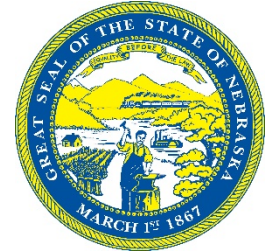


NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION



NEBRASKA STATE HIGHWAY COMMISSION

Quarterly Financial Report as of December 31, 2020

This report presents the financial position and projects of the Nebraska Department of Transportation and includes all funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30. Contracts let or planned to be let through the fiscal year, as of the specified day, are listed by letting dates. It was prepared entirely with Nebraska Department of Transportation resources.

October
2020

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

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- The state revenue projections in this report were developed in June 2020 and incorporate NDOT’s estimates of the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- Total expenditures in October exceeded revenue by \$54.7 million. Fiscal year to date expenditures surpassed revenue by \$3.0 million (page 4).
- Projected \$929.5 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 33.2 cents. The month of October’s major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$2.1 million or 8.4%, motor vehicle registration revenue was over the projected amount by \$ 617 thousand or 27.2% and motor vehicle sales tax was over the projected amount by \$8.3 million or 103.7%. Highway Cash Fund receipts for FY-21 to date were higher than projections by \$24.9 million or 16.5% (page 11, 12).
- Established an operating budget for Roads Division of \$951.4 million for FY21 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).
- October expenditures totaled \$113.1 million. Fiscal year to date expenditures totaled \$445.0 million, 46.8% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of September 14 , 2020 thru October 11, 2020. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- Highway construction contract lettings fiscal year to date totaled \$272.0 million, \$218.2 million on the state highway system (page 18).
- The October report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5M. The annual obligation authority is at \$19.73% through December 11, 2020 per public Law 116-159. As of October 31, 2020, obligations of \$11.3M have resulted in an obligation authority balance of \$43.6M (pages 22, 23 and 24).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$476.0 million has been received to date with allocated expenditures totaling \$458.5 million (page 29).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earning totaling \$88.8 million has been received to date with expenditures totaling \$87.7 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

- CASH – On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.
- FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled – Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.
- OTHER RECEIVABLES – Includes receivables due the Department from entities other than the federal government, both project and non-project related.
- INVENTORIES – Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

- EQUIPMENT – Cost of automotive/heavy road equipment reported at acquisition price less depreciation.
- LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.
- INFRASTRUCTURES – Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.
- BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

- ACCOUNTS PAYABLE – Recognized costs for which payment has not been made.
- RETENTIONS – Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.
- OTHER PAYABLES – Includes performance guarantees and advance deposits.

NET ASSETS – Net current resources invested in highway facilities or available for future expenditures.

- CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).
- RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.
- UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
October 2020

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	264,292,355.12	265,702,538.64	(1,410,183.52)	(0.53)	198,709,870.98	65,582,484.14	33.00
Federal Receivables	13,026,608.31	61,952,291.92	(48,925,683.61)	(78.97)	11,943,352.66	1,083,255.65	9.07
Other Receivables	19,859,972.97	16,239,655.17	3,620,317.80	22.29	10,065,774.25	9,794,198.72	97.30
Inventories	3,038,683.82	2,966,993.62	71,690.20	2.42	2,904,141.78	134,542.04	4.63
Total Current Assets	\$300,217,620.22	\$346,861,479.35	(\$46,643,859.13)	(13.45)%	\$223,623,139.67	\$76,594,480.55	34.25 %
Capital Assets							
Equipment	66,144,151.29	67,286,655.05	(1,142,503.76)	(1.70)	64,162,574.21	1,981,577.08	3.09
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,900,636,476.35	7,900,636,476.35	0.00	0.00	7,860,499,911.61	40,136,564.74	0.51
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,636,838,803.75	\$8,637,981,307.51	(\$1,142,503.76)	(0.01)%	\$8,569,231,940.00	\$67,606,863.75	0.79 %
Total Assets	\$8,937,056,423.97	\$8,984,842,786.86	(\$47,786,362.89)	(0.53)%	\$8,792,855,079.67	\$144,201,344.30	1.64 %
LIABILITIES							
Current Liabilities							
Accounts Payable	16,151,312.96	6,985,412.93	9,165,900.03	131.21	11,637,117.79	4,514,195.17	38.79
Retention Payable	65,259,706.65	57,361,659.26	7,898,047.39	13.77	1,119,139.27	64,140,567.38	5,731.24
Other Payables	69,746,956.45	70,932,045.07	(1,185,088.62)	(1.67)	53,094,717.44	16,652,239.01	31.36
Total Current Liabilities	\$151,157,976.06	\$135,279,117.26	\$15,878,858.80	11.74 %	\$65,850,974.50	\$85,307,001.56	129.55 %
Total Liabilities	\$151,157,976.06	\$135,279,117.26	\$15,878,858.80	11.74 %	\$65,850,974.50	\$85,307,001.56	129.55 %
NET ASSETS							
Capital Equity							
Capital	8,636,838,803.75	8,637,981,307.51	(1,142,503.76)	(0.01)	8,569,231,940.00	67,606,863.75	0.79
Total Capital Equity	\$8,636,838,803.75	\$8,637,981,307.51	(\$1,142,503.76)	(0.01)%	\$8,569,231,940.00	\$67,606,863.75	0.79 %
Fund Balance							
Reserved Fund Balance	(62,221,022.83)	(54,394,665.64)	(7,826,357.19)	14.39	1,785,002.51	(64,006,025.34)	(3,585.77)
Unreserved Fund Balance	211,280,666.99	265,977,027.73	(54,696,360.74)	(20.56)	155,987,162.66	55,293,504.33	35.45
Total Fund Balance	\$149,059,644.16	\$211,582,362.09	(\$62,522,717.93)	(29.55)%	\$157,772,165.17	(\$8,712,521.01)	(5.52)%
Total Net Assets	\$8,785,898,447.91	\$8,849,563,669.60	(\$63,665,221.69)	(0.72)%	\$8,727,004,105.17	\$58,894,342.74	0.67 %
Total Liabilities and Net Assets	\$8,937,056,423.97	\$8,984,842,786.86	(\$47,786,362.89)	(0.53)%	\$8,792,855,079.67	\$144,201,344.30	1.64 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
OCTOBER 2020**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	51,944,337.20	56,817,467.38	(4,873,130.18)	(8.58)	200,592,771.11	181,130,005.85	19,462,765.26	10.75
Federal Reimbursements	(391,956.73)	63,544,028.28	(63,935,985.01)	(100.62)	220,393,230.72	211,340,086.75	9,053,143.97	4.28
Local Revenues	6,025,983.42	5,944,902.24	81,081.18	1.36	20,058,865.61	8,248,112.77	11,810,752.84	143.19
Other Entities Revenues	780,038.36	1,723,135.57	(943,097.21)	(54.73)	909,313.58	4,335,514.24	(3,426,200.66)	(79.03)
Total Revenue	\$58,358,402.25	\$128,029,533.47	(\$69,671,131.22)	(54.42) %	\$441,954,181.02	\$405,053,719.61	\$36,900,461.41	9.11 %
Expenditures								
Administration	1,387,416.15	2,044,065.06	(656,648.91)	(32.12)	7,441,456.63	7,996,115.12	(554,658.49)	(6.94)
Highway Maintenance	12,012,341.11	16,490,987.30	(4,478,646.19)	(27.16)	64,680,892.50	68,556,428.83	(3,875,536.33)	(5.65)
Capital Facilities	238,391.77	465,047.51	(226,655.74)	(48.74)	1,214,984.90	885,550.72	329,434.18	37.20
Services and Support	2,198,348.95	3,965,303.34	(1,766,954.39)	(44.56)	9,535,005.30	9,282,169.14	252,836.16	2.72
Construction	93,823,107.79	74,208,233.43	19,614,874.36	26.43	351,574,169.78	374,023,927.38	(22,449,757.60)	(6.00)
Highway Safety Office	505,935.24	380,946.87	124,988.37	32.81	1,365,872.37	1,650,144.69	(284,272.32)	(17.23)
Public Transit	2,889,222.33	2,160,113.04	729,109.29	33.75	9,156,211.64	6,986,262.29	2,169,949.35	31.06
Total Expenditures	\$113,054,763.34	\$99,714,696.55	\$13,340,066.79	13.38 %	\$444,968,593.12	\$469,380,598.17	(\$24,412,005.05)	(5.20) %
Excess Revenue (Expenditures)	(\$54,696,361.09)	\$28,314,836.92	(\$83,011,198.01)	(293.17) %	(\$3,014,412.10)	(\$64,326,878.56)	\$61,312,466.46	(95.31) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
October 2020**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	122,013,353.22	46,248,590.85	22,411,348.61	58,723,991.52	2,634,654.94	2,021,878.82	10,170,135.83	64,026.47	264,287,980.26
Other Current Assets	35,929,639.96	0.00	0.00	0.00	0.00	0.00	0.00	0.00	35,929,639.96
Capital Assets	8,636,838,803.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,636,838,803.75
TOTAL ASSETS	\$8,794,781,796.93	\$46,248,590.85	\$22,411,348.61	\$58,723,991.52	\$2,634,654.94	\$2,021,878.82	\$10,170,135.83	\$64,026.47	\$8,937,056,423.97
LIABILITIES									
Current Liabilities	151,157,976.06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	151,157,976.06
TOTAL LIABILITIES	\$151,157,976.06	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$151,157,976.06
NET ASSETS									
Fund Balance	194,593,185.97	(125,739,808.02)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	152,074,056.26
Capital Equity	8,636,838,803.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,636,838,803.75
Accrued Interfund Transfer	(14,739,984.32)	0.00	4,903,401.87	7,581,795.55	308,501.03	21,170.05	121,413.13	1,803,702.69	0.00
Revenues	230,953,181.06	171,988,398.87	27,343,290.46	9,518,267.69	300,488.73	131,780.82	1,462,662.19	256,111.20	441,954,181.02
Costs	(404,021,365.59)	0.00	(13,681,742.59)	(22,259,968.99)	(1,108,466.48)	(100,813.94)	(2,884,419.54)	(911,815.99)	(444,968,593.12)
TOTAL NET ASSETS	\$8,643,623,820.87	\$46,248,590.85	\$22,411,348.61	\$58,723,991.52	\$2,634,654.94	\$2,021,878.82	\$10,170,135.83	\$64,026.47	\$8,785,898,447.91
TOTAL LIABILITIES AND NET ASSETS	\$8,794,781,796.93	\$46,248,590.85	\$22,411,348.61	\$58,723,991.52	\$2,634,654.94	\$2,021,878.82	\$10,170,135.83	\$64,026.47	\$8,937,056,423.97

