

NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION



NEBRASKA STATE HIGHWAY COMMISSION

Quarterly Financial Report as of March 31, 2021

This report presents the financial position and projects of the Nebraska Department of Transportation and includes all funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30. Contracts let or planned to be let through the fiscal year, as of the specified day, are listed by letting dates. It was prepared entirely with Nebraska Department of Transportation resources.

January
2021

Nebraska Department of Transportation Financial Report

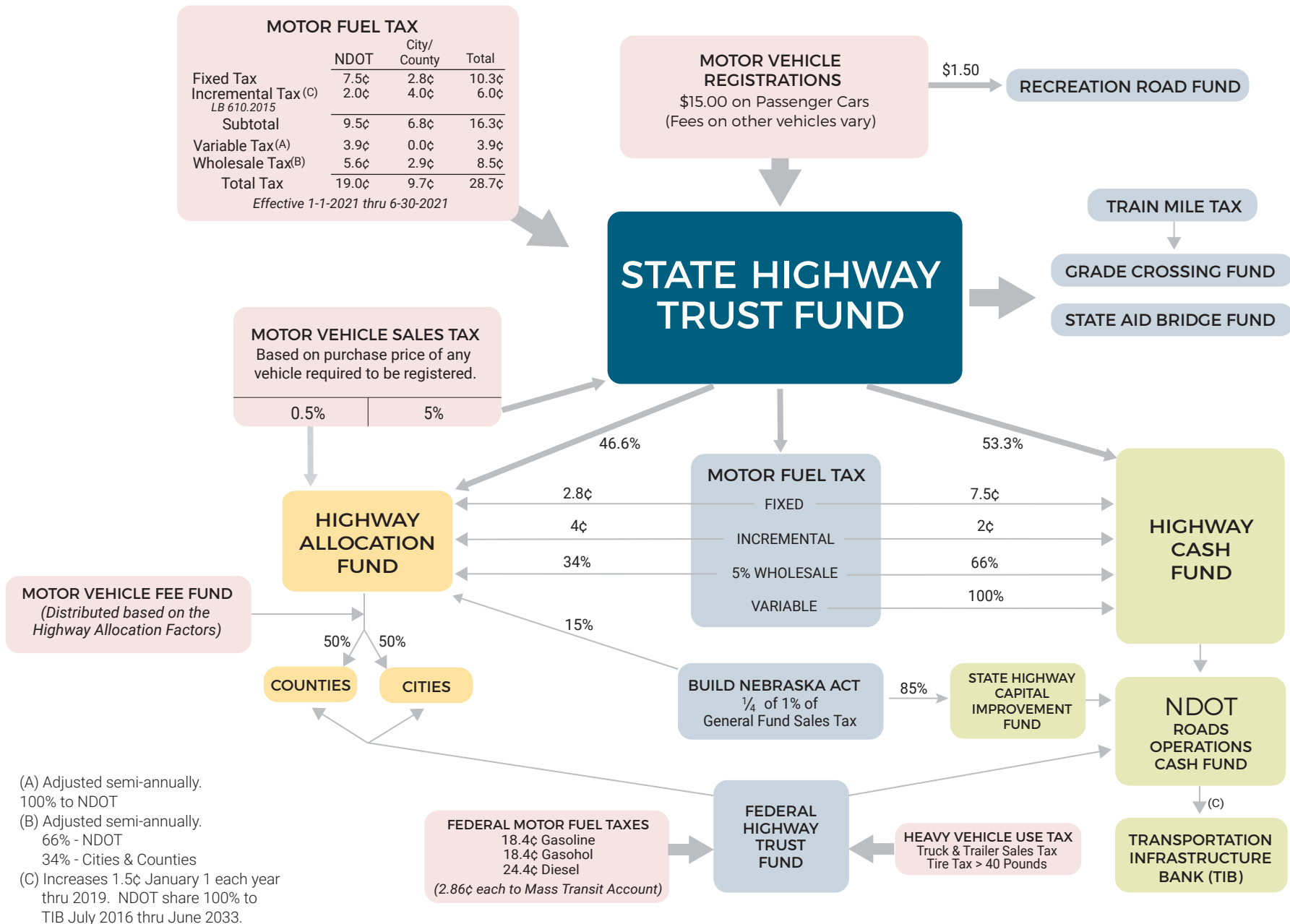


NEBRASKA

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DEPARTMENT OF TRANSPORTATION

Nebraska Transportation Financing



- (A) Adjusted semi-annually. 100% to NDOT
- (B) Adjusted semi-annually. 66% - NDOT, 34% - Cities & Counties
- (C) Increases 1.5¢ January 1 each year thru 2019. NDOT share 100% to TIB July 2016 thru June 2033.

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- ✓ The state revenue projections in this report were developed in December 2020 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- ✓ Total revenue in January exceeded expenditures by \$20.3 million. Fiscal year to date revenue surpassed expenditures by \$41.0 million (page 4).
- ✓ Projected \$974.3 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 28.7 cents, effective January 1, 2021. The month of January's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$3.1 million or 14.6%, motor vehicle registration revenue was over the projected amount by \$250 thousand or 4.9% and motor vehicle sales tax was over the projected amount by \$1.6 million or 17.7%. Highway Cash Fund receipts for FY21 to date were higher than projections by \$7.4 million or 2.5% (page 11, 12).
- ✓ Established an operating budget for Roads Division of \$951.4 million for FY21 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).
- ✓ January expenditures totaled \$48.4 million. Fiscal year to date expenditures totaled \$626.2 million, 65.8% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of December 21, 2020 thru January 17, 2021. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- ✓ Highway construction contract lettings fiscal year to date totaled \$460.6 million, \$402.1 million on the state highway system (page 18).
- ✓ The January report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- ✓ Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. The annual obligation authority is 88.9% per public Law 116-260. As of January 31, 2021, obligations of \$49.6 million have resulted in an obligation authority balance of \$227.6 million (pages 22, 23 and 24).
- ✓ Build Nebraska Act became effective July 1, 2013. Revenue totaling \$495.4 million has been received to date with allocated expenditures totaling \$465.9 million (page 29).
- ✓ Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$95.8 million has been received to date with expenditures totaling \$99.4 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
January 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
<u>ASSETS</u>							
Current Assets							
Cash & Cash Equivalents	300,009,565.33	278,428,279.65	21,581,285.68	7.75	223,609,059.25	76,400,506.08	34.17
Federal Receivables	5,500,482.30	6,058,026.50	(557,544.20)	(9.20)	13,667,782.21	(8,167,299.91)	(59.76)
Other Receivables	19,670,544.99	21,771,807.52	(2,101,262.53)	(9.65)	11,632,817.68	8,037,727.31	69.10
Inventories	2,780,659.39	2,851,164.12	(70,504.73)	(2.47)	3,083,197.32	(302,537.93)	(9.81)
Total Current Assets	\$327,961,252.01	\$309,109,277.79	\$18,851,974.22	6.10 %	\$251,992,856.46	\$75,968,395.55	30.15 %
Capital Assets							
Equipment	64,900,117.42	64,930,458.16	(30,340.74)	(0.05)	64,467,872.04	432,245.38	0.67
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,800,583,530.80	7,900,636,476.35	(100,052,945.55)	(1.27)	7,860,499,911.61	(59,916,380.81)	(0.76)
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,535,541,824.33	\$8,635,625,110.62	(\$100,083,286.29)	(1.16)%	\$8,569,537,237.83	(\$33,995,413.50)	(0.40)%
Total Assets	\$8,863,503,076.34	\$8,944,734,388.41	(\$81,231,312.07)	(0.91)%	\$8,821,530,094.29	\$41,972,982.05	0.48 %
<u>LIABILITIES</u>							
Current Liabilities							
Accounts Payable	2,436,834.86	3,627,965.31	(1,191,130.45)	(32.83)	3,221,724.56	(784,889.70)	(24.36)
Retention Payable	102,816,672.86	101,512,450.38	1,304,222.48	1.28	1,152,502.18	101,664,170.68	8,821.17
Other Payables	67,463,722.53	67,614,868.35	(151,145.82)	(0.22)	53,072,686.95	14,391,035.58	27.12
Total Current Liabilities	\$172,717,230.25	\$172,755,284.04	(\$38,053.79)	(0.02)%	\$57,446,913.69	\$115,270,316.56	200.66 %
Total Liabilities	\$172,717,230.25	\$172,755,284.04	(\$38,053.79)	(0.02)%	\$57,446,913.69	\$115,270,316.56	200.66 %
<u>NET ASSETS</u>							
Capital Equity							
Capital	8,535,541,824.33	8,635,625,110.62	(100,083,286.29)	(1.16)	8,569,537,237.83	(33,995,413.50)	(0.40)
Total Capital Equity	\$8,535,541,824.33	\$8,635,625,110.62	(\$100,083,286.29)	(1.16)%	\$8,569,537,237.83	(\$33,995,413.50)	(0.40)%
Fund Balance							
Reserved Fund Balance	(100,036,013.47)	(98,661,286.26)	(1,374,727.21)	1.39	1,930,695.14	(101,966,708.61)	(5,281.35)
Unreserved Fund Balance	255,280,035.23	235,015,280.01	20,264,755.22	8.62	192,615,247.63	62,664,787.60	32.53
Total Fund Balance	\$155,244,021.76	\$136,353,993.75	\$18,890,028.01	13.85 %	\$194,545,942.77	(\$39,301,921.01)	(20.20)%
Total Net Assets	\$8,690,785,846.09	\$8,771,979,104.37	(\$81,193,258.28)	(0.93)%	\$8,764,083,180.60	(\$73,297,334.51)	(0.84)%
Total Liabilities and Net Assets	\$8,863,503,076.34	\$8,944,734,388.41	(\$81,231,312.07)	(0.91)%	\$8,821,530,094.29	\$41,972,982.05	0.48 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
JANUARY 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	44,708,534.60	48,416,767.57	(3,708,232.97)	(7.66)	346,333,748.65	314,731,349.51	31,602,399.14	10.04
Federal Reimbursements	19,678,813.47	19,572,059.46	106,754.01	0.55	286,127,719.88	293,403,286.79	(7,275,566.91)	(2.48)
Local Revenues	3,845,499.09	3,401,779.69	443,719.40	13.04	30,480,724.37	11,985,592.71	18,495,131.66	154.31
Other Entities Revenues	407,081.71	699,865.56	(292,783.85)	(41.83)	4,215,830.37	6,402,139.53	(2,186,309.16)	(34.15)
Total Revenue	\$68,639,928.87	\$72,090,472.28	(\$3,450,543.41)	(4.79) %	\$667,158,023.27	\$626,522,368.54	\$40,635,654.73	6.49 %
Expenditures								
Administration	1,173,708.10	2,607,309.98	(1,433,601.88)	(54.98)	13,128,499.36	13,695,317.49	(566,818.13)	(4.14)
Highway Maintenance	11,284,404.21	11,225,204.78	59,199.43	0.53	98,040,900.32	95,002,700.88	3,038,199.44	3.20
Capital Facilities	465,033.70	655,658.52	(190,624.82)	(29.07)	2,720,188.64	1,198,802.83	1,521,385.81	126.91
Services and Support	4,356,677.28	5,867,917.83	(1,511,240.55)	(25.75)	23,877,362.56	19,980,886.02	3,896,476.54	19.50
Construction	28,080,753.27	43,732,063.48	(15,651,310.21)	(35.79)	470,642,935.03	505,520,602.41	(34,877,667.38)	(6.90)
Highway Safety Office	300,081.21	309,864.60	(9,783.39)	(3.16)	2,683,221.65	3,124,112.54	(440,890.89)	(14.11)
Public Transit	2,713,907.36	1,935,880.39	778,026.97	40.19	15,079,350.70	11,741,262.83	3,338,087.87	28.43
Total Expenditures	\$48,374,565.13	\$66,333,899.58	(\$17,959,334.45)	(27.07) %	\$626,172,458.26	\$650,263,685.00	(\$24,091,226.74)	(3.70) %
Excess Revenue (Expenditures)	\$20,265,363.74	\$5,756,572.70	\$14,508,791.04	252.04 %	\$40,985,565.01	(\$23,741,316.46)	\$64,726,881.47	(272.63) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

BALANCE SHEET BY FUND
January 2021

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	163,689,150.75	40,335,826.02	32,823,699.75	47,747,536.72	2,482,384.29	2,059,027.55	10,803,543.95	64,021.44	300,005,190.47
Other Current Assets	27,956,061.54	0.00	0.00	0.00	0.00	0.00	0.00	0.00	27,956,061.54
Capital Assets	8,535,541,824.33	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,535,541,824.33
TOTAL ASSETS	\$8,727,187,036.62	\$40,335,826.02	\$32,823,699.75	\$47,747,536.72	\$2,482,384.29	\$2,059,027.55	\$10,803,543.95	\$64,021.44	\$8,863,503,076.34
LIABILITIES									
Current Liabilities	172,717,230.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	172,717,230.25
TOTAL LIABILITIES	\$172,717,230.25	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$172,717,230.25
NET ASSETS									
Fund Balance	287,436,015.34	(256,398,236.90)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	114,258,456.75
Capital Equity	8,535,541,824.33	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,535,541,824.33
Accrued Interfund Transfer	(6,614,139.66)	0.00	3,249,098.30	1,316,380.45	9,717.93	(25,463.10)	21,936.61	2,042,469.47	0.00
Revenues	303,586,501.39	296,734,062.92	46,779,316.77	16,473,085.56	594,850.64	229,727.76	2,312,288.90	448,189.33	667,158,023.27
Costs	(565,480,395.03)	0.00	(21,051,114.19)	(33,925,826.56)	(1,256,315.94)	(114,979.00)	(3,001,161.61)	(1,342,665.93)	(626,172,458.26)
TOTAL NET ASSETS	\$8,554,469,806.37	\$40,335,826.02	\$32,823,699.75	\$47,747,536.72	\$2,482,384.29	\$2,059,027.55	\$10,803,543.95	\$64,021.44	\$8,690,785,846.09
TOTAL LIABILITIES AND NET ASSETS	\$8,727,187,036.62	\$40,335,826.02	\$32,823,699.75	\$47,747,536.72	\$2,482,384.29	\$2,059,027.55	\$10,803,543.95	\$64,021.44	\$8,863,503,076.34

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
January 2021

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.4	128.0	58.3	84.4	72.0	68.6					
Expenditures	136.3	95.8	99.7	113.0	66.4	66.3	48.3					
Balance	3.8	19.6	28.3	(54.7)	18.0	5.7	20.3					
Cumulative Balance	3.8	23.4	51.7	(3.0)	15.0	20.7	41.0					

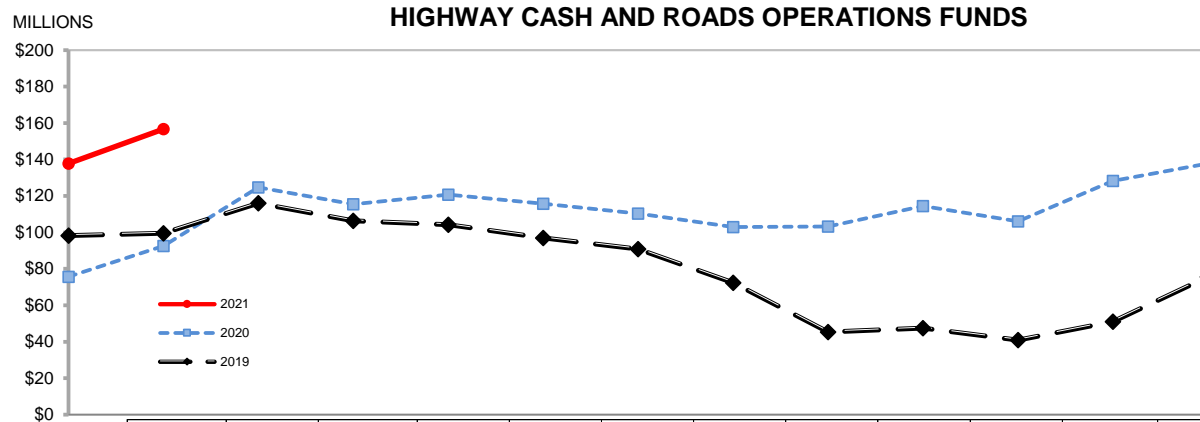
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances earned \$333,548.37 in January, with an interest rate of 1.53%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%	1.47%	1.67%	1.37%	1.46%	1.53%							1.53%
Earnings (Thousands)	\$334	\$276	\$286	\$327	\$278	\$295	\$334						\$2,130	\$304

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
January 2021
(IN MILLIONS)

Total of all funds available as of January 31 is \$297 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$201 million on the 31st to a low of \$156 million on the 14th



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7											
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9											
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7											
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5											
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2020	10.5											
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0											
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES
January 2021

	<u>ADMINISTRATION 026</u>						<u>301</u>	<u>AIRCRAFT 596</u>		<u>TOTALS</u>
	<u>Admin.</u>	<u>COVID 19</u>	<u>Proj Plan/Mgt</u>	<u>Nav. Aids</u>	<u>Airfields</u>	<u>Pave. Maint.</u>	<u>Capital Projs.</u>	<u>Operations</u>	<u>Aircraft Res.</u>	
REVENUES:										
450000 Taxes	85,105.12									85,105.12
460000 Intergovernmental			1,262.25				2,429,758.33			2,431,020.58
470000 Sales & Charges				14,454.07	4,619.60					19,073.67
480000 Miscellaneous	10,619.83				86,459.00					97,078.83
490000 Other					11,664.91					11,664.91
TOTAL REVENUES	95,724.95	-	1,262.25	14,454.07	102,743.51	-	2,429,758.33	-	-	2,643,943.11
EXPENDITURES:										
510000 Personal Services	38,706.09		35,121.64	13,499.90	7,807.10					95,134.73
520000 Operating Expenses	17,273.78	796.25	5,757.93	9,459.75	3,335.70	1,808.66		1,578.98		40,011.05
570000 Travel Expenses	99.91			306.50						406.41
580000 Capital Outlay			7,495.20	625.00	554.16					8,674.36
590000 Government Aid							1,672,128.00			1,672,128.00
TOTAL EXPENDITURES	56,079.78	796.25	48,374.77	23,891.15	11,696.96	1,808.66	1,672,128.00	1,578.98	-	1,816,354.55
Excess (Deficiency) of Revenues Over Expenditures	39,645.17	(796.25)	(47,112.52)	(9,437.08)	91,046.55	(1,808.66)	757,630.33	(1,578.98)	-	827,588.56
OTHER FINANCING SOURCES (USES):										
Transfers In			47,112.52	9,437.08		1,808.66		1,578.98	-	
Transfers Out	(59,937.24)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(20,292.07)	(796.25)	-	-	91,046.55	-	757,630.33	-	-	827,588.56
Fund Balance December 31, 2020	1,361,758.03	(2,008.70)	-	-	1,215,744.34	24,706.82	2,560,275.56	(44,750.87)	1,348,083.63	6,463,808.81
Fund Balance January 31, 2021	1,341,465.96	(2,804.95)	-	-	1,306,790.89	24,706.82	3,317,905.89	(44,750.87)	1,348,083.63	7,291,397.37

**COMBINED SUMMARY OF REVENUES & EXPENDITURES
FISCAL YEAR TO DATE (July 1, 2020 through January 31, 2021)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	631,888.17						20,812,667.65			631,888.17
460000	Intergovernmental			(6,853.64)	450.00	226,546.01					21,032,810.02
470000	Sales & Charges			11,050.00	105,608.31	23,262.70	28,367.93				168,288.94
480000	Miscellaneous	65,954.24				406,110.60			2,979.82	168.00	475,212.66
490000	Other					37,369.97	933.80				38,303.77
TOTAL REVENUES		697,842.41	-	4,196.36	106,058.31	693,289.28	29,301.73	20,812,667.65	2,979.82	168.00	22,346,503.56
EXPENDITURES:											
510000	Personal Services	303,564.94		273,903.59	107,461.78	66,041.06	12.36		12.36		750,996.09
520000	Operating Expenses	108,311.48	849.12	81,884.17	36,788.92	556,280.41	6,151.61		48,365.08	1,051.87	839,682.66
570000	Travel Expenses	1,192.06	1,918.81	3,711.57	14,964.63	4.60	239.60		932.23		22,963.50
580000	Capital Outlay			7,495.20	(5,083.47)	638.00					3,049.73
590000	Government Aid	18,348.63						20,982,670.83			21,001,019.46
TOTAL EXPENDITURES		431,417.11	2,767.93	366,994.53	154,131.86	622,964.07	6,403.57	20,982,670.83	49,309.67	1,051.87	22,617,711.44
Excess (Deficiency) of Revenues Over Expenditures		266,425.30	(2,767.93)	(362,798.17)	(48,073.55)	70,325.21	22,898.16	(170,003.18)	(46,329.85)	(883.87)	(271,207.88)
OTHER FINANCING SOURCES (USES):											
	Transfers In			362,798.17	48,073.55		(22,898.16)		46,329.85	-	
	Transfers Out	(434,303.41)									
	Grant \$ transfer	(250,000.00)						250,000.00			
Excess (Deficiency) of Revenues Over Expenditures		(417,878.11)	(2,767.93)	-	-	70,325.21	-	79,996.82	-	(883.87)	(271,207.88)
Fund Balance June 30, 2020		1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance January 31, 2021		1,071,421.91	(2,804.95)	-	-	1,306,790.89	-	3,567,905.89	-	1,348,083.63	7,291,397.37

RECEIPTS

Motor Fuel Tax Rates

Effective Date	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	-3.5
Wholesale Tax ¢	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	-1.0
Total Tax ¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	-4.5¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Dept. of Transportation and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increases 1 ½¢ each year thru 2019. ½¢ each to Dept. of Transportation , cities and counties.

Variable Tax: The Dept. of Transportation receives the revenue generated from the variable excise tax which is adjusted semi-annually and is computed by applying the rate to the average price of motor fuel purchased by state government in April and October. The variable rate for FY-2020 is 1.4% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the State Energy Office on April 1 and October 1. It is distributed 66% to the Dept. of Transportation and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the Dept. of Transportation

**FY-2021 RECEIPTS
AS OF JANUARY 31, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED December 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$97,055	\$ 6,858	\$ 7,838	\$ 980	14.3%	\$ 58,535	\$ 59,689	\$ 1,154	2.0%
Incremental Fixed	25,924	1,829	2,099	269	14.7%	15,652	15,977	325	2.1%
Variable	72,497	6,767	7,765	998	14.7%	52,466	53,668	1,202	2.3%
Wholesale	<u>78,429</u>	<u>5,734</u>	<u>6,579</u>	<u>846</u>	14.8%	<u>49,616</u>	<u>50,634</u>	<u>1,018</u>	2.1%
Subtotal	273,905	21,188	24,281	3,093	14.6%	176,268	179,967	3,699	2.1%
Motor Vehicle Registrations	32,909	2,532	2,772	240	9.5%	17,367	17,546	179	1.0%
Prorate Registrations	<u>12,647</u>	<u>2,534</u>	<u>2,544</u>	<u>10</u>	0.4%	<u>6,930</u>	<u>6,835</u>	<u>(95)</u>	<u>(1.4%)</u>
Subtotal	45,556	5,066	5,316	250	4.9%	24,297	24,381	84	0.3%
Sales Tax on Motor Vehicles	136,569	9,017	10,609	1,592	17.7%	88,486	91,710	3,224	3.6%
Interest	2,320	197	248	51	26.0%	1,378	1,450	72	5.3%
Sale of Supplies and Materials	1,240	72	78	6	8.1%	681	693	12	1.7%
Sale of Fixed Assets	1,267	21	70	49	235.1%	845	1,029	184	21.8%
Excess Limit	2,930	197	202	5	2.4%	1,659	1,656	<u>(3)</u>	<u>(0.2%)</u>
Overload Fines	427	34	22	<u>(12)</u>	<u>(34.3%)</u>	239	212	<u>(27)</u>	<u>(11.5%)</u>
Other Fees	<u>1,594</u>	<u>95</u>	<u>159</u>	<u>64</u>	67.1%	<u>1,124</u>	<u>1,244</u>	<u>120</u>	10.6%
SUBTOTAL HIGHWAY CASH FUND	\$ 465,808 (A)	\$ 35,887	\$ 40,985	\$ 5,098	14.2%	\$ 294,977	\$ 302,341	\$ 7,364 (B)	2.5%
Incremental Tax Transfer to TIB Fund	(25,712)	(2,024)	(2,079)	(55)	2.7%	(\$15,869)	(15,924)	(55)	0.3%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 440,096	\$ 33,863	\$ 38,906	\$ 5,043	14.9%	\$ 279,108	\$ 286,417	\$ 7,309	2.6%
State Hwy Capital Impr Fund	76,511	5,917	5,890	<u>(27)</u>	<u>(0.5%)</u>	46,095	46,779	684	1.5%
Transportation Infrastructure Bank Fund (TIB)	27,087	2,161	2,146	<u>(15)</u>	<u>(0.7%)</u>	16,559	16,473	<u>(86)</u>	<u>(0.5%)</u>
Grade Crossing Protection Fund	2,942	51	36	<u>(15)</u>	<u>(29.9%)</u>	1,265	825	<u>(440)</u>	<u>(34.8%)</u>
Recreation Road Fund	4,388	297	303	6	2.1%	2,283	2,312	29	1.3%
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.0%	<u>448</u>	<u>448</u>	<u>0</u>	0.0%
TOTAL STATE RECEIPTS	\$ 551,792	\$ 42,353	\$ 47,345	\$ 4,992	11.8%	\$ 345,758	\$ 353,255	\$ 7,497	2.2%
Federal Receipts									
FHWA	358,373	10,387	17,897	7,510	72.3%	260,029	272,756	12,727	4.9%
Transit	17,500	603	2,045	1,442	239.1%	12,320	14,712	2,392	19.4%
Highway Safety	<u>4,964</u>	<u>329</u>	<u>302</u>	<u>(27)</u>	<u>(8.3%)</u>	<u>2,533</u>	<u>2,334</u>	<u>(199)</u>	<u>(7.9%)</u>
Subtotal-Federal Receipts	380,837	11,319	20,243	8,924	78.8%	274,882	289,803	14,921	5.4%
Local Receipts	34,991	708	3,520	2,812	397.1%	31,453	35,018	3,565	11.4%
Other Entities	<u>6,655</u>	<u>596</u>	<u>1,263</u>	<u>667</u>	111.9%	<u>4,934</u>	<u>4,669</u>	<u>(265)</u>	<u>(5.4%)</u>
TOTAL DEPARTMENT RECEIPTS	\$ 974,275	\$ 54,976	\$ 72,372	\$ 17,395	31.6%	\$ 657,027	\$ 682,744	\$ 25,717	3.9%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of December 14, 2020	\$ 465,808
(B) Receipts Over/(Under) Projection To Date	7,364
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 473,172
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	14,172
% Variance From Appropriation	3.1%

