59)	3,272,157.90	(219.75)	14,265.45	6,168.24	1,961,711.25
	PLANNING & RESEARCH	6,361.41	24,994.18	0.00	0.00	0.00	31,355.59
	TOTAL	\$ (21,531,608.91)	\$ 90,126,918.78	\$ (1,721.30)	\$ 3,748,863.83	\$ (3,389,714.89)	\$ 68,952,737.51
LOCAL	PRELIMINARY ENGINEERING	51,507.38	529,374.30	18,901.77	52,268.89	6,641.57	658,693.91
	RIGHT OF WAY	159,946.23	35,669.90	(1,461.47)	24,724.66	20,536.33	239,415.65
	CONSTRUCTION	247,684.91	7,002,307.65	896,549.29	683,396.24	147,919.73	8,977,857.82
	CONSTRUCTION ENGINEERING	22,413.68	528,899.39	36,956.57	104,543.96	154.65	692,968.25
	PLANNING & RESEARCH	18.26	(361.15)	2,383.79	487.39	0.00	2,528.29
	TOTAL	\$ 481,570.46	\$ 8,095,890.09	\$ 953,329.95	\$ 865,421.14	\$ 175,252.28	\$ 10,571,463.92
NON-HWY	PRELIMINARY ENGINEERING	1,359,346.92	23,240.24	0.00	5,189.35	1,965.26	1,389,741.77
	RIGHT OF WAY	81,373.34	284,393.36	0.00	71,098.31	0.00	436,865.01
	CONSTRUCTION	96.97	465,231.36	0.00	116,984.81	0.00	582,313.14
	CONSTRUCTION ENGINEERING	605,211.68	47,273.81	0.00	11,721.45	0.00	664,206.94
	TRAFFIC SAFETY & TRANS	(182,742.99)	718,673.67	0.00	0.00	0.00	535,930.68
	PLANNING & RESEARCH	321,276.96	883,126.66	0.00	101.71	93,610.45	1,298,115.78
	PUBLIC TRANSPORTATION ASSIST	190,242.52	2,632,180.30	37,329.60	0.00	48,233.36	2,907,985.78
	TOTAL	\$ 2,374,805.40	\$ 5,054,119.40	\$ 37,329.60	\$ 205,095.63	\$ 143,809.07	\$ 7,815,159.10
TOTAL - CURRENT MONTH	\$ (18,675,233.05)	\$ 103,276,928.27	\$ 988,938.25	\$ 4,819,380.60	\$ (3,070,653.54)	\$ 87,339,360.53	

FISCAL YEAR TO DATE - JULY 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,689,807.41	0.00	0.00	134.52	0.00	1,689,941.93
	RIGHT OF WAY	192,584.13	0.00	0.00	1,928.54	0.00	194,512.67
	CONSTRUCTION	(22,089,701.27)	86,829,766.70	(1,501.55)	3,732,535.32	(3,395,883.13)	65,075,216.07
	CONSTRUCTION ENGINEERING	(1,330,660.59)	3,272,157.90	(219.75)	14,265.45	6,168.24	1,961,711.25
	PLANNING & RESEARCH	6,361.41	24,994.18	0.00	0.00	0.00	31,355.59
	TOTAL	\$ (21,531,608.91)	\$ 90,126,918.78	\$ (1,721.30)	\$ 3,748,863.83	\$ (3,389,714.89)	\$ 68,952,737.51
LOCAL	PRELIMINARY ENGINEERING	51,507.38	529,374.30	18,901.77	52,268.89	6,641.57	658,693.91
	RIGHT OF WAY	159,946.23	35,669.90	(1,461.47)	24,724.66	20,536.33	239,415.65
	CONSTRUCTION	247,684.91	7,002,307.65	896,549.29	683,396.24	147,919.73	8,977,857.82
	CONSTRUCTION ENGINEERING	22,413.68	528,899.39	36,956.57	104,543.96	154.65	692,968.25
	PLANNING & RESEARCH	18.26	(361.15)	2,383.79	487.39	0.00	2,528.29
	TOTAL	\$ 481,570.46	\$ 8,095,890.09	\$ 953,329.95	\$ 865,421.14	\$ 175,252.28	\$ 10,571,463.92
NON-HWY	PRELIMINARY ENGINEERING	1,359,346.92	23,240.24	0.00	5,189.35	1,965.26	1,389,741.77
	RIGHT OF WAY	81,373.34	284,393.36	0.00	71,098.31	0.00	436,865.01
	CONSTRUCTION	96.97	465,231.36	0.00	116,984.81	0.00	582,313.14
	CONSTRUCTION ENGINEERING	605,211.68	47,273.81	0.00	11,721.45	0.00	664,206.94
	TRAFFIC SAFETY & TRANS	(182,742.99)	718,673.67	0.00	0.00	0.00	535,930.68
	PLANNING & RESEARCH	321,276.96	883,126.66	0.00	101.71	93,610.45	1,298,115.78
	PUBLIC TRANSPORTATION ASSIST	190,242.52	2,632,180.30	37,329.60	0.00	48,233.36	2,907,985.78
	TOTAL	\$ 2,374,805.40	\$ 5,054,119.40	\$ 37,329.60	\$ 205,095.63	\$ 143,809.07	\$ 7,815,159.10
TOTAL - FISCAL YEAR TO DATE	\$ (18,675,233.05)	\$ 103,276,928.27	\$ 988,938.25	\$ 4,819,380.60	\$ (3,070,653.54)	\$ 87,339,360.53	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
JULY 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,833,290,678.63	927,536,739.39	905,753,939.24	(21,531,608.91)	(21,531,608.91)	19,580,806.54
	FEDERAL	1,453,850,235.01	1,147,538,460.36	306,311,774.65	90,126,918.78	90,126,918.78	223,359,847.62
	COUNTY	2,652,712.32	2,464,804.10	187,908.22	(1,721.30)	(1,721.30)	135,038.74
	CITY	88,834,026.60	40,806,444.88	48,027,581.72	3,748,863.83	3,748,863.83	10,840,410.01
	OTHER	19,771,722.65	15,215,582.10	4,556,140.55	(3,389,714.89)	(3,389,714.89)	(3,212,258.72)
STATE HIGHWAY SYSTEM TOTALS		\$ 3,398,399,375.21	\$ 2,133,562,030.83	\$ 1,264,837,344.38	\$ 68,952,737.51	\$ 68,952,737.51	\$ 250,703,844.19
LOCAL HIGHWAY SYSTEM							
	STATE	57,322,545.07	38,713,252.69	18,609,292.38	481,570.46	481,570.46	2,462,038.33
	FEDERAL	343,600,785.43	248,082,529.22	95,518,256.21	8,095,890.09	8,095,890.09	36,074,238.15
	COUNTY	16,491,217.69	11,622,465.73	4,868,751.96	953,329.95	953,329.95	3,411,956.99
	CITY	102,283,740.02	76,272,106.63	26,011,633.39	865,421.14	865,421.14	5,709,042.37
	OTHER	6,738,369.23	4,046,319.45	2,692,049.78	175,252.28	175,252.28	209,321.33
LOCAL HIGHWAY SYSTEM TOTALS		\$ 526,436,657.44	\$ 378,736,673.72	\$ 147,699,983.72	\$ 10,571,463.92	\$ 10,571,463.92	\$ 47,866,597.17
NON-HIGHWAY							
	STATE	419,260,795.10	359,345,292.22	59,915,502.88	2,374,805.40	2,374,805.40	50,756,839.93
	FEDERAL	252,225,708.76	123,273,623.90	128,952,084.86	5,054,119.40	5,054,119.40	27,576,242.74
	COUNTY	1,287,631.39	487,520.12	800,111.27	37,329.60	37,329.60	168,862.30
	CITY	7,579,594.33	6,076,178.63	1,503,415.70	205,095.63	205,095.63	2,262,657.18
	OTHER	14,602,327.34	12,329,580.78	2,272,746.56	143,809.07	143,809.07	884,010.02
NON-HIGHWAY TOTALS		\$ 694,956,056.92	\$ 501,512,195.65	\$ 193,443,861.27	\$ 7,815,159.10	\$ 7,815,159.10	\$ 81,648,612.17
GRAND TOTALS		\$ 4,619,792,089.57	\$ 3,013,810,900.20	\$ 1,605,981,189.37	\$ 87,339,360.53	\$ 87,339,360.53	\$ 380,219,053.53

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
JULY 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	0.01	0.00	0.01	0.00	0.00	0.00
PRELIMINARY ENGINEERING	571,797,614.14	384,961,620.47	186,835,993.67	3,738,377.61	3,738,377.61	25,687,893.30
RIGHT OF WAY	169,448,937.70	130,043,900.64	39,405,037.06	870,793.33	870,793.33	7,997,719.80
UTILITIES	52,621,747.37	29,088,534.06	23,533,213.31	215,023.73	215,023.73	7,229,512.24
CONSTRUCTION	3,329,023,712.49	2,167,030,614.44	1,161,993,098.05	74,420,363.30	74,420,363.30	285,331,992.74
CONSTRUCTION ENGINEERING	235,202,671.96	144,339,914.90	90,862,757.06	3,318,886.44	3,318,886.44	19,803,701.47
TRAFFIC SAFETY	28,088,913.14	16,789,810.99	11,299,102.15	535,930.68	535,930.68	4,241,485.07
PLANNING & RESEARCH	104,938,162.68	68,270,985.94	36,667,176.74	1,331,999.66	1,331,999.66	9,956,559.82
PUBLIC TRANSPORTATION	128,670,330.08	73,285,518.76	55,384,811.32	2,907,985.78	2,907,985.78	19,970,189.09
GRAND TOTALS	\$ 4,619,792,089.57	\$ 3,013,810,900.20	\$ 1,605,981,189.37	\$ 87,339,360.53	\$ 87,339,360.53	\$ 380,219,053.53

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
JULY 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,322,970,822.99	891,728,661.43	431,242,161.56	12,210,517.34	12,210,517.34	93,215,885.91
ROADS OPERATION FUND AC*	144,332,752.50	3,288,717.49	141,044,035.01	(13,944,869.37)	(13,944,869.37)	(1,439,987.75)
GENERAL BRIDGE STUDY	81,519.96	0.00	81,519.96	0.00	0.00	0.00
GRADE CROSSING FUND	2,860,288.34	1,847,861.08	1,012,427.26	24,873.39	24,873.39	448,523.34
GRADE SEPARATION-TMT	27,029,930.89	24,588,476.27	2,441,454.62	21,635.01	21,635.01	116,252.35
RECREATION ROAD FUND	11,647,066.15	9,876,527.50	1,770,538.65	172,331.67	172,331.67	2,432,108.59
ST HWY CAPITAL IMPR	648,032,930.71	278,167,283.40	369,865,647.31	(19,231,213.76)	(19,231,213.76)	(40,278,485.44)
STATE AID BRIDGE	3,817,696.95	3,456,376.02	361,320.93	14,079.11	14,079.11	97,564.50
TRANS INFRA BANK	149,101,010.31	112,641,381.11	36,459,629.20	2,057,413.56	2,057,413.56	18,207,823.30
TOTAL STATE FUNDS	\$ 2,309,874,018.80	\$ 1,325,595,284.30	\$ 984,278,734.50	\$ (18,675,233.05)	\$ (18,675,233.05)	\$ 72,799,684.80
FEDERAL FUNDS	2,049,676,729.20	1,518,894,613.48	530,782,115.72	103,276,928.27	103,276,928.27	287,010,328.51
COUNTY FUNDS	20,431,561.40	14,574,789.95	5,856,771.45	988,938.25	988,938.25	3,715,858.03
CITY FUNDS	198,697,360.95	123,154,730.14	75,542,630.81	4,819,380.60	4,819,380.60	18,812,109.56
OTHER FUNDS	41,112,419.22	31,591,482.33	9,520,936.89	(3,070,653.54)	(3,070,653.54)	(2,118,927.37)
GRAND TOTALS	\$ 4,619,792,089.57	\$ 3,013,810,900.20	\$ 1,605,981,189.37	\$ 87,339,360.53	\$ 87,339,360.53	\$ 380,219,053.53

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
July 31, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund					
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures
Revenue	\$ 7,142,689.89	\$ 7,142,689.89	\$ 536,782,401.21		
Expenditures					
Expressway and High Priority Corridors	(19,231,330.16)	(19,231,330.16)	199,794,557.77	346,944,917.02	265,584,406.58
Other Highways	116.40	116.40	78,372,725.63	22,920,730.29	111,631,747.95
BNA Projects Completed/Closed			144,176,575.68		
Total	\$ (19,231,213.76)	\$ (19,231,213.76)	\$ 422,343,859.08	\$ 369,865,647.31	\$ 377,216,154.53
Funds Available			\$ 114,438,542.13		

Note: Expenditures reflect crediting of \$24.1 million of Federal Emergency Relief in July 2021 related to March 2019 flood repair expenses.

Transportation Innovation Act Financial Status July 31, 2021

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,568,491.56	\$ 2,568,491.56	\$ 159,305,253.10		
Expenditures					
Accelerated State Highway Capital Improvement Program	1,960,050.03	1,960,050.03	105,492,718.37	23,648,824.20	171,121,482.09
County Bridge Match Program	97,363.54	97,363.54	5,133,321.39	11,418,720.98	8,209,363.12
Economic Opportunity Program	(0.01)	(0.01)	2,015,341.35	1,392,084.02	16,103,000.00
TIB Projects Completed/Closed			3,607,407.44		
Total Expenditures	\$ 2,057,413.56	\$ 2,057,413.56	\$ 116,248,788.55	\$ 36,459,629.20	\$ 195,433,845.21
Funds Available			\$ 43,056,464.55		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 88.90%

	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>07/31/21</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.358	-	-	3.358	3.139	0.219
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 14.426	-	⁽⁴⁾ (0.146)	14.280	3.467	10.813
LCLC - LINCOLN	6.395	\$ 5.685	11.177	-	16.862	4.027	12.835
SubTotal Local	\$ 26.399	\$ 23.469	\$ 12.377	\$ (0.146)	\$ 35.700	\$ 10.633	\$ 25.067
METRO PLANNING	1.777	1.580	-	-	1.580	1.499	0.081
Omaha	66.836%	1.121	0.996	-	0.996	0.959	0.037
Lincoln	26.341%	0.466	0.414	-	0.414	0.435	(0.021)
South Sioux City	1.688%	0.067	0.060	-	0.060	0.029	0.031
Grand Island	5.135%	0.123	0.109	-	0.109	0.076	0.033
TAP - Flex	2.900	2.578	-	-	2.578	-	2.578
TAP - 5K and Under	0.874	0.777	-	-	0.777	0.063	0.714
TAP - 5K-200K	0.573	0.509	-	-	0.509	(0.038)	0.547
TAP - MAPA - OMAHA	1.042	0.926	-	-	0.926	(0.047)	0.973
TAP - LCLC - LINCOLN	0.411	0.365	-	-	0.365	0.034	0.331
REC TRAILS	1.205	1.071	-	-	1.071	0.255	0.816
TOTAL	\$ 35.181	\$ 31.275	\$ 12.377	\$ (0.146)	\$ 43.506	\$ 12.399	\$ 31.107

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20	Federal FY-21
	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment was made March 2021	Payment will be made March 2022
Bridge					
Annual Obligation Authority	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00	277,251,202.00
10% for Bridges	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90	27,725,120.20
60% Local Share	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34	16,635,072.12
Less STP Bridge Off System	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)	-
Less Under Water Inspection	-	(500,000.00)	-	-	-
Less Quality Assurance	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge	(2,500,000.00)	-	-	-	-
Load Rating of Fracture Critical Bridges	(400,000.00)	-	-	-	-
Funds Available To Be Purchased	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34	12,557,815.12
Bridge Buy Out Total	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00	90.0% \$ 11,302,034.00
Less Major On System Bridges Reserve	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00	\$ 9,302,034.00
Counties					
Annual Apportionment	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00	13,604,127.00
Funds Available To Be Purchased	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84	88.9% 12,094,068.90
County Buy Out Payment	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00	90% \$ 10,884,662.00
First Class Cities					
Annual Apportionment	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00	8,918,511.00
Funds Available To Be Purchased	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37	88.9% 7,928,556.28
First Class City Buy Out Payment	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00	90% \$ 7,135,701.00
Total Funds Distributed To Locals	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00	\$ 27,322,397.00

Soft Match Balance By County

As of July 31, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,221.77
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	357,975.59
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	944,944.36
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,865.62
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	531,058.52
3022	DAKOTA COUNTY	122,266.35
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.47
3027	DODGE COUNTY	215.26
3028	DOUGLAS COUNTY	206,719.40
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.98
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.09
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	211,334.69
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	366,131.71
3049	JOHNSON COUNTY	130,989.94

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,780.12
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	96,474.74
3061	MERRICK COUNTY	63,961.58
3063	NANCE COUNTY	68,458.89
3064	NEMAHA COUNTY	236,843.67
3065	NUCKOLLS COUNTY	409,894.19
3066	OTOE COUNTY	741,003.25
3067	PAWNEE COUNTY	224,266.75
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.07
3071	PLATTE COUNTY	29,487.71
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,843.84
3078	SAUNDERS COUNTY	111,067.12
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,404,030.63
3084	STANTON COUNTY	1,177,628.30
3085	THAYER COUNTY	217,624.91
3087	THURSTON COUNTY	354,203.79
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.89
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

August
2021

Nebraska Department of Transportation Financial Report



NEBRASKA
Good Life. Great Journey.
DEPARTMENT OF TRANSPORTATION

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August 2021 Highlights

- ⇒ The state revenue projections in this report were developed in June 2021 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- ⇒ Total revenue in August exceeded expenditures by \$5.1 million. Fiscal year to date revenue surpassed expenditures by \$52.4 million (page 6).
- ⇒ Projected \$927.2 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 27.7 cents, effective July 1, 2021. The month of August's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.9 million or 8.5%, motor vehicle registration revenue was over the projected amount by \$188 thousand or 6.9% and motor vehicle sales tax was over the projected amount by \$737 thousand or 5.2%. Highway Cash Fund receipts for FY22 to date were higher than projections by \$5.1 million or 6.3% (page 13, 14).
- ⇒ Established an operating budget for Roads Division of \$1.0 billion for FY22 which represents our best estimate of cash requirements for the fiscal year (pages 15, 16 and 19).

August expenditures totaled \$106.1 million. Fiscal year to date expenditures totaled \$213.8 million, 20.5% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of July 19, 2021 thru August 15, 2021. The payroll additive rate is established at 66% and the administrative rate is 2.28%.
- ⇒ Highway construction contract lettings fiscal year to date totaled \$167.4 million, \$162.8 million on the state highway system (page 20).
- ⇒ The August report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 21).
- ⇒ Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. The annual obligation authority is 88.9% per public Law 116-260. As of August 31, 2021, obligations of \$277.5 million have resulted in an obligation authority balance of \$20.3 million (pages 24, 25 and 26).
- ⇒ Build Nebraska Act became effective July 1, 2013. Revenue totaling \$544.6 million has been received to date with allocated expenditures totaling \$427.6 million (page 31).
- ⇒ Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$111.9 million has been received to date with expenditures totaling \$117.6 million (page 32).

LEGISLATIVE APPROPRIATION BILLS

Effective July 1, 2021 through June 30, 2022

The 107th Nebraska Legislature, first session passed bills that provide funds or authorize their transfer from the Nebraska Department of Transportation in FY2022. The total new appropriation provided for all of the Department's programs in FY2022 is \$1,080,412,877.

Legislative Bills 380 and 383 provide the funding for the Department's operations as detailed on the following page.

These bills provide for:

Highway Cash Fund appropriation to the Roads Operations Cash Fund was established at \$477 million. Any funds deposited into the Highway Cash Fund in excess of this appropriation cannot be utilized by the Department in fiscal year 2022 and will become part of the following year's appropriation.

Salaries limit of \$118,339,969 for Roads and \$1,395,553 for Aeronautics. This amount does not include encumbrances from fiscal year 2021 for salary costs incurred in that fiscal year but not paid until FY2022.

Nebraska State Patrol funding from the Roads Operations Cash Fund of:

\$9,683,062 for the Carrier Enforcement operations

\$ 489,979 for law enforcement coverage along state road construction zones.

Department of Motor Vehicles funding of:

\$1,400,000 for the "One Stop Shop" permit and registration function for interstate truckers from the Highway Trust Fund and

\$10,350,000 for the License Plate Cash Fund from the Highway Trust Fund.

Department of Revenue funding of \$1,347,588 for the motor fuel tax enforcement function from the Highway Trust Fund.

**NEBRASKA DEPARTMENT OF TRANSPORTATION
FISCAL YEAR 2022
LEGISLATIVE APPROPRIATION BY PROGRAM
PENDING APPROVAL OF CERTIFIED ENCUMBRANCES**

Program	No.	Footnote	As Appropriated ^F	Encumbrance		Reappropriation ^C	Total Appropriations/ Encumbrances
				Payroll ^A	Other ^B		
ADMINISTRATION & SERVICES	026		3,403,720	30,936	974,831		4,409,487
PUBLIC AIRPORTS	301		35,505,300		439,413		35,944,713
TRANSIT - OPERATING ASST	305	E	5,777,705		1,075,081		6,852,786
TRANSIT - INTERCITY BUS	305	E	535,000		126,951		661,951
HIGHWAY ADMINISTRATION	568		19,434,644	318,857	1,019,683		20,773,184
CONSTRUCTION	569	D	807,100,872	1,501,621	55,800,458		864,402,951
SERVICES & SUPPORT	572		32,201,123	165,085	1,687,976		34,054,184
HIGHWAY MAINTENANCE	574		166,076,979	1,350,019	23,039,504		190,466,502
STATE OWNED AIRCRAFT	596		377,534		7,448		384,982
FACILITY IMPROVEMENTS	901	E	10,000,000		1,365,287	15,272,843	26,638,130
TOTAL			\$ 1,080,412,877	\$ 3,366,518	\$ 85,536,632	\$ 15,272,843	\$ 1,184,588,870

- A. Represents PSL carryover for the last payroll encumbered from the preceding fiscal year. (pending final approval)
- B. Represents carryover for expenditures encumbered from the preceding fiscal year as of June 30. (pending final approval)
- C. Reappropriation balance represents remaining carry-over funding from the preceding fiscal year after accounting for encumbrances.
- D. Federal funds associated with the Department's highway construction program are reimbursements of previously expended cash funds.
- E. Amounts shown represent fixed ceilings beyond which expenditures cannot exceed.
- F. This represents the expenditure authority appropriated by the Legislature for the fiscal year. These are contained in the FY2022 Department Budget.