FUND BALANCES AND INVESTMENT EARNINGS

Roads Divisions

October 2020

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.3	128.0	58.3								
Expenditures	136.3	95.8	99.7	113.0								
Balance	3.80	19.50	28.30	(54.70)								
Cumulative Balance	3.80	23.30	51.60	(3.10)								

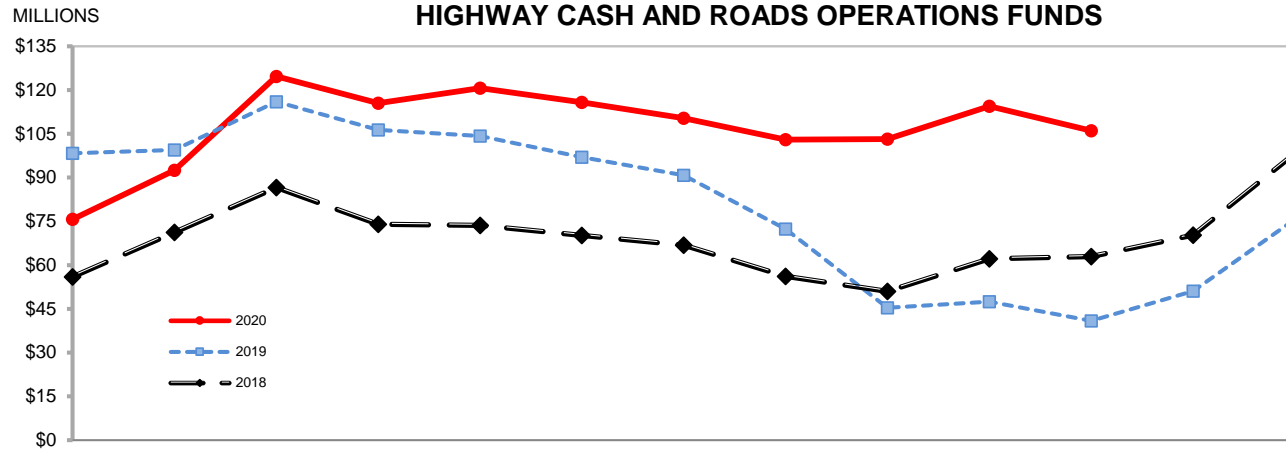
Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances earned \$327,356.28 in October, with an interest rate of 1.67%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%	1.47%	1.67%										1.59%
Earnings (Thousands)	\$334	\$276	\$286	\$327									\$1,223	\$306

FUND BALANCES - MONTHLY LOW POINT
Roads Divisions
October 2020
(IN MILLIONS)

Total of all funds available as of October 31 is \$248 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$149 million on the 31st to a low of \$103 million on the 28th



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1		
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
2018	71.2	86.6	74.0	73.6	70.2	66.8	56.2	51.0	62.2	62.9	70.3	98.3
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6		
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
2018	0.0	1.6	5.3	8.0	6.5	5.1	3.7	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6		
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
2018	63.3	64.5	65.3	66.3	66.8	67.7	69.1	69.8	71.7	73.2	75.1	76.7
GRADE CROSSING PROTECTION FUND												
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6		
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
2018	4.5	4.2	4.3	5.2	5.2	5.1	5.3	5.3	5.1	5.3	5.3	5.2
RECREATION ROAD FUND												
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1		
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
2018	6.5	6.7	7.0	7.2	7.4	7.8	7.9	8.0	8.3	8.5	8.5	8.7
STATE AID BRIDGE FUND												
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2018	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
October 2020**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	85,472.54									85,472.54
460000 Intergovernmental			16,198.55	450.00			5,063,834.19			5,080,482.74
470000 Sales & Charges				13,239.79	6,308.56	231.78				19,780.13
480000 Miscellaneous	9,802.56				48,801.50					58,604.06
490000 Other					12,293.72					12,293.72
TOTAL REVENUES	95,275.10	-	16,198.55	13,689.79	67,403.78	231.78	5,063,834.19	-	-	5,256,633.19
EXPENDITURES:										
510000 Personal Services	38,706.05		35,659.45	13,889.74	7,927.09					96,182.33
520000 Operating Expenses	16,338.12	31.48	60,933.50	4,334.23	49,138.78	530.84		14,019.14	1,051.87	146,377.96
570000 Travel Expenses	343.60	5.46	34.93	2,281.70				434.66		3,100.35
580000 Capital Outlay										-
590000 Government Aid							5,058,083.84			5,058,083.84
TOTAL EXPENDITURES	55,387.77	36.94	96,627.88	20,505.67	57,065.87	530.84	5,058,083.84	14,453.80	1,051.87	5,303,744.48
Excess (Deficiency) of Revenues Over Expenditures	39,887.33	(36.94)	(80,429.33)	(6,815.88)	10,337.91	(299.06)	5,750.35	(14,453.80)	(1,051.87)	(47,111.29)
OTHER FINANCING SOURCES (USES):										
Transfers In			80,429.33	6,815.88						-
Transfers Out	(87,245.21)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(47,357.88)	(36.94)	-	-	10,337.91	(299.06)	5,750.35	(14,453.80)	(1,051.87)	(47,111.29)
Fund Balance September 30, 2020	1,467,567.33	(1,754.40)	-	-	1,127,158.11	24,997.00	3,267,373.55	(24,837.16)	1,349,135.50	7,209,639.93
Fund Balance October 31, 2020	1,420,209.45	(1,791.34)	-	-	1,137,496.02	24,697.94	3,273,123.90	(39,290.96)	1,348,083.63	7,162,528.64