** Numbers may not add due to rounding.
** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
January 2021

FISCAL YEAR 2021
 Period Expired 58.33%
 Pay Period Ending 1/17/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	107,938,356.00	7,841,019.18	61,313,310.01	46,625,045.99	56.80%	0.00
Temporary Salaries	1,905,021.00	79,019.15	1,404,748.97	500,272.03	73.74%	0.00
Overtime	6,071,840.00	500,313.88	3,551,998.50	2,519,841.50	58.50%	0.00
Employee Benefits	41,462,487.00	3,098,398.22	22,732,260.57	18,730,226.43	54.83%	0.00
SUBTOTAL: Personal Services	\$157,377,704.00	\$11,518,750.43	\$89,002,318.05	\$68,375,385.95	56.55%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	343,271.27	1,904,517.16	1,749,142.84	52.13%	0.00
Rentals	877,359.00	34,924.86	587,855.11	289,503.89	67.00%	3,000.00
Repairs & Maintenance	11,598,760.00	686,019.99	5,695,759.84	5,903,000.16	49.11%	1,520,225.64
Maintenance Contracts	14,089,958.00	488,813.56	6,277,092.76	7,812,865.24	44.55%	29,718,617.07
Engineering Contracts	35,285,520.00	1,975,859.95	16,305,431.29	18,980,088.71	46.21%	40,101,241.69
Contractual Services	43,240,438.00	678,431.43	7,311,182.51	35,929,255.49	16.91%	11,510,181.28
Technology Expenses	21,745,004.00	1,042,907.35	13,378,077.98	8,366,926.02	61.52%	29,930,908.80
Other Operating Expenses	4,888,173.00	669,790.90	3,532,249.78	1,355,923.22	72.26%	231,189.50
SUBTOTAL: Operating Expenses	\$135,378,872.00	\$5,920,019.31	\$54,992,166.43	\$80,386,705.57	40.62%	\$113,015,363.98
Supplies and Materials						
General Supplies & Materials	1,698,165.00	122,028.97	1,206,772.64	491,392.36	71.06%	717,415.74
Maint & Const Materials	48,031,631.00	3,049,724.36	37,030,843.98	11,000,787.02	77.10%	18,208.83
Automotive Supplies & Materials	18,230,100.00	1,152,541.88	7,923,730.07	10,306,369.93	43.47%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$4,324,295.21	\$46,161,346.69	\$21,798,549.31	67.92%	\$735,624.57
Travel						
In State Travel	825,995.00	12,992.55	211,593.96	614,401.04	25.62%	0.00
Out of State Travel	230,758.00	777.18	3,754.86	227,003.14	1.63%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$13,769.73	\$215,348.82	\$841,404.18	20.38%	\$0.00
Capital Outlay						
Land	16,000,000.00	754,441.83	4,953,718.83	11,046,281.17	30.96%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	16,825,301.97	329,950,496.37	123,293,235.63	72.80%	878,790,546.17
Buildings	11,668,000.00	457,752.70	2,054,536.36	9,613,463.64	17.61%	6,163,670.20
Heavy Equipment and Vehicles	14,691,430.00	1,060,540.00	6,301,441.10	8,389,988.90	42.89%	10,050,452.09
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	4,600.00	99,720.00	1,057,840.00	8.61%	475,815.00
SUBTOTAL: Capital Outlay	\$496,860,722.00	\$19,102,636.50	\$343,359,912.66	\$153,500,809.34	69.11%	\$895,480,483.46
Government Aid & Distr						
Public Transit Aid	42,312,705.00	2,684,696.70	14,785,107.21	27,527,597.79	34.94%	20,214,494.83
Highway Safety Office	5,200,000.00	267,377.14	2,399,545.13	2,800,454.87	46.15%	5,198,707.00
Other Government Aid	45,251,000.00	4,543,020.11	75,256,713.27	(30,005,713.27)	166.31%	149,226,656.05
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$7,495,093.95	\$92,441,365.61	\$322,339.39	99.65%	\$174,639,857.88
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$48,374,565.13	\$626,172,458.26	\$325,225,193.74	65.82%	\$1,183,871,329.89

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
January 2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	1,173,708.10	13,111,775.51	5,916,471.49	68.91%	561,032.25
Boards & Commissions	50,000.00	0.00	16,723.85	33,276.15	33.45%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$1,173,708.10	\$13,128,499.36	\$5,949,747.64	68.81%	\$561,032.25
Service and Support						
Charges to Others	1,100,000.00	56,736.20	540,626.49	559,373.51	49.15%	40,350.59
Deficiency Claims	17,184.00	60,599.00	60,599.00	(43,415.00)	352.65%	0.00
Supply Base/Inventories	1,000,000.00	(17,164.74)	714,951.45	285,048.55	71.50%	234,867.80
Building Operations	6,000,000.00	800,754.68	7,488,564.22	(1,488,564.22)	124.81%	2,247,597.58
Business Technology Services	14,657,318.00	1,090,335.17	11,421,569.56	3,235,748.44	77.92%	17,930,570.51
Support Centers	5,208,762.00	35,538.24	290,553.61	4,918,208.39	5.58%	0.00
Payroll Clearing	625,675.00	2,329,878.73	3,360,498.23	(2,734,823.23)	537.10%	26,341.13
SUBTOTAL: Service and Support	\$28,608,939.00	\$4,356,677.28	\$23,877,362.56	\$4,731,576.44	83.46%	\$20,479,727.61
Capital Facilities						
Capital Facilities	10,000,000.00	465,033.70	2,720,188.64	7,279,811.36	27.20%	7,155,570.59
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$465,033.70	\$2,720,188.64	\$7,279,811.36	27.20%	\$7,155,570.59
Highway Maintenance						
System Preservation	42,000,000.00	1,659,505.65	36,870,208.54	5,129,791.46	87.79%	1,254,198.07
Operations	42,000,000.00	1,584,878.71	24,266,163.00	17,733,837.00	57.78%	23,020,014.41
Snow and Ice Control	40,000,000.00	5,771,352.83	18,259,641.70	21,740,358.30	45.65%	424,925.28
Unusual & Disaster Oper	1,500,000.00	254,232.34	1,998,113.11	(498,113.11)	133.21%	6,237,400.17
Equipment Operations	15,000,000.00	465,608.20	5,107,039.97	9,892,960.03	34.05%	10,119,695.16
Indirect Charges	20,943,553.00	1,548,826.48	11,539,734.00	9,403,819.00	55.10%	478,815.00
SUBTOTAL: Highway Maintenance	\$161,443,553.00	\$11,284,404.21	\$98,040,900.32	\$63,402,652.68	60.73%	\$41,535,048.09
Highway Construction						
Preliminary Engineering	50,100,000.00	2,697,238.62	24,821,408.14	25,278,591.86	49.54%	28,071,147.16
Right-Of-Way	15,000,000.00	897,017.68	5,795,904.53	9,204,095.47	38.64%	43,074.66
Construction	467,777,351.00	16,818,998.97	330,595,035.02	137,182,315.98	70.67%	878,783,518.10
Construction Engineering	25,000,000.00	1,317,244.49	18,098,237.42	6,901,762.58	72.39%	4,640,627.91
SUBTOTAL: Highway Construction	\$557,877,351.00	\$21,730,499.76	\$379,310,585.11	\$178,566,765.89	67.99%	\$911,538,367.83
Construction Related Expense						
Overhead	19,002,441.00	1,070,426.90	8,549,661.05	10,452,779.95	44.99%	14,502,631.26
Planning & Research	12,056,000.00	796,507.02	7,987,318.44	4,068,681.56	66.25%	18,702,215.79
Local Systems	95,792,666.00	4,483,319.59	74,795,370.43	20,997,295.57	78.08%	143,983,534.64
Highway Safety Office	5,213,250.00	300,081.21	2,683,221.65	2,530,028.35	51.47%	5,198,707.00
Public Transportation Asst	42,325,205.00	2,713,907.36	15,079,350.70	27,245,854.30	35.63%	20,214,494.83
SUBTOTAL: Construction Related Expense	\$174,389,562.00	\$9,364,242.08	\$109,094,922.27	\$65,294,639.73	62.56%	\$202,601,583.52
AGENCY TOTAL	\$951,397,652.00	\$48,374,565.13	\$626,172,458.26	\$325,225,193.74	65.82%	\$1,183,871,329.89

**PROGRAM STATUS REPORT
BUSINESS MONTH - JANUARY 2021**

FISCAL YEAR 2021
Period Expired 58.3%
Pay Period Ending 01/17/2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	618,521.52	2,903,714.32	0.00	2,245,371.64	1,552,548.18	520,863.52	7,841,019.18
Temporary Salaries	32.59	6,795.83	0.00	48,109.72	12,738.01	11,343.00	79,019.15
Overtime	1,270.94	(65,344.99)	0.00	539,072.63	20,180.76	5,134.54	500,313.88
Employee Benefits	0.00	3,098,398.22	0.00	0.00	0.00	0.00	3,098,398.22
SUBTOTAL: Personal Services	\$619,825.05	\$5,943,563.38	\$0.00	\$2,832,553.99	\$1,585,466.95	\$537,341.06	\$11,518,750.43
Operating Expenses							
Utilities	0.00	229,335.06	0.00	113,602.60	295.05	38.56	343,271.27
Rentals	491.92	1,344.38	0.00	33,088.56	0.00	0.00	34,924.86
Repairs & Maintenance	10,530.23	162,757.78	0.00	510,891.98	1,840.00	0.00	686,019.99
Maintenance Contracts	0.00	0.00	0.00	488,813.56	0.00	0.00	488,813.56
Engineering Contracts	0.00	(3,420.25)	5,662.00	17,405.09	1,487,783.26	468,429.85	1,975,859.95
Contractual Services	54,742.96	135,238.90	0.00	162,497.26	1,398.00	324,554.31	678,431.43
Technology Expenses	0.70	775,616.67	0.00	189,251.28	0.00	78,038.70	1,042,907.35
Other Operating Expenses	54,442.49	578,344.81	0.00	13.36	694.30	36,295.94	669,790.90
SUBTOTAL: Operating Expenses	\$120,208.30	\$1,879,217.35	\$5,662.00	\$1,515,563.69	\$1,492,010.61	\$907,357.36	\$5,920,019.31
Supplies and Materials							
General Supplies & Materials	59,714.49	25,739.34	1,619.00	31,883.40	0.00	3,072.74	122,028.97
Maint & Const Materials	1,183.42	(2,085.80)	0.00	3,019,596.61	13,426.85	17,603.28	3,049,724.36
Automotive Supplies & Materials	0.00	26,077.62	0.00	1,126,464.26	0.00	0.00	1,152,541.88
SUBTOTAL: Supplies and Materials	\$60,897.91	\$49,731.16	\$1,619.00	\$4,177,944.27	\$13,426.85	\$20,676.02	\$4,324,295.21
Travel							
In State Travel	266.63	2,148.25	0.00	1,591.35	6,422.68	2,563.64	12,992.55
Out of State Travel	0.00	708.18	0.00	0.00	0.00	69.00	777.18
SUBTOTAL: Travel	\$266.63	\$2,856.43	\$0.00	\$1,591.35	\$6,422.68	\$2,632.64	\$13,769.73
Capital Outlay							
Land	0.00	48.83	0.00	0.00	754,393.00	0.00	754,441.83
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	16,825,301.97	0.00	16,825,301.97
Buildings	0.00	0.00	457,752.70	0.00	0.00	0.00	457,752.70
Heavy Equipment and Vehicles	0.00	0.00	0.00	1,060,540.00	0.00	0.00	1,060,540.00
Specialty Equipment	0.00	0.00	0.00	0.00	0.00	4,600.00	4,600.00
SUBTOTAL: Capital Outlay	\$0.00	\$48.83	\$457,752.70	\$1,060,540.00	\$17,579,694.97	\$4,600.00	\$19,102,636.50
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	2,684,696.70	2,684,696.70
Highway Safety Office	0.00	(423.16)	0.00	0.00	0.00	267,800.30	267,377.14
Other Government Aid	0.00	0.00	0.00	0.00	(45,716.00)	4,588,736.11	4,543,020.11
SUBTOTAL: Government Aid & Distr	\$0.00	(\$423.16)	\$0.00	\$0.00	(\$45,716.00)	\$7,541,233.11	\$7,495,093.95
Internal Redistributions							
Redistribution	372,510.21	(3,518,316.71)	0.00	1,696,210.91	1,099,193.70	350,401.89	0.00
SUBTOTAL: Internal Redistributions	\$372,510.21	(\$3,518,316.71)	\$0.00	\$1,696,210.91	\$1,099,193.70	\$350,401.89	\$0.00
GRAND TOTAL:	\$1,173,708.10	\$4,356,677.28	\$465,033.70	\$11,284,404.21	\$21,730,499.76	\$9,364,242.08	\$48,374,565.13

PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - JANUARY 2021

FISCAL YEAR 2021
 Period Expired 58.3%
 Pay Period Ending 01/17/2021

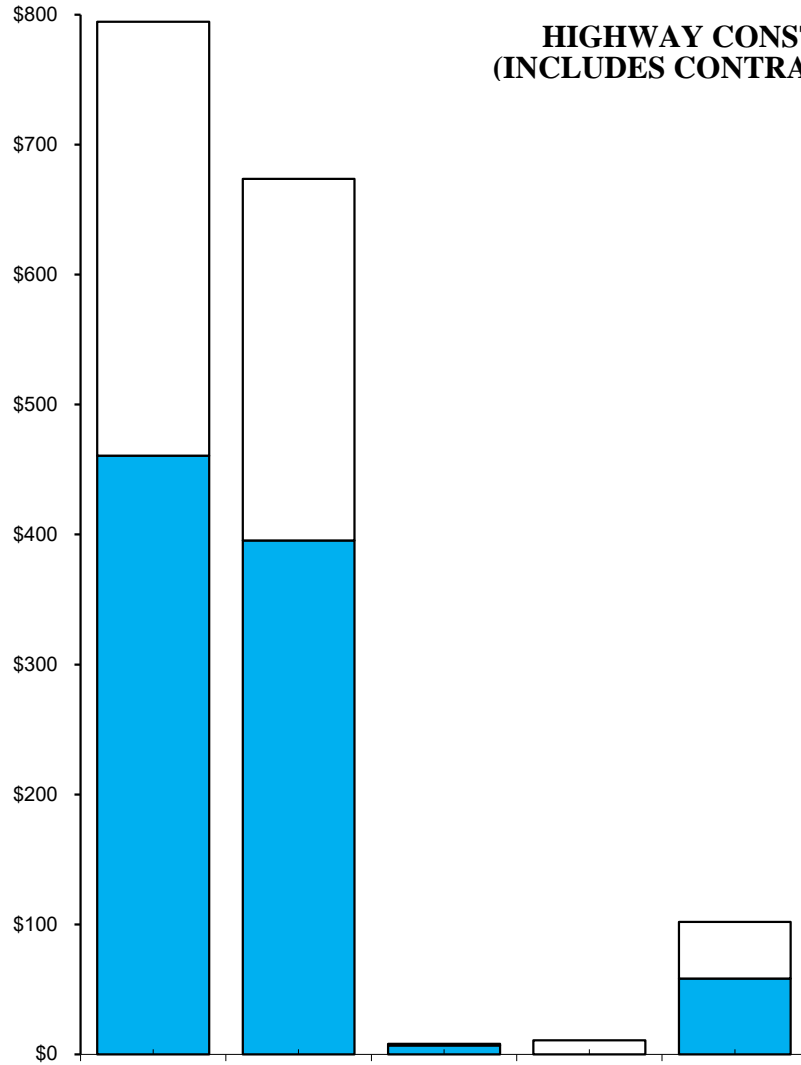
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	5,868,076.82	15,750,511.24	0.00	18,250,488.35	16,424,535.26	5,019,698.34	61,313,310.01
Temporary Salaries	2,655.13	142,454.17	0.00	945,374.19	182,252.58	132,012.90	1,404,748.97
Overtime	11,651.86	162,200.36	0.00	1,935,815.79	1,382,888.35	59,442.14	3,551,998.50
Employee Benefits	0.00	22,732,260.57	0.00	0.00	0.00	0.00	22,732,260.57
SUBTOTAL: Personal Services	\$5,882,383.81	\$38,787,426.34	\$0.00	\$21,131,678.33	\$17,989,676.19	\$5,211,153.38	\$89,002,318.05
Operating Expenses							
Utilities	0.00	1,173,599.59	0.00	728,306.76	2,304.21	306.60	1,904,517.16
Rentals	5,525.97	25,290.10	0.00	555,423.69	1,250.00	365.35	587,855.11
Repairs & Maintenance	10,530.23	1,630,682.60	0.00	4,011,908.74	13,805.83	28,832.44	5,695,759.84
Maintenance Contracts	0.00	93,899.19	0.00	6,181,707.17	1,486.40	0.00	6,277,092.76
Engineering Contracts	0.00	302,321.25	658,691.06	121,633.09	12,997,577.72	2,225,208.17	16,305,431.29
Contractual Services	495,742.89	1,217,932.63	0.00	1,594,601.99	21,271.44	3,981,633.56	7,311,182.51
Technology Expenses	2,487,284.64	8,239,706.46	0.00	1,326,186.96	0.00	1,324,899.92	13,378,077.98
Other Operating Expenses	252,066.07	1,673,136.11	3,448.81	1,303,752.83	32,983.83	266,862.13	3,532,249.78
SUBTOTAL: Operating Expenses	\$3,251,149.80	\$14,356,567.93	\$662,139.87	\$15,823,521.23	\$13,070,679.43	\$7,828,108.17	\$54,992,166.43
Supplies and Materials							
General Supplies & Materials	304,747.12	601,173.33	3,512.41	271,346.84	92.67	25,900.27	1,206,772.64
Maint & Const Materials	26,270.25	508,329.16	0.00	36,118,801.93	226,127.86	151,314.78	37,030,843.98
Automotive Supplies & Materials	0.00	574,611.93	0.00	7,349,068.70	0.00	49.44	7,923,730.07
SUBTOTAL: Supplies and Materials	\$331,017.37	\$1,684,114.42	\$3,512.41	\$43,739,217.47	\$226,220.53	\$177,264.49	\$46,161,346.69
Travel							
In State Travel	30,139.15	21,505.54	0.00	10,843.90	73,867.20	75,238.17	211,593.96
Out of State Travel	0.00	3,106.31	0.00	0.00	27.12	621.43	3,754.86
SUBTOTAL: Travel	\$30,139.15	\$24,611.85	\$0.00	\$10,843.90	\$73,894.32	\$75,859.60	\$215,348.82
Capital Outlay							
Land	0.00	424,415.83	0.00	0.00	4,525,728.00	3,575.00	4,953,718.83
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	329,914,196.64	0.00	329,950,496.37
Buildings	0.00	0.00	2,054,536.36	0.00	0.00	0.00	2,054,536.36
Heavy Equipment and Vehicles	0.00	0.00	0.00	6,301,441.10	0.00	0.00	6,301,441.10
IT Hardware / Software	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Specialty Equipment	0.00	0.00	0.00	9,100.00	72,900.00	17,720.00	99,720.00
SUBTOTAL: Capital Outlay	\$0.00	\$424,415.83	\$2,054,536.36	\$6,346,840.83	\$334,512,824.64	\$21,295.00	\$343,359,912.66
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	14,785,107.21	14,785,107.21
Highway Safety Office	0.00	(1,414.01)	0.00	0.00	0.00	2,400,959.14	2,399,545.13
Other Government Aid	0.00	0.00	0.00	0.00	176,748.40	75,079,964.87	75,256,713.27
SUBTOTAL: Government Aid & Distr	\$0.00	(\$1,414.01)	\$0.00	\$0.00	\$176,748.40	\$92,266,031.22	\$92,441,365.61
Internal Redistributions							
Redistribution	3,633,809.23	(31,398,359.80)	0.00	10,988,798.56	13,260,541.60	3,515,210.41	0.00
SUBTOTAL: Internal Redistributions	\$3,633,809.23	(\$31,398,359.80)	\$0.00	\$10,988,798.56	\$13,260,541.60	\$3,515,210.41	\$0.00
GRAND TOTAL:	\$13,128,499.36	\$23,877,362.56	\$2,720,188.64	\$98,040,900.32	\$379,310,585.11	\$109,094,922.27	\$626,172,458.26

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
January 2021

FISCAL YEAR 2021
 Period Expired 58.33%
 Pay Period Ending 1/17/2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	40,085.33	410,853.95	315,832.05	56.54%	0.00
140 - LEGAL	2,947,291.00	96,559.53	686,149.84	2,261,141.16	23.28%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	368,738.31	1,931,026.41	1,472,912.59	56.73%	717,645.65
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,673,354.00	170,393.60	1,228,377.55	1,444,976.45	45.95%	62,926.93
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,751,270.00	\$675,776.77	\$4,256,407.75	\$5,494,862.25	43.65%	\$952,209.31
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	171,472.36	1,291,263.47	1,001,359.53	56.32%	0.00
320 - BRIDGE DIVISION	7,541,203.00	451,582.90	4,191,362.67	3,349,840.33	55.58%	1,356,978.11
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	408,895.56	2,601,437.96	1,927,666.04	57.44%	522,422.79
350 - RIGHT OF WAY DIVISION	5,044,385.00	410,285.58	2,880,343.24	2,164,041.76	57.10%	52,176.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	859,865.13	5,614,113.70	9,650,363.30	36.78%	10,520,601.17
370 - ROADWAY DESIGN DIVISION	26,301,170.00	1,430,648.31	12,658,563.39	13,642,606.61	48.13%	15,653,835.33
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	119,877.44	769,799.57	689,288.43	52.76%	80,309.08
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	189,258.01	1,622,946.12	1,539,801.88	51.31%	1,657,729.13
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,798.00	\$4,041,885.29	\$31,629,830.12	\$33,964,967.88	48.22%	\$29,844,051.91
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	146,743.39	1,118,617.30	1,587,818.70	41.33%	337,351.13
260 - OPERATIONS DIVISION	21,611,341.00	1,243,121.73	13,321,012.58	8,290,328.42	61.64%	6,172,239.01
280 - BUSINESS TECH SUPPORT DIVISION	19,976,472.00	1,167,036.65	12,102,249.43	7,874,222.57	60.58%	30,648,324.54
380 - CONSTRUCTION DIVISION	2,920,458.00	217,634.83	1,734,207.06	1,186,250.94	59.38%	1,512.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	631,233.17	6,848,796.28	6,185,629.72	52.54%	11,807,174.72
610 - DISTRICT 1	34,024,032.00	2,370,992.22	20,262,171.46	13,761,860.54	59.55%	6,788,160.22
620 - DISTRICT 2	23,354,011.00	1,994,957.11	13,426,881.65	9,927,129.35	57.49%	7,747,325.89
630 - DISTRICT 3	31,339,572.00	2,653,446.77	22,055,049.65	9,284,522.35	70.37%	3,125,875.53
640 - DISTRICT 4	33,107,740.00	2,268,681.03	19,404,937.32	13,702,802.68	58.61%	5,066,683.46
650 - DISTRICT 5	23,367,222.00	1,666,041.45	13,063,134.15	10,304,087.85	55.90%	5,612,503.39
660 - DISTRICT 6	25,846,634.00	1,962,458.99	16,342,237.10	9,504,396.90	63.23%	5,644,616.48
670 - DISTRICT 7	17,087,183.00	867,157.90	11,187,608.23	5,899,574.77	65.47%	4,271,825.69
680 - DISTRICT 8	16,356,389.00	1,169,716.26	10,780,144.88	5,576,244.12	65.91%	2,550,914.87
SUBTOTAL: OFFICE OF OPERATIONS	\$264,731,916.00	\$18,359,221.50	\$161,647,047.09	\$103,084,868.91	61.06%	\$89,774,507.43
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	(105,263.72)	(11,729.54)	11,729.54	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	(826,385.14)	(3,873,475.95)	4,955,537.95	(357.97)%	81,818.09
904 - TRANSPORTATION CAPITAL	610,237,606.00	26,229,330.43	432,524,378.79	177,713,227.21	70.88%	1,063,218,743.15
SUBTOTAL: BUDGETARY CONTROL	\$611,319,668.00	\$25,297,681.57	\$428,639,173.30	\$182,680,494.70	70.12%	\$1,063,300,561.24
AGENCY TOTAL	\$951,397,652.00	\$48,374,565.13	\$626,172,458.26	\$325,225,193.74	65.82%	\$1,183,871,329.89

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	103.08			11.16	114.24
9/3 & 24/2020	53.32			1.04	54.36
10/8 & 15 & 29/2020	55.25	5.72		41.60	102.57
11/19/2020	125.39	0.33		3.46	129.18
12/10 & 17/2020	2.39			1.19	3.58
1/14 & 28/2021	55.82				55.82
2/25/2021					
3/18/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	395.34	6.77	0.00	58.45	460.56

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	5.51	1.76	15.44	51.47		10.81	114.24
9/3 & 24/2020	1.04	2.53		16.77	4.81	10.80	15.36	3.05	54.36
10/8 & 15 & 29/2020	12.74	39.65	7.55	22.74	7.37	6.78	5.74		102.57
11/19/2020	7.21	54.66	24.95		32.00	10.36			129.18
12/10 & 17/2020	0.50	1.89						1.19	3.58
1/14 & 28/2021		46.18	1.83	0.75	2.93	4.13			55.82
2/25/2021									
3/18/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	42.99	152.75	39.84	42.02	63.27	83.54	21.10	15.05	460.56

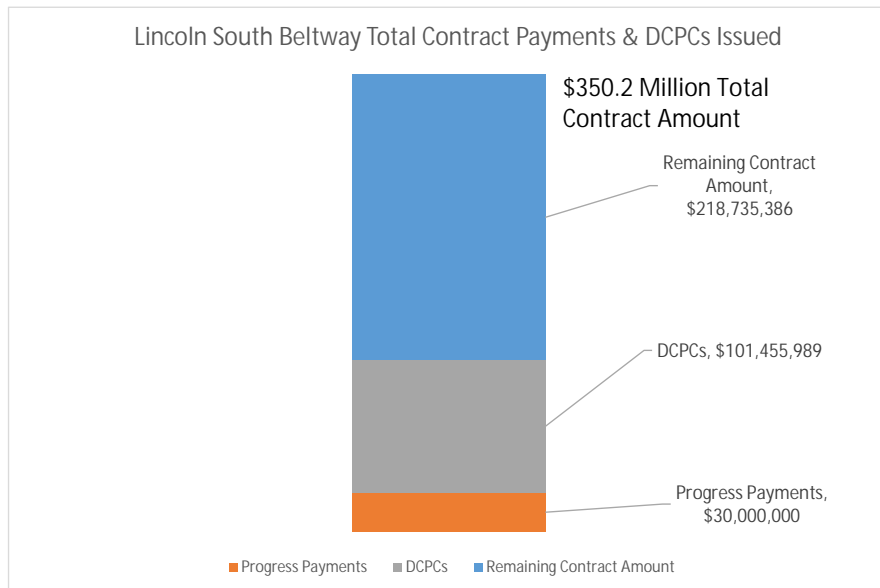
	State System			Local System	
	Total Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
% Let to Date	58.0%	58.7%	84.5%	0.0%	57.3%
Actual \$ Let	460.56	395.34	6.77	0.00	58.45
Projected \$ Remaining	333.94	278.40	1.24	10.78	43.52
Total	\$794.50	\$673.75	\$8.01	\$10.78	\$101.97

- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of January 31, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued Through January 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT's obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC's future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through January 2021)

Remaining Contract Amount	\$218,735,386
Progress Payments	\$30,000,000
DCPCs	\$101,455,989

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through January 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$26,637,802
Total DCPCs to date	<u>\$101,455,989</u>

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	46,365	277,251
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000		
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 51,127	329,111	\$ 46,365	277,251

Footnotes:
FY21 Apportionment per Public Law 116-159. Available Obligation Limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

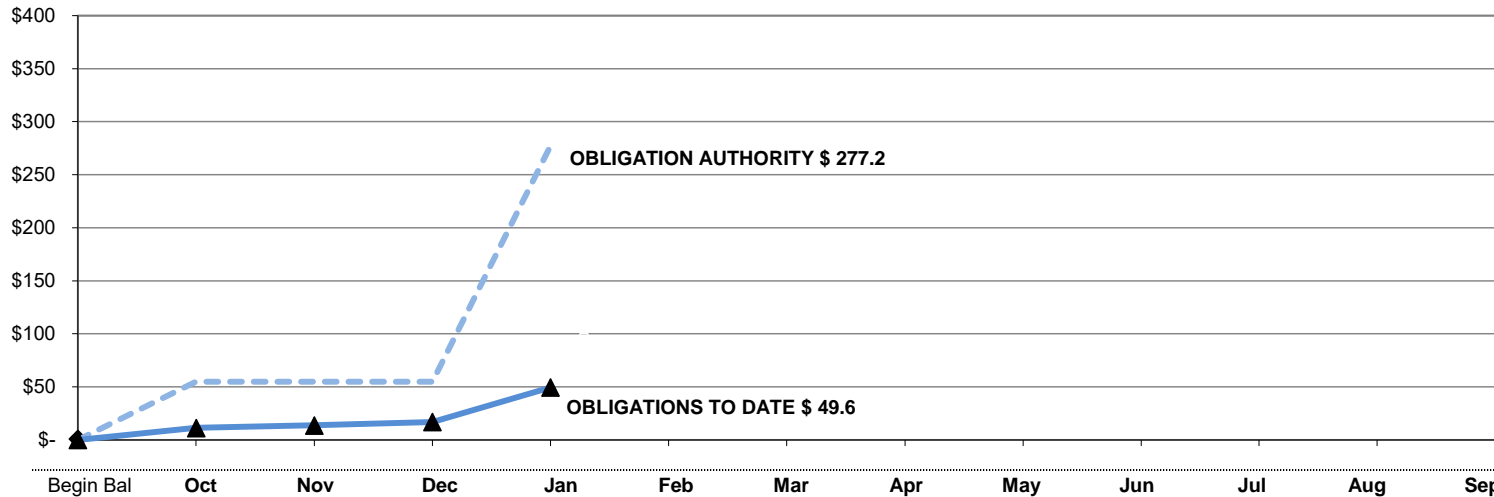
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
JANUARY 31, 2021