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
August 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	439,614,893.14	439,205,243.84	409,649.30	0.09	252,769,338.33	186,845,554.81	73.92
Federal Receivables	5,878,375.58	5,978,089.39	(99,713.81)	(1.67)	54,681,206.94	(48,802,831.36)	(89.25)
Other Receivables	14,390,119.47	15,700,717.72	(1,310,598.25)	(8.35)	17,452,745.32	(3,062,625.85)	(17.55)
Inventories	2,783,815.23	2,715,274.87	68,540.36	2.52	2,984,546.75	(200,731.52)	(6.73)
Total Current Assets	\$462,667,203.42	\$463,599,325.82	(\$932,122.40)	(0.20)%	\$327,887,837.34	\$134,779,366.08	41.11 %
Capital Assets							
Equipment	65,542,062.48	65,276,396.52	265,665.96	0.41	66,896,387.11	(1,354,324.63)	(2.02)
Land	569,312,437.83	569,312,437.83	0.00	0.00	569,312,437.83	0.00	0.00
Infrastructures	7,800,583,530.80	7,800,583,530.80	0.00	0.00	7,900,636,476.35	(100,052,945.55)	(1.27)
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	100,745,738.28	0.00	0.00
Total Capital Assets	\$8,536,183,769.39	\$8,535,918,103.43	\$265,665.96	0.00 %	\$8,637,591,039.57	(\$101,407,270.18)	(1.17)%
Total Assets	\$8,998,850,972.81	\$8,999,517,429.25	(\$666,456.44)	(0.01)%	\$8,965,478,876.91	\$33,372,095.90	0.37 %
LIABILITIES							
Current Liabilities							
Accounts Payable	6,566,809.51	11,504,410.22	(4,937,600.71)	(42.92)	11,428,526.19	(4,861,716.68)	(42.54)
Retention Payable	153,431,799.63	140,049,328.64	13,382,470.99	9.56	43,362,896.06	110,068,903.57	253.83
Other Payables	64,055,006.95	65,210,943.43	(1,155,936.48)	(1.77)	75,812,043.59	(11,757,036.64)	(15.51)
Total Current Liabilities	\$224,053,616.09	\$216,764,682.29	\$7,288,933.80	3.36 %	\$130,603,465.84	\$93,450,150.25	71.55 %
Total Liabilities	\$224,053,616.09	\$216,764,682.29	\$7,288,933.80	3.36 %	\$130,603,465.84	\$93,450,150.25	71.55 %
NET ASSETS							
Capital Equity							
Capital	8,536,183,769.39	8,535,918,103.43	265,665.96	0.00	8,637,591,039.57	(101,407,270.18)	(1.17)
Total Capital Equity	\$8,536,183,769.39	\$8,535,918,103.43	\$265,665.96	0.00 %	\$8,637,591,039.57	(\$101,407,270.18)	(1.17)%
Fund Balance							
Reserved Fund Balance	(150,647,984.40)	(137,334,053.77)	(13,313,930.63)	9.69	(40,378,349.31)	(110,269,635.09)	273.09
Unreserved Fund Balance	389,261,571.73	384,168,697.30	5,092,874.43	1.33	237,662,720.81	151,598,850.92	63.79
Total Fund Balance	\$238,613,587.33	\$246,834,643.53	(\$8,221,056.20)	(3.33)%	\$197,284,371.50	\$41,329,215.83	20.95 %
Total Net Assets	\$8,774,797,356.72	\$8,782,752,746.96	(\$7,955,390.24)	(0.09)%	\$8,834,875,411.07	(\$60,078,054.35)	(0.68)%
Total Liabilities and Net Assets	\$8,998,850,972.81	\$8,999,517,429.25	(\$666,456.44)	(0.01)%	\$8,965,478,876.91	\$33,372,095.90	0.37 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 6, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 6 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 6 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
AUGUST 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	49,848,435.56	49,202,357.32	646,078.24	1.31	99,050,792.88	91,830,966.53	7,219,826.35	7.86
Federal Reimbursements	55,976,892.74	103,276,928.27	(47,300,035.53)	(45.80)	159,253,821.01	157,241,159.17	2,012,661.84	1.28
Local Revenues	2,674,789.13	5,264,033.54	(2,589,244.41)	(49.19)	7,938,822.67	8,087,979.95	(149,157.28)	(1.84)
Other Entities Revenues	2,738,128.67	(2,783,417.07)	5,521,545.74	(198.37)	(45,288.40)	(1,593,860.35)	1,548,571.95	(97.16)
Total Revenue	\$111,238,246.10	\$154,959,902.06	(\$43,721,655.96)	(28.21) %	\$266,198,148.16	\$255,566,245.30	\$10,631,902.86	4.16 %
Expenditures								
Administration	2,028,991.88	1,402,704.39	626,287.49	44.65	3,431,696.27	4,009,975.42	(578,279.15)	(14.42)
Highway Maintenance	17,574,556.09	14,891,911.85	2,682,644.24	18.01	32,466,467.94	36,177,564.09	(3,711,096.15)	(10.26)
Capital Facilities	661,139.11	1,347,373.31	(686,234.20)	(50.93)	2,008,512.42	511,545.62	1,496,966.80	292.64
Services and Support	3,966,901.14	2,332,353.03	1,634,548.11	70.08	6,299,254.17	3,371,353.01	2,927,901.16	86.85
Construction	80,586,509.14	84,433,508.80	(3,846,999.66)	(4.56)	165,020,017.94	183,542,828.56	(18,522,810.62)	(10.09)
Highway Safety Office	277,121.12	380,559.39	(103,438.27)	(27.18)	657,680.51	478,990.26	178,690.25	37.31
Public Transit	1,037,484.99	2,873,199.09	(1,835,714.10)	(63.89)	3,910,684.08	4,106,876.27	(196,192.19)	(4.78)
Total Expenditures	\$106,132,703.47	\$107,661,609.86	(\$1,528,906.39)	(1.42) %	\$213,794,313.33	\$232,199,133.23	(\$18,404,819.90)	(7.93) %
Excess Revenue (Expenditures)	\$5,105,542.63	\$47,298,292.20	(\$42,192,749.57)	(89.21) %	\$52,403,834.83	\$23,367,112.07	\$29,036,722.76	124.26 %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

MAPA Bridge Study = General Fund appropriations of \$100,000 were established as part of LB 294 (2019) for a feasibility study to evaluate the potential transportation and economic development benefits of constructing an additional bridge across the Missouri River within a city of the metropolitan class. The unexpended balance of the appropriation was carried over to the current biennium per LB 380 (2021).

**BALANCE SHEET BY FUND
August 2021**

	Mapa Bridge Study 1000	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS										
Cash	0.00	213,633,933.73	41,571,137.50	122,289,794.15	45,677,016.98	3,704,029.73	1,827,590.74	10,843,294.38	64,021.07	439,610,818.28
Other Current Assets	0.00	23,056,385.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	23,056,385.14
Capital Assets	0.00	8,536,183,769.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,536,183,769.39
TOTAL ASSETS	\$0.00	\$8,772,874,088.26	\$41,571,137.50	\$122,289,794.15	\$45,677,016.98	\$3,704,029.73	\$1,827,590.74	\$10,843,294.38	\$64,021.07	\$8,998,850,972.81
LIABILITIES										
Current Liabilities	0.00	224,053,616.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	224,053,616.09
TOTAL LIABILITIES	\$0.00	\$224,053,616.09	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$224,053,616.09
NET ASSETS										
Fund Balance	0.00	83,688,035.84	(42,179,440.36)	88,064,638.81	42,545,386.55	3,717,450.58	1,788,880.76	10,305,123.06	(1,720,322.74)	186,209,752.50
Capital Equity	0.00	8,536,183,769.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,536,183,769.39
Accrued Interfund Transfer	2,451.82	(8,386,676.82)	0.00	5,247,625.74	1,337,293.84	16,920.67	16,813.91	89,711.95	1,675,858.89	0.00
Revenues	0.00	161,349,252.76	83,750,577.86	14,993,941.58	5,189,043.99	8,707.44	64,150.48	714,432.15	128,041.90	266,198,148.16
Costs	(2,451.82)	(224,013,909.00)	0.00	13,983,588.02	(3,394,707.40)	(39,048.96)	(42,254.41)	(265,972.78)	(19,556.98)	(213,794,313.33)
TOTAL NET ASSETS	\$0.00	\$8,548,820,472.17	\$41,571,137.50	\$122,289,794.15	\$45,677,016.98	\$3,704,029.73	\$1,827,590.74	\$10,843,294.38	\$64,021.07	\$8,774,797,356.72
TOTAL LIABILITIES AND NET ASSETS	\$0.00	\$8,772,874,088.26	\$41,571,137.50	\$122,289,794.15	\$45,677,016.98	\$3,704,029.73	\$1,827,590.74	\$10,843,294.38	\$64,021.07	\$8,998,850,972.81

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
August 2021

The nature of revenue and expenditure trends create a cash flow situation in that typically the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a relatively strong cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY22	JUL	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	155.0	111.2										
Expenditures	107.7	106.1										
Balance	47.3	5.1										
Cumulative Balance	47.3	52.4										

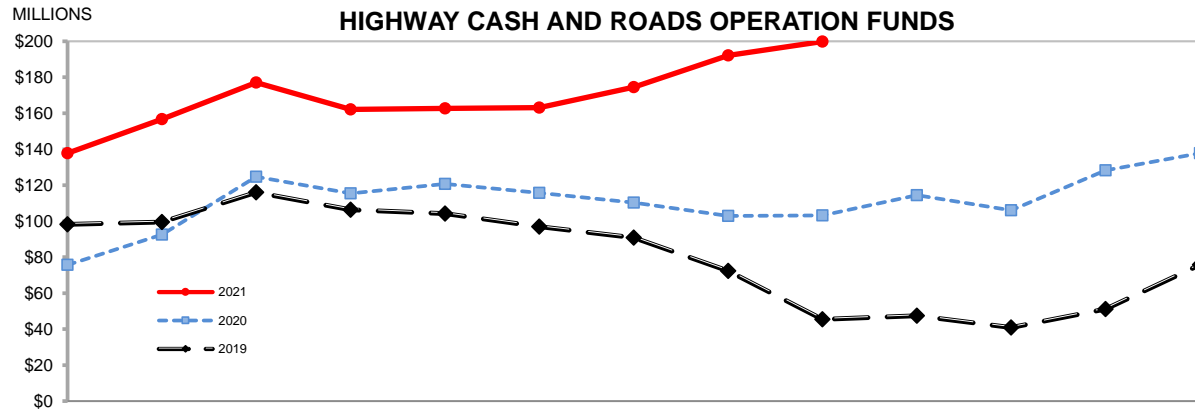
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$433,963.59 in August, with an interest rate of 1.33%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.48%	1.33%												1.41%
Earnings (Thousands)	\$446	\$434												\$440

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
August 2021
(IN MILLIONS)

Total of all funds available as of August 31st is \$433.6 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$249.2 million on the 31st to a low of \$199.9 million on the 24th.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1	162.1	162.7	163.1	174.5	192.2	199.9				
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6	35.5	41.7	79.9	82.8	88.1	114.4				
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7	48.6	49.5	50.0	48.2	45.1	45.1				
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5	4.6	5.7	5.7	5.3	5.5	5.5				
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2021	10.5	10.8	11.2	11.5	11.6	11.0	10.3	10.5				
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0				
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
August 2021**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	156,530.73									156,530.73
460000	Intergovernmental			466,956.60		70,000.00		3,926,915.33			4,463,871.93
470000	Sales & Charges				13,542.48	616.74					14,159.22
480000	Miscellaneous	8,346.52				9,326.00					17,672.52
490000	Other					12,034.34					12,034.34
TOTAL REVENUES		164,877.25	-	466,956.60	13,542.48	91,977.08	-	3,926,915.33	-	-	4,664,268.74
EXPENDITURES:											
510000	Personal Services	37,309.88		49,679.61	18,693.65	8,851.74					114,534.88
520000	Operating Expenses	12,304.27		7,293.40	2,422.87	16,656.68			27,581.73		66,258.95
570000	Travel Expenses	801.03		411.46	1,135.02				258.34		2,605.85
580000	Capital Outlay										-
590000	Government Aid							5,146,223.96			5,146,223.96
TOTAL EXPENDITURES		50,415.18	-	57,384.47	22,251.54	25,508.42	-	5,146,223.96	27,840.07	-	5,329,623.64
Excess (Deficiency) of Revenues Over Expenditures		114,462.07	-	409,572.13	(8,709.06)	66,468.66	-	(1,219,308.63)	(27,840.07)	-	(665,354.90)
OTHER FINANCING SOURCES (USES):											
	Transfers In			(409,572.13)	8,709.06						-
	Transfers Out	400,863.07									
	Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures		515,325.14	-	-	-	66,468.66	-	(1,219,308.63)	(27,840.07)	-	(665,354.90)
Fund Balance July 31, 2021		649,326.54	(2,899.36)	-	-	1,351,511.42	-	4,464,650.66	-	1,329,678.82	7,792,268.08
Fund Balance August 31, 2021		1,164,651.68	(2,899.36)	-	-	1,417,980.08	-	3,245,342.03	(27,840.07)	1,329,678.82	7,126,913.18

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
FISCAL YEAR TO DATE (July 1, 2021 through August 31, 2021)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	303,312.71	-	-	-	-	-	-	-	-	303,312.71
460000	Intergovernmental	-	-	110,955.32	-	70,000.00	-	6,087,830.47	-	-	6,268,785.79
470000	Sales & Charges	-	-	5,850.00	26,746.99	11,080.10	-	-	-	-	43,677.09
480000	Miscellaneous	17,294.54	-	-	-	115,615.19	-	-	-	-	132,909.73
490000	Other	-	-	-	-	(35,837.15)	-	-	-	-	(35,837.15)
TOTAL REVENUES		320,607.25	-	116,805.32	26,746.99	160,858.14	-	6,087,830.47	-	-	6,712,848.17
EXPENDITURES:											
510000	Personal Services	76,933.87	-	88,826.73	35,577.11	17,240.33	12.36	-	-	-	218,590.40
520000	Operating Expenses	24,095.35	-	36,507.52	5,145.04	20,770.58	1.16	-	41,389.37	-	127,909.02
570000	Travel Expenses	2,224.16	-	1,118.15	2,367.24	-	-	-	676.89	-	6,386.44
580000	Capital Outlay	-	-	-	-	12,001.69	-	-	-	-	12,001.69
590000	Government Aid	-	-	-	-	-	-	6,798,214.78	-	-	6,798,214.78
TOTAL EXPENDITURES		103,253.38	-	126,452.40	43,089.39	50,012.60	13.52	6,798,214.78	42,066.26	-	7,163,102.33
Excess (Deficiency) of Revenues Over Expenditures		217,353.87	-	(9,647.08)	(16,342.40)	110,845.54	(13.52)	(710,384.31)	(42,066.26)	-	(450,254.16)
OTHER FINANCING SOURCES (USES):											
	Transfers In			9,647.08	16,342.40		13.52		42,066.26	-	
	Transfers Out	(68,069.26)									
	Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures		149,284.61	-	-	-	110,845.54	-	(710,384.31)	-	-	(450,254.16)
Fund Balance June 30, 2021		987,527.00	(2,899.36)	-	-	1,307,134.54	-	3,955,726.34	-	1,329,678.82	7,577,167.34
Fund Balance August 31, 2021		1,136,811.61	(2,899.36)	-	-	1,417,980.08	-	3,245,342.03	-	1,329,678.82	7,126,913.18

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	7/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	3.9	0.0
Wholesale Tax ¢	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	7.5	-1.0
Total Tax ¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	27.7¢	-1.0¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This cumulative 6¢ increase included 2¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY22 is 1.8% July through December.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT receives 53 ½ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The NDOT's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from NDOT and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2022 RECEIPTS
AS OF AUGUST 31, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2021	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$101,667	\$ 8,939	\$ 9,681	\$ 742	8.3%	\$ 17,886	\$ 19,282	\$ 1,396	7.8%
Incremental Fixed	27,111	2,384	2,590	206	8.6%	4,769	5,159	389	8.2%
Variable	47,356	4,648	5,051	402	8.7%	9,300	10,060	759	8.2%
Wholesale	<u>71,524</u>	<u>5,900</u>	<u>6,411</u>	<u>511</u>	8.7%	<u>12,592</u>	<u>13,616</u>	<u>1,024</u>	8.1%
Subtotal	247,658	21,872	23,732	1,861	8.5%	44,547	48,116	3,569	8.0%
Motor Vehicle Registrations	28,669	2,123	2,277	154	7.2%	4,508	4,712	204	4.5%
Prorate Registrations	<u>12,295</u>	<u>618</u>	<u>653</u>	<u>35</u>	5.6%	<u>1,059</u>	<u>1,351</u>	<u>292</u>	27.6%
Subtotal	40,964	2,741	2,929	188	6.9%	5,567	6,064	497	8.9%
Sales Tax on Motor Vehicles	138,630	14,100	14,837	737	5.2%	28,700	29,424	724	2.5%
Interest	2,350	171	274	103	60.5%	355	558	203	57.1%
Sale of Supplies and Materials	1,300	95	122	27	28.7%	224	279	55	24.6%
Sale of Fixed Assets	1,030	26	125	99	381.6%	44	211	167	380.3%
Excess Limit	3,000	252	241	(11)	(4.4%)	503	516	13	2.6%
Overload Fines	780	81	27	(54)	(66.8%)	155	48	(107)	(68.9%)
Other Fees	<u>1,900</u>	<u>121</u>	<u>130</u>	<u>9</u>	7.4%	<u>277</u>	<u>249</u>	<u>(28)</u>	(10.1%)
SUBTOTAL HIGHWAY CASH FUND	\$ 437,612 (A)	\$ 39,459	\$ 42,418	\$ 2,959	7.5%	\$ 80,372	\$ 85,465	\$ 5,093 (B)	6.3%
Incremental Tax Transfer to TIB Fund	(27,091)	(2,386)	(2,569)	(183)	7.7%	(\$4,794)	(5,078)	(283)	5.9%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 410,521	\$ 37,073	\$ 39,849	\$ 2,776	7.5%	\$ 75,578	\$ 80,388	\$ 4,810	6.4%
State Hwy Capital Impr Fund	83,078	7,506	7,851	346	4.6%	13,656	14,994	1,338	9.8%
Transportation Infrastructure Bank Fund (TIB)	28,091	2,458	2,621	163	6.6%	4,946	5,189	243	4.9%
Grade Crossing Protection Fund	3,060	37	36	(1)	(2.0%)	75	73	(2)	(2.9%)
Recreation Road Fund	3,920	350	353	3	0.9%	694	714	20	2.9%
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.0%	<u>128</u>	<u>128</u>	<u>0</u>	0.0%
TOTAL STATE RECEIPTS	\$ 529,438	\$ 47,487	\$ 50,774	\$ 3,287	6.9%	\$ 95,077	\$ 101,486	\$ 6,409	6.7%
Federal Receipts									
FHWA	362,300	50,021	54,548	4,527	9.0%	93,842	150,793	56,951	60.7%
Transit	9,000	765	1,153	388	50.7%	1,232	3,327	2,095	170.0%
Highway Safety	<u>5,500</u>	<u>413</u>	<u>376</u>	<u>(37)</u>	(9.0%)	<u>413</u>	<u>388</u>	<u>(25)</u>	(6.1%)
Subtotal-Federal Receipts	376,800	51,199	56,077	4,878	9.5%	95,487	154,507	59,020	61.8%
Local Receipts	15,000	4,802	3,304	(1,498)	(31.2%)	7,954	7,450	(504)	(6.4%)
Other Entities	<u>6,000</u>	<u>1,098</u>	<u>804</u>	<u>(294)</u>	(26.8%)	<u>1,462</u>	<u>1,325</u>	<u>(137)</u>	(9.4%)
TOTAL DEPARTMENT RECEIPTS	\$ 927,238	\$ 104,586	\$ 110,958	\$ 6,372	6.1%	\$ 199,980	\$ 264,768	\$ 64,787	32.4%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS

(A) Total Projected Receipts as of June 11, 2021	\$ 437,612
(B) Receipts Over/(Under) Projection To Date	5,093
Previous year's receipts over appropriation	40,732
Total Modified Projected Receipts	\$ 483,437
Highway Cash Fund Appropriation	\$ 477,000
Projected Receipts Over / (Under) Appropriation	6,437
% Variance From Appropriation	1.3%

** Numbers may not add due to rounding.

** Projections are updated semiannually in December and June.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
 August 2021