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
FISCAL YEAR TO DATE (July 1, 2020 through October 31, 2020)**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	384,593.40									384,593.40
460000 Intergovernmental			(20,637.14)	450.00	226,546.01		13,576,636.38			13,782,995.25
470000 Sales & Charges			11,050.00	65,918.38	13,144.85	28,367.93				118,481.16
480000 Miscellaneous	38,847.30				179,924.60			2,979.82	168.00	221,919.72
490000 Other					60,312.58					60,312.58
TOTAL REVENUES	423,440.70	-	(9,587.14)	66,368.38	479,928.04	28,367.93	13,576,636.38	2,979.82	168.00	14,568,302.11
EXPENDITURES:										
510000 Personal Services	170,998.77		151,795.21	60,797.84	38,880.42	12.36		12.36		422,496.96
520000 Operating Expenses	55,906.19	52.87	63,548.26	24,781.94	540,017.28	3,657.63		41,451.29	1,051.87	730,467.33
570000 Travel Expenses	931.95	1,701.45	3,249.72	9,550.17				807.13		16,240.42
580000 Capital Outlay				(5,708.47)						(5,708.47)
590000 Government Aid	13,460.93						13,791,421.55			13,804,882.48
TOTAL EXPENDITURES	241,297.84	1,754.32	218,593.19	89,421.48	578,897.70	3,669.99	13,791,421.55	42,270.78	1,051.87	14,968,378.72
Excess (Deficiency) of Revenues Over Expenditures	182,142.86	(1,754.32)	(228,180.33)	(23,053.10)	(98,969.66)	24,697.94	(214,785.17)	(39,290.96)	(883.87)	(400,076.61)
OTHER FINANCING SOURCES (USES):										
Transfers In			228,180.33	23,053.10		(24,697.94)		39,290.96	-	
Transfers Out	(265,826.45)									
Grant \$ transfer	(250,000.00)						250,000.00			
Excess (Deficiency) of Revenues Over Expenditures	(333,683.59)	(1,754.32)	-	-	(98,969.66)	-	35,214.83	-	(883.87)	(400,076.61)
Fund Balance June 30, 2020	1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance October 31, 2020	1,155,616.43	(1,791.34)	-	-	1,137,496.02	-	3,523,123.90	-	1,348,083.63	7,162,528.64

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/16	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	4.6
Wholesale Tax ¢	12.5	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	-0.7
Total Tax ¢	26.8¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	3.9¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Dept. of Transportation and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increases 1 ½¢ each year thru 2019. ½¢ each to Dept. of Transportation , cities and counties.

Variable Tax: The Dept. of Transportation receives the revenue generated from the variable excise tax which is adjusted semi-annually and is computed by applying the rate to the average price of motor fuel purchased by state government in April and October. The variable rate for FY-2020 is 1.4% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the State Energy Office on April 1 and October 1. It is distributed 66% to the Dept. of Transportation and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the Dept. of Transportation receives 53 ⅓ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The Dept. of Transportation's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from Dept. of Transportation and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2021 RECEIPTS
AS OF OCTOBER 31, 2020
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$98,306	\$ 8,085	\$ 8,740	\$ 655	8.1%	\$ 33,261	\$ 35,370	\$ 2,108	6.3%
Incremental Fixed	26,215	2,156	2,339	183	8.5%	8,870	9,466	596	6.7%
Variable	96,904	7,978	8,655	678	8.5%	27,846	29,578	1,732	6.2%
Wholesale	<u>79,104</u>	<u>6,759</u>	<u>7,334</u>	<u>574</u>	8.5%	<u>28,306</u>	<u>30,223</u>	<u>1,917</u>	6.8%
Subtotal	300,529	24,978	27,069	2,091	8.4%	98,283	104,637	6,353	6.5%
Motor Vehicle Registrations	32,062	1,978	2,473	495	25.0%	9,905	10,725	820	8.3%
Prorate Registrations	<u>12,180</u>	<u>289</u>	<u>411</u>	<u>122</u>	42.3%	<u>1,912</u>	<u>1,852</u>	<u>(60)</u>	(3.1%)
Subtotal	44,242	2,267	2,884	617	27.2%	11,817	12,577	760	6.4%
Sales Tax on Motor Vehicles	101,752	7,962	16,218	8,256	103.7%	36,830	54,519	17,689	48.0%
Interest	1,874	116	225	109	94.3%	508	812	304	59.8%
Sale of Supplies and Materials	1,300	129	83	(46)	(35.5%)	459	392	(67)	(14.7%)
Sale of Fixed Assets	1,350	227	146	(81)	(35.9%)	310	505	195	62.9%
Excess Limit	3,100	265	262	(3)	(1.3%)	1,115	1,033	(82)	(7.4%)
Overload Fines	1,150	107	26	(81)	(75.3%)	474	124	(350)	(73.9%)
Other Fees	<u>1,900</u>	<u>278</u>	<u>83</u>	<u>(195)</u>	(70.1%)	<u>702</u>	<u>765</u>	<u>63</u>	9.0%
SUBTOTAL HIGHWAY CASH FUND	\$ 457,197 (A)	\$ 36,329	\$ 46,996	\$ 10,667	29.4%	\$ 150,498	\$ 175,363	\$ 24,865 (B)	16.5%
Incremental Tax Transfer to TIB Fund	(25,938)	(2,273)	(2,389)	(116)	5.1%	(\$8,813)	(9,172)	(359)	4.1%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 431,259	\$ 34,057	\$ 44,607	\$ 10,551	31.0%	\$ 141,685	\$ 166,191	\$ 24,505	17.3%
State Hwy Capital Impr Fund	65,666	5,683	6,774	1,091	19.2%	20,543	27,343	6,800	33.1%
Transportation Infrastructure Bank Fund (TIB)	26,478	2,318	2,475	157	6.8%	8,993	9,518	525	5.8%
Grade Crossing Protection Fund	3,410	46	36	(10)	(21.0%)	888	432	(456)	(51.3%)
Recreation Road Fund	4,110	281	317	36	12.8%	1,212	1,463	251	20.7%
State Aid Bridge Fund	<u>783</u>	<u>65</u>	<u>64</u>	<u>(1)</u>	(1.5%)	<u>260</u>	<u>256</u>	<u>(4)</u>	(1.5%)
TOTAL STATE RECEIPTS	\$ 531,706	\$ 42,449	\$ 54,274	\$ 11,824	27.9%	\$ 173,581	\$ 205,203	\$ 31,622	18.2%
Federal Receipts									
FHWA	362,300	41,159	45,920	4,761	11.6%	203,395	205,538	2,143	1.1%
Transit	9,000	317	2,243	1,926	607.6%	2,624	10,165	7,541	287.4%
Highway Safety	<u>5,500</u>	<u>97</u>	<u>370</u>	<u>273</u>	281.8%	<u>1,186</u>	<u>833</u>	<u>(353)</u>	(29.8%)
Subtotal-Federal Receipts	376,800	41,573	48,534	6,961	16.7%	207,205	216,535	9,330	4.5%
Local Receipts	15,000	708	316	(392)	(55.4%)	9,338	24,122	14,784	158.4%
Other Entities	<u>6,000</u>	<u>213</u>	<u>248</u>	<u>35</u>	16.2%	<u>2,443</u>	<u>2,923</u>	<u>480</u>	19.7%
TOTAL DEPARTMENT RECEIPTS	\$ 929,506	\$ 84,943	\$ 103,371	\$ 18,427	21.7%	\$ 392,567	\$ 448,784	\$ 56,216	14.3%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 15, 2020	\$ 457,197
(B) Receipts Over/(Under) Projection To Date	24,865
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 482,062
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	23,062
% Variance From Appropriation	5.0%