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	-	187,913,464	28,423,521	159,489,943	147,204,684	95,905,362
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	70,977
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	2,691,435	1,123,213	741,723	5,095,844
STP - Flexible - Any Area	1,350,799	33,159,291	-	34,510,090	4,868,872	29,641,218	80,645,826	87,171,089
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	3,325,785	13,769,283	23,322,866	57,639,451
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	3,370,410	17,279,337	73,093	5,103,160
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	981,500	42,685,104	8,000	2,538,877
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	440,798	13,556,207	-	9,482,622
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	729,126	10,253,030	-	7,080,462
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	3,155,157	33,538,177	3,490,919	11,873,285
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	1,935,261	1,441,444	2,355,797	2,085,787
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	241,932	9,932,865	-	2,651,051
Highway Planning	1,539,880	4,661,443	(13)	6,201,309	-	6,201,309	2,089	8,410,061
Research	293,360	1,553,815	180,869	2,028,044	14,907	2,013,136	141,795	5,671,726
Metropolitan Planning	293,435	1,776,501	-	2,069,936	-	2,069,936	-	3,144,957
National Hwy Freight Program	-	10,663,255	-	10,663,255	-	10,663,255	-	10,730,335
TAP - Flex	127,447	2,900,268	-	3,027,715	(5,123)	3,032,838	-	3,517,413
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	(170,729)	2,566,647	-	1,787,158
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	-	589,057	-	782,559
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	72,443	1,737,093	-	1,470,122
Recreational Trails	1,444,778	1,205,213	-	2,649,991	(16,950)	2,666,941	-	3,811,455
Enhancement	218,634	-	-	218,634	1,108	217,526	-	281,567
Safe Routes to School Prog	163,140	-	-	163,140	(13,211)	176,351	-	128,654
Redistribution - Certain Auth.	1,498,117	2,127,259	-	3,625,376	(401,317)	4,026,693	-	2,642,495
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	-	-	-	1,910,776
Other	-	-	-	-	-	-	-	1,107,345
Total Formula Funds	\$ 104,540,835	\$ 313,740,147	\$ 34,546	\$ 418,315,527	\$ 49,644,925	\$ 368,670,602	\$ 257,986,792	\$ 332,094,592
Allocated/Discretionary Funds	14,859	-	2,837,608	2,852,467	-	2,852,467	-	18,921,289
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 313,740,147	\$ 2,872,154	\$ 421,167,994	\$ 49,644,925	\$ 371,523,069	\$ 257,986,792	\$ 351,015,880
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	71,632,452	210,005,972	1,330,650	208,675,322	-	68,637,548
GRAND TOTAL	\$ 238,405,628	\$ 318,263,733	\$ 74,504,605	\$ 631,173,966	\$ 50,975,575	\$ 580,198,391	\$ 257,986,792	\$ 419,653,429

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8	54.9	277.2								
OA Used	0.0	11.3	13.7	16.9	49.6								

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2020		As of January 31, 2021		
Formula Obligation Limitation	\$	284.1	\$	277.2	
August Redistribution		45.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	-	33.3%
Subtotal	\$	329.8	\$	277.2	
Other Allocation Obligation Limitation		18.5		-	
Annual Obligation Limitation	\$	348.3	\$	277.2	
Formula Obligations to Date		0.0		(49.6)	Obligated
Allocated Obligations to Date		-		-	17.9%
Subtotal	\$	-	\$	(49.6)	
Obligation Authority Balance	\$	348.30	\$	227.6	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Congestion MGMT Tech Deploy		0.0		2.7	
Highway Infrastructure COVID		0.0		71.7	
Emergency Relief/Allocated Exempt		0.0		-	
Training and Education Fast		0.2		-	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 2018		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	215.8	
Obligations to Date		0.0		(1.3)	
Obligation Authority Balance	\$	218.6	\$	214.5	

FY21 Available Obligation limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - JANUARY 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,579,795.99	(358.03)	5,918.30	5,891.25	600.45	1,591,847.96
	RIGHT OF WAY	775,330.39	0.00	0.00	35,455.59	0.00	810,785.98
	CONSTRUCTION	3,456,689.75	10,545,760.12	0.00	2,825,322.89	7,726.21	16,835,498.97
	CONSTRUCTION ENGINEERING	217,719.18	786,291.53	0.00	10,051.93	5,707.69	1,019,770.33
	PLANNING & RESEARCH	5,764.20	0.00	0.00	0.00	13,383.62	19,147.82
	TOTAL	\$ 6,035,299.51	\$ 11,331,693.62	\$ 5,918.30	\$ 2,876,721.66	\$ 27,417.97	\$ 20,277,051.06
LOCAL	PRELIMINARY ENGINEERING	6,107.86	302,850.47	8,923.96	35,773.25	3,236.70	356,892.24
	RIGHT OF WAY	(2,200,173.02)	2,381,064.07	354.83	41,920.40	2,290.00	225,456.28
	CONSTRUCTION	41,237.65	2,271,144.71	201,500.07	300,816.12	(996.00)	2,813,702.55
	CONSTRUCTION ENGINEERING	10,821.34	331,767.25	31,959.28	312,526.66	0.00	687,074.53
	PLANNING & RESEARCH	25.84	158,279.79	(138.63)	38,099.52	0.00	196,266.52
	TOTAL	\$ (2,141,980.33)	\$ 5,445,106.29	\$ 242,599.51	\$ 729,135.95	\$ 4,530.70	\$ 4,279,392.12
NON-HWY	PRELIMINARY ENGINEERING	1,150,250.96	18,235.70	0.00	2,832.47	2,365.74	1,173,684.87
	RIGHT OF WAY	72,169.69	6,279.27	0.00	1,569.81	0.00	80,018.77
	CONSTRUCTION	6,040.00	145,154.06	0.00	36,288.51	0.00	187,482.57
	CONSTRUCTION ENGINEERING	323,008.26	120,590.17	0.00	30,073.56	0.00	473,671.99
	TRAFFIC SAFETY & TRANS	189,823.86	300,255.76	0.00	0.00	0.00	490,079.62
	PLANNING & RESEARCH	252,711.23	398,646.61	0.00	(125.83)	(2,496.66)	648,735.35
	PUBLIC TRANSPORTATION ASSIST	801,061.60	1,912,851.99	23,810.06	7,676.80	40,190.22	2,785,590.67
	TOTAL	\$ 2,795,065.60	\$ 2,902,013.56	\$ 23,810.06	\$ 78,315.32	\$ 40,059.30	\$ 5,839,263.84
TOTAL - CURRENT MONTH		\$ 6,688,384.78	\$ 19,678,813.47	\$ 272,327.87	\$ 3,684,172.93	\$ 72,007.97	\$ 30,395,707.02

FISCAL YEAR TO DATE - JANUARY 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	15,600,098.86	73,122.93	97,266.04	24,211.32	55,747.48	15,850,446.63
	RIGHT OF WAY	4,921,912.07	284,143.92	84,358.94	54,893.84	0.00	5,345,308.77
	CONSTRUCTION	107,941,731.86	208,901,311.60	586,866.54	11,553,317.63	1,348,615.71	330,331,843.34
	CONSTRUCTION ENGINEERING	4,775,867.52	8,941,375.61	10,195.54	193,037.85	125,913.72	14,046,390.24
	PLANNING & RESEARCH	81,780.15	127,076.41	0.00	0.00	101,754.53	310,611.09
	TOTAL	\$ 133,321,390.46	\$ 218,327,030.47	\$ 778,687.06	\$ 11,825,460.64	\$ 1,632,031.44	\$ 365,884,600.07
LOCAL	PRELIMINARY ENGINEERING	105,487.06	2,048,896.79	128,736.99	727,442.88	29,593.75	3,040,157.47
	RIGHT OF WAY	5,692.19	3,662,457.70	20,563.88	588,626.77	276,618.15	4,553,958.69
	CONSTRUCTION	7,693,526.84	33,243,741.78	2,442,101.44	12,569,360.50	256,191.49	56,204,922.05
	CONSTRUCTION ENGINEERING	643,105.94	2,844,888.84	187,222.80	971,831.20	(52,817.35)	4,594,231.43
	PLANNING & RESEARCH	11,229.86	356,372.72	(8,827.60)	83,480.51	112.28	442,367.77
	TOTAL	\$ 8,459,041.89	\$ 42,156,357.83	\$ 2,769,797.51	\$ 14,940,741.86	\$ 509,698.32	\$ 68,835,637.41
NON-HWY	PRELIMINARY ENGINEERING	9,527,861.48	557,518.78	0.00	74,642.91	76,549.92	10,236,573.09
	RIGHT OF WAY	621,584.83	26,532.37	0.00	6,633.03	0.00	654,750.23
	CONSTRUCTION	835,209.61	3,868,528.37	0.00	1,072,463.10	0.00	5,776,201.08
	CONSTRUCTION ENGINEERING	3,780,551.37	491,730.64	0.00	120,642.51	0.00	4,392,924.52
	TRAFFIC SAFETY & TRANS	300,979.85	3,528,623.45	0.00	0.00	3,842.20	3,833,445.50
	PLANNING & RESEARCH	2,059,778.19	5,671,248.13	46.42	138,047.11	59,616.38	7,928,736.23
	PUBLIC TRANSPORTATION ASSIST	3,468,266.55	11,496,639.84	127,102.68	49,055.65	295,781.83	15,436,846.55
	TOTAL	\$ 20,594,231.88	\$ 25,640,821.58	\$ 127,149.10	\$ 1,461,484.31	\$ 435,790.33	\$ 48,259,477.20
TOTAL - FISCAL YEAR TO DATE		\$ 162,374,664.23	\$ 286,124,209.88	\$ 3,675,633.67	\$ 28,227,686.81	\$ 2,577,520.09	\$ 482,979,714.68

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
JANUARY 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,920,348,891.98	1,048,497,371.41	871,851,520.57	6,035,299.51	133,321,390.46	6,035,299.51
	FEDERAL	1,419,549,081.12	1,168,721,367.69	250,827,713.43	11,331,693.62	218,327,030.47	11,331,693.62
	COUNTY	2,636,993.74	2,335,683.66	301,310.08	5,918.30	778,687.06	5,918.30
	CITY	77,653,268.17	34,201,118.53	43,452,149.64	2,876,721.66	11,825,460.64	2,876,721.66
	OTHER	22,178,154.81	20,875,810.00	1,302,344.81	27,417.97	1,632,031.44	27,417.97
STATE HIGHWAY SYSTEM TOTALS		\$ 3,442,366,389.82	\$ 2,274,631,351.29	\$ 1,167,735,038.53	\$ 20,277,051.06	\$ 365,884,600.07	\$ 20,277,051.06
LOCAL HIGHWAY SYSTEM							
	STATE	59,839,359.42	40,564,874.72	19,274,484.70	(2,141,980.33)	8,459,041.89	(2,141,980.33)
	FEDERAL	365,831,730.09	244,405,879.02	121,425,851.07	5,445,106.29	42,156,357.83	5,445,106.29
	COUNTY	17,222,288.02	9,478,583.53	7,743,704.49	242,599.51	2,769,797.51	242,599.51
	CITY	126,274,278.99	74,683,541.15	51,590,737.84	729,135.95	14,940,741.86	729,135.95
	OTHER	11,370,340.06	8,409,967.17	2,960,372.89	4,530.70	509,698.32	4,530.70
LOCAL HIGHWAY SYSTEM TOTALS		\$ 580,537,996.58	\$ 377,542,845.59	\$ 202,995,150.99	\$ 4,279,392.12	\$ 68,835,637.41	\$ 4,279,392.12
NON-HIGHWAY							
	STATE	378,228,281.08	329,815,046.54	48,413,234.54	2,795,065.60	20,594,231.88	2,795,065.60
	FEDERAL	217,378,371.04	121,638,981.26	95,739,389.78	2,902,013.56	25,640,821.58	2,902,013.56
	COUNTY	842,954.38	422,311.90	420,642.48	23,810.06	127,149.10	23,810.06
	CITY	7,234,257.64	5,046,937.22	2,187,320.42	78,315.32	1,461,484.31	78,315.32
	OTHER	14,862,548.76	12,113,673.63	2,748,875.13	40,059.30	435,790.33	40,059.30
NON-HIGHWAY TOTALS		\$ 618,546,412.90	\$ 469,036,950.55	\$ 149,509,462.35	\$ 5,839,263.84	\$ 48,259,477.20	\$ 5,839,263.84
GRAND TOTALS		\$ 4,641,450,799.30	\$ 3,121,211,147.43	\$ 1,520,239,651.87	\$ 30,395,707.02	\$ 482,979,714.68	\$ 30,395,707.02

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
JANUARY 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	0.01	0.00	0.01	0.00	0.00	0.00
PRELIMINARY ENGINEERING	542,674,210.86	390,352,767.80	152,321,443.06	3,122,425.07	29,127,177.19	3,122,425.07
RIGHT OF WAY	188,963,296.52	126,362,352.57	62,600,943.95	1,116,261.03	10,554,017.69	1,116,261.03
UTILITIES	51,326,089.46	23,173,266.72	28,152,822.74	426,713.95	3,118,090.03	426,713.95
CONSTRUCTION	3,381,425,086.02	2,273,038,562.99	1,108,386,523.03	19,409,970.14	389,194,876.44	19,409,970.14
CONSTRUCTION ENGINEERING	230,119,626.19	146,487,725.82	83,631,900.37	2,180,516.85	23,033,546.19	2,180,516.85
TRAFFIC SAFETY	36,237,157.16	18,844,777.01	17,392,380.15	490,079.62	3,833,445.50	490,079.62
PLANNING & RESEARCH	105,884,089.62	72,010,680.69	33,873,408.93	864,149.69	8,681,715.09	864,149.69
PUBLIC TRANSPORTATION	104,821,243.46	70,941,013.83	33,880,229.63	2,785,590.67	15,436,846.55	2,785,590.67
GRAND TOTALS	\$ 4,641,450,799.30	\$ 3,121,211,147.43	\$ 1,520,239,651.87	\$ 30,395,707.02	\$ 482,979,714.68	\$ 30,395,707.02

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
JANUARY 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,236,538,336.93	924,102,425.27	312,435,911.66	6,150,503.42	102,165,443.01	6,150,503.42
ROADS OPERATION FUND AC*	177,301,888.36	672,217.71	176,629,670.65	(4,056,487.53)	(349,737.18)	(4,056,487.53)
GRADE CROSSING FUND	2,885,859.40	1,500,666.20	1,385,193.20	(24,893.05)	112,404.92	(24,893.05)
GRADE SEPARATION-TMT	28,115,756.28	25,182,015.53	2,933,740.75	9,500.37	1,228,190.39	9,500.37
RECREATION ROAD FUND	11,322,683.64	9,638,277.76	1,684,405.88	21,445.51	2,928,815.10	21,445.51
ST HWY CAPITAL IMPR	751,202,481.25	354,403,352.86	396,799,128.39	3,249,098.30	21,051,114.19	3,249,098.30
STATE AID BRIDGE	4,514,800.39	4,020,991.64	493,808.75	22,837.31	1,312,607.24	22,837.31
TRANS INFRA BANK	146,534,726.23	99,357,345.70	47,177,380.53	1,316,380.45	33,925,826.56	1,316,380.45
TOTAL STATE FUNDS	\$ 2,358,416,532.48	\$ 1,418,877,292.67	\$ 939,539,239.81	\$ 6,688,384.78	\$ 162,374,664.23	\$ 6,688,384.78
FEDERAL FUNDS	2,002,759,182.25	1,534,766,227.97	467,992,954.28	19,678,813.47	286,124,209.88	19,678,813.47
COUNTY FUNDS	20,702,236.14	12,236,579.09	8,465,657.05	272,327.87	3,675,633.67	272,327.87
CITY FUNDS	211,161,804.80	113,931,596.90	97,230,207.90	3,684,172.93	28,227,686.81	3,684,172.93
OTHER FUNDS	48,411,043.63	41,399,450.80	7,011,592.83	72,007.97	2,577,520.09	72,007.97
GRAND TOTALS	\$ 4,641,450,799.30	\$ 3,121,211,147.43	\$ 1,520,239,651.87	\$ 30,395,707.02	\$ 482,979,714.68	\$ 30,395,707.02

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
January 31, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 5,890,220.97	\$ 46,779,316.77	\$ 495,446,043.94			
Expenditures						
Expressway and High Priority Corridors	3,233,507.80	20,952,069.73	243,415,575.14	373,323,425.39	385,290,396.43	
Other Highways	15,590.50	99,044.46	110,987,777.72	23,475,703.00	202,028,119.65	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 3,249,098.30	\$ 21,051,114.19	\$ 465,871,442.82	\$ 396,799,128.39	\$ 587,318,516.08	
Funds Available			\$ 29,574,601.12			

**Transportation Innovation Act
Financial Status
January 31, 2021**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,145,829.03	\$ 16,473,085.56	\$ 145,788,501.97		
Expenditures					
Accelerated State Highway Capital Improvement Program	1,316,380.45	32,038,100.16	90,465,955.39	36,700,455.12	175,385,534.98
County Bridge Match Program		932,398.81	6,876,225.59	9,894,664.76	12,087,383.00
Economic Opportunity Program		955,327.59	2,015,164.72	582,260.65	17,982,853.93
TIB Projects Completed/Closed					
Total Expenditures	\$ 1,316,380.45	\$ 33,925,826.56	\$ 99,357,345.70	\$ 47,177,380.53	\$ 205,455,771.91
Funds Available			\$ 46,431,156.27		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 88.90%

	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>01/31/21</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.358	-	-	3.358	2.471	0.887
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 14.426	-	⁽⁴⁾ (0.146)	14.280	3.326	10.954
LCLC - LINCOLN	6.395	\$ 5.685	11.177	-	16.862	3.370	13.492
SubTotal Local	\$ 26.399	\$ 23.469	\$ 12.377	\$ (0.146)	\$ 35.700	\$ 9.167	\$ 26.533
-							
METRO PLANNING	1.777	1.580	-	-	1.580	-	1.580
Omaha	66.836%	1.121	0.996	-	0.996	-	0.996
Lincoln	26.341%	0.466	0.414	-	0.414	-	0.414
South Sioux City	1.688%	0.067	0.060	-	0.060	-	0.060
Grand Island	5.135%	0.123	0.109	-	0.109	-	0.109
TAP - Flex	2.900	2.578	-	-	2.578	-	2.578
TAP - 5K and Under	0.874	0.777	-	-	0.777	0.060	0.717
TAP - 5K-200K	0.573	0.509	-	-	0.509	(0.005)	0.514
TAP - MAPA - OMAHA	1.042	0.926	-	-	0.926	(0.070)	0.996
TAP - LCLC - LINCOLN	0.411	0.365	-	-	0.365	(0.101)	0.466
REC TRAILS	1.205	1.071	-	-	1.071	(0.017)	1.088
TOTAL	\$ 35.181	\$ 31.275	\$ 12.377	\$ (0.146)	\$ 43.506	\$ 9.034	\$ 34.472

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of January 31, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	365,175.59
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	211,334.69
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	130,942.62

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,779.01
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	111,459.10
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	67,424.67
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	HELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,852.42
3078	SAUNDERS COUNTY	110,480.32
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,404,007.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	354,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

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Infrastructure Assets Supplementary Information

As allowed by GASB Statement No. 34, Basic Financial Statements – and Management’s Discussion and Analysis for State and Local Governments, the State has adopted an alternative process for recording depreciation expense on selected infrastructure assets. Under this alternative method, referred to as the modified approach, the State expenses certain maintenance and preservation costs and does not report depreciation expense. Assets accounted for under the modified approach include approximately 10,000 miles of highway the State is responsible to maintain.

In order to utilize the modified approach, the State is required to:

- ✓ Maintain an asset management system that includes an up-to-date inventory of eligible infrastructure assets.
- ✓ Perform condition assessments of eligible assets and summarize the results using a measurement scale
- ✓ Estimate each year the annual amount to maintain and preserve the assets at the condition level established and disclosed by the State
- ✓ Document that the assets are being preserved approximately at, or above, the established condition level.

Measurement Scale

The Nebraska Department of Transportation uses the Nebraska Serviceability Index (NSI) to measure and monitor pavement conditions. The NSI is a numerical pavement rating scale used to monitor the condition on a scale ranging from 0 to 100 with 0 being the worst and 100 being the best. NSI represents the condition of the pavement at the time of measurement and is based on pavement’s surface distresses. Surface distresses include cracking, patching, roughness, rutting, and faulting.

Established Condition Level

It is the policy of the Nebraska Department of Transportation to maintain at least an overall system rating of 72 or above.

Assessed Condition

The State assesses conditions on a calendar year basis. The following table reports the percentage of pavements meeting ratings of “Very Good”, “Good”, “Fair”, and “Poor”. This condition index is used to classify roads in very good (90-100), good (70-89), fair (50-69), and poor (0-49).

Calendar Year	2021	2020	2019	2018	2017	2016
Very Good	information not available yet	41%	42%	44%	45%	46%
Good		41%	40%	43%	39%	38%
Fair		14%	13%	10%	13%	14%
Poor		4%	5%	3%	3%	2%
Overall System Rating		83.4	83.5	84.7	83.9	83.4

Budgeted and Estimated Costs to Maintain

The following table presents the State’s estimate of spending necessary to preserve and maintain the roads at, or above, the established condition level cited above, and the actual amount spent during the past fiscal years (in millions). The actual cost of system preservation maybe greater than estimated as a result of maintaining the system at a NSI level higher than the base level established for GASB-34 purposes (72 base versus 85 actual).

Fiscal Year	FY2021	FY2020	FY2019	FY2018	FY2017	FY2016
Estimated	\$340	\$305	\$324	\$325	\$317	\$306
Actual		\$514	\$376	\$391	\$413	\$441
Difference		\$209	\$52	\$66	\$96	\$135

NOTE: The estimated cost will fluctuate from year to year based on a variety of factors. A small change in the statewide NSI over a 10,000 mile system will impact the estimated cost of maintaining that system. Strategy cost estimates are based on previous year’s costs and also fluctuate from year to year based on current material and labor costs. In addition, state maintenance needs and expenditures will vary from year to year. All these factors contribute to the differences in estimated costs from year to year.

FY-2020 Assets Additions

	Infrastructures	Land	Buildings
Beginning Balance 7-1-2019	7,622,534,680	545,113,988	88,907,636
Beginning Balance Adjustment	74,958,903 ^D		
Changes	22,841,102 ^A	24,198,450 ^B	8,820,171 ^C
Ending Balance 6-30-2020	7,720,334,685	569,312,438	97,727,807

A. Major **infrastructure** additions include:

I-80 from 24th St. – 13th St., Omaha

B. Major **land** additions include:

Future Hwy 2, Lincoln South Beltway
 US 77 to New US-275, Fremont Southeast Beltway
 Hwy 275 Scribner to West Point

C. Major **building** additions include:

Chadron – Maintenance Facility
 Sidney – Maintenance Facility
 Niobrara – Maintenance Facility

D. As a result of change in processes a beginning balance adjustment was applied to capitalize Infrastructure projects previously recorded as work in progress.

E. A beginning balance adjustment was applied to reduce the Work in Progress balance for Infrastructure projects which are no longer currently active.