FISCAL YEAR 2022
 Period Expired 16.67%
 Pay Period Ending 8/15/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	109,667,318.00	7,809,889.04	15,542,382.00	94,124,936.00	14.17%	0.00
Temporary Salaries	1,999,371.00	243,553.01	505,353.63	1,494,017.37	25.28%	0.00
Overtime	6,668,780.00	571,361.51	1,049,953.08	5,618,826.92	15.74%	0.00
Employee Benefits	39,726,396.86	3,135,046.01	6,281,715.33	33,444,681.53	15.81%	0.00
SUBTOTAL: Personal Services	\$158,061,865.86	\$11,759,849.57	\$23,379,404.04	\$134,682,461.82	14.79%	\$0.00
Operating Expenses						
Utilities	3,674,331.00	241,467.45	492,658.41	3,181,672.59	13.41%	0.00
Rentals	982,423.92	102,264.58	204,561.79	777,862.13	20.82%	3,000.00
Repairs & Maintenance	10,723,285.00	696,342.01	1,542,321.39	9,180,963.61	14.38%	992,636.09
Maintenance Contracts	13,591,429.71	1,539,139.32	2,963,659.15	10,627,770.56	21.81%	27,278,390.34
Engineering Contracts	31,563,800.00	1,743,433.65	3,714,404.52	27,849,395.48	11.77%	35,817,521.03
Contractual Services	41,969,264.75	1,483,474.08	2,266,984.54	39,702,280.21	5.40%	13,111,188.08
Technology Expenses	23,759,194.36	2,195,817.44	3,285,197.99	20,473,996.37	13.83%	24,254,034.41
Other Operating Expenses	5,763,184.50	2,047,791.03	2,258,473.34	3,504,711.16	39.19%	190,513.89
SUBTOTAL: Operating Expenses	\$132,026,913.24	\$10,049,729.56	\$16,728,261.13	\$115,298,652.11	12.67%	\$101,647,283.84
Supplies and Materials						
General Supplies & Materials	1,481,509.36	95,348.08	176,830.34	1,304,679.02	11.94%	840,177.26
Maint & Const Materials	50,708,495.02	7,075,099.78	13,878,065.65	36,830,429.37	27.37%	11,396.33
Automotive Supplies & Materials	16,327,425.00	1,414,640.09	2,645,144.14	13,682,280.86	16.20%	0.00
SUBTOTAL: Supplies and Materials	\$68,517,429.38	\$8,585,087.95	\$16,700,040.13	\$51,817,389.25	24.37%	\$851,573.59
Travel						
In State Travel	877,184.00	66,548.46	111,173.88	766,010.12	12.67%	0.00
Out of State Travel	277,711.00	2,328.03	4,785.69	272,925.31	1.72%	0.00
SUBTOTAL: Travel	\$1,154,895.00	\$68,876.49	\$115,959.57	\$1,038,935.43	10.04%	\$0.00
Capital Outlay						
Land	16,500,000.00	103,457.00	618,623.00	15,881,377.00	3.75%	0.00
Hwy. Constr. - Contract Pymt.	504,968,783.04	63,484,495.90	128,231,490.38	376,737,292.66	25.39%	906,481,348.49
Buildings	11,993,000.00	511,106.11	1,376,351.77	10,616,648.23	11.48%	3,417,955.51
Heavy Equipment and Vehicles	18,232,190.00	1,268,214.80	2,046,058.28	16,186,131.72	11.22%	7,228,460.16
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,420,950.00	45,889.00	147,662.33	1,273,287.67	10.39%	475,815.00
SUBTOTAL: Capital Outlay	\$553,214,923.04	\$65,413,162.81	\$132,420,185.76	\$420,794,737.28	23.94%	\$917,603,579.16
Government Aid & Distr						
Public Transit Aid	29,450,296.50	993,861.23	3,835,690.24	25,614,606.26	13.02%	38,859,314.23
Highway Safety Office	5,200,000.00	243,883.37	587,821.26	4,612,178.74	11.30%	5,234,896.80
Other Government Aid	93,500,000.00	9,018,252.49	20,026,951.20	73,473,048.80	21.42%	107,578,948.39
SUBTOTAL: Government Aid & Distr	\$128,150,296.50	\$10,255,997.09	\$24,450,462.70	\$103,699,833.80	19.08%	\$151,673,159.42
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$1,041,126,323.02	\$106,132,703.47	\$213,794,313.33	\$827,332,009.69	20.53%	\$1,171,775,596.01

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
 August 2021

FISCAL YEAR 2022
 Period Expired 16.67%
 Pay Period Ending 8/15/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,384,644.00	2,027,461.45	3,416,551.33	15,968,092.67	17.63%	122,304.81
Boards & Commissions	50,000.00	1,530.43	15,144.94	34,855.06	30.29%	0.00
SUBTOTAL: Administration	\$19,434,644.00	\$2,028,991.88	\$3,431,696.27	\$16,002,947.73	17.66%	\$122,304.81
Service and Support						
Charges to Others	1,100,000.00	178,587.30	329,658.60	770,341.40	29.97%	43,625.59
Deficiency Claims	17,184.00	26,290.00	26,290.00	(9,106.00)	152.99%	0.00
Supply Base/Inventories	1,000,000.00	245,289.29	43,455.95	956,544.05	4.35%	404,517.62
Building Operations	6,000,000.00	1,042,170.25	1,490,940.46	4,509,059.54	24.85%	1,557,123.97
Business Technology Services	16,000,000.00	1,963,792.30	3,164,760.20	12,835,239.80	19.78%	14,453,454.56
Support Centers	7,457,414.00	34,602.53	193,555.82	7,263,858.18	2.60%	0.00
Payroll Clearing	626,525.00	476,169.47	1,050,593.14	(424,068.14)	167.69%	2,050.00
SUBTOTAL: Service and Support	\$32,201,123.00	\$3,966,901.14	\$6,299,254.17	\$25,901,868.83	19.56%	\$16,460,771.74
Capital Facilities						
Capital Facilities	10,000,000.00	661,139.11	2,008,512.42	7,991,487.58	20.09%	4,008,826.46
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$661,139.11	\$2,008,512.42	\$7,991,487.58	20.09%	\$4,008,826.46
Highway Maintenance						
System Preservation	43,750,501.47	8,455,825.14	16,464,533.26	27,285,968.21	37.63%	492,245.32
Operations	42,000,000.00	3,857,522.93	7,237,845.34	34,762,154.66	17.23%	26,557,358.89
Snow and Ice Control	40,000,000.00	1,085,287.71	2,045,842.99	37,954,157.01	5.11%	1,127,557.62
Unusual & Disaster Oper	1,500,000.00	146,901.55	411,723.90	1,088,276.10	27.45%	1,312,632.33
Equipment Operations	15,000,000.00	2,252,692.58	3,034,614.86	11,965,385.14	20.23%	7,336,814.42
Indirect Charges	23,826,477.53	1,776,326.18	3,271,907.59	20,554,569.94	13.73%	478,815.00
SUBTOTAL: Highway Maintenance	\$166,076,979.00	\$17,574,556.09	\$32,466,467.94	\$133,610,511.06	19.55%	\$37,305,423.58
Highway Construction						
Preliminary Engineering	50,100,000.00	2,930,420.06	5,931,176.79	44,168,823.21	11.84%	23,875,757.23
Right-Of-Way	15,000,000.00	229,874.92	857,164.18	14,142,835.82	5.71%	172,640.60
Construction	514,475,304.04	63,610,737.18	128,682,453.61	385,792,850.43	25.01%	906,474,320.42
Construction Engineering	25,000,000.00	2,551,154.62	5,162,581.40	19,837,418.60	20.65%	3,292,446.42
SUBTOTAL: Highway Construction	\$604,575,304.04	\$69,322,186.78	\$140,633,375.98	\$463,941,928.06	23.26%	\$933,815,164.67
Construction Related Expense						
Overhead	24,357,243.48	1,271,267.06	2,443,193.16	21,914,050.32	10.03%	16,530,900.18
Planning & Research	12,056,000.00	913,615.71	2,051,289.68	10,004,710.32	17.01%	16,556,143.46
Local Systems	137,748,833.00	9,079,439.59	19,892,159.12	117,856,673.88	14.44%	102,881,850.08
Highway Safety Office	5,220,250.00	277,121.12	657,680.51	4,562,569.49	12.60%	5,234,896.80
Public Transportation Asst	29,455,946.50	1,037,484.99	3,910,684.08	25,545,262.42	13.28%	38,859,314.23
SUBTOTAL: Construction Related Expense	\$208,838,272.98	\$12,578,928.47	\$28,955,006.55	\$179,883,266.43	13.86%	\$180,063,104.75
AGENCY TOTAL	\$1,041,126,323.02	\$106,132,703.47	\$213,794,313.33	\$827,332,009.69	20.53%	\$1,171,775,596.01

**PROGRAM STATUS REPORT
BUSINESS MONTH - AUGUST 2021**

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	754,283.83	1,649,847.41	0.00	2,476,166.89	2,230,514.30	699,076.61	7,809,889.04
Temporary Salaries	1,791.33	16,413.63	0.00	168,475.20	32,938.40	23,934.45	243,553.01
Overtime	1,514.61	78,261.76	0.00	189,760.36	292,144.20	9,680.58	571,361.51
Employee Benefits	0.00	3,135,046.01	0.00	0.00	0.00	0.00	3,135,046.01
SUBTOTAL: Personal Services	\$757,589.77	\$4,879,568.81	\$0.00	\$2,834,402.45	\$2,555,596.90	\$732,691.64	\$11,759,849.57
Operating Expenses							
Utilities	0.00	145,655.62	0.00	95,052.52	713.17	46.14	241,467.45
Rentals	692.54	8,817.58	0.00	92,754.46	0.00	0.00	102,264.58
Repairs & Maintenance	6,579.84	233,602.33	0.00	454,929.94	335.00	894.90	696,342.01
Maintenance Contracts	0.00	84,384.16	0.00	1,454,755.16	0.00	0.00	1,539,139.32
Engineering Contracts	0.00	55,750.00	188,033.00	16,543.76	1,172,893.13	310,213.76	1,743,433.65
Contractual Services	135,785.56	121,416.67	0.00	588,297.02	401.00	637,573.83	1,483,474.08
Technology Expenses	543,877.73	1,641,472.76	0.00	0.00	0.00	10,466.95	2,195,817.44
Other Operating Expenses	79,610.88	571,651.89	0.00	1,352,531.27	1,067.26	42,929.73	2,047,791.03
SUBTOTAL: Operating Expenses	\$766,546.55	\$2,862,751.01	\$188,033.00	\$4,054,864.13	\$1,175,409.56	\$1,002,125.31	\$10,049,729.56
Supplies and Materials							
General Supplies & Materials	26,088.84	31,660.50	0.00	34,129.68	0.00	3,469.06	95,348.08
Maint & Const Materials	3,787.18	150,837.24	0.00	6,845,357.96	28,335.47	46,781.93	7,075,099.78
Automotive Supplies & Materials	0.00	202,972.30	0.00	1,211,667.79	0.00	0.00	1,414,640.09
SUBTOTAL: Supplies and Materials	\$29,876.02	\$385,470.04	\$0.00	\$8,091,155.43	\$28,335.47	\$50,250.99	\$8,585,087.95
Travel							
In State Travel	7,059.00	8,301.21	0.00	2,499.40	17,604.67	31,084.18	66,548.46
Out of State Travel	0.00	2,040.03	0.00	0.00	288.00	0.00	2,328.03
SUBTOTAL: Travel	\$7,059.00	\$10,341.24	\$0.00	\$2,499.40	\$17,892.67	\$31,084.18	\$68,876.49
Capital Outlay							
Land	0.00	0.00	0.00	0.00	103,457.00	0.00	103,457.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	63,484,495.90	0.00	63,484,495.90
Buildings	0.00	38,000.00	473,106.11	0.00	0.00	0.00	511,106.11
Heavy Equipment and Vehicles	0.00	0.00	0.00	1,268,214.80	0.00	0.00	1,268,214.80
Specialty Equipment	0.00	9,105.00	0.00	0.00	0.00	36,784.00	45,889.00
SUBTOTAL: Capital Outlay	\$0.00	\$47,105.00	\$473,106.11	\$1,268,214.80	\$63,587,952.90	\$36,784.00	\$65,413,162.81
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	993,861.23	993,861.23
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	243,883.37	243,883.37
Other Government Aid	0.00	0.00	0.00	0.00	20,805.00	8,997,447.49	9,018,252.49
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$20,805.00	\$10,235,192.09	\$10,255,997.09
Internal Redistributions							
Redistribution	467,920.54	(4,218,334.96)	0.00	1,323,419.88	1,936,194.28	490,800.26	0.00
SUBTOTAL: Internal Redistributions	\$467,920.54	(\$4,218,334.96)	\$0.00	\$1,323,419.88	\$1,936,194.28	\$490,800.26	\$0.00
GRAND TOTAL:	\$2,028,991.88	\$3,966,901.14	\$661,139.11	\$17,574,556.09	\$69,322,186.78	\$12,578,928.47	\$106,132,703.47

**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - AUGUST 2021**

FISCAL YEAR 2022
Period Expired 16.7%
Pay Period Ending 08/15/2021

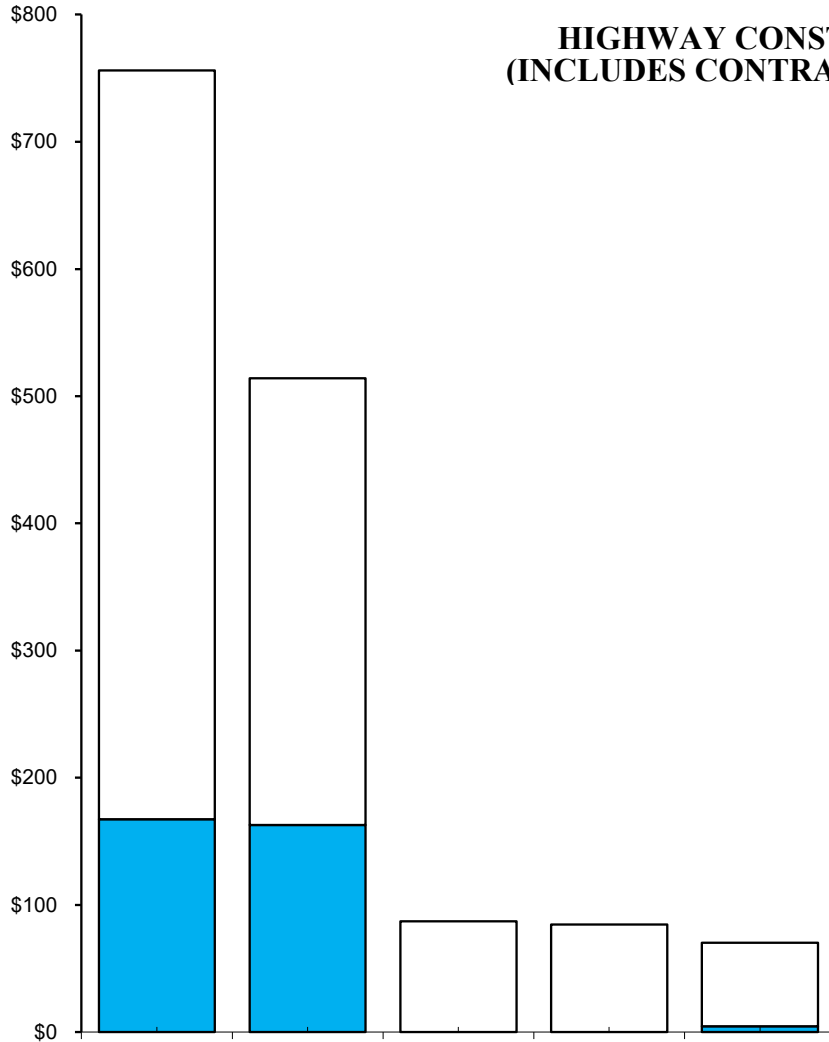
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	1,507,591.86	3,608,262.60	0.00	4,772,519.54	4,315,419.81	1,338,588.19	15,542,382.00
Temporary Salaries	4,015.79	32,234.91	0.00	350,368.92	68,501.39	50,232.62	505,353.63
Overtime	3,553.15	128,067.45	0.00	357,383.97	539,822.09	21,126.42	1,049,953.08
Employee Benefits	0.00	6,281,715.33	0.00	0.00	0.00	0.00	6,281,715.33
SUBTOTAL: Personal Services	\$1,515,160.80	\$10,050,280.29	\$0.00	\$5,480,272.43	\$4,923,743.29	\$1,409,947.23	\$23,379,404.04
Operating Expenses							
Utilities	0.00	299,398.02	0.00	191,793.63	1,374.48	92.28	492,658.41
Rentals	1,637.08	14,795.16	0.00	188,054.55	75.00	0.00	204,561.79
Repairs & Maintenance	6,579.84	514,930.57	0.00	989,682.41	840.00	30,288.57	1,542,321.39
Maintenance Contracts	0.00	84,384.16	0.00	2,879,274.99	0.00	0.00	2,963,659.15
Engineering Contracts	0.00	(99,663.00)	380,089.82	29,216.69	2,756,411.57	648,349.44	3,714,404.52
Contractual Services	132,067.25	265,761.34	0.00	625,668.67	21,499.38	1,221,987.90	2,266,984.54
Technology Expenses	544,273.00	2,540,480.79	0.00	168,532.42	0.00	31,911.78	3,285,197.99
Other Operating Expenses	214,227.64	584,029.49	0.00	1,355,441.18	2,079.56	102,695.47	2,258,473.34
SUBTOTAL: Operating Expenses	\$898,784.81	\$4,204,116.53	\$380,089.82	\$6,427,664.54	\$2,782,279.99	\$2,035,325.44	\$16,728,261.13
Supplies and Materials							
General Supplies & Materials	53,675.66	33,440.43	0.00	80,895.03	35.89	8,783.33	176,830.34
Maint & Const Materials	4,428.04	195,033.96	0.00	13,424,055.33	189,871.11	64,677.21	13,878,065.65
Automotive Supplies & Materials	0.00	208,340.64	0.00	2,436,739.51	0.00	63.99	2,645,144.14
SUBTOTAL: Supplies and Materials	\$58,103.70	\$436,815.03	\$0.00	\$15,941,689.87	\$189,907.00	\$73,524.53	\$16,700,040.13
Travel							
In State Travel	16,702.51	13,710.42	0.00	4,606.22	33,509.02	42,645.71	111,173.88
Out of State Travel	1,064.46	3,433.23	0.00	0.00	288.00	0.00	4,785.69
SUBTOTAL: Travel	\$17,766.97	\$17,143.65	\$0.00	\$4,606.22	\$33,797.02	\$42,645.71	\$115,959.57
Capital Outlay							
Land	0.00	(424,315.83)	424,415.83	0.00	618,523.00	0.00	618,623.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	128,231,490.38	0.00	128,231,490.38
Buildings	0.00	172,345.00	1,204,006.77	0.00	0.00	0.00	1,376,351.77
Heavy Equipment and Vehicles	0.00	0.00	0.00	2,046,058.28	0.00	0.00	2,046,058.28
Specialty Equipment	0.00	9,105.00	0.00	13,723.33	88,050.00	36,784.00	147,662.33
SUBTOTAL: Capital Outlay	\$0.00	(\$242,865.83)	\$1,628,422.60	\$2,059,781.61	\$128,938,063.38	\$36,784.00	\$132,420,185.76
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	3,835,690.24	3,835,690.24
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	587,821.26	587,821.26
Other Government Aid	0.00	0.00	0.00	0.00	41,385.60	19,985,565.60	20,026,951.20
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$41,385.60	\$24,409,077.10	\$24,450,462.70
Internal Redistributions							
Redistribution	941,879.99	(8,166,235.50)	0.00	2,552,453.27	3,724,199.70	947,702.54	0.00
SUBTOTAL: Internal Redistributions	\$941,879.99	(\$8,166,235.50)	\$0.00	\$2,552,453.27	\$3,724,199.70	\$947,702.54	\$0.00
GRAND TOTAL:	\$3,431,696.27	\$6,299,254.17	\$2,008,512.42	\$32,466,467.94	\$140,633,375.98	\$28,955,006.55	\$213,794,313.33

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
 August 2021

FISCAL YEAR 2022
 Period Expired 16.67%
 Pay Period Ending 8/15/2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	728,147.14	52,757.14	107,448.70	620,698.44	14.76%	0.00
140 - LEGAL	1,229,535.40	96,028.49	193,610.94	1,035,924.46	15.75%	171,636.73
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,486,736.16	202,352.60	373,113.09	2,113,623.07	15.00%	143,098.41
SUBTOTAL: OFFICE OF THE DIRECTOR	\$4,444,418.70	\$351,138.23	\$674,172.73	\$3,770,245.97	15.17%	\$314,735.14
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,113,849.11	168,163.57	327,739.78	1,786,109.33	15.50%	0.00
250 - STRATEGIC PLANNING DIVISION	3,412,005.83	208,055.26	410,739.57	3,001,266.26	12.04%	804,315.00
320 - BRIDGE DIVISION	7,151,605.84	511,566.58	1,023,414.17	6,128,191.67	14.31%	1,400,014.52
340 - TRAFFIC ENGINEERING DIVISION	4,421,628.10	299,050.34	698,086.13	3,723,541.97	15.79%	690,822.64
350 - RIGHT OF WAY DIVISION	4,963,079.13	375,663.69	725,085.66	4,237,993.47	14.61%	146,427.30
360 - PROJECT DEVELOPMENT DIVISION	14,386,870.66	697,858.42	1,580,227.62	12,806,643.04	10.98%	10,546,622.41
370 - ROADWAY DESIGN DIVISION	24,543,788.78	1,314,957.74	2,927,801.87	21,615,986.91	11.93%	13,405,925.17
420 - PROGRAM MANAGEMENT DIVISION	1,335,953.47	92,847.21	234,985.75	1,100,967.72	17.59%	7,063.50
580 - LOCAL ASSISTANCE DIVISION	2,962,264.89	141,099.45	345,764.89	2,616,500.00	11.67%	1,817,974.16
SUBTOTAL: OFFICE OF ENGINEERING	\$65,291,045.81	\$3,809,262.26	\$8,273,845.44	\$57,017,200.37	12.67%	\$28,819,164.70
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,285,093.83	146,991.05	314,319.89	1,970,773.94	13.76%	2,050.00
260 - OPERATIONS DIVISION	16,645,525.24	1,501,901.81	2,688,823.37	13,956,701.87	16.15%	8,300,711.52
280 - BUSINESS TECH SUPPORT DIVISION	27,569,908.07	2,564,678.03	3,812,048.55	23,757,859.52	13.83%	25,094,211.67
380 - CONSTRUCTION DIVISION	2,990,404.82	223,968.75	454,687.23	2,535,717.59	15.20%	1,112.50
390 - MATERIALS & RESEARCH DIVISION	12,853,834.73	1,084,040.99	2,028,200.09	10,825,634.64	15.78%	9,955,441.92
610 - DISTRICT 1	33,947,496.11	4,125,979.93	7,920,379.88	26,027,116.23	23.33%	5,960,015.02
620 - DISTRICT 2	23,279,072.08	1,603,746.95	3,155,343.54	20,123,728.54	13.55%	5,687,641.59
630 - DISTRICT 3	32,110,108.72	2,792,334.75	5,568,580.34	26,541,528.38	17.34%	2,501,145.15
640 - DISTRICT 4	33,260,781.82	2,885,478.45	5,748,587.71	27,512,194.11	17.28%	4,720,553.50
650 - DISTRICT 5	23,779,814.81	2,164,113.95	4,119,901.77	19,659,913.04	17.33%	3,456,271.51
660 - DISTRICT 6	26,133,919.86	2,385,648.69	4,590,720.82	21,543,199.04	17.57%	5,955,103.49
670 - DISTRICT 7	17,553,092.95	1,350,493.50	3,240,443.00	14,312,649.95	18.46%	3,699,685.85
680 - DISTRICT 8	16,205,641.80	2,110,661.81	3,875,389.80	12,330,252.00	23.91%	3,012,513.01
SUBTOTAL: OFFICE OF OPERATIONS	\$268,614,694.84	\$24,940,038.66	\$47,517,425.99	\$221,097,268.85	17.69%	\$78,346,456.73
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	168,539.41	(125,813.31)	125,813.31	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,039,117.00	1,244,674.15	1,059,609.79	(20,492.79)	101.97%	52,813.70
904 - TRANSPORTATION CAPITAL	701,737,046.67	75,619,050.76	156,395,072.69	545,341,973.98	22.29%	1,064,242,425.74
SUBTOTAL: BUDGETARY CONTROL	\$702,776,163.67	\$77,032,264.32	\$157,328,869.17	\$545,447,294.50	22.39%	\$1,064,295,239.44
AGENCY TOTAL	\$1,041,126,323.02	\$106,132,703.47	\$213,794,313.33	\$827,332,009.69	20.53%	\$1,171,775,596.01