** Numbers may not add due to rounding.

** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT. Consequently, the effects of COVID-19 on NDOT revenues will occur at various intervals.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
October 2020

FISCAL YEAR 2021
 Period Expired 33.33%
 Pay Period Ending 10/11/2020

<u>COST BY RESOURCE</u>	<u>Cash Flow</u> <u>Allotment</u>	<u>Month's</u> <u>Expenditure</u>	<u>Expended</u> <u>to Date</u>	<u>Allotment</u> <u>Balance</u>	<u>% Expended</u> <u>to Date</u>	<u>Encumbrances</u>
Personal Services						
Permanent Salaries	107,938,356.00	7,700,066.97	34,237,256.25	73,701,099.75	31.72%	0.00
Temporary Salaries	1,905,021.00	144,142.36	1,074,876.16	830,144.84	56.42%	0.00
Overtime	6,071,840.00	432,208.85	1,955,542.78	4,116,297.22	32.21%	0.00
Employee Benefits	41,462,486.00	3,063,354.47	12,894,033.44	28,568,452.56	31.10%	0.00
SUBTOTAL: Personal Services	\$157,377,703.00	\$11,339,772.65	\$50,161,708.63	\$107,215,994.37	31.87%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	223,761.68	989,621.21	2,664,038.79	27.09%	0.00
Rentals	877,359.00	48,986.43	410,399.78	466,959.22	46.78%	(4,164.31)
Repairs & Maintenance	11,598,760.00	867,912.36	2,752,864.99	8,845,895.01	23.73%	1,435,762.27
Maintenance Contracts	14,089,958.00	964,496.31	4,603,318.74	9,486,639.26	32.67%	25,846,006.58
Engineering Contracts	35,285,520.00	2,342,302.38	9,692,854.73	25,592,665.27	27.47%	45,624,276.42
Contractual Services	43,240,438.00	527,843.36	4,898,284.81	38,342,153.19	11.33%	10,362,658.98
Technology Expenses	21,745,005.00	1,209,574.88	6,666,818.13	15,078,186.87	30.66%	31,029,284.35
Other Operating Expenses	4,888,173.00	184,828.24	2,185,548.13	2,702,624.87	44.71%	580,486.27
SUBTOTAL: Operating Expenses	\$135,378,873.00	\$6,369,705.64	\$32,199,710.52	\$103,179,162.48	23.78%	\$114,874,310.56
Supplies and Materials						
General Supplies & Materials	1,698,165.00	75,583.06	460,288.01	1,237,876.99	27.11%	295,902.16
Maint & Const Materials	48,031,631.00	5,147,876.77	28,320,871.43	19,710,759.57	58.96%	18,708.83
Automotive Supplies & Materials	18,230,100.00	1,015,359.64	4,363,726.01	13,866,373.99	23.94%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$6,238,819.47	\$33,144,885.45	\$34,815,010.55	48.77%	\$314,610.99
Travel						
In State Travel	825,995.00	51,167.84	148,746.98	677,248.02	18.01%	0.00
Out of State Travel	230,758.00	0.00	579.55	230,178.45	0.25%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$51,167.84	\$149,326.53	\$907,426.47	14.13%	\$0.00
Capital Outlay						
Land	16,000,000.00	1,004,524.48	2,491,207.50	13,508,792.50	15.57%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	71,097,905.25	260,678,856.06	192,564,875.94	57.51%	742,396,211.37
Buildings	11,668,000.00	189,078.30	714,558.95	10,953,441.05	6.12%	3,117,516.21
Heavy Equipment and Vehicles	14,691,430.00	89,995.00	4,068,615.04	10,622,814.96	27.69%	2,985,039.23
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	0.00	86,690.00	1,070,870.00	7.49%	442,565.00
SUBTOTAL: Capital Outlay	\$496,860,722.00	\$72,381,503.03	\$268,039,927.55	\$228,820,794.45	53.95%	\$748,941,331.81
Government Aid & Distr						
Public Transit Aid	42,312,705.00	2,852,733.98	8,982,023.11	33,330,681.89	21.23%	21,981,237.57
Highway Safety Office	5,200,000.00	464,617.85	1,198,096.48	4,001,903.52	23.04%	5,198,707.00
Other Government Aid	45,251,000.00	13,356,442.88	51,092,914.85	(5,841,914.85)	112.91%	116,090,359.07
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$16,673,794.71	\$61,273,034.44	\$31,490,670.56	66.05%	\$143,270,303.64
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$113,054,763.34	\$444,968,593.12	\$506,429,058.88	46.77%	\$1,007,400,557.00