FY-2020 Work in Progress			
	Infrastructures		Buildings
Beginning Balance 7-1-2019	237,965,232		10,547,831
Beginning Balance Adjustment	(175,011,313)	^{D,E}	
Changes	17,294,927		(7,529,900)
Ending Balance 6-30-2020	80,248,846		3,017,931

February
2021

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

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- ✓ The state revenue projections in this report were developed in December 2020 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- ✓ Total revenue in February exceeded expenditures by \$17.2 million. Fiscal year to date revenue surpassed expenditures by \$58.1 million (page 4).
- ✓ Projected \$974.3 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 28.7 cents, effective January 1, 2021. The month of February's major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$153 thousand or 0.8%, motor vehicle registration revenue was under the projected amount by -\$227 thousand or -2.9% and motor vehicle sales tax was over the projected amount by \$2.6 million or 26.7%. Highway Cash Fund receipts for FY21 to date were higher than projections by \$10.0 million or 3.0% (page 11, 12).
- ✓ Established an operating budget for Roads Division of \$951.4 million for FY21 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).
- ✓ February expenditures totaled \$42.8 million. Fiscal year to date expenditures totaled \$669.0 million, 70.3% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of January 18, 2021 thru February 14, 2021. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- ✓ Highway construction contract lettings fiscal year to date totaled \$552.4 million, \$491.5 million on the state highway system (page 18).
- ✓ The February report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- ✓ Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. The annual obligation authority is 88.9% per public Law 116-260. As of February 28, 2021, obligations of \$58.4 million have resulted in an obligation authority balance of \$219.0 million (pages 22, 23 and 24).
- ✓ Build Nebraska Act became effective July 1, 2013. Revenue totaling \$503.5 million has been received to date with allocated expenditures totaling \$468.0 million (page 29).
- ✓ Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$98.0 million has been received to date with expenditures totaling \$100.4 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
February 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
<u>ASSETS</u>							
Current Assets							
Cash & Cash Equivalents	318,851,148.90	300,009,565.33	18,841,583.57	6.28	261,095,667.57	57,755,481.33	22.12
Federal Receivables	5,163,347.74	5,500,482.30	(337,134.56)	(6.13)	4,560,550.85	602,796.89	13.22
Other Receivables	17,237,956.71	19,670,544.99	(2,432,588.28)	(12.37)	14,510,153.47	2,727,803.24	18.80
Inventories	2,810,479.39	2,780,659.39	29,820.00	1.07	3,126,079.79	(315,600.40)	(10.10)
Total Current Assets	\$344,062,932.74	\$327,961,252.01	\$16,101,680.73	4.91 %	\$283,292,451.68	\$60,770,481.06	21.45 %
Capital Assets							
Equipment	64,116,440.77	64,900,117.42	(783,676.65)	(1.21)	64,139,464.78	(23,024.01)	(0.04)
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,800,583,530.80	7,800,583,530.80	0.00	0.00	7,860,499,911.61	(59,916,380.81)	(0.76)
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,534,758,147.68	\$8,535,541,824.33	(\$783,676.65)	(0.01)%	\$8,569,208,830.57	(\$34,450,682.89)	(0.40)%
Total Assets	\$8,878,821,080.42	\$8,863,503,076.34	\$15,318,004.08	0.17 %	\$8,852,501,282.25	\$26,319,798.17	0.30 %
<u>LIABILITIES</u>							
Current Liabilities							
Accounts Payable	1,586,673.45	2,436,834.86	(850,161.41)	(34.89)	4,180,396.16	(2,593,722.71)	(62.04)
Retention Payable	106,193,378.02	102,816,672.86	3,376,705.16	3.28	1,172,430.13	105,020,947.89	8,957.54
Other Payables	67,232,564.29	67,463,722.53	(231,158.24)	(0.34)	57,426,679.45	9,805,884.84	17.08
Total Current Liabilities	\$175,012,615.76	\$172,717,230.25	\$2,295,385.51	1.33 %	\$62,779,505.74	\$112,233,110.02	178.77 %
Total Liabilities	\$175,012,615.76	\$172,717,230.25	\$2,295,385.51	1.33 %	\$62,779,505.74	\$112,233,110.02	178.77 %
<u>NET ASSETS</u>							
Capital Equity							
Capital	8,534,758,147.68	8,535,541,824.33	(783,676.65)	(0.01)	8,569,208,830.57	(34,450,682.89)	(0.40)
Total Capital Equity	\$8,534,758,147.68	\$8,535,541,824.33	(\$783,676.65)	(0.01)%	\$8,569,208,830.57	(\$34,450,682.89)	(0.40)%
Fund Balance							
Reserved Fund Balance	(103,382,898.63)	(100,036,013.47)	(3,346,885.16)	3.35	1,953,649.66	(105,336,548.29)	(5,391.78)
Unreserved Fund Balance	272,433,215.61	255,280,035.23	17,153,180.38	6.72	218,559,296.28	53,873,919.33	24.65
Total Fund Balance	\$169,050,316.98	\$155,244,021.76	\$13,806,295.22	8.89 %	\$220,512,945.94	(\$51,462,628.96)	(23.34)%
Total Net Assets	\$8,703,808,464.66	\$8,690,785,846.09	\$13,022,618.57	0.15 %	\$8,789,721,776.51	(\$85,913,311.85)	(0.98)%
Total Liabilities and Net Assets	\$8,878,821,080.42	\$8,863,503,076.34	\$15,318,004.08	0.17 %	\$8,852,501,282.25	\$26,319,798.17	0.30 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
FEBRUARY 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	47,729,963.16	44,708,534.60	3,021,428.56	6.76	394,063,711.81	365,261,609.25	28,802,102.56	7.89
Federal Reimbursements	10,248,339.07	19,678,813.47	(9,430,474.40)	(47.92)	296,376,058.95	311,723,352.47	(15,347,293.52)	(4.92)
Local Revenues	1,427,717.37	3,845,499.09	(2,417,781.72)	(62.87)	31,908,441.74	12,396,888.57	19,511,553.17	157.39
Other Entities Revenues	583,868.73	407,081.71	176,787.02	43.43	4,799,699.10	6,831,059.75	(2,031,360.65)	(29.74)
Total Revenue	\$59,989,888.33	\$68,639,928.87	(\$8,650,040.54)	(12.60) %	\$727,147,911.60	\$696,212,910.04	\$30,935,001.56	4.44 %
Expenditures								
Administration	1,837,743.79	1,173,708.10	664,035.69	56.58	14,966,243.15	15,347,120.82	(380,877.67)	(2.48)
Highway Maintenance	14,135,816.82	11,284,404.21	2,851,412.61	25.27	112,176,717.14	107,092,324.51	5,084,392.63	4.75
Capital Facilities	125,349.80	465,033.70	(339,683.90)	(73.05)	2,845,538.44	1,608,488.23	1,237,050.21	76.91
Services and Support	938,818.95	4,356,677.28	(3,417,858.33)	(78.45)	24,816,181.51	22,032,067.39	2,784,114.12	12.64
Construction	20,206,457.55	28,080,753.27	(7,874,295.72)	(28.04)	490,849,392.58	530,145,932.66	(39,296,540.08)	(7.41)
Highway Safety Office	538,088.27	300,081.21	238,007.06	79.31	3,221,309.92	3,492,206.41	(270,896.49)	(7.76)
Public Transit	5,055,041.29	2,713,907.36	2,341,133.93	86.26	20,134,391.99	14,292,037.84	5,842,354.15	40.88
Total Expenditures	\$42,837,316.47	\$48,374,565.13	(\$5,537,248.66)	(11.45) %	\$669,009,774.73	\$694,010,177.86	(\$25,000,403.13)	(3.60) %
Excess Revenue (Expenditures)	\$17,152,571.86	\$20,265,363.74	(\$3,112,791.88)	(15.36) %	\$58,138,136.87	\$2,202,732.18	\$55,935,404.69	2,539.36 %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
February 2021**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	178,428,049.41	38,354,866.43	37,589,477.43	48,589,332.03	2,478,536.78	2,117,058.35	11,225,421.05	64,032.56	318,846,774.04
Other Current Assets	25,216,158.70	0.00	0.00	0.00	0.00	0.00	0.00	0.00	25,216,158.70
Capital Assets	8,534,758,147.68	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,534,758,147.68
TOTAL ASSETS	\$8,738,402,355.79	\$38,354,866.43	\$37,589,477.43	\$48,589,332.03	\$2,478,536.78	\$2,117,058.35	\$11,225,421.05	\$64,032.56	\$8,878,821,080.42
LIABILITIES									
Current Liabilities	175,012,615.76	0.00	0.00	0.00	0.00	0.00	0.00	0.00	175,012,615.76
TOTAL LIABILITIES	\$175,012,615.76	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$175,012,615.76
NET ASSETS									
Fund Balance	324,425,564.72	(296,734,062.92)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	110,912,180.11
Capital Equity	8,534,758,147.68	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,534,758,147.68
Accrued Interfund Transfer	(5,235,761.67)	0.00	2,101,511.42	1,084,760.57	328.04	8,771.33	51,064.62	1,989,325.69	0.00
Revenues	314,502,187.16	335,088,929.35	54,794,192.75	18,631,261.32	600,721.06	262,295.46	2,756,102.61	512,221.89	727,147,911.60
Costs	(605,060,397.86)	0.00	(23,152,625.61)	(35,010,587.13)	(1,256,643.98)	(123,750.33)	(3,052,226.23)	(1,353,543.59)	(669,009,774.73)
TOTAL NET ASSETS	\$8,563,389,740.03	\$38,354,866.43	\$37,589,477.43	\$48,589,332.03	\$2,478,536.78	\$2,117,058.35	\$11,225,421.05	\$64,032.56	\$8,703,808,464.66
TOTAL LIABILITIES AND NET ASSETS	\$8,738,402,355.79	\$38,354,866.43	\$37,589,477.43	\$48,589,332.03	\$2,478,536.78	\$2,117,058.35	\$11,225,421.05	\$64,032.56	\$8,878,821,080.42

FUND BALANCES AND INVESTMENT EARNINGS

Roads Divisions

February 2021

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.4	128.0	58.3	84.4	72.0	68.6	59.9				
Expenditures	136.3	95.8	99.7	113.0	66.4	66.3	48.3	42.8				
Balance	3.8	19.6	28.3	(54.7)	18.0	5.7	20.3	17.1				
Cumulative Balance	3.8	23.4	51.7	(3.0)	15.0	20.7	41.0	58.1				

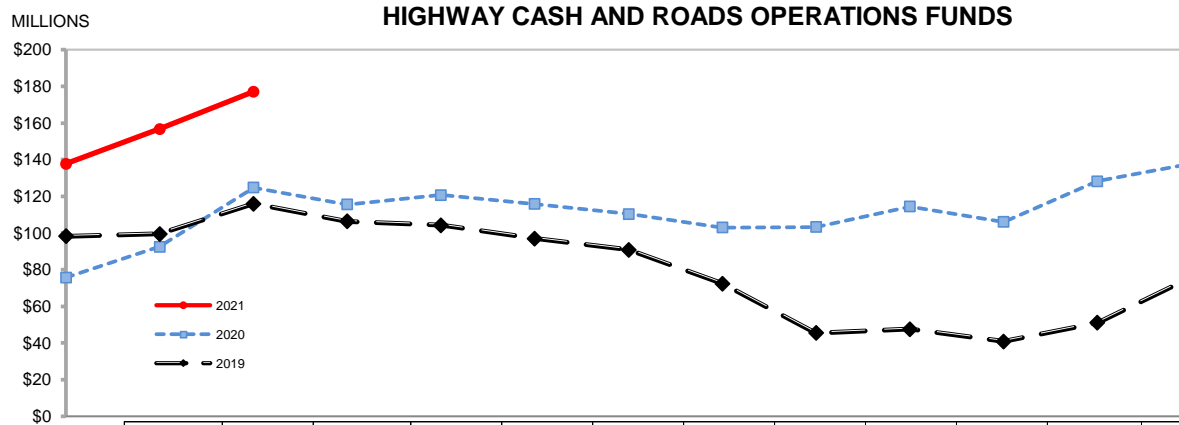
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$331,946.51 in February, with an interest rate of 1.43%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%	1.47%	1.67%	1.37%	1.46%	1.53%	1.43%						1.52%
Earnings (Thousands)	\$334	\$276	\$286	\$327	\$278	\$295	\$334	\$332					\$2,462	\$308

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
February 2021
(IN MILLIONS)

Total of all funds available as of February 28th is \$317.4 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$215.3 million on the 26th to a low of \$177.1 million on the 25th.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1										
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6										
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7										
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5										
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2020	10.5	10.8										
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0										
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES
February 2021

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	ACE	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	73,405.70									73,405.70
460000 Intergovernmental			1,865.07				2,125,470.47			2,127,335.54
470000 Sales & Charges				13,253.17	1,348.55					14,601.72
480000 Miscellaneous	8,822.92				9,161.00					17,983.92
490000 Other					10,413.83					10,413.83
TOTAL REVENUES	82,228.62	-	1,865.07	13,253.17	20,923.38	-	2,125,470.47	-	-	2,243,740.71
EXPENDITURES:										
510000 Personal Services	38,725.13		35,121.66	13,720.11	9,492.09					97,058.99
520000 Operating Expenses	25,824.41		396.54	4,907.07	72,055.82	536.34		17,244.49		120,964.67
570000 Travel Expenses	74.50			4,366.61						4,441.11
580000 Capital Outlay				11,875.00						11,875.00
590000 Government Aid	7,358.67						1,853,867.96			1,861,226.63
TOTAL EXPENDITURES	71,982.71	-	35,518.20	34,868.79	81,547.91	536.34	1,853,867.96	17,244.49	-	2,095,566.40
Excess (Deficiency) of Revenues Over Expenditures	10,245.91	-	(33,653.13)	(21,615.62)	(60,624.53)	(536.34)	271,602.51	(17,244.49)	-	148,174.31
OTHER FINANCING SOURCES (USES):										
Transfers In			33,653.13	21,615.62		536.34		17,244.49	-	
Transfers Out	(73,049.58)							-		
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(62,803.67)	-	-	-	(60,624.53)	-	271,602.51	-	-	148,174.31
Fund Balance January 31, 2021	1,341,465.96	(2,804.95)	-	-	1,306,790.89	24,706.82	3,317,905.89	(44,750.87)	1,348,083.63	7,291,397.37
Fund Balance February 28, 2021	1,278,662.29	(2,804.95)	-	-	1,246,166.36	24,706.82	3,589,508.40	(44,750.87)	1,348,083.63	7,439,571.68

**COMBINED SUMMARY OF REVENUES & EXPENDITURES
FISCAL YEAR TO DATE (July 1, 2020 through February 28, 2021)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	ACE	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	705,293.87									705,293.87
460000	Intergovernmental			(4,988.57)	450.00	226,546.01		22,938,138.12			23,160,145.56
470000	Sales & Charges			11,050.00	118,861.48	24,611.25	28,367.93				182,890.66
480000	Miscellaneous	74,777.16				415,271.60			2,979.82	168.00	493,196.58
490000	Other					47,783.80	933.80				48,717.60
TOTAL REVENUES		780,071.03	-	6,061.43	119,311.48	714,212.66	29,301.73	22,938,138.12	2,979.82	168.00	24,590,244.27
EXPENDITURES:											
510000	Personal Services	342,290.07		309,025.25	121,181.89	75,533.15	12.36		12.36		848,055.08
520000	Operating Expenses	134,135.89	849.12	82,280.71	41,695.99	628,336.23	6,687.95		65,609.57	1,051.87	960,647.33
570000	Travel Expenses	1,266.56	1,918.81	3,711.57	19,331.24	4.60	239.60		932.23		27,404.61
580000	Capital Outlay			7,495.20	6,791.53	638.00					14,924.73
590000	Government Aid	25,707.30						22,836,538.79			22,862,246.09
TOTAL EXPENDITURES		503,399.82	2,767.93	402,512.73	189,000.65	704,511.98	6,939.91	22,836,538.79	66,554.16	1,051.87	24,713,277.84
Excess (Deficiency) of Revenues Over Expenditures		276,671.21	(2,767.93)	(396,451.30)	(69,689.17)	9,700.68	22,361.82	101,599.33	(63,574.34)	(883.87)	(123,033.57)
OTHER FINANCING SOURCES (USES):											
	Transfers In			396,451.30	69,689.17		(22,361.82)		63,574.34	-	
	Transfers Out	(507,352.99)									
	Grant \$ transfer	(250,000.00)						250,000.00			
Excess (Deficiency) of Revenues Over Expenditures		(480,681.78)	(2,767.93)	-	-	9,700.68	-	351,599.33	-	(883.87)	(123,033.57)
Fund Balance June 30, 2020		1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance February 28, 2021		1,008,618.24	(2,804.95)	-	-	1,246,166.36	-	3,839,508.40	-	1,348,083.63	7,439,571.68

RECEIPTS

Motor Fuel Tax Rates

Effective Date	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	-3.5
Wholesale Tax ¢	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	-1.0
Total Tax ¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	-4.5¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This increase included ½¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY21 is 2.8% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT

**FY-2021 RECEIPTS
AS OF FEBRUARY 28, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED December 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$97,055	\$ 7,249	\$ 7,290	\$ 41	0.6%	\$ 65,783	\$ 66,978	\$ 1,195	1.8%
Incremental Fixed	25,924	1,933	1,952	19	1.0%	17,585	17,929	344	2.0%
Variable	72,497	3,769	3,807	38	1.0%	56,235	57,475	1,240	2.2%
Wholesale	<u>78,429</u>	<u>5,422</u>	<u>5,477</u>	<u>55</u>	1.0%	<u>55,038</u>	<u>56,111</u>	<u>1,073</u>	1.9%
Subtotal	273,905	18,373	18,526	153	0.8%	194,641	198,493	3,852	2.0%
Motor Vehicle Registrations	32,909	5,920	5,583	(337)	(5.7%)	23,287	23,130	(157)	(0.7%)
Prorate Registrations	<u>12,647</u>	<u>1,902</u>	<u>2,012</u>	<u>110</u>	5.8%	<u>8,832</u>	<u>8,847</u>	<u>15</u>	0.2%
Subtotal	45,556	7,822	7,595	(227)	(2.9%)	32,119	31,976	(143)	(0.4%)
Sales Tax on Motor Vehicles	136,569	9,592	12,152	2,560	26.7%	98,078	103,862	5,784	5.8%
Interest	2,320	209	250	41	19.6%	1,587	1,700	113	7.1%
Sale of Supplies and Materials	1,240	126	69	(57)	(45.6%)	807	761	(46)	(5.7%)
Sale of Fixed Assets	1,267	14	239	225	1610.0%	859	1,269	410	47.7%
Excess Limit	2,930	197	159	(38)	(19.4%)	1,856	1,815	(41)	(2.2%)
Overload Fines	427	39	18	(21)	(52.9%)	278	230	(48)	(17.3%)
Other Fees	<u>1,594</u>	<u>84</u>	<u>65</u>	<u>(19)</u>	(22.8%)	<u>1,208</u>	<u>1,309</u>	<u>101</u>	8.3%
SUBTOTAL HIGHWAY CASH FUND	\$ 465,808 (A)	\$ 36,456	\$ 39,074	\$ 2,617	7.2%	\$ 331,433	\$ 341,414	\$ 9,981 (B)	3.0%
Incremental Tax Transfer to TIB Fund	(25,712)	(1,829)	(2,099)	(270)	14.8%	(\$17,698)	(18,022)	(325)	1.8%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 440,096	\$ 34,627	\$ 36,975	\$ 2,348	6.8%	\$ 313,735	\$ 323,392	\$ 9,657	3.1%
State Hwy Capital Impr Fund	76,511	7,883	8,015	132	1.7%	53,978	54,794	816	1.5%
Transportation Infrastructure Bank Fund (TIB)	27,087	1,966	2,158	192	9.8%	18,525	18,631	106	0.6%
Grade Crossing Protection Fund	2,942	53	38	(15)	(27.5%)	1,318	863	(455)	(34.5%)
Recreation Road Fund	4,388	736	444	(292)	(39.7%)	3,019	2,756	(263)	(8.7%)
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.1%	<u>512</u>	<u>512</u>	<u>0</u>	0.0%
TOTAL STATE RECEIPTS	\$ 551,792	\$ 45,329	\$ 47,694	\$ 2,365	5.2%	\$ 391,087	\$ 400,949	\$ 9,862	2.5%
Federal Receipts									
FHWA	358,373	6,365	7,784	1,419	22.3%	266,394	280,541	14,147	5.3%
Transit	17,500	2,106	2,499	393	18.7%	14,426	17,212	2,786	19.3%
Highway Safety	<u>4,964</u>	<u>370</u>	<u>295</u>	<u>(75)</u>	(20.3%)	<u>2,903</u>	<u>2,629</u>	<u>(274)</u>	(9.5%)
Subtotal-Federal Receipts	380,837	8,841	10,578	1,737	19.7%	283,723	300,381	16,658	5.9%
Local Receipts	34,991	708	3,298	2,590	365.8%	32,161	38,316	6,155	19.2%
Other Entities	<u>6,655</u>	<u>88</u>	<u>1,417</u>	<u>1,329</u>	1509.9%	<u>5,022</u>	<u>6,086</u>	<u>1,064</u>	21.2%
TOTAL DEPARTMENT RECEIPTS	\$ 974,275	\$ 54,966	\$ 62,987	\$ 8,021	14.6%	\$ 711,993	\$ 745,731	\$ 33,738	4.7%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of December 14, 2020	\$ 465,808
(B) Receipts Over/(Under) Projection To Date	9,981
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 475,789
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	16,789
% Variance From Appropriation	3.7%

** Numbers may not add due to rounding.

** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
February 2021

FISCAL YEAR 2021
 Period Expired 66.67%
 Pay Period Ending 2/14/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	107,938,356.00	7,766,669.60	69,079,979.61	38,858,376.39	64.00%	0.00
Temporary Salaries	1,905,021.00	79,835.96	1,484,584.93	420,436.07	77.93%	0.00
Overtime	6,071,840.00	1,184,591.69	4,736,590.19	1,335,249.81	78.01%	0.00
Employee Benefits	41,462,486.00	3,166,929.52	25,899,190.09	15,563,295.91	62.46%	0.00
SUBTOTAL: Personal Services	\$157,377,703.00	\$12,198,026.77	\$101,200,344.82	\$56,177,358.18	64.30%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	360,081.32	2,264,598.48	1,389,061.52	61.98%	0.00
Rentals	877,359.00	23,176.17	611,031.28	266,327.72	69.64%	3,000.00
Repairs & Maintenance	11,598,760.00	774,205.43	6,469,965.27	5,128,794.73	55.78%	1,483,312.14
Maintenance Contracts	14,089,958.00	1,584,517.21	7,861,609.97	6,228,348.03	55.80%	28,677,682.62
Engineering Contracts	35,285,520.00	1,437,310.22	17,742,741.51	17,542,778.49	50.28%	38,146,994.27
Contractual Services	43,234,439.00	1,315,643.83	8,626,826.34	34,607,612.66	19.95%	10,357,509.45
Technology Expenses	21,751,004.00	1,327,551.49	14,705,629.47	7,045,374.53	67.61%	29,340,640.30
Other Operating Expenses	4,888,173.00	237,481.54	3,769,731.32	1,118,441.68	77.12%	231,189.50
SUBTOTAL: Operating Expenses	\$135,378,873.00	\$7,059,967.21	\$62,052,133.64	\$73,326,739.36	45.84%	\$108,240,328.28
Supplies and Materials						
General Supplies & Materials	1,698,165.00	72,256.23	1,279,028.87	419,136.13	75.32%	717,415.74
Maint & Const Materials	48,031,631.00	2,991,844.56	40,022,688.54	8,008,942.46	83.33%	17,933.83
Automotive Supplies & Materials	18,230,100.00	1,626,239.18	9,549,969.25	8,680,130.75	52.39%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$4,690,339.97	\$50,851,686.66	\$17,108,209.34	74.83%	\$735,349.57
Travel						
In State Travel	825,995.00	23,889.02	235,482.98	590,512.02	28.51%	0.00
Out of State Travel	230,758.00	0.00	3,754.86	227,003.14	1.63%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$23,889.02	\$239,237.84	\$817,515.16	22.64%	\$0.00
Capital Outlay						
Land	16,000,000.00	893,704.14	5,847,422.97	10,152,577.03	36.55%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	6,397,030.84	336,347,527.21	116,896,204.79	74.21%	921,193,958.62
Buildings	11,668,000.00	108,451.80	2,162,988.16	9,505,011.84	18.54%	6,056,686.40
Heavy Equipment and Vehicles	14,691,430.00	307,440.49	6,608,881.59	8,082,548.41	44.98%	10,289,193.03
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	96,293.65	196,013.65	961,546.35	16.93%	475,815.00
SUBTOTAL: Capital Outlay	\$496,860,722.00	\$7,802,920.92	\$351,162,833.58	\$145,697,888.42	70.68%	\$938,015,653.05
Government Aid & Distr						
Public Transit Aid	42,312,705.00	5,014,251.30	19,799,358.51	22,513,346.49	46.79%	16,920,918.43
Highway Safety Office	5,200,000.00	499,201.08	2,898,746.21	2,301,253.79	55.75%	5,198,707.00
Other Government Aid	45,251,000.00	5,548,720.20	80,805,433.47	(35,554,433.47)	178.57%	144,560,081.82
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$11,062,172.58	\$103,503,538.19	(\$10,739,833.19)	111.58%	\$166,679,707.25
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$42,837,316.47	\$669,009,774.73	\$282,387,877.27	70.32%	\$1,213,671,038.15

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
February 2021

FISCAL YEAR 2021
Period Expired 66.67%
Pay Period Ending 2/14/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	1,837,423.79	14,949,199.30	4,079,047.70	78.56%	510,126.00
Boards & Commissions	50,000.00	320.00	17,043.85	32,956.15	34.09%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$1,837,743.79	\$14,966,243.15	\$4,112,003.85	78.45%	\$510,126.00
Service and Support						
Charges to Others	1,100,000.00	64,432.25	605,058.74	494,941.26	55.01%	39,210.59
Deficiency Claims	17,184.00	0.00	60,599.00	(43,415.00)	352.65%	0.00
Supply Base/Inventories	1,000,000.00	370,625.86	1,085,577.31	(85,577.31)	108.56%	219,087.12
Building Operations	6,000,000.00	854,880.12	8,343,444.34	(2,343,444.34)	139.06%	2,173,516.30
Business Technology Services	14,657,318.00	1,065,034.51	12,486,604.07	2,170,713.93	85.19%	17,635,436.26
Support Centers	5,208,762.00	37,810.47	328,364.08	4,880,397.92	6.30%	0.00
Payroll Clearing	625,675.00	(1,453,964.26)	1,906,533.97	(1,280,858.97)	304.72%	24,291.13
SUBTOTAL: Service and Support	\$28,608,939.00	\$938,818.95	\$24,816,181.51	\$3,792,757.49	86.74%	\$20,091,541.40
Capital Facilities						
Capital Facilities	10,000,000.00	125,349.80	2,845,538.44	7,154,461.56	28.46%	7,062,748.79
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$125,349.80	\$2,845,538.44	\$7,154,461.56	28.46%	\$7,062,748.79
Highway Maintenance						
System Preservation	42,000,000.00	828,280.31	37,698,488.85	4,301,511.15	89.76%	1,339,993.75
Operations	42,000,000.00	2,425,373.30	26,691,536.30	15,308,463.70	63.55%	21,938,049.28
Snow and Ice Control	40,000,000.00	12,073,887.96	30,333,529.66	9,666,470.34	75.83%	400,712.01
Unusual & Disaster Oper	1,500,000.00	256,610.44	2,254,723.55	(754,723.55)	150.31%	6,078,568.44
Equipment Operations	15,000,000.00	(3,376,686.38)	1,730,353.59	13,269,646.41	11.54%	10,354,586.09
Indirect Charges	20,943,553.00	1,928,351.19	13,468,085.19	7,475,467.81	64.31%	478,815.00
SUBTOTAL: Highway Maintenance	\$161,443,553.00	\$14,135,816.82	\$112,176,717.14	\$49,266,835.86	69.48%	\$40,590,724.57
Highway Construction						
Preliminary Engineering	50,100,000.00	2,702,833.92	27,524,242.06	22,575,757.94	54.94%	26,700,527.75
Right-Of-Way	15,000,000.00	1,057,141.67	6,853,046.20	8,146,953.80	45.69%	40,256.76
Construction	467,777,351.00	6,423,896.47	337,018,931.49	130,758,419.51	72.05%	921,186,930.55
Construction Engineering	25,000,000.00	1,668,763.52	19,767,000.94	5,232,999.06	79.07%	4,239,267.31
SUBTOTAL: Highway Construction	\$557,877,351.00	\$11,852,635.58	\$391,163,220.69	\$166,714,130.31	70.12%	\$952,166,982.37
Construction Related Expense						
Overhead	19,002,441.00	1,228,859.18	9,778,520.23	9,223,920.77	51.46%	14,286,320.42
Planning & Research	12,056,000.00	1,896,720.52	9,884,038.96	2,171,961.04	81.98%	16,967,335.04
Local Systems	95,792,666.00	5,228,242.27	80,023,612.70	15,769,053.30	83.54%	139,875,634.13
Highway Safety Office	5,213,250.00	538,088.27	3,221,309.92	1,991,940.08	61.79%	5,198,707.00
Public Transportation Asst	42,325,205.00	5,055,041.29	20,134,391.99	22,190,813.01	47.57%	16,920,918.43
SUBTOTAL: Construction Related Expense	\$174,389,562.00	\$13,946,951.53	\$123,041,873.80	\$51,347,688.20	70.56%	\$193,248,915.02
AGENCY TOTAL	\$951,397,652.00	\$42,837,316.47	\$669,009,774.73	\$282,387,877.27	70.32%	\$1,213,671,038.15

**PROGRAM STATUS REPORT
BUSINESS MONTH - FEBRUARY 2021**

FISCAL YEAR 2021
Period Expired 66.7%
Pay Period Ending 02/14/2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	801,995.80	1,556,690.04	0.00	2,718,415.11	1,989,710.91	699,857.74	7,766,669.60
Temporary Salaries	419.42	7,276.45	0.00	54,047.00	10,977.38	7,115.71	79,835.96
Overtime	2,933.44	(463,884.02)	0.00	1,599,306.48	35,716.63	10,519.16	1,184,591.69
Employee Benefits	0.00	3,166,929.52	0.00	0.00	0.00	0.00	3,166,929.52
SUBTOTAL: Personal Services	\$805,348.66	\$4,267,011.99	\$0.00	\$4,371,768.59	\$2,036,404.92	\$717,492.61	\$12,198,026.77
Operating Expenses							
Utilities	0.00	251,152.45	0.00	108,629.12	253.61	46.14	360,081.32
Rentals	581.92	342.70	0.00	21,501.55	0.00	750.00	23,176.17
Repairs & Maintenance	0.00	152,262.31	0.00	619,229.07	1,375.00	1,339.05	774,205.43
Maintenance Contracts	0.00	0.00	0.00	1,584,517.21	0.00	0.00	1,584,517.21
Engineering Contracts	0.00	3,085.19	16,898.00	0.00	1,051,453.51	365,873.52	1,437,310.22
Contractual Services	38,932.83	161,194.41	0.00	46,198.43	4,205.18	1,065,112.98	1,315,643.83
Technology Expenses	373,725.14	681,940.76	0.00	189,251.28	0.00	82,634.31	1,327,551.49
Other Operating Expenses	108,969.26	16,104.13	0.00	(2,118.98)	293.07	114,234.06	237,481.54
SUBTOTAL: Operating Expenses	\$522,209.15	\$1,266,081.95	\$16,898.00	\$2,567,207.68	\$1,057,580.37	\$1,629,990.06	\$7,059,967.21
Supplies and Materials							
General Supplies & Materials	20,868.21	16,339.51	0.00	30,629.70	0.00	4,418.81	72,256.23
Maint & Const Materials	1,687.25	80,814.86	0.00	2,889,402.36	3,994.50	15,945.59	2,991,844.56
Automotive Supplies & Materials	0.00	317,598.39	0.00	1,308,640.79	0.00	0.00	1,626,239.18
SUBTOTAL: Supplies and Materials	\$22,555.46	\$414,752.76	\$0.00	\$4,228,672.85	\$3,994.50	\$20,364.40	\$4,690,339.97
Travel							
In State Travel	2,449.81	8,716.74	0.00	1,460.81	8,502.83	2,758.83	23,889.02
SUBTOTAL: Travel	\$2,449.81	\$8,716.74	\$0.00	\$1,460.81	\$8,502.83	\$2,758.83	\$23,889.02
Capital Outlay							
Land	0.00	0.00	0.00	0.00	893,704.14	0.00	893,704.14
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	6,397,030.84	0.00	6,397,030.84
Buildings	0.00	0.00	108,451.80	0.00	0.00	0.00	108,451.80
Heavy Equipment and Vehicles	0.00	0.00	0.00	307,440.49	0.00	0.00	307,440.49
Specialty Equipment	0.00	0.00	0.00	0.00	51,300.00	44,993.65	96,293.65
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$108,451.80	\$307,440.49	\$7,342,034.98	\$44,993.65	\$7,802,920.92
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	5,014,251.30	5,014,251.30
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	499,201.08	499,201.08
Other Government Aid	0.00	0.00	0.00	0.00	6,251.00	5,542,469.20	5,548,720.20
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$6,251.00	\$11,055,921.58	\$11,062,172.58
Internal Redistributions							
Redistribution	485,180.71	(5,017,744.49)	0.00	2,659,266.40	1,397,866.98	475,430.40	0.00
SUBTOTAL: Internal Redistributions	\$485,180.71	(\$5,017,744.49)	\$0.00	\$2,659,266.40	\$1,397,866.98	\$475,430.40	\$0.00
GRAND TOTAL:	\$1,837,743.79	\$938,818.95	\$125,349.80	\$14,135,816.82	\$11,852,635.58	\$13,946,951.53	\$42,837,316.47