**FY-2022
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2022 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2022 PROJECTS	
7/22/2021	41.11				41.11
8/26/2021	121.71			4.61	126.32
9/30/2021					
10/21/2021					
11/4/2021					
11/18/2021					
12/16/2021					
1/27/2022					
3/3/2022					
5/12/2022					
6/16/2022					
	162.82	0.00	0.00	4.61	167.43

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/22/2021	1.47	6.93	21.43		1.37	0.76	9.15		41.11
8/26/2021	5.43	74.20	5.50	14.40	6.89	12.40	5.20	2.30	126.32
9/30/2021									
10/21/2021									
11/4/2021									
11/18/2021									
12/16/2021									
1/27/2022									
3/3/2022									
5/12/2022									
6/16/2022									
	6.90	81.13	26.93	14.40	8.26	13.16	14.35	2.30	167.43

	State System			Local System	
	Total Letting(1)	FY-2022 Program (2)	Prior Year Projects (3)	Advanced Projects	FY-2022 Program (4)
% Let to Date	22.1%	31.7%	0.0%	0.0%	6.6%
Actual \$ Let	167.43	162.82	0.00	0.00	4.61
Projected \$ Remaining	588.66	351.41	87.07	84.60	65.58
Total	\$756.09	\$514.23	\$87.07	\$84.60	\$70.19

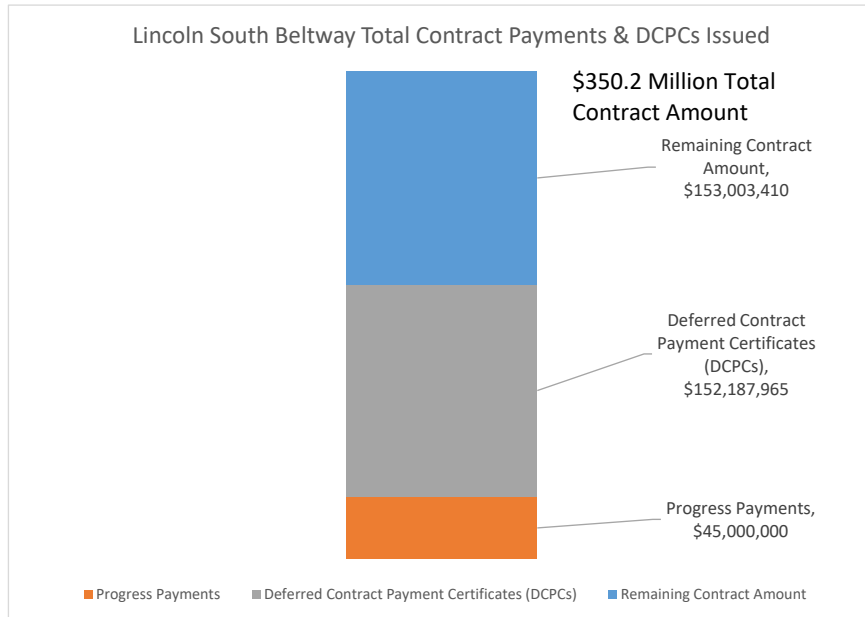
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2022 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of August 31, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through August 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT's obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC's future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through August 2021)

Remaining Contract Amount	\$153,003,410
Progress Payments	\$45,000,000
Deferred Contract Payment Certificates (DCPCs)	\$152,187,965

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through August 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$29,877,875
FY 2028	<u>\$17,607,590</u>
Total DCPCs to date	\$152,187,965

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$ 38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	46,365	277,251
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000	4,178	20,000
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	\$ 292,728	\$ 43,685	\$ 302,824	\$ 48,418	\$ 306,849	\$ 40,601	\$ 311,471	\$ 51,127	\$ 329,111	\$ 50,543	\$ 277,251

Footnotes:

FY21 Apportionment per Public Law 116-159. Available Obligation Limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

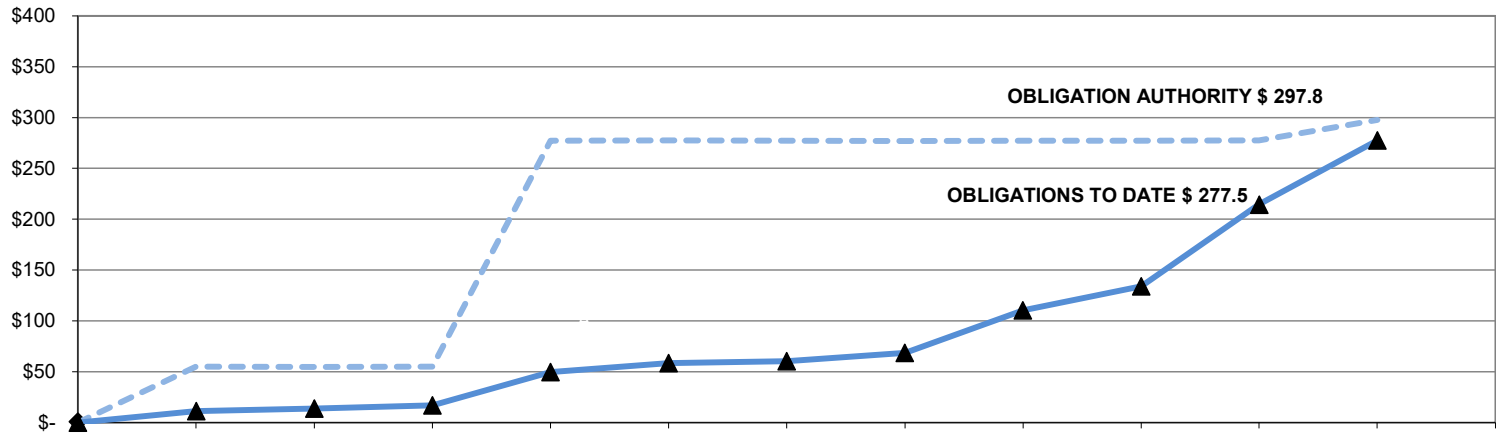
**STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
AUGUST 31, 2021**

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	(35,000,000)	152,913,464	145,527,570	7,385,894	121,693,876	135,839,654
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	55,046
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	3,382,453	432,195	-	3,809,177
STP - Flexible - Any Area	1,350,799	33,159,291	35,000,000	69,510,090	54,475,445	15,034,645	61,983,490	82,105,868
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	3,483,546	13,611,522	23,322,866	41,405,430
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	6,656,900	13,992,847	73,093	6,767,904
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	565,027	43,101,577	8,000	1,667,272
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	13,996,864	141	-	14,839,484
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	9,923,149	1,059,007	-	10,575,141
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	18,097,747	18,595,586	1,915,919	22,306,825
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	2,823,511	553,194	2,355,797	2,407,774
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	492,287	9,682,510	-	3,004,908
Highway Planning	1,539,880	4,661,443	65,987	6,267,309	2,579,245	3,688,064	2,089	8,109,191
Research	293,360	1,553,815	390,698	2,237,873	2,030,229	207,643	141,795	5,631,158
Metropolitan Planning	293,435	1,776,501	-	2,069,936	1,499,026	570,910	-	2,826,551
National Hwy Freight Program	-	10,663,255	-	10,663,255	10,663,255	-	-	13,131,450
TAP - Flex	127,447	2,900,268	-	3,027,715	33,877	2,993,838	-	784,571
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	43,439	2,352,479	-	1,660,351
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	(54,579)	643,636	-	504,374
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	75,514	1,734,022	-	1,008,977
Recreational Trails	1,444,778	1,205,213	-	2,649,991	255,057	2,394,934	-	3,830,993
Enhancement	218,634	-	-	218,634	(47,732)	266,366	-	107,397
Safe Routes to School Prog	163,140	-	-	163,140	(37,353)	200,493	-	62,516
Redistribution - Certain Auth.	1,498,117	2,127,259	(12,174)	3,613,202	3,613,202	-	-	8,739,338
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	(147,958)	147,958	-	1,747,894
Other	-	-	-	-	-	-	-	23,514
Total Formula Funds	\$ 104,540,835	\$ 313,740,147	\$ 298,201	\$ 418,579,182	\$ 279,929,721	\$ 138,649,461	\$ 211,496,924	\$ 372,952,758
Allocated/Discretionary Funds	14,859	287,305	2,855,476	3,157,640	3,016,477	141,163	-	12,014,164
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 314,027,452	\$ 3,153,677	\$ 421,736,823	\$ 282,946,199	\$ 138,790,624	\$ 211,496,924	\$ 384,966,922
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	103,008,663	241,382,183	156,941,607	84,440,575	12,612,097	93,853,083
GRAND TOTAL	\$ 238,405,628	\$ 318,551,038	\$ 106,162,340	\$ 663,119,005	\$ 439,887,806	\$ 223,231,200	\$ 224,109,021	\$ 478,820,005

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8	54.9	277.2	277.4	277.2	276.8	277.1	277.1	277.5	297.8	
OA Used	0.0	11.3	13.7	16.9	49.6	58.4	60.4	68.6	110.5	133.9	214.4	277.5	

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2020		As of August 31, 2021		
Formula Obligation Limitation	\$	284.1	\$	277.2	
August Redistribution		45.0		20.0	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	0.3	91.7%
Subtotal	\$	329.8	\$	297.5	
Other Allocation Obligation Limitation		18.5		0.3	
Annual Obligation Limitation	\$	348.3	\$	297.8	
Formula Obligations to Date		0.0		(277.3)	Obligated
Allocated Obligations to Date		-		(0.2)	93.2%
Subtotal	\$	-	\$	(277.5)	
Obligation Authority Balance	\$	348.30	\$	20.3	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Congestion MGMT Tech Deploy		0.0		2.7	
Highway Infrastructure COVID		0.0		71.7	
Highway Infrastructure (NON-COVID)		0.0		22.9	
Competitive Highway Bridge Program		0.0		8.5	
Training and Education Fast		0.2		-	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 2018		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	247.2	
Obligations to Date		0.0		(162.3)	
Obligation Authority Balance	\$	218.6	\$	84.9	

FY21 Available Obligation limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - AUGUST 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,661,907.10	0.00	1,947.50	1,435.88	12,999.19	1,678,289.67
	RIGHT OF WAY	116,556.64	0.00	0.00	916.13	0.00	117,472.77
	CONSTRUCTION	14,462,479.59	45,747,923.19	0.00	964,497.32	2,470,205.91	63,645,106.01
	CONSTRUCTION ENGINEERING	750,327.94	1,166,804.77	0.00	26,395.84	11,607.35	1,955,135.90
	PLANNING & RESEARCH	7,510.22	30,891.53	0.00	0.00	(592.85)	37,808.90
	TOTAL	\$ 16,998,781.49	\$ 46,945,619.49	\$ 1,947.50	\$ 993,245.17	\$ 2,494,219.60	\$ 67,433,813.25
LOCAL	PRELIMINARY ENGINEERING	74,975.33	207,227.70	30,656.59	20,649.46	(9,691.76)	323,817.32
	RIGHT OF WAY	4,223.74	58,034.48	(1,589.91)	9,312.58	980.41	70,961.30
	CONSTRUCTION	35,889.88	5,793,840.31	343,986.16	1,046,002.31	131,208.75	7,350,927.41
	CONSTRUCTION ENGINEERING	11,097.90	543,877.61	82,754.47	74,983.92	1,844.46	714,558.36
	PLANNING & RESEARCH	0.00	(551.00)	0.00	(137.75)	0.00	(688.75)
	TOTAL	\$ 126,186.85	\$ 6,602,429.10	\$ 455,807.31	\$ 1,150,810.52	\$ 124,341.86	\$ 8,459,575.64
NON-HWY	PRELIMINARY ENGINEERING	1,305,781.16	27,906.31	0.00	6,015.85	2,056.97	1,341,760.29
	RIGHT OF WAY	85,345.74	3,834.33	0.00	958.55	0.00	90,138.62
	CONSTRUCTION	32,258.62	409,093.55	0.00	113,354.28	0.00	554,706.45
	CONSTRUCTION ENGINEERING	537,783.39	56,611.21	0.00	14,152.74	0.00	608,547.34
	TRAFFIC SAFETY & TRANS	12,850.99	287,675.67	0.00	0.00	0.00	300,526.66
	PLANNING & RESEARCH	233,258.68	806,739.33	0.00	0.00	7,946.91	1,047,944.92
	PUBLIC TRANSPORTATION ASSIST	200,502.73	836,983.75	0.00	0.00	4,971.23	1,042,457.71
	TOTAL	\$ 2,407,781.31	\$ 2,428,844.15	\$ 0.00	\$ 134,481.42	\$ 14,975.11	\$ 4,986,081.99
TOTAL - CURRENT MONTH	\$ 19,532,749.65	\$ 55,976,892.74	\$ 457,754.81	\$ 2,278,537.11	\$ 2,633,536.57	\$ 80,879,470.88	

FISCAL YEAR TO DATE - AUGUST 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	3,351,714.51	0.00	1,947.50	1,570.40	12,999.19	3,368,231.60
	RIGHT OF WAY	309,140.77	0.00	0.00	2,844.67	0.00	311,985.44
	CONSTRUCTION	(7,627,221.68)	132,577,689.89	(1,501.55)	4,697,032.64	(925,677.22)	128,720,322.08
	CONSTRUCTION ENGINEERING	(580,332.65)	4,438,962.67	(219.75)	40,661.29	17,775.59	3,916,847.15
	PLANNING & RESEARCH	13,871.63	55,885.71	0.00	0.00	(592.85)	69,164.49
	TOTAL	\$ (4,532,827.42)	\$ 137,072,538.27	\$ 226.20	\$ 4,742,109.00	\$ (895,495.29)	\$ 136,386,550.76
LOCAL	PRELIMINARY ENGINEERING	126,482.71	736,602.00	49,558.36	72,918.35	(3,050.19)	982,511.23
	RIGHT OF WAY	164,169.97	93,704.38	(3,051.38)	34,037.24	21,516.74	310,376.95
	CONSTRUCTION	283,574.79	12,796,147.96	1,240,535.45	1,729,398.55	279,128.48	16,328,785.23
	CONSTRUCTION ENGINEERING	33,511.58	1,072,777.00	119,711.04	179,527.88	1,999.11	1,407,526.61
	PLANNING & RESEARCH	18.26	(912.15)	2,383.79	349.64	0.00	1,839.54
	TOTAL	\$ 607,757.31	\$ 14,698,319.19	\$ 1,409,137.26	\$ 2,016,231.66	\$ 299,594.14	\$ 19,031,039.56
NON-HWY	PRELIMINARY ENGINEERING	2,665,128.08	51,146.55	0.00	11,205.20	4,022.23	2,731,502.06
	RIGHT OF WAY	166,719.08	288,227.69	0.00	72,056.86	0.00	527,003.63
	CONSTRUCTION	32,355.59	874,324.91	0.00	230,339.09	0.00	1,137,019.59
	CONSTRUCTION ENGINEERING	1,142,995.07	103,885.02	0.00	25,874.19	0.00	1,272,754.28
	TRAFFIC SAFETY & TRANS	(169,892.00)	1,006,349.34	0.00	0.00	0.00	836,457.34
	PLANNING & RESEARCH	554,535.64	1,689,865.99	0.00	101.71	101,557.36	2,346,060.70
	PUBLIC TRANSPORTATION ASSIST	390,745.25	3,469,164.05	37,329.60	0.00	53,204.59	3,950,443.49
	TOTAL	\$ 4,782,586.71	\$ 7,482,963.55	\$ 37,329.60	\$ 339,577.05	\$ 158,784.18	\$ 12,801,241.09
TOTAL - FISCAL YEAR TO DATE	\$ 857,516.60	\$ 159,253,821.01	\$ 1,446,693.06	\$ 7,097,917.71	\$ (437,116.97)	\$ 168,218,831.41	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
AUGUST 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,830,471,691.98	944,535,520.88	885,936,171.10	16,998,781.49	(4,532,827.42)	36,579,588.03
	FEDERAL	1,463,783,441.51	1,194,484,079.85	269,299,361.66	46,945,619.49	137,072,538.27	270,305,467.11
	COUNTY	2,726,155.38	2,466,751.60	259,403.78	1,947.50	226.20	136,986.24
	CITY	89,263,390.74	41,799,690.05	47,463,700.69	993,245.17	4,742,109.00	11,833,655.18
	OTHER	19,776,242.30	17,709,801.70	2,066,440.60	2,494,219.60	(895,495.29)	(718,039.12)
STATE HIGHWAY SYSTEM TOTALS		\$ 3,406,020,921.91	\$ 2,200,995,844.08	\$ 1,205,025,077.83	\$ 67,433,813.25	\$ 136,386,550.76	\$ 318,137,657.44
LOCAL HIGHWAY SYSTEM							
	STATE	57,762,570.27	38,839,439.54	18,923,130.73	126,186.85	607,757.31	2,588,225.18
	FEDERAL	347,159,663.42	254,684,958.32	92,474,705.10	6,602,429.10	14,698,319.19	42,676,667.25
	COUNTY	17,046,819.28	12,078,273.04	4,968,546.24	455,807.31	1,409,137.26	3,867,764.30
	CITY	102,281,079.17	77,422,917.15	24,858,162.02	1,150,810.52	2,016,231.66	6,859,852.89
	OTHER	6,710,111.41	4,170,661.31	2,539,450.10	124,341.86	299,594.14	333,663.19
LOCAL HIGHWAY SYSTEM TOTALS		\$ 530,960,243.55	\$ 387,196,249.36	\$ 143,763,994.19	\$ 8,459,575.64	\$ 19,031,039.56	\$ 56,326,172.81
NON-HIGHWAY							
	STATE	419,805,799.36	361,753,073.53	58,052,725.83	2,407,781.31	4,782,586.71	53,164,621.24
	FEDERAL	252,794,841.82	125,702,468.05	127,092,373.77	2,428,844.15	7,482,963.55	30,005,086.89
	COUNTY	1,287,631.39	487,520.12	800,111.27	0.00	37,329.60	168,862.30
	CITY	10,248,740.58	6,210,660.05	4,038,080.53	134,481.42	339,577.05	2,397,138.60
	OTHER	14,602,327.34	12,344,555.89	2,257,771.45	14,975.11	158,784.18	898,985.13
NON-HIGHWAY TOTALS		\$ 698,739,340.49	\$ 506,498,277.64	\$ 192,241,062.85	\$ 4,986,081.99	\$ 12,801,241.09	\$ 86,634,694.16
GRAND TOTALS		\$ 4,635,720,505.95	\$ 3,094,690,371.08	\$ 1,541,030,134.87	\$ 80,879,470.88	\$ 168,218,831.41	\$ 461,098,524.41

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
AUGUST 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	0.01	0.00	0.01	0.00	0.00	0.00
PRELIMINARY ENGINEERING	576,429,797.08	388,305,487.75	188,124,309.33	3,343,867.28	7,082,244.89	29,031,760.58
RIGHT OF WAY	169,673,084.33	130,322,473.33	39,350,611.00	278,572.69	1,149,366.02	8,276,292.49
UTILITIES	52,608,516.38	29,091,115.72	23,517,400.66	2,581.66	217,605.39	7,232,093.90
CONSTRUCTION	3,335,729,284.78	2,238,578,772.65	1,097,150,512.13	71,548,158.21	145,968,521.51	356,880,150.95
CONSTRUCTION ENGINEERING	239,114,638.16	147,618,156.50	91,496,481.66	3,278,241.60	6,597,128.04	23,081,943.07
TRAFFIC SAFETY	28,136,913.14	17,090,337.65	11,046,575.49	300,526.66	836,457.34	4,542,011.73
PLANNING & RESEARCH	104,826,558.68	69,356,051.01	35,470,507.67	1,085,065.07	2,417,064.73	11,041,624.89
PUBLIC TRANSPORTATION	129,201,713.39	74,327,976.47	54,873,736.92	1,042,457.71	3,950,443.49	21,012,646.80
GRAND TOTALS	\$ 4,635,720,505.95	\$ 3,094,690,371.08	\$ 1,541,030,134.87	\$ 80,879,470.88	\$ 168,218,831.41	\$ 461,098,524.41

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
AUGUST 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,326,541,581.56	904,731,181.42	421,810,400.14	13,002,519.99	25,213,037.33	106,218,405.90
ROADS OPERATION FUND AC*	137,250,601.67	3,105,839.15	134,144,762.52	(182,878.34)	(14,127,747.71)	(1,622,866.09)
GENERAL BRIDGE STUDY	81,519.96	2,451.82	79,068.14	2,451.82	2,451.82	2,451.82
GRADE CROSSING FUND	2,952,303.19	1,864,300.18	1,088,003.01	16,439.10	41,312.49	464,962.44
GRADE SEPARATION-TMT	27,029,930.89	24,605,019.75	2,424,911.14	16,543.48	38,178.49	132,795.83
RECREATION ROAD FUND	12,080,648.84	9,964,239.61	2,116,409.23	87,712.11	260,043.78	2,519,820.70
ST HWY CAPITAL IMPR	649,077,767.24	283,414,909.14	365,662,858.10	5,247,625.74	(13,983,588.02)	(35,030,859.70)
STATE AID BRIDGE	3,824,697.95	3,461,417.93	363,280.02	5,041.91	19,121.02	102,606.41
TRANS INFRA BANK	149,201,010.31	113,978,674.95	35,222,335.36	1,337,293.84	3,394,707.40	19,545,117.14
TOTAL STATE FUNDS	\$ 2,308,040,061.61	\$ 1,345,128,033.95	\$ 962,912,027.66	\$ 19,532,749.65	\$ 857,516.60	\$ 92,332,434.45
FEDERAL FUNDS	2,063,737,946.75	1,574,871,506.22	488,866,440.53	55,976,892.74	159,253,821.01	342,987,221.25
COUNTY FUNDS	21,060,606.05	15,032,544.76	6,028,061.29	457,754.81	1,446,693.06	4,173,612.84
CITY FUNDS	201,793,210.49	125,433,267.25	76,359,943.24	2,278,537.11	7,097,917.71	21,090,646.67
OTHER FUNDS	41,088,681.05	34,225,018.90	6,863,662.15	2,633,536.57	(437,116.97)	514,609.20
GRAND TOTALS	\$ 4,635,720,505.95	\$ 3,094,690,371.08	\$ 1,541,030,134.87	\$ 80,879,470.88	\$ 168,218,831.41	\$ 461,098,524.41

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
August 31, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund					
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures
Revenue	\$ 7,851,251.69	\$ 14,993,941.58	\$ 544,633,652.90		
Expenditures					
Expressway and High Priority Corridors	5,247,625.74	(13,983,704.42)	205,042,183.51	342,704,351.45	266,254,131.22
Other Highways		116.40	78,372,725.63	22,958,506.65	111,596,547.95
BNA Projects Completed/Closed			144,176,575.68		
Total	\$ 5,247,625.74	\$ (13,983,588.02)	\$ 427,591,484.82	\$ 365,662,858.10	\$ 377,850,679.17
Funds Available			\$ 117,042,168.08		

Note: Expenditures reflect crediting of \$24.1 million of Federal Emergency Relief in July 2021 related to March 2019 flood repair expenses.