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
October 2020

FISCAL YEAR 2021
 Period Expired 33.33%
 Pay Period Ending 10/11/2020

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	1,384,515.76	7,426,874.44	11,601,372.56	39.03%	673,347.73
Boards & Commissions	50,000.00	2,900.39	14,582.19	35,417.81	29.16%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$1,387,416.15	\$7,441,456.63	\$11,636,790.37	39.00%	\$673,347.73
Service and Support						
Charges to Others	1,100,000.00	82,520.22	356,555.16	743,444.84	32.41%	48,721.59
Deficiency Claims	17,184.00	0.00	0.00	17,184.00	0.00%	0.00
Supply Base/Inventories	1,000,000.00	177,065.46	605,426.45	394,573.55	60.54%	282,977.73
Building Operations	6,000,000.00	825,030.39	3,838,649.88	2,161,350.12	63.98%	2,401,818.39
Business Technology Services	14,657,318.00	1,243,893.01	5,493,441.20	9,163,876.80	37.48%	18,407,745.81
Support Centers	5,208,762.00	24,448.34	235,940.66	4,972,821.34	4.53%	0.00
Payroll Clearing	625,675.00	(154,608.47)	(995,008.05)	1,620,683.05	(159.03)%	28,391.13
SUBTOTAL: Service and Support	\$28,608,939.00	\$2,198,348.95	\$9,535,005.30	\$19,073,933.70	33.33%	\$21,169,654.65
Capital Facilities						
Capital Facilities	10,000,000.00	238,391.77	1,214,984.90	8,785,015.10	12.15%	4,015,737.52
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$238,391.77	\$1,214,984.90	\$8,785,015.10	12.15%	\$4,015,737.52
Highway Maintenance						
System Preservation	42,000,000.00	6,097,449.74	31,583,382.31	10,416,617.69	75.20%	1,234,664.40
Operations	42,000,000.00	3,784,114.18	16,795,723.48	25,204,276.52	39.99%	18,575,030.76
Snow and Ice Control	40,000,000.00	827,447.56	5,145,598.81	34,854,401.19	12.86%	(147,255.64)
Unusual & Disaster Oper	1,500,000.00	228,165.19	1,008,548.11	491,451.89	67.24%	6,719,627.70
Equipment Operations	15,000,000.00	(88,806.08)	3,520,219.57	11,479,780.43	23.47%	3,041,554.76
Indirect Charges	20,943,553.00	1,163,970.52	6,627,420.22	14,316,132.78	31.64%	438,400.69
SUBTOTAL: Highway Maintenance	\$161,443,553.00	\$12,012,341.11	\$64,680,892.50	\$96,762,660.50	40.06%	\$29,862,022.67
Highway Construction						
Preliminary Engineering	50,100,000.00	3,525,575.94	14,674,643.98	35,425,356.02	29.29%	32,025,300.36
Right-Of-Way	15,000,000.00	1,170,657.16	3,232,472.73	11,767,527.27	21.55%	80,852.11
Construction	467,777,351.00	71,290,667.07	261,173,221.19	206,604,129.81	55.83%	742,389,183.30
Construction Engineering	25,000,000.00	2,665,827.09	11,873,663.39	13,126,336.61	47.49%	4,976,300.52
SUBTOTAL: Highway Construction	\$557,877,351.00	\$78,652,727.26	\$290,954,001.29	\$266,923,349.71	52.15%	\$779,471,636.29
Construction Related Expense						
Overhead	19,002,441.00	1,247,607.21	4,952,866.37	14,049,574.63	26.06%	15,468,936.75
Planning & Research	12,056,000.00	915,174.65	4,718,759.79	7,337,240.21	39.14%	19,219,530.65
Local Systems	95,792,666.00	13,007,598.67	50,948,542.33	44,844,123.67	53.19%	110,339,746.17
Highway Safety Office	5,213,250.00	505,935.24	1,365,872.37	3,847,377.63	26.20%	5,198,707.00
Public Transportation Asst	42,325,205.00	2,889,222.33	9,156,211.64	33,168,993.36	21.63%	21,981,237.57
SUBTOTAL: Construction Related Expense	\$174,389,561.00	\$18,565,538.10	\$71,142,252.50	\$103,247,309.50	40.80%	\$172,208,158.14
AGENCY TOTAL	\$951,397,652.00	\$113,054,763.34	\$444,968,593.12	\$506,429,058.88	46.77%	\$1,007,400,557.00

**PROGRAM STATUS REPORT
BUSINESS MONTH - OCTOBER 2020**

FISCAL YEAR 2021
Period Expired 33.3%
Pay Period Ending 10/11/2020

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	802,795.70	1,596,878.89	0.00	2,366,814.80	2,259,931.63	673,645.95	7,700,066.97
Temporary Salaries	368.90	15,568.02	0.00	95,428.72	18,651.36	14,125.36	144,142.36
Overtime	1,949.30	30,471.02	0.00	144,034.30	248,373.62	7,380.61	432,208.85
Employee Benefits	0.00	3,063,354.47	0.00	0.00	0.00	0.00	3,063,354.47
SUBTOTAL: Personal Services	\$805,113.90	\$4,706,272.40	\$0.00	\$2,606,277.82	\$2,526,956.61	\$695,151.92	\$11,339,772.65
Operating Expenses							
Utilities	0.00	123,898.85	0.00	99,420.64	397.08	45.11	223,761.68
Rentals	699.92	4,252.63	0.00	42,783.88	1,250.00	0.00	48,986.43
Repairs & Maintenance	0.00	125,038.73	0.00	736,587.63	735.00	5,551.00	867,912.36
Maintenance Contracts	0.00	20,733.67	0.00	943,762.64	0.00	0.00	964,496.31
Engineering Contracts	0.00	46,206.50	48,862.03	0.00	1,938,026.07	309,207.78	2,342,302.38
Contractual Services	28,779.77	134,227.74	0.00	112,486.40	1,326.50	251,022.95	527,843.36
Technology Expenses	2,205.00	892,052.47	0.00	189,251.28	0.00	126,066.13	1,209,574.88
Other Operating Expenses	23,606.58	7,970.57	451.44	790.09	1,833.10	150,176.46	184,828.24
SUBTOTAL: Operating Expenses	\$55,291.27	\$1,354,381.16	\$49,313.47	\$2,125,082.56	\$1,943,567.75	\$842,069.43	\$6,369,705.64
Supplies and Materials							
General Supplies & Materials	21,792.26	20,079.75	0.00	29,378.31	2.45	4,330.29	75,583.06
Maint & Const Materials	2,639.07	171,215.57	0.00	4,950,256.79	8,846.86	14,918.48	5,147,876.77
Automotive Supplies & Materials	0.00	70,691.44	0.00	944,668.20	0.00	0.00	1,015,359.64
SUBTOTAL: Supplies and Materials	\$24,431.33	\$261,986.76	\$0.00	\$5,924,303.30	\$8,849.31	\$19,248.77	\$6,238,819.47
Travel							
In State Travel	6,848.90	5,132.60	0.00	2,431.90	15,464.93	21,289.51	51,167.84
SUBTOTAL: Travel	\$6,848.90	\$5,132.60	\$0.00	\$2,431.90	\$15,464.93	\$21,289.51	\$51,167.84
Capital Outlay							
Land	0.00	0.00	0.00	0.00	1,004,524.48	0.00	1,004,524.48
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	71,097,905.25	0.00	71,097,905.25
Buildings	0.00	0.00	189,078.30	0.00	0.00	0.00	189,078.30
Heavy Equipment and Vehicles	0.00	0.00	0.00	89,995.00	0.00	0.00	89,995.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$189,078.30	\$89,995.00	\$72,102,429.73	\$0.00	\$72,381,503.03
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	2,852,733.98	2,852,733.98
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	464,617.85	464,617.85
Other Government Aid	0.00	0.00	0.00	0.00	163,035.82	13,193,407.06	13,356,442.88
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$163,035.82	\$16,510,758.89	\$16,673,794.71
Internal Redistributions							
Redistribution	495,730.75	(4,129,423.97)	0.00	1,264,250.53	1,892,423.11	477,019.58	0.00
SUBTOTAL: Internal Redistributions	\$495,730.75	(\$4,129,423.97)	\$0.00	\$1,264,250.53	\$1,892,423.11	\$477,019.58	\$0.00
GRAND TOTAL:	\$1,387,416.15	\$2,198,348.95	\$238,391.77	\$12,012,341.11	\$78,652,727.26	\$18,565,538.10	\$113,054,763.34

PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - OCTOBER 2020

FISCAL YEAR 2021
 Period Expired 33.3%
 Pay Period Ending 10/11/2020

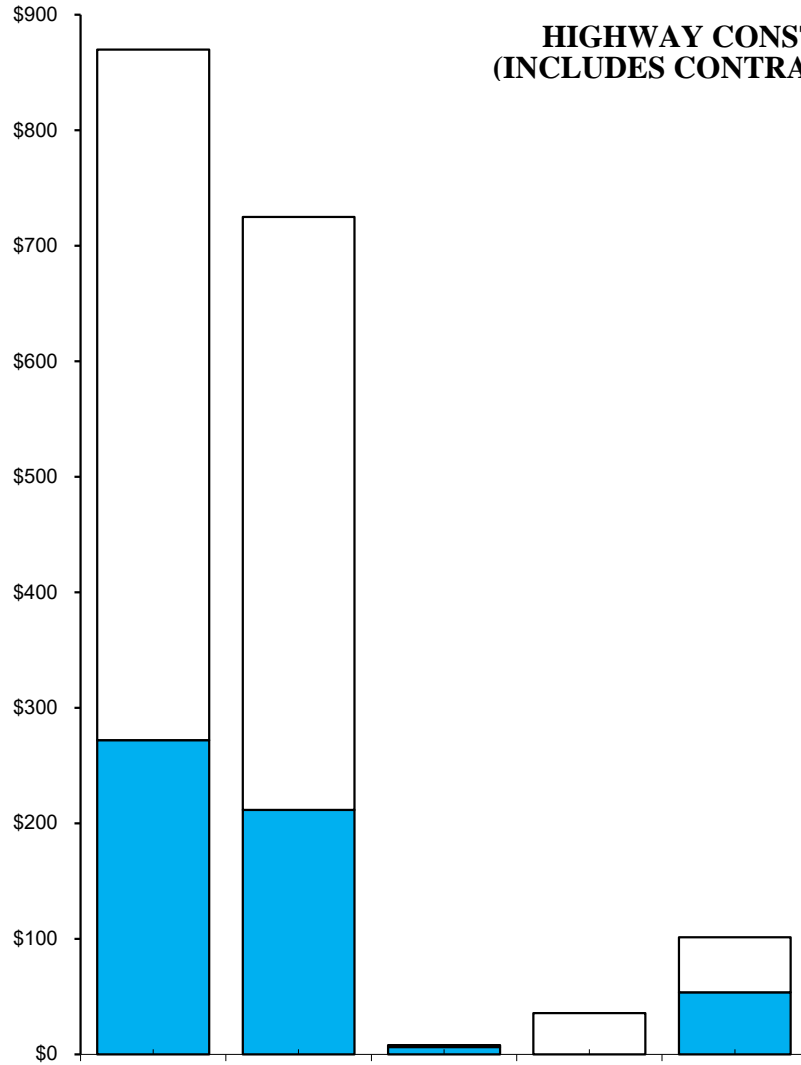
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	3,500,237.96	7,122,306.36	0.00	10,620,620.24	10,023,062.95	2,971,028.74	34,237,256.25
Temporary Salaries	2,153.64	102,630.50	0.00	742,116.36	135,957.48	92,018.18	1,074,876.16
Overtime	6,580.11	148,873.17	0.00	704,298.25	1,061,341.12	34,450.13	1,955,542.78
Employee Benefits	0.00	12,894,033.44	0.00	0.00	0.00	0.00	12,894,033.44
SUBTOTAL: Personal Services	\$3,508,971.71	\$20,267,843.47	\$0.00	\$12,067,034.85	\$11,220,361.55	\$3,097,497.05	\$50,161,708.63
Operating Expenses							
Utilities	0.00	590,444.31	0.00	397,821.18	1,177.90	177.82	989,621.21
Rentals	3,084.21	19,978.92	0.00	386,006.15	1,250.00	80.50	410,399.78
Repairs & Maintenance	0.00	645,945.91	0.00	2,084,292.26	7,331.01	15,295.81	2,752,864.99
Maintenance Contracts	0.00	96,692.93	0.00	4,505,139.41	1,486.40	0.00	4,603,318.74
Engineering Contracts	0.00	246,776.50	496,977.14	89,925.00	7,699,450.28	1,159,725.81	9,692,854.73
Contractual Services	215,737.92	793,812.59	0.00	1,255,384.88	16,153.83	2,617,195.59	4,898,284.81
Technology Expenses	1,199,962.10	3,955,472.74	0.00	758,433.12	0.00	752,950.17	6,666,818.13
Other Operating Expenses	117,040.36	561,864.55	3,448.81	1,302,156.20	28,863.47	172,174.74	2,185,548.13
SUBTOTAL: Operating Expenses	\$1,535,824.59	\$6,910,988.45	\$500,425.95	\$10,779,158.20	\$7,755,712.89	\$4,717,600.44	\$32,199,710.52
Supplies and Materials							
General Supplies & Materials	183,687.64	79,566.22	0.00	180,908.18	92.67	16,033.30	460,288.01
Maint & Const Materials	16,232.98	611,136.25	0.00	27,472,026.09	133,212.16	88,263.95	28,320,871.43
Automotive Supplies & Materials	0.00	295,728.78	0.00	4,067,947.79	0.00	49.44	4,363,726.01
SUBTOTAL: Supplies and Materials	\$199,920.62	\$986,431.25	\$0.00	\$31,720,882.06	\$133,304.83	\$104,346.69	\$33,144,885.45
Travel							
In State Travel	23,003.62	12,588.86	0.00	7,013.89	51,733.93	54,406.68	148,746.98
Out of State Travel	0.00	0.00	0.00	0.00	27.12	552.43	579.55
SUBTOTAL: Travel	\$23,003.62	\$12,588.86	\$0.00	\$7,013.89	\$51,761.05	\$54,959.11	\$149,326.53
Capital Outlay							
Land	0.00	0.00	0.00	0.00	2,491,207.50	0.00	2,491,207.50
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	260,642,556.33	0.00	260,678,856.06
Buildings	0.00	0.00	714,558.95	0.00	0.00	0.00	714,558.95
Heavy Equipment and Vehicles	0.00	0.00	0.00	4,068,615.04	0.00	0.00	4,068,615.04
Specialty Equipment	0.00	0.00	0.00	9,100.00	72,900.00	4,690.00	86,690.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$714,558.95	\$4,114,014.77	\$263,206,663.83	\$4,690.00	\$268,039,927.55
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	8,982,023.11	8,982,023.11
Highway Safety Office	0.00	(990.85)	0.00	0.00	0.00	1,199,087.33	1,198,096.48
Other Government Aid	0.00	0.00	0.00	0.00	216,209.18	50,876,705.67	51,092,914.85
SUBTOTAL: Government Aid & Distr	\$0.00	(\$990.85)	\$0.00	\$0.00	\$216,209.18	\$61,057,816.11	\$61,273,034.44
Internal Redistributions							
Redistribution	2,173,736.09	(18,641,855.88)	0.00	5,992,788.73	8,369,987.96	2,105,343.10	0.00
SUBTOTAL: Internal Redistributions	\$2,173,736.09	(\$18,641,855.88)	\$0.00	\$5,992,788.73	\$8,369,987.96	\$2,105,343.10	\$0.00
GRAND TOTAL:	\$7,441,456.63	\$9,535,005.30	\$1,214,984.90	\$64,680,892.50	\$290,954,001.29	\$71,142,252.50	\$444,968,593.12