**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - FEBRUARY 2021**

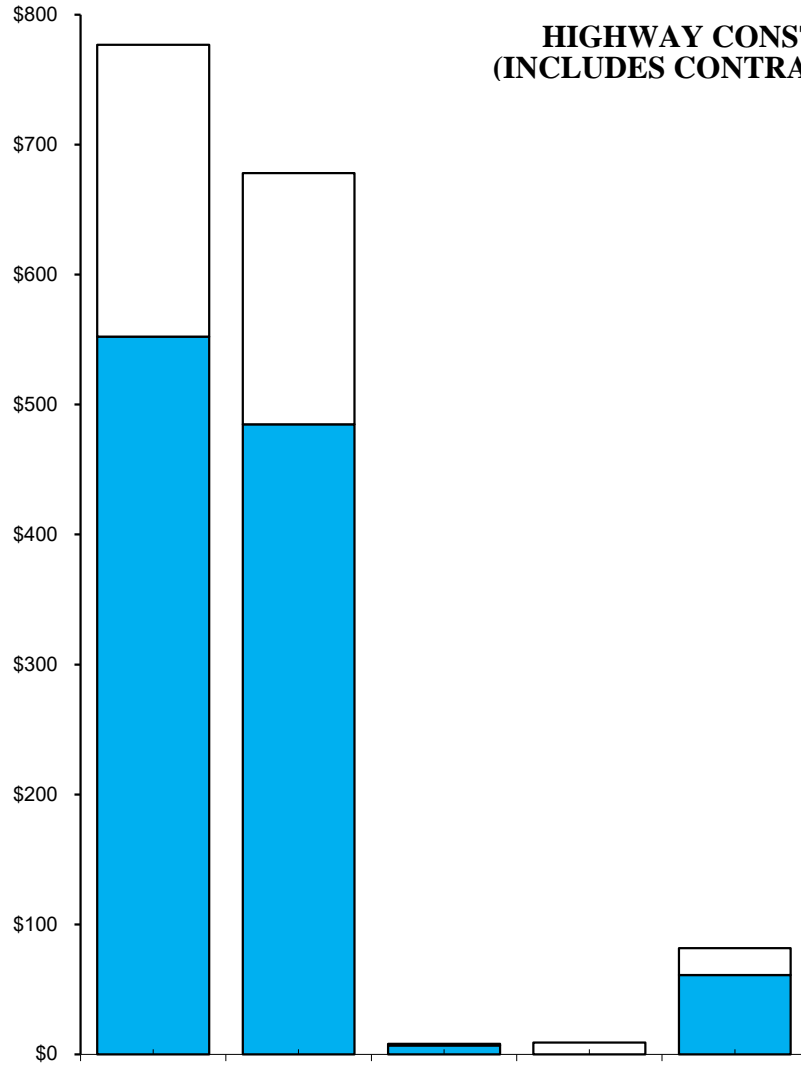
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	6,670,072.62	17,307,201.28	0.00	20,968,903.46	18,414,246.17	5,719,556.08	69,079,979.61
Temporary Salaries	3,074.55	149,730.62	0.00	999,421.19	193,229.96	139,128.61	1,484,584.93
Overtime	14,585.30	(301,683.66)	0.00	3,535,122.27	1,418,604.98	69,961.30	4,736,590.19
Employee Benefits	0.00	25,899,190.09	0.00	0.00	0.00	0.00	25,899,190.09
SUBTOTAL: Personal Services	\$6,687,732.47	\$43,054,438.33	\$0.00	\$25,503,446.92	\$20,026,081.11	\$5,928,645.99	\$101,200,344.82
Operating Expenses							
Utilities	0.00	1,424,752.04	0.00	836,935.88	2,557.82	352.74	2,264,598.48
Rentals	6,107.89	25,632.80	0.00	576,925.24	1,250.00	1,115.35	611,031.28
Repairs & Maintenance	10,530.23	1,782,944.91	0.00	4,631,137.81	15,180.83	30,171.49	6,469,965.27
Maintenance Contracts	0.00	93,899.19	0.00	7,766,224.38	1,486.40	0.00	7,861,609.97
Engineering Contracts	0.00	305,406.44	675,589.06	121,633.09	14,049,031.23	2,591,081.69	17,742,741.51
Contractual Services	534,675.72	1,379,127.04	0.00	1,640,800.42	25,476.62	5,046,746.54	8,626,826.34
Technology Expenses	2,861,009.78	8,921,647.22	0.00	1,515,438.24	0.00	1,407,534.23	14,705,629.47
Other Operating Expenses	361,035.33	1,689,240.24	3,448.81	1,301,633.85	33,276.90	381,096.19	3,769,731.32
SUBTOTAL: Operating Expenses	\$3,773,358.95	\$15,622,649.88	\$679,037.87	\$18,390,728.91	\$14,128,259.80	\$9,458,098.23	\$62,052,133.64
Supplies and Materials							
General Supplies & Materials	325,615.33	617,512.84	3,512.41	301,976.54	92.67	30,319.08	1,279,028.87
Maint & Const Materials	27,957.50	589,144.02	0.00	39,008,204.29	230,122.36	167,260.37	40,022,688.54
Automotive Supplies & Materials	0.00	892,210.32	0.00	8,657,709.49	0.00	49.44	9,549,969.25
SUBTOTAL: Supplies and Materials	\$353,572.83	\$2,098,867.18	\$3,512.41	\$47,967,890.32	\$230,215.03	\$197,628.89	\$50,851,686.66
Travel							
In State Travel	32,588.96	30,222.28	0.00	12,304.71	82,370.03	77,997.00	235,482.98
Out of State Travel	0.00	3,106.31	0.00	0.00	27.12	621.43	3,754.86
SUBTOTAL: Travel	\$32,588.96	\$33,328.59	\$0.00	\$12,304.71	\$82,397.15	\$78,618.43	\$239,237.84
Capital Outlay							
Land	0.00	424,415.83	0.00	0.00	5,419,432.14	3,575.00	5,847,422.97
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	336,311,227.48	0.00	336,347,527.21
Buildings	0.00	0.00	2,162,988.16	0.00	0.00	0.00	2,162,988.16
Heavy Equipment and Vehicles	0.00	0.00	0.00	6,608,881.59	0.00	0.00	6,608,881.59
IT Hardware / Software	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Specialty Equipment	0.00	0.00	0.00	9,100.00	124,200.00	62,713.65	196,013.65
SUBTOTAL: Capital Outlay	\$0.00	\$424,415.83	\$2,162,988.16	\$6,654,281.32	\$341,854,859.62	\$66,288.65	\$351,162,833.58
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	19,799,358.51	19,799,358.51
Highway Safety Office	0.00	(1,414.01)	0.00	0.00	0.00	2,900,160.22	2,898,746.21
Other Government Aid	0.00	0.00	0.00	0.00	182,999.40	80,622,434.07	80,805,433.47
SUBTOTAL: Government Aid & Distr	\$0.00	(\$1,414.01)	\$0.00	\$0.00	\$182,999.40	\$103,321,952.80	\$103,503,538.19
Internal Redistributions							
Redistribution	4,118,989.94	(36,416,104.29)	0.00	13,648,064.96	14,658,408.58	3,990,640.81	0.00
SUBTOTAL: Internal Redistributions	\$4,118,989.94	(\$36,416,104.29)	\$0.00	\$13,648,064.96	\$14,658,408.58	\$3,990,640.81	\$0.00
GRAND TOTAL:	\$14,966,243.15	\$24,816,181.51	\$2,845,538.44	\$112,176,717.14	\$391,163,220.69	\$123,041,873.80	\$669,009,774.73

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
February 2021

FISCAL YEAR 2021
Period Expired 66.67%
Pay Period Ending 2/14/2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	40,304.38	451,158.33	275,527.67	62.08%	0.00
140 - LEGAL	2,947,291.00	96,948.45	783,098.29	2,164,192.71	26.57%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	227,424.03	2,158,450.44	1,245,488.56	63.41%	624,835.80
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,667,354.00	200,256.06	1,428,633.61	1,238,720.39	53.56%	119,524.93
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,745,270.00	\$564,932.92	\$4,821,340.67	\$4,923,929.33	49.47%	\$915,997.46
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	153,219.92	1,444,483.39	848,139.61	63.01%	0.00
320 - BRIDGE DIVISION	7,541,203.00	464,576.61	4,655,939.28	2,885,263.72	61.74%	1,284,202.69
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	272,297.28	2,873,735.24	1,655,368.76	63.45%	501,475.46
350 - RIGHT OF WAY DIVISION	5,044,385.00	342,239.79	3,222,583.03	1,821,801.97	63.88%	52,176.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	630,692.90	6,244,806.60	9,019,670.40	40.91%	9,820,795.31
370 - ROADWAY DESIGN DIVISION	26,301,169.00	1,293,280.54	13,951,843.93	12,349,325.07	53.05%	15,009,009.90
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	100,743.64	870,543.21	588,544.79	59.66%	60,846.75
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	131,518.23	1,754,464.35	1,408,283.65	55.47%	1,637,165.77
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,797.00	\$3,388,568.91	\$35,018,399.03	\$30,576,397.97	53.39%	\$28,365,672.18
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	138,810.54	1,257,427.84	1,449,008.16	46.46%	335,301.13
260 - OPERATIONS DIVISION	21,611,341.00	1,547,390.54	14,868,403.12	6,742,937.88	68.80%	6,068,242.45
280 - BUSINESS TECH SUPPORT DIVISION	19,982,472.00	1,078,258.38	13,180,507.81	6,801,964.19	65.96%	30,058,056.04
380 - CONSTRUCTION DIVISION	2,920,458.00	213,955.55	1,948,162.61	972,295.39	66.71%	412.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	1,534,407.04	8,383,203.32	4,651,222.68	64.32%	10,755,157.16
610 - DISTRICT 1	34,024,032.00	3,287,202.04	23,549,373.50	10,474,658.50	69.21%	7,408,771.15
620 - DISTRICT 2	23,354,011.00	3,398,839.06	16,825,720.71	6,528,290.29	72.05%	6,889,936.97
630 - DISTRICT 3	31,339,572.00	2,716,793.97	24,771,843.62	6,567,728.38	79.04%	3,389,594.07
640 - DISTRICT 4	33,107,740.00	3,629,823.46	23,034,760.78	10,072,979.22	69.58%	4,776,240.87
650 - DISTRICT 5	23,367,222.00	2,154,704.84	15,217,838.99	8,149,383.01	65.12%	4,649,872.79
660 - DISTRICT 6	25,846,634.00	2,486,063.45	18,828,300.55	7,018,333.45	72.85%	5,530,915.41
670 - DISTRICT 7	17,087,182.00	1,312,806.03	12,500,414.26	4,586,767.74	73.16%	4,390,952.46
680 - DISTRICT 8	16,356,389.00	1,360,873.46	12,141,018.34	4,215,370.66	74.23%	2,533,541.96
SUBTOTAL: OFFICE OF OPERATIONS	\$264,737,915.00	\$24,859,928.36	\$186,506,975.45	\$78,230,939.55	70.45%	\$86,786,994.96
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	283,998.60	272,269.06	(272,269.06)	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	(4,948,761.63)	(8,822,237.58)	9,904,299.58	(815.32)%	162,903.68
904 - TRANSPORTATION CAPITAL	610,237,608.00	18,688,649.31	451,213,028.10	159,024,579.90	73.94%	1,097,439,469.87
SUBTOTAL: BUDGETARY CONTROL	\$611,319,670.00	\$14,023,886.28	\$442,663,059.58	\$168,656,610.42	72.41%	\$1,097,602,373.55
AGENCY TOTAL	\$951,397,652.00	\$42,837,316.47	\$669,009,774.73	\$282,387,877.27	70.32%	\$1,213,671,038.15

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	103.08			11.16	114.24
9/3 & 24/2020	53.32			1.04	54.36
10/8 & 15 & 29/2020	55.25	5.72		41.60	102.57
11/19/2020	125.39	0.33		3.46	129.18
12/10 & 17/2020	2.39			1.19	3.58
1/14 & 28/2021	55.82				55.82
2/25/2021	89.34			2.48	91.82
3/18/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	484.68	6.77	0.00	60.93	552.38

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	5.51	1.76	15.44	51.47		10.81	114.24
9/3 & 24/2020	1.04	2.53		16.77	4.81	10.80	15.36	3.05	54.36
10/8 & 15 & 29/2020	12.74	39.65	7.55	22.74	7.37	6.78	5.74		102.57
11/19/2020	7.21	54.66	24.95		32.00	10.36			129.18
12/10 & 17/2020	0.50	1.89						1.19	3.58
1/14 & 28/2021		46.18	1.83	0.75	2.93	4.13			55.82
2/25/2021	17.27	25.59	17.90	18.90	2.06			10.10	91.82
3/18/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	60.26	178.34	57.74	60.92	65.33	83.54	21.10	25.15	552.38

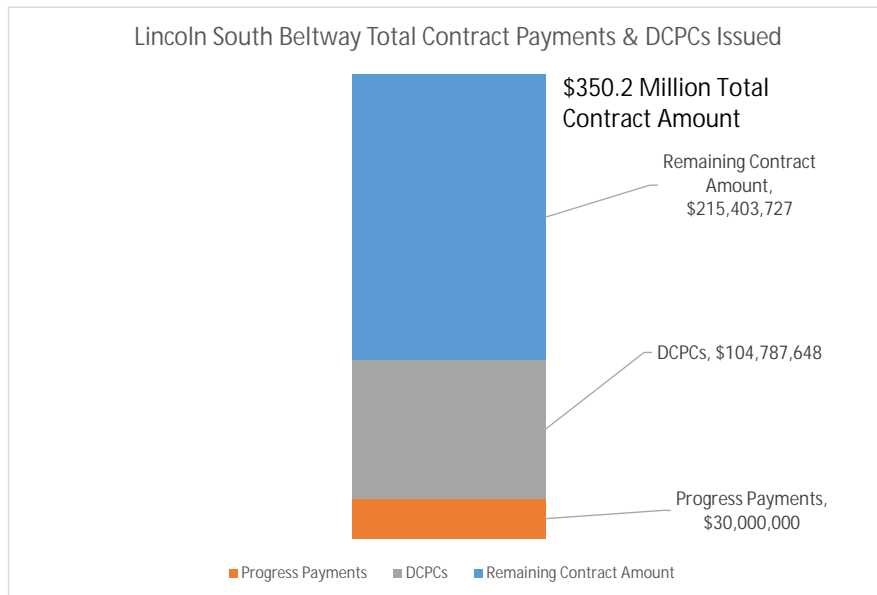
	State System			Local System	
Total Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)	
% Let to Date	71.1%	71.5%	84.5%	0.0%	74.5%
Actual \$ Let	552.38	484.68	6.77	0.00	60.93
Projected \$ Remaining	224.48	193.34	1.24	9.08	20.82
Total	\$776.86	\$678.02	\$8.01	\$9.08	\$81.75

- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of February 28, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued Through February 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT's obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC's future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through February 2021)

Remaining Contract Amount	\$215,403,727
Progress Payments	\$30,000,000
DCPCs	\$104,787,648

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through February 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$85,148
Total DCPCs to date	\$104,787,648

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	46,365	277,251
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000		
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 51,127	329,111	\$ 46,365	277,251

Footnotes:
FY21 Apportionment per Public Law 116-159. Available Obligation Limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

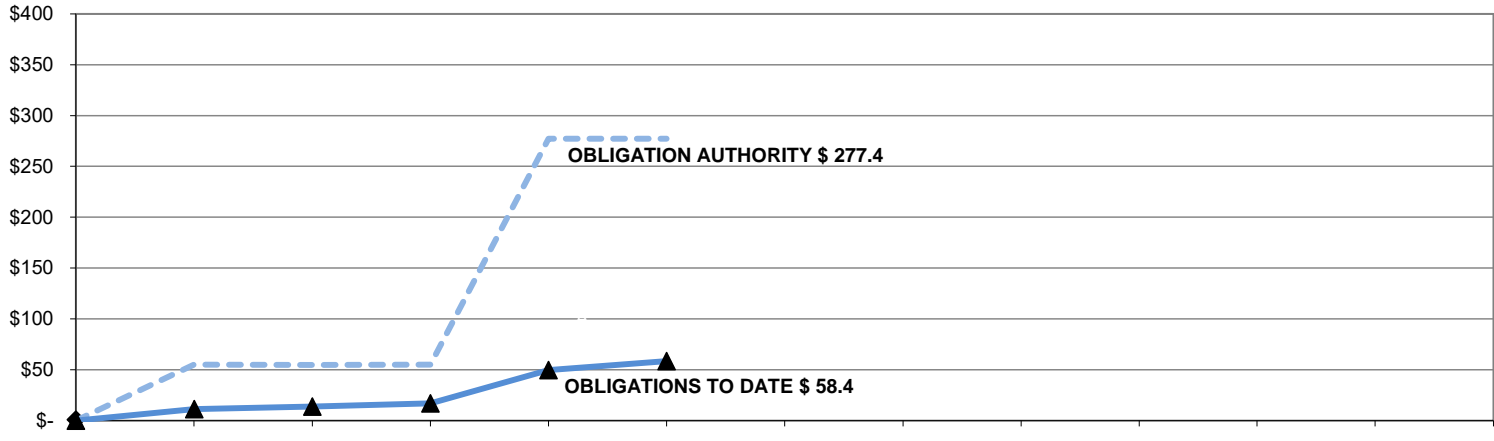
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
FEBRUARY 28, 2021

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	-	187,913,464	31,774,046	156,139,418	147,204,684	97,957,854
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	68,822
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	3,300,218	514,430	-	5,495,313
STP - Flexible - Any Area	1,350,799	33,159,291	-	34,510,090	8,329,215	26,180,876	80,645,826	89,008,223
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	3,342,785	13,752,283	23,322,866	56,732,973
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	3,478,187	17,171,560	73,093	5,185,433
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	981,500	42,685,104	8,000	2,419,484
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	989,205	13,007,800	-	9,783,639
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	983,107	9,999,049	-	7,197,294
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	3,610,757	33,082,577	3,490,919	11,825,787
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	1,935,261	1,441,444	2,355,797	2,036,621
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	241,932	9,932,865	-	2,622,155
Highway Planning	1,539,880	4,661,443	(13)	6,201,309	-	6,201,309	2,089	7,882,541
Research	293,360	1,553,815	375,869	2,223,044	14,907	2,208,136	141,795	5,211,735
Metropolitan Planning	293,435	1,776,501	-	2,069,936	-	2,069,936	-	3,133,794
National Hwy Freight Program	-	10,663,255	-	10,663,255	-	10,663,255	-	10,730,335
TAP - Flex	127,447	2,900,268	-	3,027,715	(5,123)	3,032,838	-	3,115,918
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	(170,729)	2,566,647	-	1,761,054
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	-	589,057	-	780,832
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	75,514	1,734,022	-	1,434,773
Recreational Trails	1,444,778	1,205,213	-	2,649,991	(16,950)	2,666,941	-	3,811,455
Enhancement	218,634	-	-	218,634	1,108	217,526	-	281,446
Safe Routes to School Prog	163,140	-	-	163,140	(37,353)	200,493	-	96,189
Redistribution - Certain Auth.	1,498,117	2,127,259	-	3,625,376	(401,317)	4,026,693	-	2,634,762
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	-	-	-	1,902,640
Other	-	-	(12,174)	(12,174)	-	(12,174)	-	1,107,345
Total Formula Funds	\$ 104,540,835	\$ 313,740,147	\$ 217,372	\$ 418,498,353	\$ 58,426,269	\$ 360,072,085	\$ 257,245,069	\$ 334,218,417
Allocated/Discretionary Funds	14,859	-	2,852,662	2,867,521	-	2,867,521	-	18,902,151
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 313,740,147	\$ 3,070,034	\$ 421,365,875	\$ 58,426,269	\$ 362,939,606	\$ 257,245,069	\$ 353,120,568
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	94,524,663	232,898,183	1,671,410	231,226,772	-	67,875,441
	-	-	-	-	-	-	-	-
GRAND TOTAL	\$ 238,405,628	\$ 318,263,733	\$ 97,594,697	\$ 654,264,057	\$ 60,097,679	\$ 594,166,378	\$ 257,245,069	\$ 420,996,009

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8	54.9	277.2	277.4							
OA Used	0.0	11.3	13.7	16.9	49.6	58.4							

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2020		As of February 28, 2021		
Formula Obligation Limitation	\$	284.1	\$	277.2	
August Redistribution		45.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	0.2	41.7%
Subtotal	\$	329.8	\$	277.4	
Other Allocation Obligation Limitation		18.5		-	
Annual Obligation Limitation	\$	348.3	\$	277.4	
Formula Obligations to Date		0.0		(58.4)	Obligated
Allocated Obligations to Date		-		-	21.1%
Subtotal	\$	-	\$	(58.4)	
Obligation Authority Balance	\$	348.30	\$	219.0	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Congestion MGMT Tech Deploy		0.0		2.7	
Highway Infrastructure COVID		0.0		71.7	
Highway Infrastructure (NON-COVID)		0.0		22.9	
Emergency Relief/Allocated Exempt		0.0		-	
Training and Education Fast		0.2		-	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 2018		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	238.7	
Obligations to Date		0.0		(1.7)	
Obligation Authority Balance	\$	218.6	\$	237.0	

FY21 Available Obligation limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - FEBRUARY 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	1,516,908.96	0.00	297.22	3,201.64	581.79	1,520,989.61
	RIGHT OF WAY	915,509.95	0.00	0.00	35,267.58	0.00	950,777.53
	CONSTRUCTION	3,894,830.69	2,146,373.32	0.00	343,221.68	26,717.46	6,411,143.15
	CONSTRUCTION ENGINEERING	385,182.12	724,121.74	0.00	10,338.92	5,825.72	1,125,468.50
	PLANNING & RESEARCH	19,388.72	74,390.15	0.00	0.00	2,205.83	95,984.70
	TOTAL	\$ 6,731,820.44	\$ 2,944,885.21	\$ 297.22	\$ 392,029.82	\$ 35,330.80	\$ 10,104,363.49
LOCAL	PRELIMINARY ENGINEERING	1,353.99	523,325.27	35,635.24	50,529.99	9,598.64	620,443.13
	RIGHT OF WAY	0.00	13,811.79	195.30	367.11	1,816.40	16,190.60
	CONSTRUCTION	257,866.77	2,530,719.23	387,315.53	226,324.56	(365.94)	3,401,860.15
	CONSTRUCTION ENGINEERING	9,790.13	457,494.04	18,809.07	146,114.43	50.11	632,257.78
	PLANNING & RESEARCH	23.59	52,211.97	0.00	13,029.39	0.00	65,264.95
	TOTAL	\$ 269,034.48	\$ 3,577,562.30	\$ 441,955.14	\$ 436,365.48	\$ 11,099.21	\$ 4,736,016.61
NON-HWY	PRELIMINARY ENGINEERING	1,277,653.13	20,058.11	0.00	6,030.64	0.00	1,303,741.88
	RIGHT OF WAY	88,662.15	11,811.07	0.00	2,952.75	0.00	103,425.97
	CONSTRUCTION	0.00	377,262.86	0.00	94,447.38	0.00	471,710.24
	CONSTRUCTION ENGINEERING	503,768.70	33,780.54	0.00	8,401.37	0.00	545,950.61
	TRAFFIC SAFETY & TRANS	47,074.92	564,405.27	0.00	0.00	0.00	611,480.19
	PLANNING & RESEARCH	288,717.28	1,401,112.37	0.00	8,100.96	268,276.35	1,966,206.96
	PUBLIC TRANSPORTATION ASSIST	3,737,579.97	1,317,461.34	12,195.47	1,076.68	965.11	5,069,278.57
	TOTAL	\$ 5,943,456.15	\$ 3,725,891.56	\$ 12,195.47	\$ 121,009.78	\$ 269,241.46	\$ 10,071,794.42
TOTAL - CURRENT MONTH		\$ 12,944,311.07	\$ 10,248,339.07	\$ 454,447.83	\$ 949,405.08	\$ 315,671.47	\$ 24,912,174.52

FISCAL YEAR TO DATE - FEBRUARY 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	17,117,007.82	73,122.93	97,563.26	27,412.96	56,329.27	17,371,436.24
	RIGHT OF WAY	5,837,422.02	284,143.92	84,358.94	90,161.42	0.00	6,296,086.30
	CONSTRUCTION	111,836,562.55	211,047,684.92	586,866.54	11,896,539.31	1,375,333.17	336,742,986.49
	CONSTRUCTION ENGINEERING	5,161,049.64	9,665,497.35	10,195.54	203,376.77	131,739.44	15,171,858.74
	PLANNING & RESEARCH	101,168.87	201,466.56	0.00	0.00	103,960.36	406,595.79
	TOTAL	\$ 140,053,210.90	\$ 221,271,915.68	\$ 778,984.28	\$ 12,217,490.46	\$ 1,667,362.24	\$ 375,988,963.56
LOCAL	PRELIMINARY ENGINEERING	106,841.05	2,572,222.06	164,372.23	777,972.87	39,192.39	3,660,600.60
	RIGHT OF WAY	5,692.19	3,676,269.49	20,759.18	588,993.88	278,434.55	4,570,149.29
	CONSTRUCTION	7,951,393.61	35,774,461.01	2,829,416.97	12,795,685.06	255,825.55	59,606,782.20
	CONSTRUCTION ENGINEERING	652,896.07	3,302,382.88	206,031.87	1,117,945.63	(52,767.24)	5,226,489.21
	PLANNING & RESEARCH	11,253.45	408,584.69	(8,827.60)	96,509.90	112.28	507,632.72
	TOTAL	\$ 8,728,076.37	\$ 45,733,920.13	\$ 3,211,752.65	\$ 15,377,107.34	\$ 520,797.53	\$ 73,571,654.02
NON-HWY	PRELIMINARY ENGINEERING	10,805,514.61	577,576.89	0.00	80,673.55	76,549.92	11,540,314.97
	RIGHT OF WAY	710,246.98	38,343.44	0.00	9,585.78	0.00	758,176.20
	CONSTRUCTION	835,209.61	4,245,791.23	0.00	1,166,910.48	0.00	6,247,911.32
	CONSTRUCTION ENGINEERING	4,284,320.07	525,511.18	0.00	129,043.88	0.00	4,938,875.13
	TRAFFIC SAFETY & TRANS	348,054.77	4,093,028.72	0.00	0.00	3,842.20	4,444,925.69
	PLANNING & RESEARCH	2,348,495.47	7,072,360.50	46.42	146,148.07	327,892.73	9,894,943.19
	PUBLIC TRANSPORTATION ASSIST	7,205,846.52	12,814,101.18	139,298.15	50,132.33	296,746.94	20,506,125.12
	TOTAL	\$ 26,537,688.03	\$ 29,366,713.14	\$ 139,344.57	\$ 1,582,494.09	\$ 705,031.79	\$ 58,331,271.62
TOTAL - FISCAL YEAR TO DATE		\$ 175,318,975.30	\$ 296,372,548.95	\$ 4,130,081.50	\$ 29,177,091.89	\$ 2,893,191.56	\$ 507,891,889.20