**Transportation Innovation Act
Financial Status
August 31, 2021**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,620,552.43	\$ 5,189,043.99	\$ 161,925,805.53		
Expenditures					
Accelerated State Highway Capital Improvement Program	1,290,413.34	3,250,463.37	106,783,131.71	22,358,410.86	176,420,435.24
County Bridge Match Program	14,621.88	111,985.42	5,147,943.27	11,404,099.10	8,209,363.12
Economic Opportunity Program	32,258.61	32,258.61	2,047,599.97	1,459,825.40	16,003,000.00
TIB Projects Completed/Closed			3,607,407.44		
Total Expenditures	\$ 1,337,293.83	\$ 3,394,707.40	\$ 117,586,082.39	\$ 35,222,335.36	\$ 200,632,798.36
Funds Available			\$ 44,339,723.14		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

	(\$MILLIONS)						
	Obligation Limitation Percentage			88.90%			
	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>08/31/21</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.358	-	-	3.358	3.139	0.219
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 14.426	-	⁽⁴⁾ (0.146)	14.280	3.484	10.796
LCLC - LINCOLN	6.395	\$ 5.685	11.177	-	16.862	4.027	12.835
SubTotal Local	\$ 26.399	\$ 23.469	\$ 12.377	\$ (0.146)	\$ 35.700	\$ 10.650	\$ 25.050
METRO PLANNING	1.777	1.580	-	-	1.580	1.499	0.081
Omaha	66.836%	1.121	0.996	-	0.996	0.959	0.037
Lincoln	26.341%	0.466	0.414	-	0.414	0.435	(0.021)
South Sioux City	1.688%	0.067	0.060	-	0.060	0.029	0.031
Grand Island	5.135%	0.123	0.109	-	0.109	0.076	0.033
TAP - Flex	2.900	2.578	-	-	2.578	-	2.578
TAP - 5K and Under	0.874	0.777	-	-	0.777	0.063	0.714
TAP - 5K-200K	0.573	0.509	-	-	0.509	(0.038)	0.547
TAP - MAPA - OMAHA	1.042	0.926	-	-	0.926	(0.047)	0.973
TAP - LCLC - LINCOLN	0.411	0.365	-	-	0.365	0.034	0.331
REC TRAILS	1.205	1.071	-	-	1.071	0.255	0.816
TOTAL	\$ 35.181	\$ 31.275	\$ 12.377	\$ (0.146)	\$ 43.506	\$ 12.416	\$ 31.090

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

	Federal FY-17		Federal FY-18		Federal FY-19		Federal FY-20		Federal FY-21	
	Payment was made March 2018		Payment was made March 2019		Payment was made March 2020		Payment was made March 2021		Payment will be made March 2022	
Bridge										
Annual Obligation Authority		273,085,952.00		274,849,099.00		277,028,447.00		284,111,089.00		277,251,202.00
10% for Bridges		27,308,595.20		27,484,909.90		27,702,844.70		28,411,108.90		27,725,120.20
60% Local Share		16,385,157.12		16,490,945.94		16,621,706.82		17,046,665.34		16,635,072.12
Less STP Bridge Off System		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)		(900,000.00)		(1,000,000.00)		(300,000.00)		-
Less Under Water Inspection		-		(500,000.00)		-		-		-
Less Quality Assurance		(400,000.00)		(400,000.00)		(300,000.00)		(300,000.00)		(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)		-		-		-		-
Load Rating of Fracture Critical Bridges		(400,000.00)		-		-		-		-
Funds Available To Be Purchased		8,407,900.12		10,913,688.94		11,544,449.82		12,669,408.34		12,557,815.12
Bridge Buy Out Total	90%	\$ 7,567,110.00	90%	\$ 9,822,320.00	90%	\$ 10,390,005.00	90%	\$ 11,402,468.00	90.0%	\$ 11,302,034.00
Less Major On System Bridges Reserve		-		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)
Bridge Buy Out Payment		\$ 7,567,110.00		\$ 7,822,320.00		\$ 8,390,005.00		\$ 9,402,468.00		\$ 9,302,034.00
Counties										
Annual Apportionment		12,129,914.00		12,652,394.00		13,189,762.00		13,697,023.00		13,604,127.00
Funds Available To Be Purchased	92.8%	11,256,560.19	91.7%	11,602,245.30	90.1%	11,883,975.56	90.6%	12,409,502.84	88.9%	12,094,068.90
County Buy Out Payment	90%	\$ 10,130,904.00	90%	\$ 10,442,021.00	90%	\$ 10,695,578.00	90%	\$ 11,168,553.00	90%	\$ 10,884,662.00
First Class Cities										
Annual Apportionment		7,952,055.00		8,294,580.00		8,646,863.00		8,979,411.00		8,918,511.00
Funds Available To Be Purchased	92.8%	7,379,507.04	91.7%	7,606,129.86	90.1%	7,790,823.56	90.6%	8,135,346.37	88.9%	7,928,556.28
First Class City Buy Out Payment	90%	\$ 6,641,556.00	90%	\$ 6,845,517.00	90%	\$ 7,011,741.00	90%	\$ 7,321,812.00	90%	\$ 7,135,701.00
Total Funds Distributed To Locals		\$ 24,339,570.00		\$ 25,109,858.00		\$ 26,097,324.00		\$ 27,892,833.00		\$ 27,322,397.00

Soft Match Balance By County

As of August 31, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,221.77
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	357,975.59
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	944,944.36
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,865.62
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	531,058.52
3022	DAKOTA COUNTY	122,266.35
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.47
3027	DODGE COUNTY	215.26
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.98
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.09
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	211,334.69
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	366,131.71
3049	JOHNSON COUNTY	130,989.94

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,780.12
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	96,474.74
3061	MERRICK COUNTY	63,961.58
3063	NANCE COUNTY	68,458.89
3064	NEMAHA COUNTY	236,843.67
3065	NUCKOLLS COUNTY	409,894.19
3066	OTOE COUNTY	741,003.25
3067	PAWNEE COUNTY	224,266.75
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.07
3071	PLATTE COUNTY	29,487.71
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,843.84
3078	SAUNDERS COUNTY	111,067.12
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,404,030.63
3084	STANTON COUNTY	1,177,628.30
3085	THAYER COUNTY	217,624.91
3087	THURSTON COUNTY	354,203.79
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.89
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

September
2021

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

I-80 and I-76 Interchange near Big Springs

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September 2021 Highlights

- ⇨ The state revenue projections in this report were developed in June 2021 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- ⇨ Total revenue in September exceeded expenditures by \$5.5 million. Fiscal year to date revenue surpassed expenditures by \$57.9 million (page 6).
- ⇨ Projected \$927.2 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 27.7 cents, effective July 1, 2021. The month of September's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.8 million or 8.3%, motor vehicle registration revenue was over the projected amount by \$178 thousand or 6.7% and motor vehicle sales tax was under the projected amount by -\$201 thousand or -1.4%. Highway Cash Fund receipts for FY22 to date were higher than projections by \$7.0 million or 5.8% (page 13, 14).
- ⇨ Established an operating budget for Roads Division of \$1.1 billion for FY22 which represents our best estimate of cash requirements for the fiscal year (pages 15, 16 and 19).

September expenditures totaled \$107.4 million. Fiscal year to date expenditures totaled \$321.2 million, 28.1% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of August 16, 2021 thru September 12, 2021. The payroll additive rate is established at 66% and the administrative rate is 2.28%.
- ⇨ Highway construction contract lettings fiscal year to date totaled \$285.4 million, \$278.2 million on the state highway system (page 20).
- ⇨ The September report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 21).
- ⇨ Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. As of September 30, 2021, the entire amount of spending authority was obligated (pages 24, 25, and 26).
- ⇨ Build Nebraska Act became effective July 1, 2013. Revenue totaling \$552.1 million has been received to date with allocated expenditures totaling \$432.6 million (page 31).
- ⇨ Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$114.6 million has been received to date with expenditures totaling \$118.5 million (page 32).

LEGISLATIVE APPROPRIATION BILLS

Effective July 1, 2021 through June 30, 2022

The 107th Nebraska Legislature, first session passed bills that provide funds or authorize their withdrawal from the Nebraska Department of Transportation in FY2022. The total funding provided for all of the Department's programs in FY2022 is \$1,080,512,877.

Legislative bills 380 and 383 provide the funding for the Department's operations as detailed on the following page.

These bills provide for:

Highway Cash Fund appropriation to the Roads Operations Cash Fund was established at \$477 million. Any funds deposited into the Highway Cash Fund in excess of this appropriation cannot be utilized by the Department in fiscal year 2022 and will become part of the following year's appropriation.

Salaries limit of \$118,339,969 for Roads and \$1,395,553 for Aeronautics. This amount does not include encumbrances from fiscal year 2021 for salary costs incurred in that fiscal year but not paid until FY2022.

Nebraska State Patrol funding from the Roads Operations Cash Fund of:

\$9,683,062 for the Carrier Enforcement operations

\$ 489,979 for law enforcement coverage along state road construction zones.

Department of Motor Vehicles funding of:

\$1,400,000 for the "One Stop Shop" permit and registration function for interstate truckers from the Highway Trust Fund and

\$2,400,000 for the License Plate Cash Fund from the Highway Trust Fund.

Department of Revenue funding of \$1,443,670 for the motor fuel tax enforcement function from the Highway Trust Fund.

**NEBRASKA DEPARTMENT OF TRANSPORTATION
FISCAL YEAR 2022
LEGISLATIVE APPROPRIATION BY PROGRAM**

Program	No.	Footnote	As Appropriated ^F	Encumbrance		Reappropriation ^C	Total Appropriations/ Encumbrances
				Payroll ^A	Other ^B		
ADMINISTRATION & SERVICES	026		3,403,720	30,936	974,831		4,409,487
PUBLIC AIRPORTS	301		35,505,300		439,413		35,944,713
TRANSIT - OPERATING ASST	305	E	5,777,705		1,136,984		6,914,689
TRANSIT - INTERCITY BUS	305	E	535,000		184,842		719,842
HIGHWAY ADMINISTRATION	568		19,434,644	318,857	1,134,643		20,888,144
CONSTRUCTION	569	D	807,100,872	1,501,621	55,800,458	100,000	864,502,951
SERVICES & SUPPORT	572		32,201,123	165,085	2,563,023		34,929,232
HIGHWAY MAINTENANCE	574		166,076,979	1,350,019	23,039,504		190,466,502
STATE OWNED AIRCRAFT	596		377,534		7,448		384,982
FACILITY IMPROVEMENTS	901	E	10,000,000		1,365,287	14,983,059	26,348,346
TOTAL			\$ 1,080,412,877	\$ 3,366,518	\$ 86,646,432	\$ 15,083,059	\$ 1,185,508,887

- A. Represents PSL carryover for the last payroll encumbered from the preceding fiscal year.
- B. Represents carryover for expenditures encumbered from the preceding fiscal year as of June 30.
- C. Reappropriation balance represents remaining carry-over funding approved from the preceding fiscal year after accounting for encumbrances.
- D. Federal funds associated with the Department's highway construction program are reimbursements of previously expended cash funds.
- E. Amounts shown represent fixed ceilings beyond which expenditures cannot exceed.
- F. This represents the expenditure authority appropriated by the Legislature for the fiscal year. These are contained in the FY2022 Department Budget.

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
September 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	444,490,895.10	439,614,893.14	4,876,001.96	1.11	265,702,538.64	178,788,356.46	67.29
Federal Receivables	8,694,430.15	5,878,375.58	2,816,054.57	47.91	61,952,291.92	(53,257,861.77)	(85.97)
Other Receivables	14,177,313.32	14,390,119.47	(212,806.15)	(1.48)	16,239,655.17	(2,062,341.85)	(12.70)
Inventories	2,684,121.42	2,783,815.23	(99,693.81)	(3.58)	2,966,993.62	(282,872.20)	(9.53)
Total Current Assets	\$470,046,759.99	\$462,667,203.42	\$7,379,556.57	1.60 %	\$346,861,479.35	\$123,185,280.64	35.51 %
Capital Assets							
Equipment	65,027,898.94	65,542,062.48	(514,163.54)	(0.78)	67,286,655.05	(2,258,756.11)	(3.36)
Land	580,759,827.20	569,312,437.83	11,447,389.37	2.01	569,312,437.83	11,447,389.37	2.01
Infrastructures	7,964,905,974.30	7,800,583,530.80	164,322,443.50	2.11	7,900,636,476.35	64,269,497.95	0.81
Buildings	105,903,336.54	100,745,738.28	5,157,598.26	5.12	100,745,738.28	5,157,598.26	5.12
Total Capital Assets	\$8,716,597,036.98	\$8,536,183,769.39	\$180,413,267.59	2.11 %	\$8,637,981,307.51	\$78,615,729.47	0.91 %
Total Assets	\$9,186,643,796.97	\$8,998,850,972.81	\$187,792,824.16	2.09 %	\$8,984,842,786.86	\$201,801,010.11	2.25 %
LIABILITIES							
Current Liabilities							
Accounts Payable	11,724,530.99	6,566,809.51	5,157,721.48	78.54	6,985,412.93	4,739,118.06	67.84
Retention Payable	173,925,696.08	153,431,799.63	20,493,896.45	13.36	57,361,659.26	116,564,036.82	203.21
Other Payables	60,897,719.88	64,055,006.95	(3,157,287.07)	(4.93)	70,932,045.07	(10,034,325.19)	(14.15)
Total Current Liabilities	\$246,547,946.95	\$224,053,616.09	\$22,494,330.86	10.04 %	\$135,279,117.26	\$111,268,829.69	82.25 %
Total Liabilities	\$246,547,946.95	\$224,053,616.09	\$22,494,330.86	10.04 %	\$135,279,117.26	\$111,268,829.69	82.25 %
NET ASSETS							
Capital Equity							
Capital	8,716,597,036.98	8,536,183,769.39	180,413,267.59	2.11	8,637,981,307.51	78,615,729.47	0.91
Total Capital Equity	\$8,716,597,036.98	\$8,536,183,769.39	\$180,413,267.59	2.11 %	\$8,637,981,307.51	\$78,615,729.47	0.91 %
Fund Balance							
Reserved Fund Balance	(171,241,574.66)	(150,647,984.40)	(20,593,590.26)	13.67	(54,394,665.64)	(116,846,909.02)	214.81
Unreserved Fund Balance	394,740,387.70	389,261,571.73	5,478,815.97	1.41	265,977,027.73	128,763,359.97	48.41
Total Fund Balance	\$223,498,813.04	\$238,613,587.33	(\$15,114,774.29)	(6.33)%	\$211,582,362.09	\$11,916,450.95	5.63 %
Total Net Assets	\$8,940,095,850.02	\$8,774,797,356.72	\$165,298,493.30	1.88 %	\$8,849,563,669.60	\$90,532,180.42	1.02 %
Total Liabilities and Net Assets	\$9,186,643,796.97	\$8,998,850,972.81	\$187,792,824.16	2.09 %	\$8,984,842,786.86	\$201,801,010.11	2.25 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 6, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 6 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 6 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
SEPTEMBER 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	49,492,500.29	49,848,435.56	(355,935.27)	(0.71)	148,543,293.17	148,648,433.91	(105,140.74)	(0.07)
Federal Reimbursements	59,175,246.92	55,976,892.74	3,198,354.18	5.71	218,429,067.93	220,785,187.45	(2,356,119.52)	(1.07)
Local Revenues	2,639,022.08	2,674,789.13	(35,767.05)	(1.34)	10,577,844.75	14,032,882.19	(3,455,037.44)	(24.62)
Other Entities Revenues	1,540,995.03	2,738,128.67	(1,197,133.64)	(43.72)	1,495,706.63	129,275.22	1,366,431.41	1,056.99
Total Revenue	\$112,847,764.32	\$111,238,246.10	\$1,609,518.22	1.45 %	\$379,045,912.48	\$383,595,778.77	(\$4,549,866.29)	(1.19) %
Expenditures								
Administration	1,671,193.59	2,028,991.88	(357,798.29)	(17.63)	5,102,889.86	6,054,040.48	(951,150.62)	(15.71)
Highway Maintenance	14,131,572.74	17,574,556.09	(3,442,983.35)	(19.59)	46,598,040.68	52,668,551.39	(6,070,510.71)	(11.53)
Capital Facilities	456,042.08	661,139.11	(205,097.03)	(31.02)	2,464,554.50	976,593.13	1,487,961.37	152.36
Services and Support	2,472,338.23	3,966,901.14	(1,494,562.91)	(37.68)	8,771,592.40	7,336,656.35	1,434,936.05	19.56
Construction	87,098,873.68	80,586,509.14	6,512,364.54	8.08	252,118,891.62	257,751,061.99	(5,632,170.37)	(2.19)
Highway Safety Office	423,367.70	277,121.12	146,246.58	52.77	1,081,048.21	859,937.13	221,111.08	25.71
Public Transit	1,115,560.33	1,037,484.99	78,075.34	7.53	5,026,244.41	6,266,989.31	(1,240,744.90)	(19.80)
Total Expenditures	\$107,368,948.35	\$106,132,703.47	\$1,236,244.88	1.16 %	\$321,163,261.68	\$331,913,829.78	(\$10,750,568.10)	(3.24) %
Excess Revenue (Expenditures)	\$5,478,815.97	\$5,105,542.63	\$373,273.34	7.31 %	\$57,882,650.80	\$51,681,948.99	\$6,200,701.81	12.00 %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

MAPA Bridge Study = General Fund appropriations of \$100,000 were established as part of LB 294 (2019) for a feasibility study to evaluate the potential transportation and economic development benefits of constructing an additional bridge across the Missouri River within a city of the metropolitan class. The unexpended balance of the appropriation was carried over to the current biennium per LB 380 (2021).