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
October 2020

FISCAL YEAR 2021
 Period Expired 33.33%
 Pay Period Ending 10/11/2020

<u>COST BY ORGANIZATIONAL STRUCTURE</u>	<u>Cash Flow Allotment</u>	<u>Month's Expenditure</u>	<u>Expended to Date</u>	<u>Allotment Balance</u>	<u>% Expended to Date</u>	<u>Encumbrances</u>
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	55,564.28	249,023.22	477,662.78	34.27%	0.00
140 - LEGAL	2,947,291.00	99,237.36	393,436.51	2,553,854.49	13.35%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	308,979.31	1,108,376.95	2,295,562.05	32.56%	1,017,261.42
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,673,354.00	149,360.00	713,142.46	1,960,211.54	26.68%	87,108.31
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,751,270.00	\$613,140.95	\$2,463,979.14	\$7,287,290.86	25.27%	\$1,276,006.46
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	161,557.48	718,459.83	1,574,163.17	31.34%	0.00
320 - BRIDGE DIVISION	7,541,203.00	600,079.23	2,465,761.04	5,075,441.96	32.70%	1,451,903.09
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	390,118.55	1,555,877.23	2,973,226.77	34.35%	578,839.41
350 - RIGHT OF WAY DIVISION	5,044,385.00	355,727.27	1,588,759.94	3,455,625.06	31.50%	51,776.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	795,047.01	3,174,770.61	12,089,706.39	20.80%	11,885,215.91
370 - ROADWAY DESIGN DIVISION	26,301,169.00	1,758,115.34	7,242,724.76	19,058,444.24	27.54%	18,485,530.59
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	100,969.68	405,188.12	1,053,899.88	27.77%	19,462.33
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	143,867.70	856,508.19	2,306,239.81	27.08%	1,808,694.46
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,797.00	\$4,305,482.26	\$18,008,049.72	\$47,586,747.28	27.45%	\$34,281,422.09
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	122,375.87	684,709.42	2,021,726.58	25.30%	339,401.13
260 - OPERATIONS DIVISION	21,611,341.00	1,313,632.31	7,668,396.75	13,942,944.25	35.48%	6,264,251.34
280 - BUSINESS TECH SUPPORT DIVISION	19,976,472.00	1,318,250.85	5,838,325.00	14,138,147.00	29.23%	31,359,852.51
380 - CONSTRUCTION DIVISION	2,920,458.00	221,496.58	981,781.29	1,938,676.71	33.62%	1,512.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	616,093.24	4,211,700.03	8,822,725.97	32.31%	10,949,533.47
610 - DISTRICT 1	34,024,032.00	2,349,612.68	12,796,567.87	21,227,464.13	37.61%	5,338,557.72
620 - DISTRICT 2	23,354,011.00	1,728,300.70	7,588,058.58	15,765,952.42	32.49%	6,140,835.75
630 - DISTRICT 3	31,339,572.00	3,613,804.42	14,272,071.62	17,067,500.38	45.54%	2,177,419.59
640 - DISTRICT 4	33,107,740.00	2,601,835.00	11,815,535.16	21,292,204.84	35.69%	3,360,091.79
650 - DISTRICT 5	23,367,222.00	1,393,435.65	7,280,697.31	16,086,524.69	31.16%	4,009,448.92
660 - DISTRICT 6	25,846,634.00	1,995,482.12	10,171,956.08	15,674,677.92	39.36%	5,238,555.11
670 - DISTRICT 7	17,087,182.00	1,541,612.48	8,189,234.98	8,897,947.02	47.93%	2,968,435.90
680 - DISTRICT 8	16,356,389.00	1,278,617.05	7,167,215.62	9,189,173.38	43.82%	2,056,431.96
SUBTOTAL: OFFICE OF OPERATIONS	\$264,731,915.00	\$20,094,548.95	\$98,666,249.71	\$166,065,665.29	37.27%	\$80,204,327.69
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	92,027.23	163,762.57	(163,762.57)	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	(1,112,957.51)	(1,085,820.33)	2,167,882.33	(100.35)%	92,730.71
904 - TRANSPORTATION CAPITAL	610,237,608.00	89,062,521.46	326,752,372.31	283,485,235.69	53.55%	891,546,070.05
SUBTOTAL: BUDGETARY CONTROL	\$611,319,670.00	\$88,041,591.18	\$325,830,314.55	\$285,489,355.45	53.30%	\$891,638,800.76
AGENCY TOTAL	\$951,397,652.00	\$113,054,763.34	\$444,968,593.12	\$506,429,058.88	46.77%	\$1,007,400,557.00

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	103.08			11.16	114.24
9/3 & 24/2020	53.32			1.04	54.36
10/8 & 15 & 29/2020	55.25	5.72		41.60	102.57
11/19/2020					
12/10/2020					
1/14/2021					
2/25/2021					
3/18/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	211.74	6.44	0.00	53.80	271.98

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	5.51	1.76	15.44	51.47		10.81	114.24
9/3 & 24/2020	1.04	2.53		16.77	4.81	10.80	15.36	3.05	54.36
10/8 & 15 & 29/2020	12.74	39.65	7.55	22.74	7.37	6.78	5.74		102.57
11/19/2020									
12/10/2020									
1/14/2021									
2/25/2021									
3/18/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	35.28	50.02	13.06	41.27	28.34	69.05	21.10	13.86	271.98

	State System			Local System
	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
Total Letting(1)	271.98	211.74	6.44	0.00
% Let to Date	31.3%	29.2%	80.9%	0.0%
Actual \$ Let	597.90	513.21	1.52	35.73
Projected \$ Remaining	\$869.88	\$724.95	\$7.96	\$35.73
Total				\$101.24

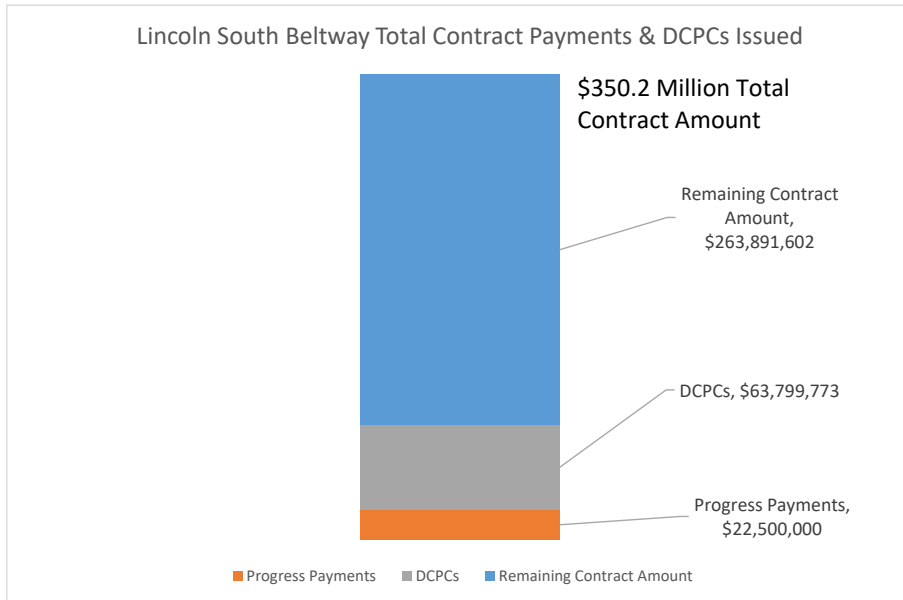
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of October 31, 2020.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through October 2020

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through October 2020)

Remaining Contract Amount	\$263,891,602
Progress Payments	\$22,500,000
DCPCs	\$63,799,773

DCPC Payments Due by Fiscal Year (for DCPCs Issued Through October 2020)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$18,858,398
Total DCPCs to date	\$63,799,773

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	9,148	54,978
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000		
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 51,127	329,111	\$ 9,148	54,978

Footnotes:

FY21 Apportionment per Public Law 116-159. Obligation Limitation per Public Law 116-159 reflects 72/365 days through December 11, 2020.