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
FEBRUARY 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,982,830,293.75	1,055,229,191.85	927,601,101.90	6,731,820.44	140,053,210.90	12,767,119.95
	FEDERAL	1,426,103,949.63	1,171,666,252.90	254,437,696.73	2,944,885.21	221,271,915.68	14,276,578.83
	COUNTY	2,636,993.74	2,335,980.88	301,012.86	297.22	778,984.28	6,215.52
	CITY	78,166,956.71	34,593,148.35	43,573,808.36	392,029.82	12,217,490.46	3,268,751.48
	OTHER	22,178,155.19	20,911,140.80	1,267,014.39	35,330.80	1,667,362.24	62,748.77
STATE HIGHWAY SYSTEM TOTALS		\$ 3,511,916,349.02	\$ 2,284,735,714.78	\$ 1,227,180,634.24	\$ 10,104,363.49	\$ 375,988,963.56	\$ 30,381,414.55
LOCAL HIGHWAY SYSTEM							
	STATE	58,883,495.30	40,833,909.20	18,049,586.10	269,034.48	8,728,076.37	(1,872,945.85)
	FEDERAL	367,147,959.81	247,983,441.32	119,164,518.49	3,577,562.30	45,733,920.13	9,022,668.59
	COUNTY	17,252,062.02	9,920,538.67	7,331,523.35	441,955.14	3,211,752.65	684,554.65
	CITY	126,143,152.34	75,119,906.63	51,023,245.71	436,365.48	15,377,107.34	1,165,501.43
	OTHER	11,370,340.06	8,421,066.38	2,949,273.68	11,099.21	520,797.53	15,629.91
LOCAL HIGHWAY SYSTEM TOTALS		\$ 580,797,009.53	\$ 382,278,862.20	\$ 198,518,147.33	\$ 4,736,016.61	\$ 73,571,654.02	\$ 9,015,408.73
NON-HIGHWAY							
	STATE	379,649,588.05	335,758,502.69	43,891,085.36	5,943,456.15	26,537,688.03	8,738,521.75
	FEDERAL	219,938,013.84	125,364,872.82	94,573,141.02	3,725,891.56	29,366,713.14	6,627,905.12
	COUNTY	875,454.38	434,507.37	440,947.01	12,195.47	139,344.57	36,005.53
	CITY	7,236,025.34	5,167,947.00	2,068,078.34	121,009.78	1,582,494.09	199,325.10
	OTHER	14,898,221.36	12,382,915.09	2,515,306.27	269,241.46	705,031.79	309,300.76
NON-HIGHWAY TOTALS		\$ 622,597,302.97	\$ 479,108,744.97	\$ 143,488,558.00	\$ 10,071,794.42	\$ 58,331,271.62	\$ 15,911,058.26
GRAND TOTALS		\$ 4,715,310,661.52	\$ 3,146,123,321.95	\$ 1,569,187,339.57	\$ 24,912,174.52	\$ 507,891,889.20	\$ 55,307,881.54

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
FEBRUARY 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	0.01	0.00	0.01	0.00	0.00	0.00
PRELIMINARY ENGINEERING	543,108,849.28	393,797,942.42	149,310,906.86	3,445,174.62	32,572,351.81	6,567,599.69
RIGHT OF WAY	188,264,336.87	127,432,746.67	60,831,590.20	1,070,394.10	11,624,411.79	2,186,655.13
UTILITIES	51,342,328.12	23,912,452.76	27,429,875.36	739,186.04	3,857,276.07	1,165,899.99
CONSTRUCTION	3,449,575,746.08	2,282,584,090.49	1,166,991,655.59	9,545,527.50	398,740,403.94	28,955,497.64
CONSTRUCTION ENGINEERING	232,380,859.34	148,791,402.71	83,589,456.63	2,303,676.89	25,337,223.08	4,484,193.74
TRAFFIC SAFETY	36,237,157.16	19,456,257.20	16,780,899.96	611,480.19	4,444,925.69	1,101,559.81
PLANNING & RESEARCH	105,732,924.93	74,138,137.30	31,594,787.63	2,127,456.61	10,809,171.70	2,991,606.30
PUBLIC TRANSPORTATION	108,668,459.73	76,010,292.40	32,658,167.33	5,069,278.57	20,506,125.12	7,854,869.24
GRAND TOTALS	\$ 4,715,310,661.52	\$ 3,146,123,321.95	\$ 1,569,187,339.57	\$ 24,912,174.52	\$ 507,891,889.20	\$ 55,307,881.54

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
FEBRUARY 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,243,267,784.20	932,974,613.07	310,293,171.13	8,872,187.80	111,037,630.81	15,022,691.22
ROADS OPERATION FUND AC*	213,141,266.26	1,488,617.77	211,652,648.49	816,400.06	466,662.88	(3,240,087.47)
GRADE CROSSING FUND	2,891,109.40	1,509,241.16	1,381,868.24	8,574.96	120,979.88	(16,318.09)
GRADE SEPARATION-TMT	28,115,756.28	25,182,336.23	2,933,420.05	320.70	1,228,511.09	9,821.07
RECREATION ROAD FUND	11,372,882.69	9,688,199.18	1,684,683.51	49,921.42	2,978,736.52	71,366.93
ST HWY CAPITAL IMPR	770,435,631.66	356,504,864.28	413,930,767.38	2,101,511.42	23,152,625.61	5,350,609.72
STATE AID BRIDGE	4,514,890.39	4,031,625.78	483,264.61	10,634.14	1,323,241.38	33,471.45
TRANS INFRA BANK	147,624,056.22	100,442,106.27	47,181,949.95	1,084,760.57	35,010,587.13	2,401,141.02
TOTAL STATE FUNDS	\$ 2,421,363,377.10	\$ 1,431,821,603.74	\$ 989,541,773.36	\$ 12,944,311.07	\$ 175,318,975.30	\$ 19,632,695.85
FEDERAL FUNDS	2,013,189,923.28	1,545,014,567.04	468,175,356.24	10,248,339.07	296,372,548.95	29,927,152.54
COUNTY FUNDS	20,764,510.14	12,691,026.92	8,073,483.22	454,447.83	4,130,081.50	726,775.70
CITY FUNDS	211,546,134.39	114,881,001.98	96,665,132.41	949,405.08	29,177,091.89	4,633,578.01
OTHER FUNDS	48,446,716.61	41,715,122.27	6,731,594.34	315,671.47	2,893,191.56	387,679.44
GRAND TOTALS	\$ 4,715,310,661.52	\$ 3,146,123,321.95	\$ 1,569,187,339.57	\$ 24,912,174.52	\$ 507,891,889.20	\$ 55,307,881.54

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
February 28, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 8,014,875.98	\$ 54,794,192.75	\$ 503,460,919.92			
Expenditures						
Expressway and High Priority Corridors	2,101,511.42	23,053,581.15	245,517,086.56	390,455,064.38	359,544,024.44	
Other Highways		99,044.46	110,987,777.72	23,475,703.00	202,010,343.30	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 2,101,511.42	\$ 23,152,625.61	\$ 467,972,954.24	\$ 413,930,767.38	\$ 561,554,367.74	
Funds Available			\$ 35,487,965.68			

**Transportation Innovation Act
Financial Status
February 28, 2021**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,158,175.76	\$ 18,631,261.32	\$ 147,946,677.73		
Expenditures					
Accelerated State Highway Capital Improvement Program	793,451.37	32,831,551.53	91,259,406.76	35,935,406.74	168,766,397.16
County Bridge Match Program	291,309.20	1,223,708.01	7,167,534.79	10,314,282.56	11,376,456.00
Economic Opportunity Program		955,327.59	2,015,164.72	932,260.65	17,632,853.93
TIB Projects Completed/Closed					
Total Expenditures	\$ 1,084,760.57	\$ 35,010,587.13	\$ 100,442,106.27	\$ 47,181,949.95	\$ 197,775,707.09
Funds Available			\$ 47,504,571.46		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

	(\$MILLIONS)						
	Obligation Limitation Percentage			88.90%			
	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>02/28/21</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.358	-	-	3.358	3.079	0.279
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 14.426	-	⁽⁴⁾ (0.146)	14.280	3.343	10.937
LCLC - LINCOLN	6.395	\$ 5.685	11.177	-	16.862	3.478	13.384
SubTotal Local	\$ 26.399	\$ 23.469	\$ 12.377	\$ (0.146)	\$ 35.700	\$ 9.900	\$ 25.800
METRO PLANNING	1.777	1.580	-	-	1.580	-	1.580
Omaha	66.836%	1.121	0.996	-	0.996	-	0.996
Lincoln	26.341%	0.466	0.414	-	0.414	-	0.414
South Sioux City	1.688%	0.067	0.060	-	0.060	-	0.060
Grand Island	5.135%	0.123	0.109	-	0.109	-	0.109
TAP - Flex	2.900	2.578	-	-	2.578	-	2.578
TAP - 5K and Under	0.874	0.777	-	-	0.777	0.039	0.738
TAP - 5K-200K	0.573	0.509	-	-	0.509	(0.005)	0.514
TAP - MAPA - OMAHA	1.042	0.926	-	-	0.926	(0.070)	0.996
TAP - LCLC - LINCOLN	0.411	0.365	-	-	0.365	(0.101)	0.466
REC TRAILS	1.205	1.071	-	-	1.071	(0.017)	1.088
TOTAL	\$ 35.181	\$ 31.275	\$ 12.377	\$ (0.146)	\$ 43.506	\$ 9.746	\$ 33.760

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of February 28, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	365,175.59
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	211,334.69
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	130,942.62

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,779.01
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	111,459.10
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	67,424.67
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	HELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,852.42
3078	SAUNDERS COUNTY	110,480.32
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,404,007.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	354,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

March
2021

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

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- The state revenue projections in this report were developed in December 2020 and incorporate the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- Total revenue in March exceeded expenditures by \$20.0 million. Fiscal year to date revenue surpassed expenditures by \$78.1 million (page 4).
- Projected \$974.3 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 28.7 cents, effective January 1, 2021. The month of March’s major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$307 thousand or 1.7%, motor vehicle registration revenue was over the projected amount by 176 thousand or 5.2% and motor vehicle sales tax was over the projected amount by \$1.6 million or 15.7%. Highway Cash Fund receipts for FY21 to date were higher than projections by \$12.8 million or 3.5% (page 11, 12).
- Established an operating budget for Roads Division of \$951.4 million for FY21 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).

 March expenditures totaled \$73.6 million. Fiscal year to date expenditures totaled \$742.7 million, 78.1% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of February 15, 2021 thru March 14, 2021. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- Highway construction contract lettings fiscal year to date totaled \$533.6 million, \$472.4 million on the state highway system (page 18).
- The March report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. The annual obligation authority is 88.9% per public Law 116-260. As of March 31, 2021, obligations of \$60.4 million have resulted in an obligation authority balance of \$216.8 million (pages 22, 23 and 24).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$509.7 million has been received to date with allocated expenditures totaling \$431.8 million (page 29).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$100.0 million has been received to date with expenditures totaling \$102.0 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
March 2021

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	347,809,646.80	318,851,148.90	28,958,497.90	9.08	248,495,572.88	99,314,073.92	39.97
Federal Receivables	2,576,994.61	5,163,347.74	(2,586,353.13)	(50.09)	6,679,681.91	(4,102,687.30)	(61.42)
Other Receivables	19,949,064.14	17,237,956.71	2,711,107.43	15.73	11,286,936.71	8,662,127.43	76.74
Inventories	2,724,485.64	2,810,479.39	(85,993.75)	(3.06)	3,116,851.95	(392,366.31)	(12.59)
Total Current Assets	\$373,060,191.19	\$344,062,932.74	\$28,997,258.45	8.43 %	\$269,579,043.45	\$103,481,147.74	38.39 %
Capital Assets							
Equipment	67,849,546.48	64,116,440.77	3,733,105.71	5.82	65,892,389.46	1,957,157.02	2.97
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,800,583,530.80	7,800,583,530.80	0.00	0.00	7,860,499,911.61	(59,916,380.81)	(0.76)
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,538,491,253.39	\$8,534,758,147.68	\$3,733,105.71	0.04 %	\$8,570,961,755.25	(\$32,470,501.86)	(0.38)%
Total Assets	\$8,911,551,444.58	\$8,878,821,080.42	\$32,730,364.16	0.37 %	\$8,840,540,798.70	\$71,010,645.88	0.80 %
LIABILITIES							
Current Liabilities							
Accounts Payable	6,759,825.71	1,586,673.45	5,173,152.26	326.04	5,733,020.99	1,026,804.72	17.91
Retention Payable	114,692,716.41	106,193,378.02	8,499,338.39	8.00	1,170,419.58	113,522,296.83	9,699.28
Other Payables	71,145,026.32	67,232,564.29	3,912,462.03	5.82	57,543,572.78	13,601,453.54	23.64
Total Current Liabilities	\$192,597,568.44	\$175,012,615.76	\$17,584,952.68	10.05 %	\$64,447,013.35	\$128,150,555.09	198.85 %
Total Liabilities	\$192,597,568.44	\$175,012,615.76	\$17,584,952.68	10.05 %	\$64,447,013.35	\$128,150,555.09	198.85 %
NET ASSETS							
Capital Equity							
Capital	8,538,491,253.39	8,534,758,147.68	3,733,105.71	0.04	8,570,961,755.25	(32,470,501.86)	(0.38)
Total Capital Equity	\$8,538,491,253.39	\$8,534,758,147.68	\$3,733,105.71	0.04 %	\$8,570,961,755.25	(\$32,470,501.86)	(0.38)%
Fund Balance							
Reserved Fund Balance	(111,968,230.77)	(103,382,898.63)	(8,585,332.14)	8.30	1,946,432.37	(113,914,663.14)	(5,852.49)
Unreserved Fund Balance	292,430,853.52	272,433,215.61	19,997,637.91	7.34	203,185,597.73	89,245,255.79	43.92
Total Fund Balance	\$180,462,622.75	\$169,050,316.98	\$11,412,305.77	6.75 %	\$205,132,030.10	(\$24,669,407.35)	(12.03)%
Total Net Assets	\$8,718,953,876.14	\$8,703,808,464.66	\$15,145,411.48	0.17 %	\$8,776,093,785.35	(\$57,139,909.21)	(0.65)%
Total Liabilities and Net Assets	\$8,911,551,444.58	\$8,878,821,080.42	\$32,730,364.16	0.37 %	\$8,840,540,798.70	\$71,010,645.88	0.80 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
MARCH 2021**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	42,512,948.41	47,729,963.16	(5,217,014.75)	(10.93)	436,576,660.22	406,433,100.07	30,143,560.15	7.42
Federal Reimbursements	49,979,894.89	10,248,339.07	39,731,555.82	387.69	346,355,953.84	335,805,009.11	10,550,944.73	3.14
Local Revenues	674,850.40	1,427,717.37	(752,866.97)	(52.73)	32,583,292.14	13,397,970.46	19,185,321.68	143.20
Other Entities Revenues	472,781.61	583,868.73	(111,087.12)	(19.03)	5,272,480.71	7,215,822.30	(1,943,341.59)	(26.93)
Total Revenue	\$93,640,475.31	\$59,989,888.33	\$33,650,586.98	56.09 %	\$820,788,386.91	\$762,851,901.94	\$57,936,484.97	7.59 %
Expenditures								
Administration	1,649,506.73	1,837,743.79	(188,237.06)	(10.24)	16,615,749.88	17,020,327.36	(404,577.48)	(2.38)
Highway Maintenance	16,784,155.56	14,135,816.82	2,648,338.74	18.73	128,960,872.70	122,229,531.71	6,731,340.99	5.51
Capital Facilities	236,710.90	125,349.80	111,361.10	88.84	3,082,249.34	1,906,297.42	1,175,951.92	61.69
Services and Support	1,827,030.42	938,818.95	888,211.47	94.61	26,643,211.93	24,723,573.13	1,919,638.80	7.76
Construction	49,877,021.03	20,206,457.55	29,670,563.48	146.84	540,726,413.61	587,458,614.67	(46,732,201.06)	(7.95)
Highway Safety Office	413,398.25	538,088.27	(124,690.02)	(23.17)	3,634,708.17	4,076,776.95	(442,068.78)	(10.84)
Public Transit	2,855,779.21	5,055,041.29	(2,199,262.08)	(43.51)	22,990,171.20	18,601,333.34	4,388,837.86	23.59
Total Expenditures	\$73,643,602.10	\$42,837,316.47	\$30,806,285.63	71.91 %	\$742,653,376.83	\$776,016,454.58	(\$33,363,077.75)	(4.30) %
Excess Revenue (Expenditures)	\$19,996,873.21	\$17,152,571.86	\$2,844,301.35	16.58 %	\$78,135,010.08	(\$13,164,552.64)	\$91,299,562.72	(693.53) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
March 2021**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	205,914,753.70	33,448,407.27	41,686,012.09	49,517,728.39	3,566,392.26	2,140,628.42	11,467,318.26	64,031.55	347,805,271.94
Other Current Assets	25,254,919.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	25,254,919.25
Capital Assets	8,538,491,253.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,538,491,253.39
TOTAL ASSETS	\$8,769,660,926.34	\$33,448,407.27	\$41,686,012.09	\$49,517,728.39	\$3,566,392.26	\$2,140,628.42	\$11,467,318.26	\$64,031.55	\$8,911,551,444.58
LIABILITIES									
Current Liabilities	192,597,568.44	0.00	0.00	0.00	0.00	0.00	0.00	0.00	192,597,568.44
TOTAL LIABILITIES	\$192,597,568.44	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$192,597,568.44
NET ASSETS									
Fund Balance	354,195,863.71	(335,088,929.35)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	102,327,612.67
Capital Equity	8,538,491,253.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,538,491,253.39
Accrued Interfund Transfer	32,736,859.49	0.00	(36,219,915.19)	1,511,655.23	23,506.44	676.85	1,969.78	1,945,247.40	0.00
Revenues	365,005,533.89	368,537,336.62	60,992,238.83	20,644,418.25	1,688,904.58	294,636.86	3,049,064.44	576,253.44	820,788,386.91
Costs	(713,366,152.58)	0.00	13,067,289.58	(36,522,242.36)	(1,280,150.42)	(124,427.18)	(3,054,196.01)	(1,373,497.86)	(742,653,376.83)
TOTAL NET ASSETS	\$8,577,063,357.90	\$33,448,407.27	\$41,686,012.09	\$49,517,728.39	\$3,566,392.26	\$2,140,628.42	\$11,467,318.26	\$64,031.55	\$8,718,953,876.14
TOTAL LIABILITIES AND NET ASSETS	\$8,769,660,926.34	\$33,448,407.27	\$41,686,012.09	\$49,517,728.39	\$3,566,392.26	\$2,140,628.42	\$11,467,318.26	\$64,031.55	\$8,911,551,444.58

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
March 2021

The nature of revenue and expenditure trends create a cash flow situation in that typically during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.4	128.0	58.3	84.4	72.0	68.6	59.9	93.6			
Expenditures	136.3	95.8	99.7	113.0	66.4	66.3	48.3	42.8	73.6			
Balance	3.8	19.6	28.3	(54.7)	18.0	5.7	20.3	17.1	20.0			
Cumulative Balance	3.8	23.4	51.7	(3.0)	15.0	20.7	41.0	58.1	78.2			

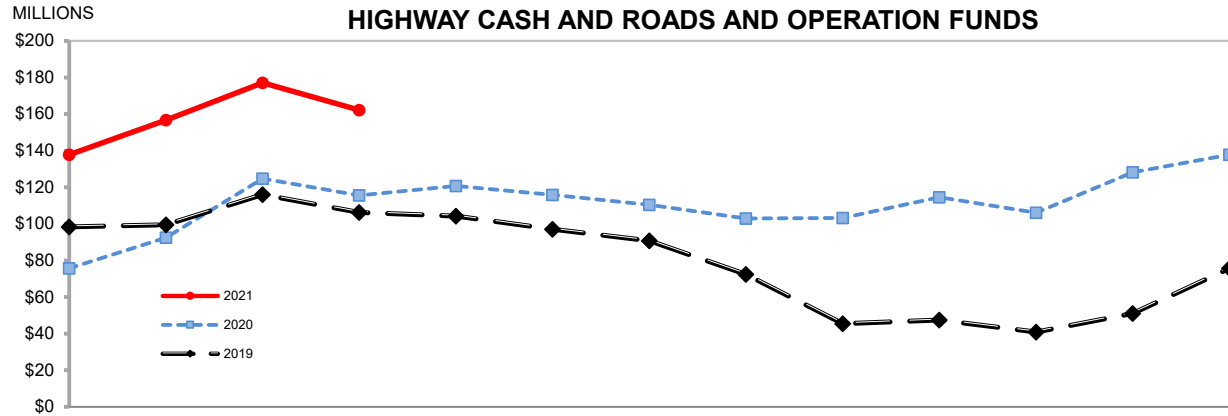
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances received \$370,660.64 in March, with an interest rate of 1.63%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%	1.47%	1.67%	1.37%	1.46%	1.53%	1.43%	1.63%					1.53%
Earnings (Thousands)	\$334	\$276	\$286	\$327	\$278	\$295	\$334	\$332	\$371				\$2,833	\$315

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
March 2021
(IN MILLIONS)

Total of all funds available as of March 31st is \$341.3 million. The chart below compares the Highway Cash and Road Operations Funds monthly lowest level for three calendar years. For these two funds, the month ranged from a high of \$232.8 million on the 31st to a low of \$162.1 million on the 29th.



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2021	156.7	177.1	162.1									
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2021	26.9	29.6	35.5									
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2021	47.7	47.7	48.6									
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
GRADE CROSSING PROTECTION FUND												
2021	4.5	4.5	4.6									
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
RECREATION ROAD FUND												
2020	10.5	10.8	11.2									
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
STATE AID BRIDGE FUND												
2021	0.0	0.0	0.0									
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES
March 2021

	<u>ADMINISTRATION 026</u>						<u>301</u>	<u>AIRCRAFT 596</u>		<u>TOTALS</u>
	<u>Admin.</u>	<u>ACE</u>	<u>Proj Plan/Mgt</u>	<u>Nav. Aids</u>	<u>Airfields</u>	<u>Pave. Maint.</u>	<u>Capital Projs.</u>	<u>Operations</u>	<u>Aircraft Res.</u>	
REVENUES:										
450000 Taxes	87,588.86									87,588.86
460000 Intergovernmental			175.16				1,058,352.07			1,058,527.23
470000 Sales & Charges				13,354.45	1,718.58	2,982.35				18,055.38
480000 Miscellaneous	8,850.11			2,369.70	20,369.75					31,589.56
490000 Other					12,262.61					12,262.61
TOTAL REVENUES	96,438.97	-	175.16	15,724.15	34,350.94	2,982.35	1,058,352.07	-	-	1,208,023.64
EXPENDITURES:										
510000 Personal Services	37,872.44		34,243.29	13,936.64	8,039.93					94,092.30
520000 Operating Expenses	12,507.98		54,684.42	8,377.27	7,232.69	2,953.17		825.41		86,580.94
570000 Travel Expenses	142.37		12.32	2,634.20						2,788.89
580000 Capital Outlay										-
590000 Government Aid							905,054.78			905,054.78
TOTAL EXPENDITURES	50,522.79	-	88,940.03	24,948.11	15,272.62	2,953.17	905,054.78	825.41	-	1,088,516.91
Excess (Deficiency) of Revenues Over Expenditures	45,916.18	-	(88,764.87)	(9,223.96)	19,078.32	29.18	153,297.29	(825.41)	-	119,506.73
OTHER FINANCING SOURCES (USES):										
Transfers In			88,764.87	9,223.96		-		-	-	
Transfers Out	(97,988.83)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(52,072.65)	-	-	-	19,078.32	29.18	153,297.29	(825.41)	-	119,506.73
Fund Balance February 28, 2021	1,278,662.29	(2,804.95)	-	-	1,246,166.36	24,706.82	3,589,508.40	(44,750.87)	1,348,083.63	7,439,571.68
Fund Balance March 31, 2021	1,226,589.64	(2,804.95)	-	-	1,265,244.68	-	3,742,805.69	(45,576.28)	1,348,083.63	7,559,078.41

**COMBINED SUMMARY OF REVENUES & EXPENDITURES
FISCAL YEAR TO DATE (July 1, 2020 through March 31, 2021)**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	ACE	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	792,882.73									792,882.73
460000 Intergovernmental			(4,813.41)	450.00	226,546.01		23,996,490.19			24,218,672.79
470000 Sales & Charges			11,050.00	132,215.93	26,329.83	31,350.28				200,946.04
480000 Miscellaneous	83,627.27			2,369.70	435,641.35			2,979.82	168.00	524,786.14
490000 Other					60,046.41	933.80				60,980.21
TOTAL REVENUES	876,510.00	-	6,236.59	135,035.63	748,563.60	32,284.08	23,996,490.19	2,979.82	168.00	25,798,267.91
EXPENDITURES:										
510000 Personal Services	380,162.51		343,268.54	135,118.53	83,573.08	12.36		12.36		942,147.38
520000 Operating Expenses	146,643.87	849.12	136,965.13	50,073.26	635,568.92	9,641.12		66,434.98	1,051.87	1,047,228.27
570000 Travel Expenses	1,408.93	1,918.81	3,723.89	21,965.44	4.60	239.60		932.23		30,193.50
580000 Capital Outlay			7,495.20	6,791.53	638.00					14,924.73
590000 Government Aid	25,707.30						23,741,593.57			23,767,300.87
TOTAL EXPENDITURES	553,922.61	2,767.93	491,452.76	213,948.76	719,784.60	9,893.08	23,741,593.57	67,379.57	1,051.87	25,801,794.75
Excess (Deficiency) of Revenues Over Expenditures	322,587.39	(2,767.93)	(485,216.17)	(78,913.13)	28,779.00	22,391.00	254,896.62	(64,399.75)	(883.87)	(3,526.84)
OTHER FINANCING SOURCES (USES):										
Transfers In			485,216.17	78,913.13		(22,391.00)		64,399.75		
Transfers Out	(606,138.05)									
Grant \$ transfer	(250,000.00)						250,000.00			
Excess (Deficiency) of Revenues Over Expenditures	(533,550.66)	(2,767.93)	-	-	28,779.00	-	504,896.62	-	(883.87)	(3,526.84)
Fund Balance June 30, 2020	1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance March 31, 2021	955,749.36	(2,804.95)	-	-	1,265,244.68	-	3,992,805.69	-	1,348,083.63	7,559,078.41

RECEIPTS

Motor Fuel Tax Rates

Effective Date	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	1/21	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	3.9	-3.5
Wholesale Tax ¢	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	8.5	-1.0
Total Tax ¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	28.7¢	-4.5¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Nebraska Department of Transportation (NDOT) and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increased 1 ½¢ each year thru 2019. This cumulative 6¢ increase included 2¢ each to NDOT, cities and counties.