**BALANCE SHEET BY FUND
September 2021**

	Mapa Bridge Study 1000	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS										
Cash	(2,451.82)	215,583,641.66	40,487,113.50	124,506,672.03	46,982,060.76	3,908,656.46	1,842,946.77	11,114,150.19	64,030.69	444,486,820.24
Other Current Assets	0.00	25,559,939.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00	25,559,939.75
Capital Assets	0.00	8,716,597,036.98	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,716,597,036.98
TOTAL ASSETS	(\$2,451.82)	\$8,957,740,618.39	\$40,487,113.50	\$124,506,672.03	\$46,982,060.76	\$3,908,656.46	\$1,842,946.77	\$11,114,150.19	\$64,030.69	\$9,186,643,796.97
LIABILITIES										
Current Liabilities	0.00	246,547,946.95	0.00	0.00	0.00	0.00	0.00	0.00	0.00	246,547,946.95
TOTAL LIABILITIES	\$0.00	\$246,547,946.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$246,547,946.95
NET ASSETS										
Fund Balance	0.00	104,665,583.08	(83,750,577.86)	88,064,638.81	42,545,386.55	3,717,450.58	1,788,880.76	10,305,123.06	(1,720,322.74)	165,616,162.24
Capital Equity	0.00	8,716,597,036.98	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,716,597,036.98
Accrued Interfund Transfer	0.00	(7,528,675.79)	0.00	4,966,166.44	893,099.20	9,620.30	6,592.73	38,338.39	1,614,858.73	0.00
Revenues	0.00	222,924,746.55	124,237,691.36	22,458,445.20	7,831,381.61	230,254.84	96,320.42	1,074,999.91	192,072.59	379,045,912.48
Costs	(2,451.82)	(325,466,019.38)	0.00	9,017,421.58	(4,287,806.60)	(48,669.26)	(48,847.14)	(304,311.17)	(22,577.89)	(321,163,261.68)
TOTAL NET ASSETS	(\$2,451.82)	\$8,711,192,671.44	\$40,487,113.50	\$124,506,672.03	\$46,982,060.76	\$3,908,656.46	\$1,842,946.77	\$11,114,150.19	\$64,030.69	\$8,940,095,850.02
TOTAL LIABILITIES AND NET ASSETS	(\$2,451.82)	\$8,957,740,618.39	\$40,487,113.50	\$124,506,672.03	\$46,982,060.76	\$3,908,656.46	\$1,842,946.77	\$11,114,150.19	\$64,030.69	\$9,186,643,796.97

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
September 2021

The nature of revenue and expenditure trends create a cash flow situation in that typically the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a relatively strong cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY22	JUL	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	155.0	111.2	112.8									
Expenditures	107.7	106.1	107.4									
Balance	47.3	5.1	5.4									
Cumulative Balance	47.3	52.4	57.8									

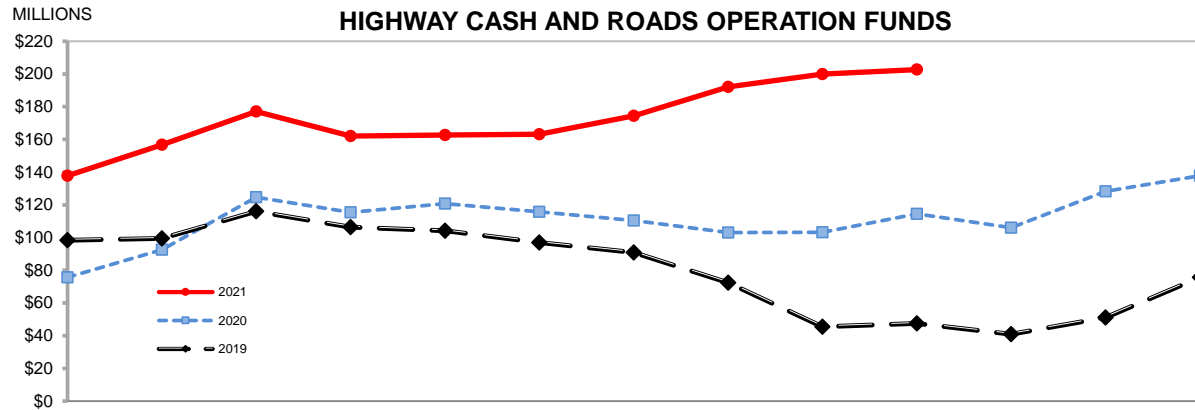
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$475,718.14 in September, with an interest rate of 1.35%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 22	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.48%	1.33%	1.35%											1.39%
Earnings (Thousands)	\$446	\$434	\$476											\$452

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
September 2021
(IN MILLIONS)

Total of all funds available as of September 30th is \$433.2 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$244.8 million on the 30th to a low of \$202.7 million on the 22nd.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1	162.1	162.7	163.1	174.5	192.2	199.9	202.7			
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6	35.5	41.7	79.9	82.8	88.1	114.4	117.0			
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7	48.6	49.5	50.0	48.2	45.1	45.1	45.7			
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5	4.6	5.7	5.7	5.3	5.5	5.5	5.5			
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2021	10.5	10.8	11.2	11.5	11.6	11.0	10.3	10.5	10.8			
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0			
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
September 2021**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	176,971.40									176,971.40
460000 Intergovernmental			2,500.00	450.00			4,646,555.38			4,649,505.38
470000 Sales & Charges				15,263.35	7,794.77	20,456.20				43,514.32
480000 Miscellaneous	8,299.83				14,771.00					23,070.83
490000 Other					11,575.75					11,575.75
TOTAL REVENUES	185,271.23	-	2,500.00	15,713.35	34,141.52	20,456.20	4,646,555.38	-	-	4,904,637.68
EXPENDITURES:										
510000 Personal Services	34,960.44		32,007.02	18,850.24	9,202.49					95,020.19
520000 Operating Expenses	20,405.95		5,583.05	6,542.23	20,727.40	19,561.73		16,005.48		88,825.84
570000 Travel Expenses	1,928.30		1,230.67	5,727.42				229.55		9,115.94
580000 Capital Outlay										-
590000 Government Aid	20,387.91						4,349,550.17			4,369,938.08
TOTAL EXPENDITURES	77,682.60	-	38,820.74	31,119.89	29,929.89	19,561.73	4,349,550.17	16,235.03	-	4,562,900.05
Excess (Deficiency) of Revenues Over Expenditures	107,588.63	-	(36,320.74)	(15,406.54)	4,211.63	894.47	297,005.21	(16,235.03)	-	341,737.63
OTHER FINANCING SOURCES (USES):										
Transfers In			36,320.74	15,406.54		(894.47)		16,235.03	-	
Transfers Out	(67,962.31)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	39,626.32	-	-	-	4,211.63	-	297,005.21	-	-	341,737.63
Fund Balance August 31, 2021	1,164,651.68	(2,899.36)	-	-	1,417,980.08	-	3,245,342.03	(27,840.07)	1,329,678.82	7,126,913.18
Fund Balance Septemeber 30, 2021	1,204,278.00	(2,899.36)	-	-	1,422,191.71	-	3,542,347.24	(27,840.07)	1,329,678.82	7,468,650.81

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
FISCAL YEAR TO DATE (July 1, 2021 through September 30, 2021)**

		ADMINISTRATION 026					301	AIRCRAFT 596			
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	TOTALS
REVENUES:											
450000	Taxes	480,284.11	-	-	-	-	-	-	-	-	480,284.11
460000	Intergovernmental	-	-	113,455.32	450.00	70,000.00	-	10,734,385.85	-	-	10,918,291.17
470000	Sales & Charges	-	-	5,850.00	42,010.34	18,874.87	20,456.20	-	-	-	87,191.41
480000	Miscellaneous	25,594.37	-	-	-	130,386.19	-	-	-	-	155,980.56
490000	Other	-	-	-	-	(24,261.40)	-	-	-	-	(24,261.40)
TOTAL REVENUES		505,878.48	-	119,305.32	42,460.34	194,999.66	20,456.20	10,734,385.85	-	-	11,617,485.85
EXPENDITURES:											
510000	Personal Services	111,894.31	-	120,833.75	54,427.35	26,442.82	12.36	-	-	-	313,610.59
520000	Operating Expenses	44,501.30	-	42,090.57	11,687.27	41,497.98	19,562.89	-	57,394.85	-	216,734.86
570000	Travel Expenses	4,152.46	-	2,348.82	8,094.66	-	-	-	906.44	-	15,502.38
580000	Capital Outlay	-	-	-	-	12,001.69	-	-	-	-	12,001.69
590000	Government Aid	20,387.91	-	-	-	-	-	11,147,764.95	-	-	11,168,152.86
TOTAL EXPENDITURES		180,935.98	-	165,273.14	74,209.28	79,942.49	19,575.25	11,147,764.95	58,301.29	-	11,726,002.38
Excess (Deficiency) of Revenues Over Expenditures		324,942.50	-	(45,967.82)	(31,748.94)	115,057.17	880.95	(413,379.10)	(58,301.29)	-	-108,516.53
OTHER FINANCING SOURCES (USES):											
	Transfers In			45,967.82	31,748.94		(880.95)		58,301.29	-	
	Transfers Out	(135,137.10)									
	Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures		189,805.40	-	-	-	115,057.17	-	(413,379.10)	-	-	(108,516.53)
Fund Balance June 30, 2021		987,527.00	(2,899.36)	-	-	1,307,134.54	-	3,955,726.34	-	1,329,678.82	7,577,167.34
Fund Balance Septemeber 30, 2021		1,177,332.40	(2,899.36)	-	-	1,422,191.71	-	3,542,347.24	-	1,329,678.82	7,468,650.81

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	7/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	3.9	0.0
Wholesale Tax ¢	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	7.5	-1.0
Total Tax ¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	27.7¢	-1.0¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This cumulative 6¢ increase included 2¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY22 is 1.8% July through December.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT receives 53 ½ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The NDOT's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from NDOT and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2022 RECEIPTS
AS OF SEPTEMBER 30, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2021	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$101,667	\$ 9,011	\$ 9,739	\$ 728	8.1%	\$ 26,896	\$ 29,021	\$ 2,124	7.9%
Incremental Fixed	27,111	2,403	2,606	203	8.4%	7,172	7,765	592	8.3%
Variable	47,356	4,686	5,081	395	8.4%	13,986	15,141	1,155	8.3%
Wholesale	<u>71,524</u>	<u>5,947</u>	<u>6,449</u>	<u>502</u>	8.4%	<u>18,539</u>	<u>20,065</u>	<u>1,526</u>	8.2%
Subtotal	247,658	22,047	23,875	1,828	8.3%	66,594	71,991	5,397	8.1%
Motor Vehicle Registrations	28,669	2,345	2,424	79	3.4%	6,853	7,136	283	4.1%
Prorate Registrations	<u>12,295</u>	<u>326</u>	<u>426</u>	<u>100</u>	30.6%	<u>1,385</u>	<u>1,777</u>	<u>392</u>	28.3%
Subtotal	40,964	2,671	2,849	178	6.7%	8,238	8,913	675	8.2%
Sales Tax on Motor Vehicles	138,630	13,900	13,699	(201)	(1.4%)	42,600	43,123	523	1.2%
Interest	2,350	164	288	124	75.5%	519	846	327	62.9%
Sale of Supplies and Materials	1,300	112	99	(13)	(11.7%)	336	378	42	12.5%
Sale of Fixed Assets	1,030	31	69	38	123.0%	75	280	205	274.0%
Excess Limit	3,000	283	263	(20)	(7.0%)	786	779	(7)	(0.9%)
Overload Fines	780	67	45	(22)	(32.8%)	222	93	(129)	(58.0%)
Other Fees	<u>1,900</u>	<u>136</u>	<u>98</u>	<u>(38)</u>	(27.8%)	<u>413</u>	<u>347</u>	<u>(66)</u>	(15.9%)
SUBTOTAL HIGHWAY CASH FUND	\$ 437,612 (A)	\$ 39,411	\$ 41,286	\$ 1,875	4.8%	\$ 119,783	\$ 126,751	\$ 6,968 (B)	5.8%
Incremental Tax Transfer to TIB Fund	(27,091)	(2,384)	(2,590)	(206)	8.7%	(\$7,178)	(7,668)	(490)	6.8%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 410,521	\$ 37,027	\$ 38,695	\$ 1,668	4.5%	\$ 112,605	\$ 119,083	\$ 6,478	5.8%
State Hwy Capital Impr Fund	83,078	7,016	7,465	449	6.4%	20,672	22,458	1,786	8.6%
Transportation Infrastructure Bank Fund (TIB)	28,091	2,452	2,642	191	7.8%	7,398	7,831	433	5.9%
Grade Crossing Protection Fund	3,060	687	254	(433)	(63.1%)	762	327	(435)	(57.1%)
Recreation Road Fund	3,920	354	361	7	1.9%	1,048	1,075	27	2.6%
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.0%	<u>192</u>	<u>192</u>	<u>0</u>	0.0%
TOTAL STATE RECEIPTS	\$ 529,438	\$ 47,600	\$ 49,481	\$ 1,881	4.0%	\$ 142,677	\$ 150,967	\$ 8,290	5.8%
Federal Receipts									
FHWA	362,300	50,498	55,838	5,340	10.6%	144,340	206,630	62,290	43.2%
Transit	9,000	1,416	128	(1,288)	(91.0%)	2,648	3,454	806	30.5%
Highway Safety	<u>5,500</u>	<u>746</u>	<u>270</u>	<u>(476)</u>	(63.7%)	<u>1,159</u>	<u>658</u>	<u>(501)</u>	(43.2%)
Subtotal-Federal Receipts	376,800	52,660	56,236	3,576	6.8%	148,147	210,743	62,596	42.3%
Local Receipts	15,000	705	94	(611)	(86.7%)	8,659	7,544	(1,115)	(12.9%)
Other Entities	<u>6,000</u>	<u>685</u>	<u>944</u>	<u>259</u>	37.8%	<u>2,147</u>	<u>2,269</u>	<u>122</u>	5.7%
TOTAL DEPARTMENT RECEIPTS	\$ 927,238	\$ 101,650	\$ 106,755	\$ 5,105	5.0%	\$ 301,630	\$ 371,522	\$ 69,892	23.2%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 11, 2021	\$ 437,612
(B) Receipts Over/(Under) Projection To Date	6,968
Previous year's receipts over appropriation	40,732
Total Modified Projected Receipts	\$ 485,312
Highway Cash Fund Appropriation	\$ 477,000
Projected Receipts Over / (Under) Appropriation	8,312
% Variance From Appropriation	1.7%

** Numbers may not add due to rounding.

** Projections are updated semiannually in December and June.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

**BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
September 2021**

FISCAL YEAR 2022
Period Expired 25.00%
Pay Period Ending 9/12/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	112,699,727.71	7,725,484.75	23,267,866.75	89,431,860.96	20.65%	0.00
Temporary Salaries	2,111,287.82	124,698.73	630,052.36	1,481,235.46	29.84%	0.00
Overtime	6,861,898.22	509,502.94	1,559,456.02	5,302,442.20	22.73%	0.00
Employee Benefits	39,726,396.86	3,091,824.97	9,373,540.30	30,352,856.56	23.60%	0.00
SUBTOTAL: Personal Services	\$161,399,310.61	\$11,451,511.39	\$34,830,915.43	\$126,568,395.18	21.58%	\$0.00
Operating Expenses						
Utilities	3,674,331.00	260,548.94	753,207.35	2,921,123.65	20.50%	0.00
Rentals	982,423.92	82,623.66	287,185.45	695,238.47	29.23%	2,600.00
Repairs & Maintenance	11,223,285.00	709,778.89	2,252,100.28	8,971,184.72	20.07%	1,114,636.09
Maintenance Contracts	13,591,429.71	789,853.26	3,753,512.41	9,837,917.30	27.62%	27,298,994.38
Engineering Contracts	35,025,806.82	1,865,812.99	5,580,217.51	29,445,589.31	15.98%	34,278,281.60
Contractual Services	41,969,264.75	432,357.50	2,699,342.04	39,269,922.71	6.43%	13,227,262.09
Technology Expenses	26,556,860.51	1,219,564.42	4,504,762.41	22,052,098.10	16.96%	23,566,273.69
Other Operating Expenses	5,763,184.50	34,324.79	2,292,798.13	3,470,386.37	39.78%	190,513.89
SUBTOTAL: Operating Expenses	\$138,786,586.21	\$5,394,864.45	\$22,123,125.58	\$116,663,460.63	15.95%	\$99,678,561.74
Supplies and Materials						
General Supplies & Materials	1,881,509.36	362,371.67	539,202.01	1,342,307.35	28.66%	778,391.79
Maint & Const Materials	65,747,170.51	7,109,122.18	20,987,187.83	44,759,982.68	31.92%	11,096.33
Automotive Supplies & Materials	16,327,425.00	1,216,574.02	3,861,718.16	12,465,706.84	23.65%	0.00
SUBTOTAL: Supplies and Materials	\$83,956,104.87	\$8,688,067.87	\$25,388,108.00	\$58,567,996.87	30.24%	\$789,488.12
Travel						
In State Travel	877,184.00	54,181.88	165,355.76	711,828.24	18.85%	0.00
Out of State Travel	277,711.00	16,616.01	21,401.70	256,309.30	7.71%	0.00
SUBTOTAL: Travel	\$1,154,895.00	\$70,797.89	\$186,757.46	\$968,137.54	16.17%	\$0.00
Capital Outlay						
Land	16,500,000.00	53,648.30	672,271.30	15,827,728.70	4.07%	0.00
Hwy. Constr. - Contract Pymt.	549,018,207.30	69,729,889.42	197,961,379.80	351,056,827.50	36.06%	960,606,391.26
Buildings	28,129,339.38	414,520.89	1,790,872.66	26,338,466.72	6.37%	3,020,041.57
Heavy Equipment and Vehicles	26,232,190.00	506,678.60	2,552,736.88	23,679,453.12	9.73%	8,645,726.16
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,420,950.00	15,810.00	163,472.33	1,257,477.67	11.50%	475,815.00
SUBTOTAL: Capital Outlay	\$621,400,686.68	\$70,720,547.21	\$203,140,732.97	\$418,259,953.71	32.69%	\$972,747,973.99
Government Aid & Distr						
Public Transit Aid	32,572,122.15	1,076,548.20	4,912,238.44	27,659,883.71	15.08%	40,056,309.08
Highway Safety Office	5,200,000.00	389,770.36	977,591.62	4,222,408.38	18.80%	5,234,896.80
Other Government Aid	100,300,000.00	9,576,840.98	29,603,792.18	70,696,207.82	29.52%	106,667,828.55
SUBTOTAL: Government Aid & Distr	\$138,072,122.15	\$11,043,159.54	\$35,493,622.24	\$102,578,499.91	25.71%	\$151,959,034.43
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$1,144,769,705.52	\$107,368,948.35	\$321,163,261.68	\$823,606,443.84	28.06%	\$1,225,175,058.28

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
September 2021

FISCAL YEAR 2022
 Period Expired 25.00%
 Pay Period Ending 9/12/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	20,838,143.97	1,667,565.18	5,084,116.51	15,754,027.46	24.40%	121,104.81
Boards & Commissions	50,000.00	3,628.41	18,773.35	31,226.65	37.55%	0.00
SUBTOTAL: Administration	\$20,888,143.97	\$1,671,193.59	\$5,102,889.86	\$15,785,254.11	24.43%	\$121,104.81
Service and Support						
Charges to Others	1,100,000.00	133,278.45	462,937.05	637,062.95	42.09%	42,545.59
Deficiency Claims	17,184.00	0.00	26,290.00	(9,106.00)	152.99%	0.00
Supply Base/Inventories	1,000,000.00	(10,139.50)	33,316.45	966,683.55	3.33%	386,213.98
Building Operations	6,500,000.00	902,908.20	2,393,848.66	4,106,151.34	36.83%	1,582,371.00
Business Technology Services	18,063,023.32	1,210,575.68	4,375,335.88	13,687,687.44	24.22%	14,091,197.73
Support Centers	7,622,499.30	16,620.66	210,176.48	7,412,322.82	2.76%	0.00
Payroll Clearing	626,525.00	219,094.74	1,269,687.88	(643,162.88)	202.66%	2,050.00
SUBTOTAL: Service and Support	\$34,929,231.62	\$2,472,338.23	\$8,771,592.40	\$26,157,639.22	25.11%	\$16,104,378.30
Capital Facilities						
Capital Facilities	26,348,346.20	456,042.08	2,464,554.50	23,883,791.70	9.35%	3,678,612.97
SUBTOTAL: Capital Facilities	\$26,348,346.20	\$456,042.08	\$2,464,554.50	\$23,883,791.70	9.35%	\$3,678,612.97
Highway Maintenance						
System Preservation	55,789,176.96	8,145,624.43	24,610,157.69	31,179,019.27	44.11%	657,841.55
Operations	42,000,000.00	3,225,124.55	10,462,969.89	31,537,030.11	24.91%	26,187,828.03
Snow and Ice Control	43,000,000.00	1,122,707.99	3,168,550.98	39,831,449.02	7.37%	1,266,557.62
Unusual & Disaster Oper	1,500,000.00	149,904.80	561,628.70	938,371.30	37.44%	1,683,099.98
Equipment Operations	23,000,000.00	438,319.67	3,472,934.53	19,527,065.47	15.10%	6,985,468.48
Indirect Charges	25,177,324.66	1,049,891.30	4,321,798.89	20,855,525.77	17.17%	478,815.00
SUBTOTAL: Highway Maintenance	\$190,466,501.62	\$14,131,572.74	\$46,598,040.68	\$143,868,460.94	24.47%	\$37,259,610.66
Highway Construction						
Preliminary Engineering	53,250,000.00	3,197,079.84	9,128,256.63	44,121,743.37	17.14%	23,486,535.86
Right-Of-Way	15,000,000.00	176,277.84	1,033,442.02	13,966,557.98	6.89%	201,338.26
Construction	558,524,728.30	69,879,165.31	198,561,618.92	359,963,109.38	35.55%	965,741,835.92
Construction Engineering	25,000,000.00	2,549,880.81	7,712,462.21	17,287,537.79	30.85%	3,199,555.13
SUBTOTAL: Highway Construction	\$651,774,728.30	\$75,802,403.80	\$216,435,779.78	\$435,338,948.52	33.21%	\$992,629,265.17
Construction Related Expense						
Overhead	25,959,898.66	1,073,485.44	3,516,678.60	22,443,220.06	13.60%	16,135,264.12
Planning & Research	12,056,000.00	691,200.37	2,742,490.05	9,313,509.95	22.75%	15,548,357.16
Local Systems	144,548,833.00	9,531,784.07	29,423,943.19	115,124,889.81	20.36%	102,191,982.81
Highway Safety Office	5,220,250.00	423,367.70	1,081,048.21	4,139,201.79	20.71%	5,234,896.80
Public Transportation Asst	32,577,772.15	1,115,560.33	5,026,244.41	27,551,527.74	15.43%	40,741,929.87
SUBTOTAL: Construction Related Expense	\$220,362,753.81	\$12,835,397.91	\$41,790,404.46	\$178,572,349.35	18.97%	\$179,852,430.76
AGENCY TOTAL	\$1,144,769,705.52	\$107,368,948.35	\$321,163,261.68	\$823,606,443.84	28.06%	\$1,229,645,402.67