Variable Tax: The NDOT receives the revenue generated from the variable excise tax, which is adjusted semi-annually, and is computed by applying a variable rate determined by the Department multiplied by the average price of motor fuel purchased by state government. The variable rate is determined so that the estimated Highway Cash Fund revenues for the fiscal year are within a statutory range of the Highway Cash Fund appropriation. The variable rate as determined by the Department for FY21 is 2.8% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the Nebraska Department of Environment and Energy on April 1 and October 1. It is distributed 66% to the NDOT and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the NDOT receives 53 ⅓ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The NDOT's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from NDOT and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2021 RECEIPTS
AS OF MARCH 31, 2021
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED December 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$97,055	\$ 7,019	\$ 7,121	\$ 102	1.5%	\$ 72,803	\$ 74,100	\$ 1,297	1.8%
Incremental Fixed	25,924	1,872	1,908	36	1.9%	19,457	19,837	380	2.0%
Variable	72,497	3,650	3,720	70	1.9%	59,885	61,194	1,309	2.2%
Wholesale	<u>78,429</u>	<u>5,251</u>	<u>5,351</u>	<u>100</u>	1.9%	<u>60,288</u>	<u>61,461</u>	<u>1,173</u>	1.9%
Subtotal	273,905	17,792	18,099	307	1.7%	212,433	216,592	4,159	2.0%
Motor Vehicle Registrations	32,909	2,454	2,605	151	6.1%	25,741	25,734	(7)	(0.0%)
Prorate Registrations	<u>12,647</u>	<u>909</u>	<u>935</u>	<u>26</u>	2.9%	<u>9,741</u>	<u>9,782</u>	<u>41</u>	0.4%
Subtotal	45,556	3,363	3,539	176	5.2%	35,482	35,516	34	0.1%
Sales Tax on Motor Vehicles	136,569	10,144	11,733	1,589	15.7%	108,222	115,595	7,373	6.8%
Interest	2,320	193	269	76	39.5%	1,780	1,970	190	10.7%
Sale of Supplies and Materials	1,240	97	76	(21)	(21.8%)	904	837	(67)	(7.4%)
Sale of Fixed Assets	1,267	17	527	510	3000.1%	876	1,796	920	105.0%
Excess Limit	2,930	281	244	(37)	(13.0%)	2,137	2,059	(78)	(3.6%)
Overload Fines	427	36	23	(13)	(36.6%)	314	253	(61)	(19.5%)
Other Fees	<u>1,594</u>	<u>88</u>	<u>278</u>	<u>190</u>	216.3%	<u>1,296</u>	<u>1,587</u>	<u>291</u>	22.4%
SUBTOTAL HIGHWAY CASH FUND	\$ 465,808 (A)	\$ 32,011	\$ 34,789	\$ 2,779	8.7%	\$ 363,444	\$ 376,204	\$ 12,760 (B)	3.5%
Incremental Tax Transfer to TIB Fund	(25,712)	(1,933)	(1,952)	(19)	1.0%	(\$19,631)	(19,975)	(344)	1.8%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 440,096	\$ 30,078	\$ 32,837	\$ 2,759	9.2%	\$ 343,813	\$ 356,229	\$ 12,416	3.6%
State Hwy Capital Impr Fund	76,511	5,550	6,198	648	11.7%	59,528	60,992	1,464	2.5%
Transportation Infrastructure Bank Fund (TIB)	27,087	2,070	2,013	(57)	(2.7%)	20,595	20,644	50	0.2%
Grade Crossing Protection Fund	2,942	756	1,121	365	48.2%	2,074	1,984	(90)	(4.4%)
Recreation Road Fund	4,388	324	293	(31)	(9.6%)	3,343	3,049	(294)	(8.8%)
State Aid Bridge Fund	<u>768</u>	<u>64</u>	<u>64</u>	<u>0</u>	0.0%	<u>576</u>	<u>576</u>	<u>0</u>	0.0%
TOTAL STATE RECEIPTS	\$ 551,792	\$ 38,842	\$ 42,526	\$ 3,684	9.5%	\$ 429,929	\$ 443,474	\$ 13,546	3.2%
Federal Receipts									
FHWA	358,373	6,202	50,837	44,635	719.7%	272,596	331,378	58,782	21.6%
Transit	17,500	426	1,157	731	171.6%	14,852	18,369	3,517	23.7%
Highway Safety	<u>4,964</u>	<u>506</u>	<u>545</u>	<u>39</u>	7.7%	<u>3,409</u>	<u>3,174</u>	<u>(235)</u>	(6.9%)
Subtotal-Federal Receipts	380,837	7,134	52,539	45,405	636.5%	290,857	352,920	62,063	21.3%
Local Receipts	34,991	708	420	(288)	(40.7%)	32,869	38,736	5,867	17.9%
Other Entities	<u>6,655</u>	<u>380</u>	<u>369</u>	<u>(11)</u>	(2.8%)	<u>5,402</u>	<u>6,455</u>	<u>1,053</u>	19.5%
TOTAL DEPARTMENT RECEIPTS	\$ 974,275	\$ 47,064	\$ 95,854	\$ 48,790	103.7%	\$ 759,057	\$ 841,585	\$ 82,528	10.9%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of December 14, 2020	\$ 465,808
(B) Receipts Over/(Under) Projection To Date	12,760
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 478,568
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	19,568
% Variance From Appropriation	4.3%

** Numbers may not add due to rounding.

** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
March 2021

FISCAL YEAR 2021
 Period Expired 75.00%
 Pay Period Ending 3/14/2021

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	107,938,356.00	7,185,576.24	76,265,555.85	31,672,800.15	70.66%	0.00
Temporary Salaries	1,905,021.00	63,574.49	1,548,159.42	356,861.58	81.27%	0.00
Overtime	6,071,840.00	433,977.32	5,170,567.51	901,272.49	85.16%	0.00
Employee Benefits	41,462,486.00	3,049,690.44	28,948,880.53	12,513,605.47	69.82%	0.00
SUBTOTAL: Personal Services	\$157,377,703.00	\$10,732,818.49	\$111,933,163.31	\$45,444,539.69	71.12%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	427,532.14	2,692,130.62	961,529.38	73.68%	0.00
Rentals	877,359.00	50,336.21	661,367.49	215,991.51	75.38%	3,000.00
Repairs & Maintenance	11,598,760.00	811,714.90	7,281,680.17	4,317,079.83	62.78%	1,459,093.51
Maintenance Contracts	14,089,958.00	1,278,244.55	9,139,854.52	4,950,103.48	64.87%	24,200,072.93
Engineering Contracts	35,285,520.00	1,918,039.40	19,660,780.91	15,624,739.09	55.72%	36,539,500.05
Contractual Services	43,234,439.00	28,634,111.53	37,260,937.87	5,973,501.13	86.18%	9,485,569.28
Technology Expenses	21,751,004.00	2,040,828.04	16,746,457.51	5,004,546.49	76.99%	37,987,932.36
Other Operating Expenses	4,888,173.00	159,569.77	3,929,301.09	958,871.91	80.38%	231,189.50
SUBTOTAL: Operating Expenses	\$135,378,873.00	\$35,320,376.54	\$97,372,510.18	\$38,006,362.82	71.93%	\$109,906,357.63
Supplies and Materials						
General Supplies & Materials	1,698,165.00	(329,542.80)	949,486.07	748,678.93	55.91%	717,415.74
Maint & Const Materials	48,031,631.00	4,210,379.58	44,233,068.12	3,798,562.88	92.09%	17,483.83
Automotive Supplies & Materials	18,230,100.00	1,649,645.27	11,199,614.52	7,030,485.48	61.43%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$5,530,482.05	\$56,382,168.71	\$11,577,727.29	82.96%	\$734,899.57
Travel						
In State Travel	825,995.00	26,849.92	262,332.90	563,662.10	31.76%	0.00
Out of State Travel	230,758.00	0.00	3,754.86	227,003.14	1.63%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$26,849.92	\$266,087.76	\$790,665.24	25.18%	\$0.00
Capital Outlay						
Land	16,000,000.00	1,763,521.61	7,610,944.58	8,389,055.42	47.57%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	7,599,628.57	343,947,155.78	109,296,576.22	75.89%	970,665,617.22
Buildings	11,668,000.00	213,483.60	2,376,471.76	9,291,528.24	20.37%	6,431,952.80
Heavy Equipment and Vehicles	14,691,430.00	4,796,060.14	11,404,941.73	3,286,488.27	77.63%	6,060,889.69
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	54,012.00	250,025.65	907,534.35	21.60%	475,815.00
SUBTOTAL: Capital Outlay	\$496,860,722.00	\$14,426,705.92	\$365,589,539.50	\$131,271,182.50	73.58%	\$983,634,274.71
Government Aid & Distr						
Public Transit Aid	42,312,705.00	2,816,286.41	22,615,644.92	19,697,060.08	53.45%	14,164,195.97
Highway Safety Office	5,200,000.00	372,333.36	3,271,079.57	1,928,920.43	62.91%	5,199,707.00
Other Government Aid	45,251,000.00	4,417,749.41	85,223,182.88	(39,972,182.88)	188.33%	151,169,803.24
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$7,606,369.18	\$111,109,907.37	(\$18,346,202.37)	119.78%	\$170,533,706.21
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$73,643,602.10	\$742,653,376.83	\$208,744,275.17	78.06%	\$1,264,809,238.12

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
March 2021

FISCAL YEAR 2021
 Period Expired 75.00%
 Pay Period Ending 3/14/2021

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	1,649,506.73	16,598,706.03	2,429,540.97	87.23%	197,754.87
Boards & Commissions	50,000.00	0.00	17,043.85	32,956.15	34.09%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$1,649,506.73	\$16,615,749.88	\$2,462,497.12	87.09%	\$197,754.87
Service and Support						
Charges to Others	1,100,000.00	84,749.25	689,807.99	410,192.01	62.71%	52,020.59
Deficiency Claims	17,184.00	0.00	60,599.00	(43,415.00)	352.65%	0.00
Supply Base/Inventories	1,000,000.00	246,008.49	1,331,585.80	(331,585.80)	133.16%	214,457.30
Building Operations	6,000,000.00	1,299,667.48	9,643,111.82	(3,643,111.82)	160.72%	2,529,820.16
Business Technology Services	14,657,318.00	467,211.82	12,953,815.89	1,703,502.11	88.38%	27,032,810.37
Support Centers	5,208,762.00	9,464.81	337,828.89	4,870,933.11	6.49%	0.00
Payroll Clearing	625,675.00	(280,071.43)	1,626,462.54	(1,000,787.54)	259.95%	6,150.00
SUBTOTAL: Service and Support	\$28,608,939.00	\$1,827,030.42	\$26,643,211.93	\$1,965,727.07	93.13%	\$29,835,258.42
Capital Facilities						
Capital Facilities	10,000,000.00	236,710.90	3,082,249.34	6,917,750.66	30.82%	6,826,037.89
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$236,710.90	\$3,082,249.34	\$6,917,750.66	30.82%	\$6,826,037.89
Highway Maintenance						
System Preservation	42,000,000.00	1,605,100.23	39,303,589.08	2,696,410.92	93.58%	531,598.60
Operations	42,000,000.00	2,632,723.01	29,324,259.31	12,675,740.69	69.82%	22,397,543.54
Snow and Ice Control	40,000,000.00	4,398,961.98	34,732,491.64	5,267,508.36	86.83%	384,509.47
Unusual & Disaster Oper	1,500,000.00	647,720.23	2,902,443.78	(1,402,443.78)	193.50%	2,134,323.21
Equipment Operations	15,000,000.00	5,994,297.45	7,724,651.04	7,275,348.96	51.50%	6,117,070.47
Indirect Charges	20,943,553.00	1,505,352.66	14,973,437.85	5,970,115.15	71.49%	478,815.00
SUBTOTAL: Highway Maintenance	\$161,443,553.00	\$16,784,155.56	\$128,960,872.70	\$32,482,680.30	79.88%	\$32,043,860.29
Highway Construction						
Preliminary Engineering	50,100,000.00	3,373,719.71	30,897,961.77	19,202,038.23	61.67%	24,964,728.56
Right-Of-Way	15,000,000.00	1,927,641.24	8,780,687.44	6,219,312.56	58.54%	45,627.74
Construction	467,777,351.00	7,547,108.96	344,566,040.45	123,211,310.55	73.66%	970,658,589.15
Construction Engineering	25,000,000.00	1,850,155.35	21,617,156.29	3,382,843.71	86.47%	4,226,539.18
SUBTOTAL: Highway Construction	\$557,877,351.00	\$14,698,625.26	\$405,861,845.95	\$152,015,505.05	72.75%	\$999,895,484.63
Construction Related Expense						
Overhead	19,002,441.00	2,007,752.90	11,786,273.13	7,216,167.87	62.03%	13,911,827.71
Planning & Research	12,056,000.00	934,274.77	10,818,313.73	1,237,686.27	89.73%	16,135,946.08
Local Systems	95,792,666.00	32,236,368.10	112,259,980.80	(16,467,314.80)	117.19%	146,599,165.26
Highway Safety Office	5,213,250.00	413,398.25	3,634,708.17	1,578,541.83	69.72%	5,199,707.00
Public Transportation Asst	42,325,205.00	2,855,779.21	22,990,171.20	19,335,033.80	54.32%	14,164,195.97
SUBTOTAL: Construction Related Expense	\$174,389,562.00	\$38,447,573.23	\$161,489,447.03	\$12,900,114.97	92.60%	\$196,010,842.02
AGENCY TOTAL	\$951,397,652.00	\$73,643,602.10	\$742,653,376.83	\$208,744,275.17	78.06%	\$1,264,809,238.12

PROGRAM STATUS REPORT
BUSINESS MONTH - MARCH 2021

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	754,735.84	1,358,944.64	0.00	2,329,238.32	2,056,138.40	686,519.04	7,185,576.24
Temporary Salaries	559.15	4,659.26	0.00	40,693.08	10,576.61	7,086.39	63,574.49
Overtime	1,559.86	67,744.13	0.00	310,751.60	44,159.43	9,762.30	433,977.32
Employee Benefits	0.00	3,049,690.44	0.00	0.00	0.00	0.00	3,049,690.44
SUBTOTAL: Personal Services	\$756,854.85	\$4,481,038.47	\$0.00	\$2,680,683.00	\$2,110,874.44	\$703,367.73	\$10,732,818.49
Operating Expenses							
Utilities	0.00	309,233.90	0.00	117,823.78	428.32	46.14	427,532.14
Rentals	1,444.68	967.13	0.00	43,670.84	0.00	4,253.56	50,336.21
Repairs & Maintenance	0.00	206,106.19	0.00	604,223.70	0.00	1,385.01	811,714.90
Maintenance Contracts	0.00	15,225.93	0.00	1,263,018.62	0.00	0.00	1,278,244.55
Engineering Contracts	0.00	322.00	23,227.30	2,500.00	1,619,891.48	272,098.62	1,918,039.40
Contractual Services	158,553.33	142,093.78	0.00	118,457.86	2,099.00	28,212,907.56	28,634,111.53
Technology Expenses	218,140.90	529,864.69	0.00	189,251.28	0.00	1,103,571.17	2,040,828.04
Other Operating Expenses	6,925.74	145,326.18	0.00	(1,007.42)	2,413.61	5,911.66	159,569.77
SUBTOTAL: Operating Expenses	\$385,064.65	\$1,349,139.80	\$23,227.30	\$2,337,938.66	\$1,624,832.41	\$29,600,173.72	\$35,320,376.54
Supplies and Materials							
General Supplies & Materials	45,930.96	(415,759.29)	0.00	35,487.15	0.00	4,798.38	(329,542.80)
Maint & Const Materials	2,680.03	114,934.45	0.00	3,999,853.42	49,131.10	43,780.58	4,210,379.58
Automotive Supplies & Materials	9.62	180,284.39	0.00	1,469,341.30	0.00	9.96	1,649,645.27
SUBTOTAL: Supplies and Materials	\$48,620.61	(\$120,540.45)	\$0.00	\$5,504,681.87	\$49,131.10	\$48,588.92	\$5,530,482.05
Travel							
In State Travel	2,976.90	8,049.00	0.00	1,512.32	6,952.92	7,358.78	26,849.92
SUBTOTAL: Travel	\$2,976.90	\$8,049.00	\$0.00	\$1,512.32	\$6,952.92	\$7,358.78	\$26,849.92
Capital Outlay							
Land	0.00	0.00	0.00	0.00	1,763,521.61	0.00	1,763,521.61
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	7,599,628.57	0.00	7,599,628.57
Buildings	0.00	0.00	213,483.60	0.00	0.00	0.00	213,483.60
Heavy Equipment and Vehicles	0.00	0.00	0.00	4,796,060.14	0.00	0.00	4,796,060.14
Specialty Equipment	0.00	0.00	0.00	0.00	42,750.00	11,262.00	54,012.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$213,483.60	\$4,796,060.14	\$9,405,900.18	\$11,262.00	\$14,426,705.92
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	2,816,286.41	2,816,286.41
Highway Safety Office	0.00	(3,446.04)	0.00	0.00	0.00	375,779.40	372,333.36
Other Government Aid	0.00	0.00	0.00	0.00	6,110.53	4,411,638.88	4,417,749.41
SUBTOTAL: Government Aid & Distr	\$0.00	(\$3,446.04)	\$0.00	\$0.00	\$6,110.53	\$7,603,704.69	\$7,606,369.18
Internal Redistributions							
Redistribution	455,989.72	(3,887,210.36)	0.00	1,463,279.57	1,494,823.68	473,117.39	0.00
SUBTOTAL: Internal Redistributions	\$455,989.72	(\$3,887,210.36)	\$0.00	\$1,463,279.57	\$1,494,823.68	\$473,117.39	\$0.00
GRAND TOTAL:	\$1,649,506.73	\$1,827,030.42	\$236,710.90	\$16,784,155.56	\$14,698,625.26	\$38,447,573.23	\$73,643,602.10

**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - MARCH 2021**

FISCAL YEAR 2021
Period Expired 75.0%
Pay Period Ending 03/14/2021

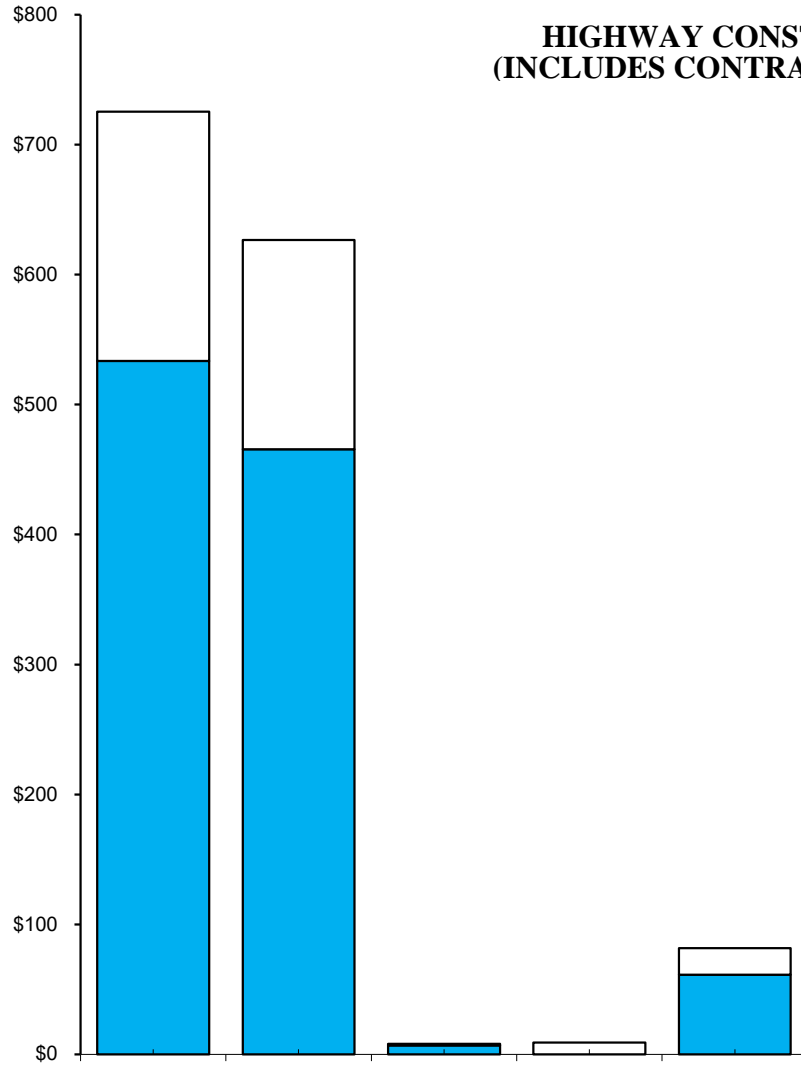
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	7,424,808.46	18,666,145.92	0.00	23,298,141.78	20,470,384.57	6,406,075.12	76,265,555.85
Temporary Salaries	3,633.70	154,389.88	0.00	1,040,114.27	203,806.57	146,215.00	1,548,159.42
Overtime	16,145.16	(233,939.53)	0.00	3,845,873.87	1,462,764.41	79,723.60	5,170,567.51
Employee Benefits	0.00	28,948,880.53	0.00	0.00	0.00	0.00	28,948,880.53
SUBTOTAL: Personal Services	\$7,444,587.32	\$47,535,476.80	\$0.00	\$28,184,129.92	\$22,136,955.55	\$6,632,013.72	\$111,933,163.31
Operating Expenses							
Utilities	0.00	1,733,985.94	0.00	954,759.66	2,986.14	398.88	2,692,130.62
Rentals	7,552.57	26,599.93	0.00	620,596.08	1,250.00	5,368.91	661,367.49
Repairs & Maintenance	10,530.23	1,989,051.10	0.00	5,235,361.51	15,180.83	31,556.50	7,281,680.17
Maintenance Contracts	0.00	109,125.12	0.00	9,029,243.00	1,486.40	0.00	9,139,854.52
Engineering Contracts	0.00	305,728.44	698,816.36	124,133.09	15,668,922.71	2,863,180.31	19,660,780.91
Contractual Services	693,229.05	1,521,220.82	0.00	1,759,258.28	27,575.62	33,259,654.10	37,260,937.87
Technology Expenses	3,079,150.68	9,451,511.91	0.00	1,704,689.52	0.00	2,511,105.40	16,746,457.51
Other Operating Expenses	367,961.07	1,834,566.42	3,448.81	1,300,626.43	35,690.51	387,007.85	3,929,301.09
SUBTOTAL: Operating Expenses	\$4,158,423.60	\$16,971,789.68	\$702,265.17	\$20,728,667.57	\$15,753,092.21	\$39,058,271.95	\$97,372,510.18
Supplies and Materials							
General Supplies & Materials	371,546.29	201,753.55	3,512.41	337,463.69	92.67	35,117.46	949,486.07
Maint & Const Materials	30,637.53	704,078.47	0.00	43,008,057.71	279,253.46	211,040.95	44,233,068.12
Automotive Supplies & Materials	9.62	1,072,494.71	0.00	10,127,050.79	0.00	59.40	11,199,614.52
SUBTOTAL: Supplies and Materials	\$402,193.44	\$1,978,326.73	\$3,512.41	\$53,472,572.19	\$279,346.13	\$246,217.81	\$56,382,168.71
Travel							
In State Travel	35,565.86	38,271.28	0.00	13,817.03	89,322.95	85,355.78	262,332.90
Out of State Travel	0.00	3,106.31	0.00	0.00	27.12	621.43	3,754.86
SUBTOTAL: Travel	\$35,565.86	\$41,377.59	\$0.00	\$13,817.03	\$89,350.07	\$85,977.21	\$266,087.76
Capital Outlay							
Land	0.00	424,415.83	0.00	0.00	7,182,953.75	3,575.00	7,610,944.58
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	343,910,856.05	0.00	343,947,155.78
Buildings	0.00	0.00	2,376,471.76	0.00	0.00	0.00	2,376,471.76
Heavy Equipment and Vehicles	0.00	0.00	0.00	11,404,941.73	0.00	0.00	11,404,941.73
IT Hardware / Software	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Specialty Equipment	0.00	0.00	0.00	9,100.00	166,950.00	73,975.65	250,025.65
SUBTOTAL: Capital Outlay	\$0.00	\$424,415.83	\$2,376,471.76	\$11,450,341.46	\$351,260,759.80	\$77,550.65	\$365,589,539.50
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	22,615,644.92	22,615,644.92
Highway Safety Office	0.00	(4,860.05)	0.00	0.00	0.00	3,275,939.62	3,271,079.57
Other Government Aid	0.00	0.00	0.00	0.00	189,109.93	85,034,072.95	85,223,182.88
SUBTOTAL: Government Aid & Distr	\$0.00	(\$4,860.05)	\$0.00	\$0.00	\$189,109.93	\$110,925,657.49	\$111,109,907.37
Internal Redistributions							
Redistribution	4,574,979.66	(40,303,314.65)	0.00	15,111,344.53	16,153,232.26	4,463,758.20	0.00
SUBTOTAL: Internal Redistributions	\$4,574,979.66	(\$40,303,314.65)	\$0.00	\$15,111,344.53	\$16,153,232.26	\$4,463,758.20	\$0.00
GRAND TOTAL:	\$16,615,749.88	\$26,643,211.93	\$3,082,249.34	\$128,960,872.70	\$405,861,845.95	\$161,489,447.03	\$742,653,376.83

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
March 2021

FISCAL YEAR 2021
 Period Expired 75.00%
 Pay Period Ending 3/14/2021

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	41,117.68	492,276.01	234,409.99	67.74%	0.00
140 - LEGAL	2,947,291.00	96,026.94	879,125.23	2,068,165.77	29.83%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	224,737.95	2,383,188.39	1,020,750.61	70.01%	649,576.23
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,667,354.00	155,388.66	1,584,022.27	1,083,331.73	59.39%	183,306.55
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,745,270.00	\$517,271.23	\$5,338,611.90	\$4,406,658.10	54.78%	\$1,004,519.51
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	151,108.59	1,595,591.98	697,031.02	69.60%	0.00
320 - BRIDGE DIVISION	7,541,203.00	440,619.24	5,096,558.52	2,444,644.48	67.58%	1,193,692.59
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	297,362.05	3,171,097.29	1,358,006.71	70.02%	501,475.46
350 - RIGHT OF WAY DIVISION	5,044,385.00	343,552.69	3,566,135.72	1,478,249.28	70.70%	5,526.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	840,064.25	7,084,870.85	8,179,606.15	46.41%	8,874,731.77
370 - ROADWAY DESIGN DIVISION	26,301,169.00	1,661,680.12	15,613,524.05	10,687,644.95	59.36%	14,131,466.38
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	114,637.41	985,180.62	473,907.38	67.52%	60,846.75
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	140,135.20	1,894,599.55	1,268,148.45	59.90%	1,315,232.67
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,797.00	\$3,989,159.55	\$39,007,558.58	\$26,587,238.42	59.47%	\$26,082,971.92
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	135,883.88	1,393,311.72	1,313,124.28	51.48%	6,150.00
260 - OPERATIONS DIVISION	21,611,341.00	1,675,574.57	16,543,977.69	5,067,363.31	76.55%	6,762,581.80
280 - BUSINESS TECH SUPPORT DIVISION	19,982,472.00	1,504,325.05	14,684,832.86	5,297,639.14	73.49%	38,705,348.10
380 - CONSTRUCTION DIVISION	2,920,458.00	220,199.55	2,168,362.16	752,095.84	74.25%	412.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	768,024.92	9,151,228.24	3,883,197.76	70.21%	10,555,567.68
610 - DISTRICT 1	34,024,032.00	2,735,463.38	26,284,836.88	7,739,195.12	77.25%	5,983,006.49
620 - DISTRICT 2	23,354,011.00	1,747,459.58	18,573,180.29	4,780,830.71	79.53%	5,022,045.06
630 - DISTRICT 3	31,339,572.00	2,188,293.89	26,960,137.51	4,379,434.49	86.03%	2,767,579.34
640 - DISTRICT 4	33,107,740.00	2,386,635.64	25,421,396.42	7,686,343.58	76.78%	4,492,356.76
650 - DISTRICT 5	23,367,222.00	2,156,493.76	17,374,332.75	5,992,889.25	74.35%	2,638,583.45
660 - DISTRICT 6	25,846,634.00	2,197,959.40	21,026,259.95	4,820,374.05	81.35%	4,868,930.98
670 - DISTRICT 7	17,087,182.00	886,428.11	13,386,842.37	3,700,339.63	78.34%	2,876,993.87
680 - DISTRICT 8	16,356,389.00	1,054,027.00	13,195,045.34	3,161,343.66	80.67%	2,127,333.56
SUBTOTAL: OFFICE OF OPERATIONS	\$264,737,915.00	\$19,656,768.73	\$206,163,744.18	\$58,574,170.82	77.87%	\$86,806,889.59
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	170,402.74	442,671.80	(442,671.80)	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	4,615,304.65	(4,206,932.93)	5,288,994.93	(388.79)%	163,827.86
904 - TRANSPORTATION CAPITAL	610,237,608.00	44,694,695.20	495,907,723.30	114,329,884.70	81.26%	1,150,751,029.24
SUBTOTAL: BUDGETARY CONTROL	\$611,319,670.00	\$49,480,402.59	\$492,143,462.17	\$119,176,207.83	80.51%	\$1,150,914,857.10
AGENCY TOTAL	\$951,397,652.00	\$73,643,602.10	\$742,653,376.83	\$208,744,275.17	78.06%	\$1,264,809,238.12

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	103.08			11.16	114.24
9/3 & 24/2020	53.32			1.04	54.36
10/8 & 15 & 29/2020	55.25	5.72		41.60	102.57
11/19/2020	125.39	0.33		3.46	129.18
12/10 & 17/2020	2.39			1.19	3.58
1/14 & 28/2021	55.82				55.82
2/25/2021	61.97			2.48	64.45
3/18/2021	8.27			0.26	8.53
4/8/2021					
5/6/2021					
6/17/2021					
	465.58	6.77	0.00	61.19	533.54

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	5.51	1.76	15.44	51.47		10.81	114.24
9/3 & 24/2020	1.04	2.53		16.77	4.81	10.80	15.36	3.05	54.36
10/8 & 15 & 29/2020	12.74	39.65	7.55	22.74	7.37	6.78	5.74		102.57
11/19/2020	7.21	54.66	24.95		32.00	10.36			129.18
12/10 & 17/2020	0.50	1.89						1.19	3.58
1/14 & 28/2021		46.18	1.83	0.75	2.93	4.13			55.82
2/25/2021		25.59	17.90	18.90	2.06				64.45
3/18/2021				3.96				4.57	8.53
4/8/2021									
5/6/2021									
6/17/2021									
	42.99	178.34	57.74	64.88	65.33	83.54	21.10	19.62	533.54

	Total	State System			Local System
	Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
% Let to Date	73.6%	74.3%	84.5%	0.0%	74.9%
Actual \$ Let	533.54	465.58	6.77	0.00	61.19
Projected \$ Remaining	191.86	161.02	1.24	9.08	20.52
Total	\$725.40	\$626.60	\$8.01	\$9.08	\$81.71

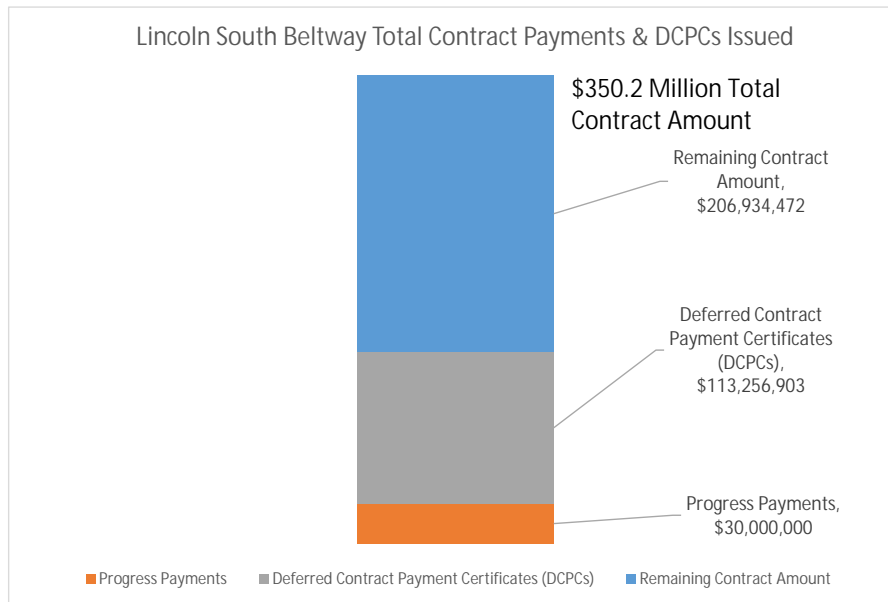
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of March 31, 2021.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through March 2021

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT's obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC's future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through March 2021)

Remaining Contract Amount	\$206,934,472
Progress Payments	\$30,000,000
Deferred Contract Payment Certificates (DCPCs)	\$113,256,903

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through March 2021)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$29,884,313
FY 2027	\$8,554,403
Total DCPCs to date	\$113,256,903

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	46,365	277,251
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000		
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 51,127	329,111	\$ 46,365	277,251

Footnotes:
FY21 Apportionment per Public Law 116-159. Available Obligation Limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

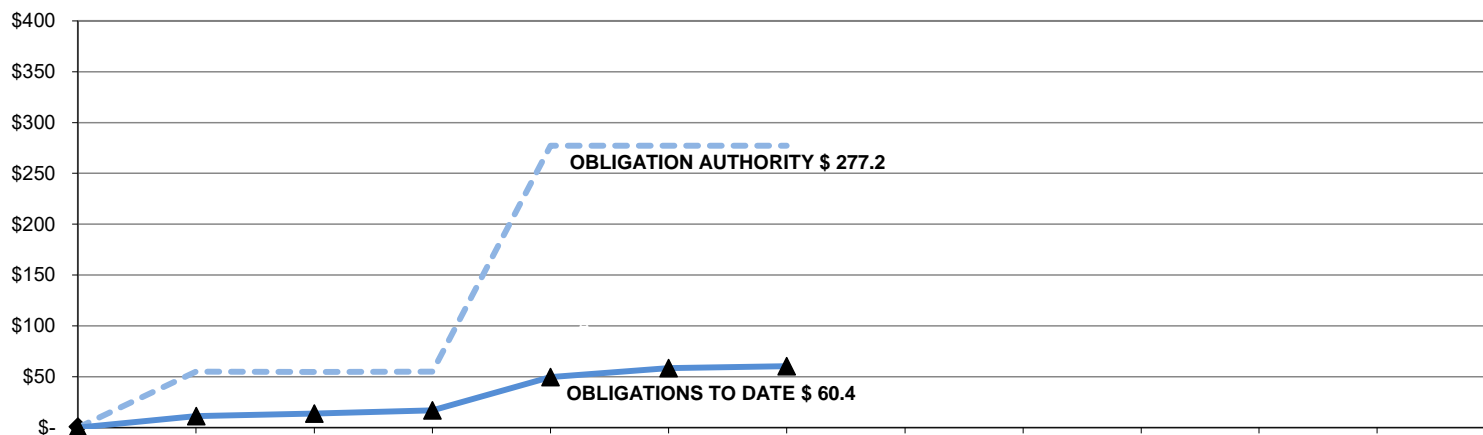
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
MARCH 31, 2021

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	-	187,913,464	32,470,591	155,442,873	161,620,090	96,232,103
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	67,939
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	3,336,218	478,430	-	5,109,582
STP - Flexible - Any Area	1,350,799	33,159,291	-	34,510,090	8,309,716	26,200,375	80,645,826	86,364,499
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	3,402,101	13,692,967	23,322,866	55,475,060
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	3,835,720	16,814,027	73,093	5,445,876
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	981,500	42,685,104	8,000	2,381,381
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	989,205	13,007,800	-	9,576,047
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	983,107	9,999,049	-	6,554,566
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	3,641,998	33,051,335	3,490,919	11,363,239
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	1,935,261	1,441,444	2,355,797	1,757,763
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	863,546	9,311,251	-	3,243,769
Highway Planning	1,539,880	4,661,443	(13)	6,201,309	-	6,201,309	2,089	7,303,296
Research	293,360	1,553,815	201,373	2,048,548	277,907	1,770,640	141,795	5,312,641
Metropolitan Planning	293,435	1,776,501	-	2,069,936	-	2,069,936	-	3,047,109
National Hwy Freight Program	-	10,663,255	-	10,663,255	-	10,663,255	-	10,730,335
TAP - Flex	127,447	2,900,268	-	3,027,715	(5,123)	3,032,838	-	2,542,821
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	(170,729)	2,566,647	-	1,663,260
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	-	589,057	-	743,330
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	75,514	1,734,022	-	1,382,986
Recreational Trails	1,444,778	1,205,213	-	2,649,991	(16,950)	2,666,941	-	3,811,455
Enhancement	218,634	-	-	218,634	1,108	217,526	-	248,792
Safe Routes to School Prog	163,140	-	-	163,140	(37,353)	200,493	-	85,867
Redistribution - Certain Auth.	1,498,117	2,127,259	-	3,625,376	(401,317)	4,026,693	-	2,624,695
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	-	-	-	1,897,850
Other	-	-	(12,174)	(12,174)	-	(12,174)	-	1,093,443
Total Formula Funds	\$ 104,540,835	\$ 313,740,147	\$ 42,876	\$ 418,323,857	\$ 60,472,019	\$ 357,851,838	\$ 271,660,475	\$ 326,059,705
Allocated/Discretionary Funds	14,859	-	2,852,662	2,867,521	(172)	2,867,693	-	18,901,980
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 313,740,147	\$ 2,895,538	\$ 421,191,379	\$ 60,471,848	\$ 360,719,531	\$ 271,660,475	\$ 344,961,684
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	103,008,663	241,382,183	40,202,297	201,179,886	-	65,956,210
GRAND TOTAL	\$ 238,405,628	\$ 318,263,733	\$ 105,904,201	\$ 662,573,561	\$ 100,674,144	\$ 561,899,417	\$ 271,660,475	\$ 410,917,894

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8	54.9	277.2	277.4	277.2						
OA Used	0.0	11.3	13.7	16.9	49.6	58.4	60.4						

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2020		As of March 31, 2021		
Formula Obligation Limitation	\$	284.1	\$	277.2	
August Redistribution		45.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	-	50.0%
Subtotal	\$	329.8	\$	277.2	
Other Allocation Obligation Limitation		18.5		-	
Annual Obligation Limitation	\$	348.3	\$	277.2	
Formula Obligations to Date		0.0		(60.4)	Obligated
Allocated Obligations to Date		-		-	21.8%
Subtotal	\$	-	\$	(60.4)	
Obligation Authority Balance	\$	348.30	\$	216.8	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Congestion MGMT Tech Deploy		0.0		2.7	
Highway Infrastructure COVID		0.0		71.7	
Highway Infrastructure (NON-COVID)		0.0		22.9	
Competitive Highway Bridge Program		0.0		8.5	
Training and Education Fast		0.2		-	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 2018		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	247.2	
Obligations to Date		0.0		(40.2)	
Obligation Authority Balance	\$	218.6	\$	207.0	

FY21 Available Obligation limitation per Public Law 116-260 reflects 88.9% of the annual amount through September 30, 2021.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - MARCH 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,065,222.77	3,373.40	0.00	2,470.35	1,393.11	2,072,459.63
	RIGHT OF WAY	1,760,349.07	0.00	0.00	60,943.23	0.00	1,821,292.30
	CONSTRUCTION	(35,229,344.65)	42,501,580.52	0.00	(257,519.13)	23,408.24	7,038,124.98
	CONSTRUCTION ENGINEERING	567,357.45	670,309.63	0.00	14,705.94	3,921.85	1,256,294.87
	PLANNING & RESEARCH	1,090.33	4,361.36	0.00	0.00	0.00	5,451.69
	TOTAL	\$ (30,835,325.03)	\$ 43,179,624.91	\$ 0.00	\$ (179,399.61)	\$ 28,723.20	\$ 12,193,623.47
LOCAL	PRELIMINARY ENGINEERING	7,970.97	538,781.91	31,611.71	59,204.56	5,926.39	643,495.54
	RIGHT OF WAY	0.00	12,867.46	1,142.60	1,008.83	0.00	15,018.89
	CONSTRUCTION	358,060.84	1,958,236.95	243,529.79	295,819.79	6,587.79	2,862,235.16
	CONSTRUCTION ENGINEERING	11,165.89	240,510.11	18,290.38	53,746.63	33.41	323,746.42
	PLANNING & RESEARCH	0.00	124,352.04	3,772.01	14,939.29	0.00	143,063.34
	TOTAL	\$ 377,197.70	\$ 2,874,748.47	\$ 298,346.49	\$ 424,719.10	\$ 12,547.59	\$ 3,987,559.35
NON-HWY	PRELIMINARY ENGINEERING	1,352,935.85	231,876.95	0.00	14,876.54	44,568.05	1,644,257.39
	RIGHT OF WAY	105,977.61	41,449.22	0.00	10,362.30	0.00	157,789.13
	CONSTRUCTION	27,892,833.00	559,878.38	0.00	95,860.68	0.00	28,548,572.06
	CONSTRUCTION ENGINEERING	534,377.19	50,438.74	0.00	10,139.31	0.00	594,955.24
	TRAFFIC SAFETY & TRANS	86,262.91	746,681.26	0.00	0.00	0.00	832,944.17
	PLANNING & RESEARCH	246,270.39	599,813.84	149.88	(125.92)	14,281.76	860,389.95
	PUBLIC TRANSPORTATION ASSIST	1,160,398.92	1,695,383.12	45,978.49	0.00	18,422.69	2,920,183.22
	TOTAL	\$ 31,379,055.87	\$ 3,925,521.51	\$ 46,128.37	\$ 131,112.91	\$ 77,272.50	\$ 35,559,091.16
TOTAL - CURRENT MONTH	\$ 920,928.54	\$ 49,979,894.89	\$ 344,474.86	\$ 376,432.40	\$ 118,543.29	\$ 51,740,273.98	

FISCAL YEAR TO DATE - MARCH 2021

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	19,182,230.59	76,496.33	97,563.26	29,883.31	57,722.38	19,443,895.87
	RIGHT OF WAY	7,597,771.09	284,143.92	84,358.94	151,104.65	0.00	8,117,378.60
	CONSTRUCTION	76,607,217.90	253,549,265.44	586,866.54	11,639,020.18	1,398,741.41	343,781,111.47
	CONSTRUCTION ENGINEERING	5,728,407.09	10,335,806.98	10,195.54	218,082.71	135,661.29	16,428,153.61
	PLANNING & RESEARCH	102,259.20	205,827.92	0.00	0.00	103,960.36	412,047.48
	TOTAL	\$ 109,217,885.87	\$ 264,451,540.59	\$ 778,984.28	\$ 12,038,090.85	\$ 1,696,085.44	\$ 388,182,587.03
LOCAL	PRELIMINARY ENGINEERING	281,587.82	3,111,003.97	195,983.94	837,177.43	45,118.78	4,470,871.94
	RIGHT OF WAY	5,692.19	3,689,136.95	21,901.78	590,002.71	278,434.55	4,585,168.18
	CONSTRUCTION	8,500,998.96	37,732,697.96	3,072,946.76	13,091,504.85	262,413.34	62,660,561.87
	CONSTRUCTION ENGINEERING	714,650.83	3,542,892.99	224,322.25	1,171,692.26	(52,733.83)	5,600,824.50
	PLANNING & RESEARCH	11,253.45	532,936.73	(5,055.59)	111,449.19	112.28	650,696.06
	TOTAL	\$ 9,514,183.25	\$ 48,608,668.60	\$ 3,510,099.14	\$ 15,801,826.44	\$ 533,345.12	\$ 77,968,122.55
NON-HWY	PRELIMINARY ENGINEERING	11,991,674.66	809,453.84	0.00	95,550.09	121,117.97	13,017,796.56
	RIGHT OF WAY	816,224.59	79,792.66	0.00	19,948.08	0.00	915,965.33
	CONSTRUCTION	28,536,498.10	4,805,669.61	0.00	1,262,771.16	0.00	34,604,938.87
	CONSTRUCTION ENGINEERING	4,768,108.39	575,949.92	0.00	139,183.19	0.00	5,483,241.50
	TRAFFIC SAFETY & TRANS	434,317.68	4,839,709.98	0.00	0.00	3,842.20	5,277,869.86
	PLANNING & RESEARCH	2,594,765.86	7,672,174.34	196.30	146,022.15	342,174.49	10,755,333.14
	PUBLIC TRANSPORTATION ASSIST	8,366,245.44	14,509,484.30	185,276.64	50,132.33	315,169.63	23,426,308.34
	TOTAL	\$ 57,507,834.72	\$ 33,292,234.65	\$ 185,472.94	\$ 1,713,607.00	\$ 782,304.29	\$ 93,481,453.60
TOTAL - FISCAL YEAR TO DATE	\$ 176,239,903.84	\$ 346,352,443.84	\$ 4,474,556.36	\$ 29,553,524.29	\$ 3,011,734.85	\$ 559,632,163.18	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
MARCH 2021**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,964,747,247.62	1,024,393,866.82	940,353,380.80	(30,835,325.03)	109,217,885.87	(18,068,205.08)
	FEDERAL	1,495,889,902.27	1,214,845,877.81	281,044,024.46	43,179,624.91	264,451,540.59	57,456,203.74
	COUNTY	2,636,993.74	2,335,980.88	301,012.86	0.00	778,984.28	6,215.52
	CITY	80,933,631.17	34,413,748.74	46,519,882.43	(179,399.61)	12,038,090.85	3,089,351.87
	OTHER	22,344,714.90	20,939,864.00	1,404,850.90	28,723.20	1,696,085.44	91,471.97
STATE HIGHWAY SYSTEM TOTALS		\$ 3,566,552,489.70	\$ 2,296,929,338.25	\$ 1,269,623,151.45	\$ 12,193,623.47	\$ 388,182,587.03	\$ 42,575,038.02
LOCAL HIGHWAY SYSTEM							
	STATE	65,177,687.81	42,063,355.35	23,114,332.46	377,197.70	9,514,183.25	(1,443,417.41)
	FEDERAL	368,027,067.58	250,858,189.79	117,168,877.79	2,874,748.47	48,608,668.60	11,897,417.06
	COUNTY	17,315,545.53	10,218,885.16	7,096,660.37	298,346.49	3,510,099.14	982,901.14
	CITY	126,269,464.68	75,544,625.73	50,724,838.95	424,719.10	15,801,826.44	1,590,220.53
	OTHER	11,370,340.06	8,433,613.97	2,936,726.09	12,547.59	533,345.12	28,177.50
LOCAL HIGHWAY SYSTEM TOTALS		\$ 588,160,105.66	\$ 387,118,670.00	\$ 201,041,435.66	\$ 3,987,559.35	\$ 77,968,122.55	\$ 13,055,298.82
NON-HIGHWAY							
	STATE	379,522,933.12	366,285,310.11	13,237,623.01	31,379,055.87	57,507,834.72	40,065,246.88
	FEDERAL	223,147,030.77	129,290,394.33	93,856,636.44	3,925,521.51	33,292,234.65	10,553,426.63
	COUNTY	875,454.38	480,635.74	394,818.64	46,128.37	185,472.94	82,133.90
	CITY	7,483,169.16	5,299,059.91	2,184,109.25	131,112.91	1,713,607.00	330,438.01
	OTHER	14,960,485.71	12,460,187.59	2,500,298.12	77,272.50	782,304.29	386,573.26
NON-HIGHWAY TOTALS		\$ 625,989,073.14	\$ 513,815,587.68	\$ 112,173,485.46	\$ 35,559,091.16	\$ 93,481,453.60	\$ 51,417,818.68
GRAND TOTALS		\$ 4,780,701,668.50	\$ 3,197,863,595.93	\$ 1,582,838,072.57	\$ 51,740,273.98	\$ 559,632,163.18	\$ 107,048,155.52

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
MARCH 2021**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	0.01	0.00	0.01	0.00	0.00	0.00
PRELIMINARY ENGINEERING	542,973,938.85	398,158,154.98	144,815,783.87	4,360,212.56	36,932,564.37	10,927,812.25
RIGHT OF WAY	188,616,624.87	129,426,846.99	59,189,777.88	1,994,100.32	13,618,512.11	4,180,755.45
UTILITIES	52,095,597.53	24,060,627.37	28,034,970.16	148,174.61	4,005,450.68	1,314,074.60
CONSTRUCTION	3,510,738,773.79	2,320,884,848.08	1,189,853,925.71	38,300,757.59	437,041,161.53	67,256,255.23
CONSTRUCTION ENGINEERING	232,398,392.37	150,966,399.24	81,431,993.13	2,174,996.53	27,512,219.61	6,659,190.27
TRAFFIC SAFETY	37,692,128.61	20,289,201.37	17,402,927.24	832,944.17	5,277,869.86	1,934,503.98
PLANNING & RESEARCH	106,072,481.16	75,147,042.28	30,925,438.88	1,008,904.98	11,818,076.68	4,000,511.28
PUBLIC TRANSPORTATION	110,113,731.31	78,930,475.62	31,183,255.69	2,920,183.22	23,426,308.34	10,775,052.46
GRAND TOTALS	\$ 4,780,701,668.50	\$ 3,197,863,595.93	\$ 1,582,838,072.57	\$ 51,740,273.98	\$ 559,632,163.18	\$ 107,048,155.52

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
MARCH 2021**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,249,170,065.30	967,957,484.70	281,212,580.60	34,982,871.63	146,020,502.44	50,005,562.85
ROADS OPERATION FUND AC*	227,247,092.96	2,089,859.52	225,157,233.44	601,241.75	1,067,904.63	(2,638,845.72)
GRADE CROSSING FUND	2,890,874.64	1,509,902.86	1,380,971.78	661.70	121,641.58	(15,656.39)
GRADE SEPARATION-TMT	28,115,756.28	25,205,316.42	2,910,439.86	22,980.19	1,251,491.28	32,801.26
RECREATION ROAD FUND	13,605,876.50	9,690,124.86	3,915,751.64	1,925.68	2,980,662.20	73,292.61
ST HWY CAPITAL IMPR	732,710,196.88	320,284,949.09	412,425,247.79	(36,219,915.19)	(13,067,289.58)	(30,869,305.47)
STATE AID BRIDGE	4,512,736.36	4,051,133.33	461,603.03	19,507.55	1,342,748.93	52,979.00
TRANS INFRA BANK	151,195,269.63	101,953,761.50	49,241,508.13	1,511,655.23	36,522,242.36	3,912,796.25
TOTAL STATE FUNDS	\$ 2,409,447,868.55	\$ 1,432,742,532.28	\$ 976,705,336.27	\$ 920,928.54	\$ 176,239,903.84	\$ 20,553,624.39
FEDERAL FUNDS	2,087,064,000.62	1,594,994,461.93	492,069,538.69	49,979,894.89	346,352,443.84	79,907,047.43
COUNTY FUNDS	20,827,993.65	13,035,501.78	7,792,491.87	344,474.86	4,474,556.36	1,071,250.56
CITY FUNDS	214,686,265.01	115,257,434.38	99,428,830.63	376,432.40	29,553,524.29	5,010,010.41
OTHER FUNDS	48,675,540.67	41,833,665.56	6,841,875.11	118,543.29	3,011,734.85	506,222.73
GRAND TOTALS	\$ 4,780,701,668.50	\$ 3,197,863,595.93	\$ 1,582,838,072.57	\$ 51,740,273.98	\$ 559,632,163.18	\$ 107,048,155.52

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
March 31, 2021**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund					
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures
Revenue	\$ 6,198,046.08	\$ 60,992,238.83	\$ 509,658,966.00		
Expenditures					
Expressway and High Priority Corridors	(36,220,028.83)	(13,166,447.68)	209,297,057.73	388,949,658.43	364,299,039.14
Other Highways	113.64	99,158.10	110,987,891.36	23,475,589.36	202,010,343.30
BNA Projects Completed/Closed			111,468,089.96		
Total	\$ (36,219,915.19)	\$ (13,067,289.58)	\$ 431,753,039.05	\$ 412,425,247.79	\$ 566,309,382.44
Funds Available			\$ 77,905,926.95		

Note: Expenditures reflect crediting of \$38.5 million of Federal Emergency Relief in March 2021 related to March 2019 flood repair expenses.

**Transportation Innovation Act
Financial Status
March 31, 2021**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,013,156.93	\$ 20,644,418.25	\$ 149,959,834.66		
Expenditures					
Accelerated State Highway Capital Improvement Program	1,166,423.74	33,997,975.27	92,425,830.50	34,768,983.01	172,016,792.73
County Bridge Match Program	345,231.49	1,568,939.50	7,512,766.28	13,090,264.47	8,260,450.00
Economic Opportunity Program		955,327.59	2,015,164.72	1,382,260.65	15,755,672.93
TIB Projects Completed/Closed					
Total Expenditures	\$ 1,511,655.23	\$ 36,522,242.36	\$ 101,953,761.50	\$ 49,241,508.13	\$ 196,032,915.66
Funds Available			\$ 48,006,073.16		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

	(\$MILLIONS)						
	Obligation Limitation Percentage			88.90%			
	<u>FAST Act⁽¹⁾</u>	<u>FY-2021</u>	<u>PRIOR⁽²⁾</u>	<u>CHANGES⁽³⁾</u>	<u>REVISED</u>	<u>OBLIGATED</u>	
	<u>FY-2021</u>	<u>OBLIGATION</u>	<u>YEAR</u>	<u>TO</u>	<u>FY-2021</u>	<u>THRU</u>	
	<u>APPORT</u>	<u>AUTHORITY</u>	<u>BALANCE</u>	<u>ORIGINAL</u>	<u>OBL LIMIT</u>	<u>03/31/21</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.358	-	-	3.358	3.108	0.250
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 14.426	- ⁽⁴⁾	(0.146)	14.280	3.402	10.878
LCLC - LINCOLN	6.395	\$ 5.685	11.177	-	16.862	3.836	13.026
SubTotal Local	\$ 26.399	\$ 23.469	\$ 12.377	\$ (0.146)	\$ 35.700	\$ 10.346	\$ 25.354
METRO PLANNING	1.777	1.580	-	-	1.580	-	1.580
Omaha	66.836%	1.121	0.996	-	0.996	-	0.996
Lincoln	26.341%	0.466	0.414	-	0.414	-	0.414
South Sioux City	1.688%	0.067	0.060	-	0.060	-	0.060
Grand Island	5.135%	0.123	0.109	-	0.109	-	0.109
TAP - Flex	2.900	2.578	-	-	2.578	-	2.578
TAP - 5K and Under	0.874	0.777	-	-	0.777	0.063	0.714
TAP - 5K-200K	0.573	0.509	-	-	0.509	(0.005)	0.514
TAP - MAPA - OMAHA	1.042	0.926	-	-	0.926	(0.070)	0.996
TAP - LCLC - LINCOLN	0.411	0.365	-	-	0.365	(0.125)	0.490
REC TRAILS	1.205	1.071	-	-	1.071	(0.017)	1.088
TOTAL	\$ 35.181	\$ 31.275	\$ 12.377	\$ (0.146)	\$ 43.506	\$ 10.192	\$ 33.314

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment was made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of March 31, 2021

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,221.77
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	357,975.59
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	944,944.36
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,865.62
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,266.35
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.47
3027	DODGE COUNTY	215.26
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.98
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.09
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	211,334.69
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	366,131.71
3049	JOHNSON COUNTY	130,989.94

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,780.12
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	111,731.16
3061	MERRICK COUNTY	63,961.58
3063	NANCE COUNTY	68,458.89
3064	NEMAHA COUNTY	236,843.67
3065	NUCKOLLS COUNTY	409,894.19
3066	OTOE COUNTY	741,003.25
3067	PAWNEE COUNTY	224,266.75
3069	HELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.07
3071	PLATTE COUNTY	29,487.71
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,843.84
3078	SAUNDERS COUNTY	111,067.12
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,404,030.63
3084	STANTON COUNTY	1,177,628.30
3085	THAYER COUNTY	217,624.91
3087	THURSTON COUNTY	354,203.79
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.89
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14