**PROGRAM STATUS REPORT
BUSINESS MONTH - SEPTEMBER 2021**

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	769,414.80	1,778,019.75	0.00	2,321,912.67	2,176,761.29	679,376.24	7,725,484.75
Temporary Salaries	2,302.12	6,258.99	0.00	90,896.22	13,602.62	11,638.78	124,698.73
Overtime	2,597.66	67,182.55	0.00	166,802.15	264,789.76	8,130.82	509,502.94
Employee Benefits	0.00	3,091,824.97	0.00	0.00	0.00	0.00	3,091,824.97
SUBTOTAL: Personal Services	\$774,314.58	\$4,943,286.26	\$0.00	\$2,579,611.04	\$2,455,153.67	\$699,145.84	\$11,451,511.39
Operating Expenses							
Utilities	0.00	161,833.83	0.00	97,866.81	802.16	46.14	260,548.94
Rentals	1,310.54	11,549.51	0.00	69,763.61	0.00	0.00	82,623.66
Repairs & Maintenance	0.00	161,626.66	0.00	538,535.73	1,547.29	8,069.21	709,778.89
Maintenance Contracts	0.00	34,198.85	0.00	755,654.41	0.00	0.00	789,853.26
Engineering Contracts	0.00	5,447.50	29,571.00	2,200.00	1,597,796.68	230,797.81	1,865,812.99
Contractual Services	32,881.97	221,043.75	0.00	45,721.21	12,996.70	119,713.87	432,357.50
Technology Expenses	0.00	907,642.65	0.00	91,089.31	0.00	220,832.46	1,219,564.42
Other Operating Expenses	34,228.71	6,620.52	18.00	(9,021.08)	591.19	1,887.45	34,324.79
SUBTOTAL: Operating Expenses	\$68,421.22	\$1,509,963.27	\$29,589.00	\$1,591,810.00	\$1,613,734.02	\$581,346.94	\$5,394,864.45
Supplies and Materials							
General Supplies & Materials	327,773.44	(3,661.14)	11,932.19	22,619.09	0.00	3,708.09	362,371.67
Maint & Const Materials	5,046.66	(1,009.09)	0.00	7,020,303.96	67,209.38	17,571.27	7,109,122.18
Automotive Supplies & Materials	0.00	68,447.60	0.00	1,148,126.42	0.00	0.00	1,216,574.02
SUBTOTAL: Supplies and Materials	\$332,820.10	\$63,777.37	\$11,932.19	\$8,191,049.47	\$67,209.38	\$21,279.36	\$8,688,067.87
Travel							
In State Travel	12,151.78	9,687.35	0.00	811.28	15,773.17	15,758.30	54,181.88
Out of State Travel	246.43	16,369.58	0.00	0.00	0.00	0.00	16,616.01
SUBTOTAL: Travel	\$12,398.21	\$26,056.93	\$0.00	\$811.28	\$15,773.17	\$15,758.30	\$70,797.89
Capital Outlay							
Land	0.00	75.00	0.00	0.00	53,573.30	0.00	53,648.30
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	69,729,889.42	0.00	69,729,889.42
Buildings	0.00	0.00	414,520.89	0.00	0.00	0.00	414,520.89
Heavy Equipment and Vehicles	0.00	0.00	0.00	506,678.60	0.00	0.00	506,678.60
Specialty Equipment	0.00	0.00	0.00	9,950.00	0.00	5,860.00	15,810.00
SUBTOTAL: Capital Outlay	\$0.00	\$75.00	\$414,520.89	\$516,628.60	\$69,783,462.72	\$5,860.00	\$70,720,547.21
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	1,076,548.20	1,076,548.20
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	389,770.36	389,770.36
Other Government Aid	0.00	0.00	0.00	0.00	500.00	9,576,340.98	9,576,840.98
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$500.00	\$11,042,659.54	\$11,043,159.54
Internal Redistributions							
Redistribution	483,239.48	(4,070,820.60)	0.00	1,251,662.35	1,866,570.84	469,347.93	0.00
SUBTOTAL: Internal Redistributions	\$483,239.48	(\$4,070,820.60)	\$0.00	\$1,251,662.35	\$1,866,570.84	\$469,347.93	\$0.00
GRAND TOTAL:	\$1,671,193.59	\$2,472,338.23	\$456,042.08	\$14,131,572.74	\$75,802,403.80	\$12,835,397.91	\$107,368,948.35

PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - SEPTEMBER 2021

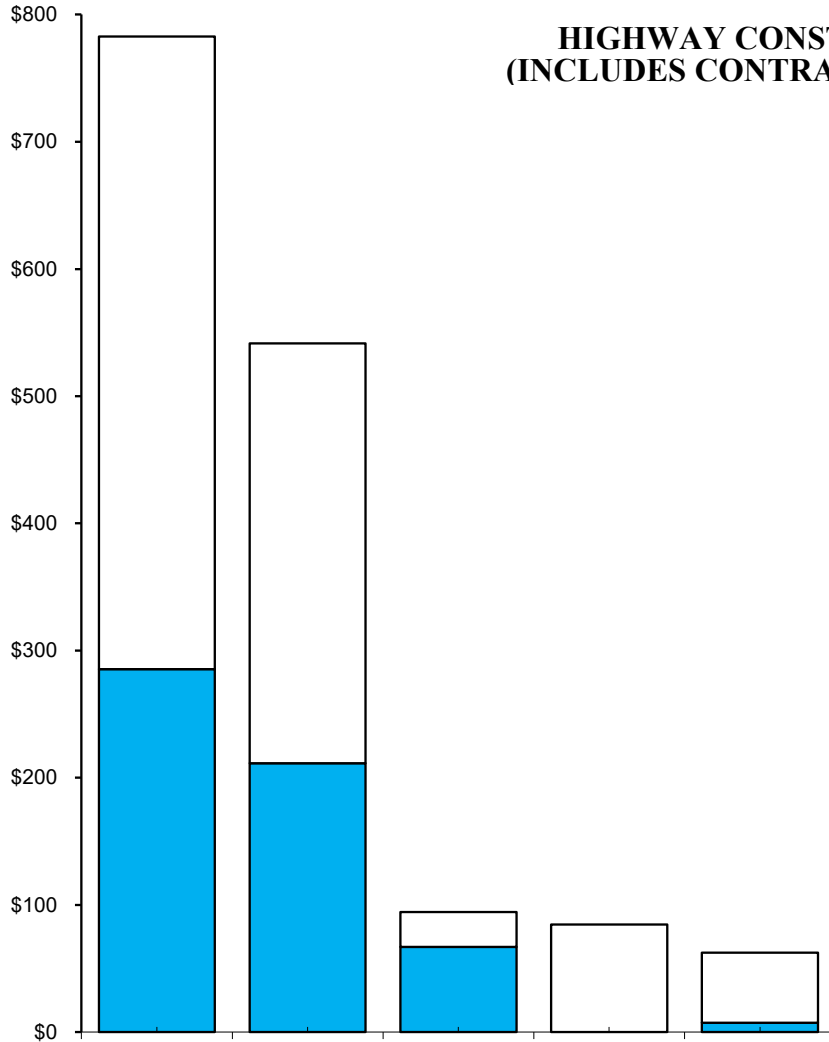
FISCAL YEAR 2022
 Period Expired 25.0%
 Pay Period Ending 09/12/2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	2,277,006.66	5,386,282.35	0.00	7,094,432.21	6,492,181.10	2,017,964.43	23,267,866.75
Temporary Salaries	6,317.91	38,493.90	0.00	441,265.14	82,104.01	61,871.40	630,052.36
Overtime	6,150.81	195,250.00	0.00	524,186.12	804,611.85	29,257.24	1,559,456.02
Employee Benefits	0.00	9,373,540.30	0.00	0.00	0.00	0.00	9,373,540.30
SUBTOTAL: Personal Services	\$2,289,475.38	\$14,993,566.55	\$0.00	\$8,059,883.47	\$7,378,896.96	\$2,109,093.07	\$34,830,915.43
Operating Expenses							
Utilities	0.00	461,231.85	0.00	289,660.44	2,176.64	138.42	753,207.35
Rentals	2,947.62	26,344.67	0.00	257,818.16	75.00	0.00	287,185.45
Repairs & Maintenance	6,579.84	676,557.23	0.00	1,528,218.14	2,387.29	38,357.78	2,252,100.28
Maintenance Contracts	0.00	118,583.01	0.00	3,634,929.40	0.00	0.00	3,753,512.41
Engineering Contracts	0.00	(94,215.50)	409,660.82	31,416.69	4,354,208.25	879,147.25	5,580,217.51
Contractual Services	164,949.22	486,805.09	0.00	671,389.88	34,496.08	1,341,701.77	2,699,342.04
Technology Expenses	544,273.00	3,448,123.44	0.00	259,621.73	0.00	252,744.24	4,504,762.41
Other Operating Expenses	248,456.35	590,650.01	18.00	1,346,420.10	2,670.75	104,582.92	2,292,798.13
SUBTOTAL: Operating Expenses	\$967,206.03	\$5,714,079.80	\$409,678.82	\$8,019,474.54	\$4,396,014.01	\$2,616,672.38	\$22,123,125.58
Supplies and Materials							
General Supplies & Materials	381,449.10	29,779.29	11,932.19	103,514.12	35.89	12,491.42	539,202.01
Maint & Const Materials	9,474.70	194,024.87	0.00	20,444,359.29	257,080.49	82,248.48	20,987,187.83
Automotive Supplies & Materials	0.00	276,788.24	0.00	3,584,865.93	0.00	63.99	3,861,718.16
SUBTOTAL: Supplies and Materials	\$390,923.80	\$500,592.40	\$11,932.19	\$24,132,739.34	\$257,116.38	\$94,803.89	\$25,388,108.00
Travel							
In State Travel	28,854.29	23,397.77	0.00	5,417.50	49,282.19	58,404.01	165,355.76
Out of State Travel	1,310.89	19,802.81	0.00	0.00	288.00	0.00	21,401.70
SUBTOTAL: Travel	\$30,165.18	\$43,200.58	\$0.00	\$5,417.50	\$49,570.19	\$58,404.01	\$186,757.46
Capital Outlay							
Land	0.00	(424,240.83)	424,415.83	0.00	672,096.30	0.00	672,271.30
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	197,961,379.80	0.00	197,961,379.80
Buildings	0.00	172,345.00	1,618,527.66	0.00	0.00	0.00	1,790,872.66
Heavy Equipment and Vehicles	0.00	0.00	0.00	2,552,736.88	0.00	0.00	2,552,736.88
Specialty Equipment	0.00	9,105.00	0.00	23,673.33	88,050.00	42,644.00	163,472.33
SUBTOTAL: Capital Outlay	\$0.00	(\$242,790.83)	\$2,042,943.49	\$2,576,410.21	\$198,721,526.10	\$42,644.00	\$203,140,732.97
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	4,912,238.44	4,912,238.44
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	977,591.62	977,591.62
Other Government Aid	0.00	0.00	0.00	0.00	41,885.60	29,561,906.58	29,603,792.18
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$41,885.60	\$35,451,736.64	\$35,493,622.24
Internal Redistributions							
Redistribution	1,425,119.47	(12,237,056.10)	0.00	3,804,115.62	5,590,770.54	1,417,050.47	0.00
SUBTOTAL: Internal Redistributions	\$1,425,119.47	(\$12,237,056.10)	\$0.00	\$3,804,115.62	\$5,590,770.54	\$1,417,050.47	\$0.00
GRAND TOTAL:	\$5,102,889.86	\$8,771,592.40	\$2,464,554.50	\$46,598,040.68	\$216,435,779.78	\$41,790,404.46	\$321,163,261.68

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
September 2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	728,147.14	63,793.78	171,242.48	556,904.66	23.52%	0.00
140 - LEGAL	1,229,535.40	95,812.15	289,423.09	940,112.31	23.54%	171,636.73
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,486,736.16	179,502.78	552,615.87	1,934,120.29	22.22%	148,284.63
SUBTOTAL: OFFICE OF THE DIRECTOR	\$4,444,418.70	\$339,108.71	\$1,013,281.44	\$3,431,137.26	22.80%	\$319,921.36
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,113,849.11	192,014.65	519,754.43	1,594,094.68	24.59%	0.00
250 - STRATEGIC PLANNING DIVISION	3,412,005.83	162,835.74	573,575.31	2,838,430.52	16.81%	794,751.77
320 - BRIDGE DIVISION	7,151,605.84	561,810.07	1,585,224.24	5,566,381.60	22.17%	1,322,343.20
340 - TRAFFIC ENGINEERING DIVISION	4,421,628.10	278,708.13	976,794.26	3,444,833.84	22.09%	678,869.16
350 - RIGHT OF WAY DIVISION	4,963,079.13	341,945.78	1,067,031.44	3,896,047.69	21.50%	119,523.23
360 - PROJECT DEVELOPMENT DIVISION	14,386,870.66	928,995.24	2,509,222.86	11,877,647.80	17.44%	10,472,852.50
370 - ROADWAY DESIGN DIVISION	24,543,788.78	1,578,594.63	4,506,396.50	20,037,392.28	18.36%	13,363,848.15
420 - PROGRAM MANAGEMENT DIVISION	1,335,953.47	96,851.14	331,836.89	1,004,116.58	24.84%	7,063.50
580 - LOCAL ASSISTANCE DIVISION	2,962,264.89	238,838.83	584,603.72	2,377,661.17	19.74%	1,361,699.63
SUBTOTAL: OFFICE OF ENGINEERING	\$65,291,045.81	\$4,380,594.21	\$12,654,439.65	\$52,636,606.16	19.38%	\$28,120,951.14
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,285,093.83	131,047.46	445,367.35	1,839,726.48	19.49%	2,050.00
260 - OPERATIONS DIVISION	16,645,525.24	963,814.50	3,652,637.87	12,992,887.37	21.94%	8,067,738.30
280 - BUSINESS TECH SUPPORT DIVISION	27,569,908.07	1,589,475.42	5,401,523.97	22,168,384.10	19.59%	24,344,665.48
380 - CONSTRUCTION DIVISION	2,990,404.82	224,864.80	679,552.03	2,310,852.79	22.72%	1,112.50
390 - MATERIALS & RESEARCH DIVISION	12,853,834.73	564,458.07	2,592,658.16	10,261,176.57	20.17%	9,660,087.00
610 - DISTRICT 1	33,947,496.11	2,919,449.94	10,839,829.82	23,107,666.29	31.93%	5,873,742.62
620 - DISTRICT 2	23,279,072.08	1,719,965.96	4,875,309.50	18,403,762.58	20.94%	6,291,787.43
630 - DISTRICT 3	32,110,108.72	3,490,460.38	9,059,040.72	23,051,068.00	28.21%	2,369,683.03
640 - DISTRICT 4	33,260,781.82	3,018,284.37	8,766,872.08	24,493,909.74	26.36%	4,713,278.26
650 - DISTRICT 5	23,779,814.81	1,956,565.03	6,076,466.80	17,703,348.01	25.55%	3,453,042.81
660 - DISTRICT 6	26,133,919.86	2,536,597.67	7,127,318.49	19,006,601.37	27.27%	5,902,840.60
670 - DISTRICT 7	17,553,092.95	1,229,635.64	4,470,078.64	13,083,014.31	25.47%	3,439,187.20
680 - DISTRICT 8	16,205,641.80	1,637,507.51	5,512,897.31	10,692,744.49	34.02%	2,980,141.03
SUBTOTAL: OFFICE OF OPERATIONS	\$268,614,694.84	\$21,982,126.75	\$69,499,552.74	\$199,115,142.10	25.87%	\$77,099,356.26
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	(104,210.77)	(230,024.08)	230,024.08	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,039,117.00	(616,347.34)	443,262.45	595,854.55	42.66%	51,608.27
904 - TRANSPORTATION CAPITAL	805,380,429.17	81,387,676.79	237,782,749.48	567,597,679.69	29.53%	1,124,053,565.64
SUBTOTAL: BUDGETARY CONTROL	\$806,419,546.17	\$80,667,118.68	\$237,995,987.85	\$568,423,558.32	29.52%	\$1,124,105,173.91
AGENCY TOTAL	\$1,144,769,705.52	\$107,368,948.35	\$321,163,261.68	\$823,606,443.84	28.06%	\$1,229,645,402.67

**FY-2022
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2022 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2022 PROJECTS	
7/22/2021	41.11				41.11
8/26/2021	121.26			4.62	125.88
9/30/2021	48.97	66.87		2.54	118.38
10/21/2021					
11/4/2021					
11/18/2021					
12/16/2021					
1/27/2022					
3/3/2022					
5/12/2022					
6/16/2022					
	211.34	66.87	0.00	7.16	285.37

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/22/2021	1.47	6.93	21.43		1.37	0.76	9.15		41.11
8/26/2021	5.43	74.20	5.51	14.40	6.44	12.40	5.20	2.30	125.88
9/30/2021	1.99	59.60	0.40	4.36	7.27	13.61	14.42	16.73	118.38
10/21/2021									
11/4/2021									
11/18/2021									
12/16/2021									
1/27/2022									
3/3/2022									
5/12/2022									
6/16/2022									
	8.89	140.73	27.34	18.76	15.08	26.77	28.77	19.03	285.37

	State System			Local System	
	Total Letting(1)	FY-2022 Program (2)	Prior Year Projects (3)	Advanced Projects	FY-2022 Program (4)
% Let to Date	36.5%	39.0%	70.9%	0.0%	11.5%
Actual \$ Let	285.37	211.34	66.87	0.00	7.16
Projected \$ Remaining	497.51	330.13	27.51	84.60	55.27
Total	\$782.88	\$541.47	\$94.38	\$84.60	\$62.43

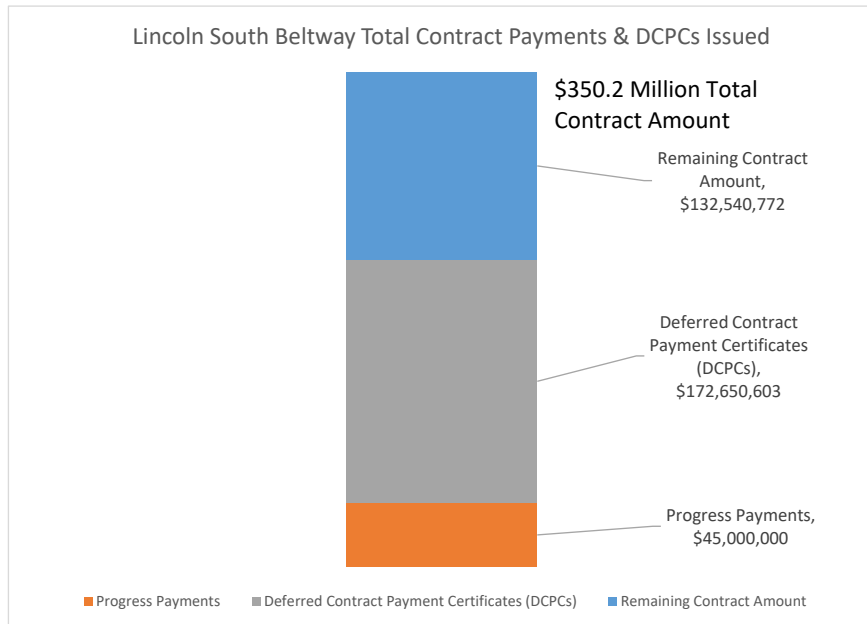
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2022 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of September 30, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through September 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through September 2021)

Remaining Contract Amount	\$132,540,772
Progress Payments	\$45,000,000
Deferred Contract Payment Certificates (DCPCs)	\$172,650,603

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through September 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$29,877,875
FY 2028	\$29,875,000
FY 2029	\$8,195,228
Total DCPCs to date	\$172,650,603

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$ 38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	46,365	277,251
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000	4,178	20,000
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	\$ 292,728	\$ 43,685	\$ 302,824	\$ 48,418	\$ 306,849	\$ 40,601	\$ 311,471	\$ 51,127	\$ 329,111	\$ 50,543	\$ 297,251

Footnotes:

FY21 Apportionment per Public Law 116-159. Available Obligation Limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

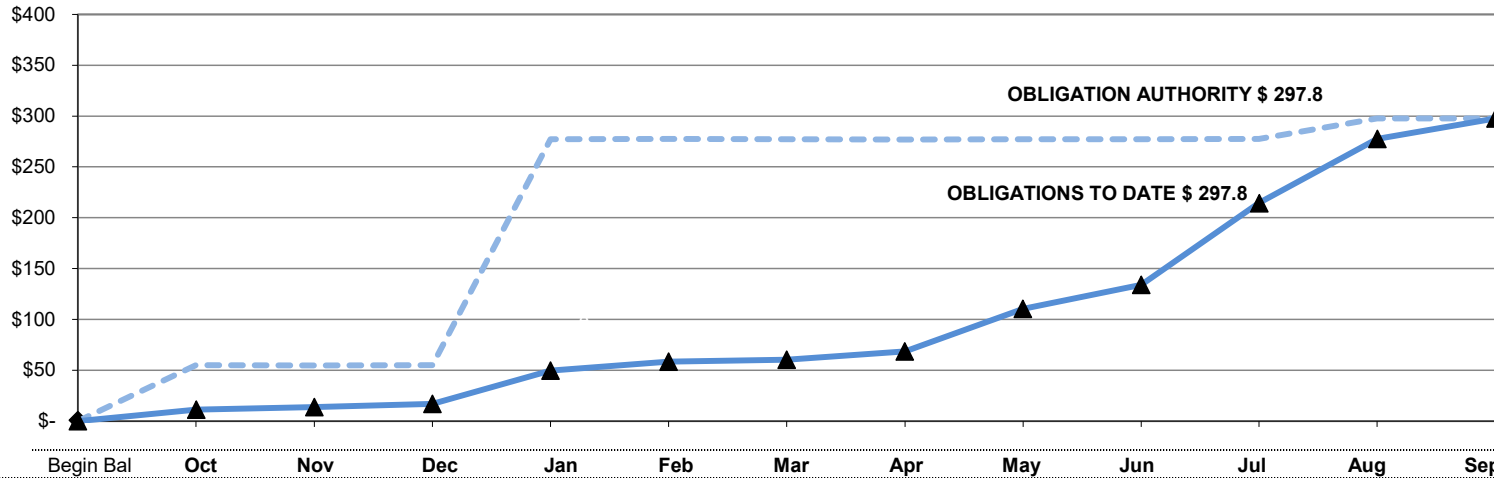
**STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
SEPTEMBER 30, 2021**

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	(35,000,000)	152,913,464	149,672,061	3,241,403	117,549,385	113,265,112
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	49,658
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	3,382,453	432,195	-	3,267,340
STP - Flexible - Any Area	1,350,799	33,159,291	35,000,000	69,510,090	66,794,290	2,715,801	66,510,529	84,443,934
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	3,483,546	13,611,522	23,322,866	39,378,897
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	6,656,900	13,992,847	73,093	6,260,894
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	565,027	43,101,577	8,000	1,657,722
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	13,996,864	141	-	13,497,116
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	9,923,149	1,059,007	-	10,220,714
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	21,665,352	15,027,981	1,915,919	25,411,358
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	2,823,511	553,194	2,355,797	2,393,620
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	492,287	9,682,510	-	2,947,226
Highway Planning	1,539,880	4,661,443	65,987	6,267,309	2,649,245	3,618,064	2,089	7,838,216
Research	293,360	1,553,815	390,698	2,237,873	2,226,229	11,643	141,795	5,827,158
Metropolitan Planning	293,435	1,776,501	-	2,069,936	1,499,026	570,910	-	2,603,029
National Hwy Freight Program	-	10,663,255	-	10,663,255	10,663,255	-	-	12,537,250
TAP - Flex	127,447	2,900,268	-	3,027,715	33,877	2,993,838	-	774,603
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	43,439	2,352,479	-	1,538,717
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	(54,579)	643,636	-	488,731
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	75,514	1,734,022	-	797,224
Recreational Trails	1,444,778	1,205,213	-	2,649,991	255,057	2,394,934	-	3,738,766
Enhancement	218,634	-	-	218,634	(47,732)	266,366	-	106,295
Safe Routes to School Prog	163,140	-	-	163,140	(37,353)	200,493	-	50,100
Redistribution - Certain Auth.	1,498,117	2,127,259	(12,174)	3,613,202	3,613,202	-	-	7,714,101
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	(147,958)	147,958	-	1,747,894
Other	-	-	-	-	-	-	-	23,514
Total Formula Funds	\$ 104,540,835	\$ 313,740,147	\$ 298,201	\$ 418,579,182	\$ 300,226,662	\$ 118,352,521	\$ 211,879,473	\$ 348,579,189
Allocated/Discretionary Funds	14,859	287,305	2,855,476	3,157,640	3,016,477	141,163	-	6,772,642
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 314,027,452	\$ 3,153,677	\$ 421,736,823	\$ 303,243,139	\$ 118,493,684	\$ 211,879,473	\$ 355,351,831
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	103,158,663	241,532,183	158,911,029	82,621,154	7,960,003	89,810,124
GRAND TOTAL	\$ 238,405,628	\$ 318,551,038	\$ 106,312,340	\$ 663,269,005	\$ 462,154,168	\$ 201,114,837	\$ 219,839,476	\$ 445,161,955

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8	54.9	277.2	277.4	277.2	276.8	277.1	277.1	277.5	297.8	297.8
OA Used	0.0	11.3	13.7	16.9	49.6	58.4	60.4	68.6	110.5	133.9	214.4	277.5	297.8

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2020		As of September 30, 2021		
Formula Obligation Limitation	\$	284.1	\$	277.2	
August Redistribution		45.0		20.0	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	0.3	100.0%
Subtotal	\$	329.8	\$	297.5	
Other Allocation Obligation Limitation		18.5		0.3	
Annual Obligation Limitation	\$	348.3	\$	297.8	
Formula Obligations to Date		0.0	(297.5)		Obligated
Allocated Obligations to Date		-	(0.3)		100.0%
Subtotal	\$	-	\$	(297.8)	
Obligation Authority Balance	\$	348.30	\$	0.0	
SPECIAL LIMITATION					
National Highway Perf Exempt		4.5		4.5	
Congestion MGMT Tech Deploy		0.0		2.7	
Highway Infrastructure COVID		0.0		71.7	
Highway Infrastructure (NON-COVID)		0.0		22.9	
Competitive Highway Bridge Program		0.0		8.5	
Training and Education Fast		0.2		0.2	
Tech Deploy Stic Incentive Ext		0.0		0.1	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 2018		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	247.5	
Obligations to Date		0.0		(164.2)	
Obligation Authority Balance	\$	218.6	\$	83.3	

FY21 Available Obligation limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - SEPTEMBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,904,798.53	1,761.23	3,274.66	2,428.20	144.19	1,912,406.81
	RIGHT OF WAY	41,312.45	0.00	0.00	(2,006.84)	0.00	39,305.61
	CONSTRUCTION	19,239,236.97	47,877,422.32	0.00	1,438,077.15	1,320,792.43	69,875,528.87
	CONSTRUCTION ENGINEERING	739,934.73	1,234,706.30	10.81	25,912.13	11,030.07	2,011,594.04
	PLANNING & RESEARCH	707.90	2,831.54	0.00	0.00	(0.02)	3,539.42
	TOTAL	\$ 21,925,990.58	\$ 49,116,721.39	\$ 3,285.47	\$ 1,464,410.64	\$ 1,331,966.67	\$ 73,842,374.75
LOCAL	PRELIMINARY ENGINEERING	24,711.07	242,512.43	14,377.58	34,868.19	606.20	317,075.47
	RIGHT OF WAY	1,199.43	67,483.23	2,944.59	10,687.12	712.51	83,026.88
	CONSTRUCTION	206,361.55	7,040,271.92	643,260.18	829,492.74	76,525.59	8,795,911.98
	CONSTRUCTION ENGINEERING	4,029.46	431,105.09	25,928.20	77,034.76	1,782.84	539,880.35
	PLANNING & RESEARCH	0.00	(188.58)	(172.21)	360.79	0.00	0.00
	TOTAL	\$ 236,301.51	\$ 7,781,184.09	\$ 686,338.34	\$ 952,443.60	\$ 79,627.14	\$ 9,735,894.68
NON-HWY	PRELIMINARY ENGINEERING	1,321,076.97	7,311.45	124.45	2,056.82	77.06	1,330,646.75
	RIGHT OF WAY	80,238.92	2,076.28	0.00	519.03	0.00	82,834.23
	CONSTRUCTION	0.00	171,171.42	0.00	43,066.31	0.00	214,237.73
	CONSTRUCTION ENGINEERING	505,499.13	43,751.73	0.00	11,032.34	0.00	560,283.20
	TRAFFIC SAFETY & TRANS	9,222.72	431,222.07	0.00	0.00	0.00	440,444.79
	PLANNING & RESEARCH	241,646.33	627,153.50	(622.97)	(57,362.98)	84.40	810,898.28
	PUBLIC TRANSPORTATION ASSIST	244,179.70	871,380.63	31,670.31	0.00	0.00	1,147,230.64
	TOTAL	\$ 2,401,863.77	\$ 2,154,067.08	\$ 31,171.79	\$ (688.48)	\$ 161.46	\$ 4,586,575.62
TOTAL - CURRENT MONTH		\$ 24,564,155.86	\$ 59,051,972.56	\$ 720,795.60	\$ 2,416,165.76	\$ 1,411,755.27	\$ 88,164,845.05

FISCAL YEAR TO DATE - SEPTEMBER 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	5,256,513.04	1,761.23	5,222.16	3,998.60	13,143.38	5,280,638.41
	RIGHT OF WAY	350,453.22	0.00	0.00	837.83	0.00	351,291.05
	CONSTRUCTION	11,612,015.29	180,455,112.21	(1,501.55)	6,135,109.79	395,115.21	198,595,850.95
	CONSTRUCTION ENGINEERING	159,602.08	5,673,668.97	(208.94)	66,573.42	28,805.66	5,928,441.19
	PLANNING & RESEARCH	14,579.53	58,717.25	0.00	0.00	(592.87)	72,703.91
	TOTAL	\$ 17,393,163.16	\$ 186,189,259.66	\$ 3,511.67	\$ 6,206,519.64	\$ 436,471.38	\$ 210,228,925.51
LOCAL	PRELIMINARY ENGINEERING	151,193.78	979,114.43	63,935.94	107,786.54	(2,443.99)	1,299,586.70
	RIGHT OF WAY	165,369.40	161,187.61	(106.79)	44,724.36	22,229.25	393,403.83
	CONSTRUCTION	489,936.34	19,836,419.88	1,883,795.63	2,558,891.29	355,654.07	25,124,697.21
	CONSTRUCTION ENGINEERING	37,541.04	1,503,882.09	145,639.24	256,562.64	3,781.95	1,947,406.96
	PLANNING & RESEARCH	18.26	(1,100.73)	2,211.58	710.43	0.00	1,839.54
	TOTAL	\$ 844,058.82	\$ 22,479,503.28	\$ 2,095,475.60	\$ 2,968,675.26	\$ 379,221.28	\$ 28,766,934.24
NON-HWY	PRELIMINARY ENGINEERING	3,986,205.05	58,458.00	124.45	13,262.02	4,099.29	4,062,148.81
	RIGHT OF WAY	246,958.00	290,303.97	0.00	72,575.89	0.00	609,837.86
	CONSTRUCTION	32,355.59	1,045,496.33	0.00	273,405.40	0.00	1,351,257.32
	CONSTRUCTION ENGINEERING	1,648,494.20	147,636.75	0.00	36,906.53	0.00	1,833,037.48
	TRAFFIC SAFETY & TRANS	(160,669.28)	1,437,571.41	0.00	0.00	0.00	1,276,902.13
	PLANNING & RESEARCH	796,181.97	2,317,019.49	(622.97)	(57,261.27)	101,641.76	3,156,958.98
	PUBLIC TRANSPORTATION ASSIST	634,924.95	4,340,544.68	68,999.91	0.00	53,204.59	5,097,674.13
	TOTAL	\$ 7,184,450.48	\$ 9,637,030.63	\$ 68,501.39	\$ 338,888.57	\$ 158,945.64	\$ 17,387,816.71
TOTAL - FISCAL YEAR TO DATE		\$ 25,421,672.46	\$ 218,305,793.57	\$ 2,167,488.66	\$ 9,514,083.47	\$ 974,638.30	\$ 256,383,676.46

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
SEPTEMBER 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,898,226,625.82	966,461,511.46	931,765,114.36	21,925,990.58	17,393,163.16	58,505,578.61
	FEDERAL	1,527,426,502.66	1,243,600,801.24	283,825,701.42	49,116,721.39	186,189,259.66	319,422,188.50
	COUNTY	2,726,155.38	2,470,037.07	256,118.31	3,285.47	3,511.67	140,271.71
	CITY	93,328,486.38	43,264,100.69	50,064,385.69	1,464,410.64	6,206,519.64	13,298,065.82
	OTHER	19,814,854.96	19,041,768.37	773,086.59	1,331,966.67	436,471.38	613,927.55
STATE HIGHWAY SYSTEM TOTALS		\$ 3,541,522,625.20	\$ 2,274,838,218.83	\$ 1,266,684,406.37	\$ 73,842,374.75	\$ 210,228,925.51	\$ 391,980,032.19
LOCAL HIGHWAY SYSTEM							
	STATE	63,290,903.73	39,075,741.05	24,215,162.68	236,301.51	844,058.82	2,824,526.69
	FEDERAL	350,992,122.72	262,466,142.41	88,525,980.31	7,781,184.09	22,479,503.28	50,457,851.34
	COUNTY	17,998,720.25	12,764,611.38	5,234,108.87	686,338.34	2,095,475.60	4,554,102.64
	CITY	102,760,000.25	78,375,360.75	24,384,639.50	952,443.60	2,968,675.26	7,812,296.49
	OTHER	6,710,111.41	4,250,288.45	2,459,822.96	79,627.14	379,221.28	413,290.33
LOCAL HIGHWAY SYSTEM TOTALS		\$ 541,751,858.36	\$ 396,932,144.04	\$ 144,819,714.32	\$ 9,735,894.68	\$ 28,766,934.24	\$ 66,062,067.49
NON-HIGHWAY							
	STATE	447,832,941.95	364,154,937.30	83,678,004.65	2,401,863.77	7,184,450.48	55,566,485.01
	FEDERAL	262,805,058.88	127,856,535.13	134,948,523.75	2,154,067.08	9,637,030.63	32,159,153.97
	COUNTY	1,291,521.46	518,691.91	772,829.55	31,171.79	68,501.39	200,034.09
	CITY	10,180,202.33	6,209,971.57	3,970,230.76	(688.48)	338,888.57	2,396,450.12
	OTHER	14,706,471.83	12,344,717.35	2,361,754.48	161.46	158,945.64	899,146.59
NON-HIGHWAY TOTALS		\$ 736,816,196.45	\$ 511,084,853.26	\$ 225,731,343.19	\$ 4,586,575.62	\$ 17,387,816.71	\$ 91,221,269.78
GRAND TOTALS		\$ 4,820,090,680.01	\$ 3,182,855,216.13	\$ 1,637,235,463.88	\$ 88,164,845.05	\$ 256,383,676.46	\$ 549,263,369.46

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
SEPTEMBER 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	0.01	0.00	0.01	0.00	0.00	0.00
PRELIMINARY ENGINEERING	578,708,484.90	391,865,616.78	186,842,868.12	3,560,129.03	10,642,373.92	32,591,889.61
RIGHT OF WAY	175,546,581.33	130,527,640.05	45,018,941.28	205,166.72	1,354,532.74	8,481,459.21
UTILITIES	52,661,897.58	29,223,130.43	23,438,767.15	132,014.71	349,620.10	7,364,108.61
CONSTRUCTION	3,495,810,233.97	2,317,332,436.52	1,178,477,797.45	78,753,663.87	224,722,185.38	435,633,814.82
CONSTRUCTION ENGINEERING	244,873,729.03	150,729,914.09	94,143,814.94	3,111,757.59	9,708,885.63	26,193,700.66
TRAFFIC SAFETY	36,619,933.14	17,530,782.44	19,089,150.70	440,444.79	1,276,902.13	4,982,456.52
PLANNING & RESEARCH	105,143,800.93	70,170,488.71	34,973,312.22	814,437.70	3,231,502.43	11,856,062.59
PUBLIC TRANSPORTATION	130,726,019.12	75,475,207.11	55,250,812.01	1,147,230.64	5,097,674.13	22,159,877.44
GRAND TOTALS	\$ 4,820,090,680.01	\$ 3,182,855,216.13	\$ 1,637,235,463.88	\$ 88,164,845.05	\$ 256,383,676.46	\$ 549,263,369.46

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
SEPTEMBER 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,230,407,865.10	918,919,699.57	311,488,165.53	14,188,518.15	39,401,555.48	120,406,924.05
ROADS OPERATION FUND AC*	124,408,128.91	7,565,922.27	116,842,206.64	4,460,083.12	(9,667,664.59)	2,837,217.03
GENERAL BRIDGE STUDY	81,519.96	2,451.82	79,068.14	0.00	2,451.82	2,451.82
GRADE CROSSING FUND	3,146,633.06	1,870,745.95	1,275,887.11	6,445.77	47,758.26	471,408.21
GRADE SEPARATION-TMT	27,033,284.51	24,614,425.60	2,418,858.91	9,405.85	47,584.34	142,201.68
RECREATION ROAD FUND	16,878,907.10	10,001,723.37	6,877,183.73	37,483.76	297,527.54	2,557,304.46
ST HWY CAPITAL IMPR	854,358,858.60	288,381,075.58	565,977,783.02	4,966,166.44	(9,017,421.58)	(30,064,693.26)
STATE AID BRIDGE	3,824,697.95	3,464,371.50	360,326.45	2,953.57	22,074.59	105,559.98
TRANS INFRA BANK	149,210,576.31	114,871,774.15	34,338,802.16	893,099.20	4,287,806.60	20,438,216.34
TOTAL STATE FUNDS	\$ 2,409,350,471.50	\$ 1,369,692,189.81	\$ 1,039,658,281.69	\$ 24,564,155.86	\$ 25,421,672.46	\$ 116,896,590.31
FEDERAL FUNDS	2,141,223,684.26	1,633,923,478.78	507,300,205.48	59,051,972.56	218,305,793.57	402,039,193.81
COUNTY FUNDS	22,016,397.09	15,753,340.36	6,263,056.73	720,795.60	2,167,488.66	4,894,408.44
CITY FUNDS	206,268,688.96	127,849,433.01	78,419,255.95	2,416,165.76	9,514,083.47	23,506,812.43
OTHER FUNDS	41,231,438.20	35,636,774.17	5,594,664.03	1,411,755.27	974,638.30	1,926,364.47
GRAND TOTALS	\$ 4,820,090,680.01	\$ 3,182,855,216.13	\$ 1,637,235,463.88	\$ 88,164,845.05	\$ 256,383,676.46	\$ 549,263,369.46

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
September 30, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund					
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures
Revenue	\$ 7,464,503.62	\$ 22,458,445.20	\$ 552,098,156.52		
Expenditures					
Expressway and High Priority Corridors	4,951,539.75	(9,032,164.67)	209,993,723.26	541,090,817.26	206,418,219.77
Other Highways	14,626.69	14,743.09	78,387,352.32	24,886,965.76	108,103,045.48
BNA Projects Completed/Closed			144,176,575.68		
Total	\$ 4,966,166.44	\$ (9,017,421.58)	\$ 432,557,651.26	\$ 565,977,783.02	\$ 314,521,265.25
Funds Available			\$ 119,540,505.26		

Note: Expenditures reflect crediting of \$24.1 million of Federal Emergency Relief in July 2021 related to March 2019 flood repair expenses.

Transportation Innovation Act Financial Status September 30, 2021

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,642,337.62	\$ 7,831,381.61	\$ 164,568,143.15		
Expenditures					
Accelerated State Highway Capital Improvement Program	693,099.20	3,943,562.57	107,476,230.91	21,674,877.66	176,246,992.00
County Bridge Match Program	200,000.00	311,985.42	5,347,943.27	11,204,099.10	8,209,363.12
Economic Opportunity Program		32,258.61	2,047,599.97	1,459,825.40	16,003,000.00
TIB Projects Completed/Closed			3,607,407.44		
Total Expenditures	\$ 893,099.20	\$ 4,287,806.60	\$ 118,479,181.59	\$ 34,338,802.16	\$ 200,459,355.12
Funds Available			\$ 46,088,961.56		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

	(\$MILLIONS)						
	Obligation Limitation Percentage			88.90%			
	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>09/30/21</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.358	-	-	3.358	3.139	0.219
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 14.426	-	⁽⁴⁾ (0.146)	14.280	3.484	10.796
LCLC - LINCOLN	6.395	\$ 5.685	11.177	-	16.862	4.027	12.835
SubTotal Local	\$ 26.399	\$ 23.469	\$ 12.377	\$ (0.146)	\$ 35.700	\$ 10.650	\$ 25.050
METRO PLANNING	1.777	1.580	-	-	1.580	1.499	0.081
Omaha	66.836%	1.121	0.996	-	0.996	0.959	0.037
Lincoln	26.341%	0.466	0.414	-	0.414	0.435	(0.021)
South Sioux City	1.688%	0.067	0.060	-	0.060	0.029	0.031
Grand Island	5.135%	0.123	0.109	-	0.109	0.076	0.033
TAP - Flex	2.900	2.578	-	-	2.578	-	2.578
TAP - 5K and Under	0.874	0.777	-	-	0.777	0.063	0.714
TAP - 5K-200K	0.573	0.509	-	-	0.509	(0.038)	0.547
TAP - MAPA - OMAHA	1.042	0.926	-	-	0.926	(0.047)	0.973
TAP - LCLC - LINCOLN	0.411	0.365	-	-	0.365	0.034	0.331
REC TRAILS	1.205	1.071	-	-	1.071	0.255	0.816
TOTAL	\$ 35.181	\$ 31.275	\$ 12.377	\$ (0.146)	\$ 43.506	\$ 12.416	\$ 31.090

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

	Federal FY-17		Federal FY-18		Federal FY-19		Federal FY-20		Federal FY-21	
	Payment was made March 2018		Payment was made March 2019		Payment was made March 2020		Payment was made March 2021		Payment will be made March 2022	
Bridge										
Annual Obligation Authority		273,085,952.00		274,849,099.00		277,028,447.00		284,111,089.00		277,251,202.00
10% for Bridges		27,308,595.20		27,484,909.90		27,702,844.70		28,411,108.90		27,725,120.20
60% Local Share		16,385,157.12		16,490,945.94		16,621,706.82		17,046,665.34		16,635,072.12
Less STP Bridge Off System		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)		(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)		(900,000.00)		(1,000,000.00)		(300,000.00)		-
Less Under Water Inspection		-		(500,000.00)		-		-		-
Less Quality Assurance		(400,000.00)		(400,000.00)		(300,000.00)		(300,000.00)		(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)		-		-		-		-
Load Rating of Fracture Critical Bridges		(400,000.00)		-		-		-		-
Funds Available To Be Purchased		8,407,900.12		10,913,688.94		11,544,449.82		12,669,408.34		12,557,815.12
Bridge Buy Out Total	90%	\$ 7,567,110.00	90%	\$ 9,822,320.00	90%	\$ 10,390,005.00	90%	\$ 11,402,468.00	90.0%	\$ 11,302,034.00
Less Major On System Bridges Reserve		-		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)		(2,000,000.00)
Bridge Buy Out Payment		\$ 7,567,110.00		\$ 7,822,320.00		\$ 8,390,005.00		\$ 9,402,468.00		\$ 9,302,034.00
Counties										
Annual Apportionment		12,129,914.00		12,652,394.00		13,189,762.00		13,697,023.00		13,604,127.00
Funds Available To Be Purchased	92.8%	11,256,560.19	91.7%	11,602,245.30	90.1%	11,883,975.56	90.6%	12,409,502.84	88.9%	12,094,068.90
County Buy Out Payment	90%	\$ 10,130,904.00	90%	\$ 10,442,021.00	90%	\$ 10,695,578.00	90%	\$ 11,168,553.00	90%	\$ 10,884,662.00
First Class Cities										
Annual Apportionment		7,952,055.00		8,294,580.00		8,646,863.00		8,979,411.00		8,918,511.00
Funds Available To Be Purchased	92.8%	7,379,507.04	91.7%	7,606,129.86	90.1%	7,790,823.56	90.6%	8,135,346.37	88.9%	7,928,556.28
First Class City Buy Out Payment	90%	\$ 6,641,556.00	90%	\$ 6,845,517.00	90%	\$ 7,011,741.00	90%	\$ 7,321,812.00	90%	\$ 7,135,701.00
Total Funds Distributed To Locals		\$ 24,339,570.00		\$ 25,109,858.00		\$ 26,097,324.00		\$ 27,892,833.00		\$ 27,322,397.00

Soft Match Balance By County

As of September 30, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,221.77
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	357,975.59
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	944,944.36
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,865.62
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	531,058.52
3022	DAKOTA COUNTY	122,266.35
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.47
3027	DODGE COUNTY	215.26
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.98
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.09
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	211,334.69
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	366,131.71
3049	JOHNSON COUNTY	130,989.94

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,780.12
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	96,474.74
3061	MERRICK COUNTY	63,961.58
3063	NANCE COUNTY	68,458.89
3064	NEMAHA COUNTY	236,843.67
3065	NUCKOLLS COUNTY	409,894.19
3066	OTOE COUNTY	741,003.25
3067	PAWNEE COUNTY	224,266.75
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.07
3071	PLATTE COUNTY	29,487.71
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,843.84
3078	SAUNDERS COUNTY	111,067.12
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,404,030.63
3084	STANTON COUNTY	1,177,628.30
3085	THAYER COUNTY	217,624.91
3087	THURSTON COUNTY	354,203.79
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.89
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14