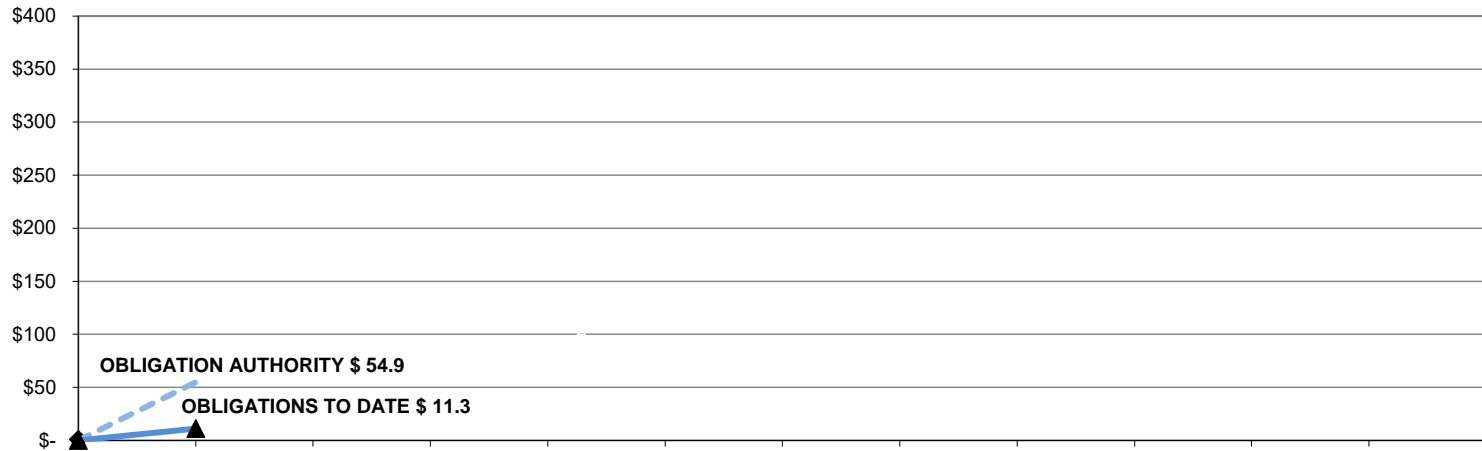
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
OCTOBER 31, 2020

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	-	187,913,464	5,702,052	182,211,412	101,678,911	90,845,692
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	72,945
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	666,989	3,147,659	741,723	5,445,148
STP - Flexible - Any Area	1,350,799	33,159,291	-	34,510,090	1,920,663	32,589,428	65,918,414	94,709,120
STP - MAPA - Omaha	1,014,529	16,226,849	-	17,241,378	-	17,241,378	23,322,866	61,164,873
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	2,831,029	17,818,718	73,093	5,940,409
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	-	43,666,604	8,000	2,085,825
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	(16,196)	14,013,201	-	12,301,159
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	205,756	10,776,400	-	9,997,581
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	(176,583)	36,869,916	3,467,406	12,100,161
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	-	3,376,704	4,555,970	2,401,034
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	281,042	9,893,755	-	2,730,921
Highway Planning	1,539,880	4,661,443	-	6,201,323	-	6,201,323	2,089	9,506,016
Research	293,360	1,553,815	-	1,847,175	-	1,847,175	141,795	6,111,666
Metropolitan Planning	293,435	1,776,501	-	2,069,936	-	2,069,936	-	3,409,079
National Hwy Freight Program	-	10,663,255	-	10,663,255	-	10,663,255	-	10,730,335
TAP - Flex	127,447	2,900,268	-	3,027,715	-	3,027,715	-	5,090,208
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	(70,000)	2,465,918	-	2,032,588
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	-	589,057	-	883,085
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	-	1,809,536	-	1,857,340
Recreational Trails	1,444,778	1,205,213	-	2,649,991	-	2,649,991	-	3,938,609
Enhancement	218,634	-	-	218,634	-	218,634	-	282,830
Safe Routes to School Prog	163,140	-	-	163,140	-	163,140	-	141,865
Redistribution - Certain Auth.	1,498,117	410,683	-	1,908,800	-	1,908,800	-	3,092,863
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	-	-	-	1,913,169
Other	-	-	-	-	-	-	-	1,138,172
Total Formula Funds	\$ 104,540,835	\$ 312,023,571	\$ -	\$ 416,564,406	\$ 11,344,752	\$ 405,219,653	\$ 199,910,267	\$ 349,922,693
Allocated/Discretionary Funds	14,859	-	-	14,859	-	14,859	-	18,921,519
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 312,023,571	\$ -	\$ 416,579,265	\$ 11,344,752	\$ 405,234,512	\$ 199,910,267	\$ 368,844,212
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	-	138,373,520	123,586	138,249,934	-	78,613,328
GRAND TOTAL	\$ 238,405,628	\$ 316,547,157	\$ -	\$ 554,952,785	\$ 11,468,338	\$ 543,484,446	\$ 199,910,267	\$ 447,457,539

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9											
OA Used	0.0	11.3											

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2019		As of October 31, 2020		
Formula Obligation Limitation	\$	284.1	\$	54.9	
August Redistribution		45.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	-	8.3%
Subtotal	\$	329.8	\$	54.9	
Other Allocation Obligation Limitation		18.5		-	
Annual Obligation Limitation	\$	348.3	\$	54.9	
Formula Obligations to Date		0.0		(11.3)	Obligated
Allocated Obligations to Date		-		-	20.6%
Subtotal	\$	-	\$	(11.3)	
Obligation Authority Balance	\$	348.30	\$	43.6	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Highway Infrastructure Program Exempt		0.0		-	
Emergency Relief/Allocated Exempt		0.0		-	
Training and Education Fast		0.2		-	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 201		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	141.4	
Obligations to Date		0.0		(0.1)	
Obligation Authority Balance	\$	218.6	\$	141.3	

FY21 Obligation limitation per Public Law 116-159 reflects 72/365 days through December 11, 2020.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - OCTOBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,236,814.83	995.40	68,711.91	(35,028.49)	4,334.41	2,275,828.06
	RIGHT OF WAY	1,067,401.64	0.00	0.00	2,672.95	0.00	1,070,074.59
	CONSTRUCTION	80,938,821.76	(11,512,890.95)	0.00	1,328,728.90	394,219.45	71,148,879.16
	CONSTRUCTION ENGINEERING	838,518.38	1,211,771.30	785.49	45,562.62	18,553.86	2,115,191.65
	PLANNING & RESEARCH	13,155.78	6,949.06	0.00	0.00	24,264.81	44,369.65
	TOTAL	\$ 85,094,712.39	\$ (10,293,175.19)	\$ 69,497.40	\$ 1,341,935.98	\$ 441,372.53	\$ 76,654,343.11
LOCAL	PRELIMINARY ENGINEERING	34,433.73	(66,463.35)	21,578.79	517,925.00	(1,845.07)	505,629.10
	RIGHT OF WAY	0.00	14,644.19	3,009.52	693.56	(693.56)	17,653.71
	CONSTRUCTION	801,209.92	6,190,056.58	718,547.19	3,049,208.60	62,932.29	10,821,954.58
	CONSTRUCTION ENGINEERING	71,251.99	248,578.00	36,799.10	121,248.05	(68,695.85)	409,181.29
	PLANNING & RESEARCH	0.00	53,054.51	(132.36)	12,661.94	0.00	65,584.09
	TOTAL	\$ 906,895.64	\$ 6,439,869.93	\$ 779,802.24	\$ 3,701,737.15	\$ (8,302.19)	\$ 11,820,002.77
NON-HWY	PRELIMINARY ENGINEERING	1,328,767.05	56,453.48	0.00	41,394.38	12,015.39	1,438,630.30
	RIGHT OF WAY	90,239.47	4,883.99	0.00	1,220.98	0.00	96,344.44
	CONSTRUCTION	349,694.17	698,875.13	0.00	177,233.41	0.00	1,225,802.71
	CONSTRUCTION ENGINEERING	523,161.40	56,080.52	0.00	14,229.68	0.00	593,471.60
	TRAFFIC SAFETY & TRANS	146,127.28	607,914.94	0.00	0.00	0.00	754,042.22
	PLANNING & RESEARCH	229,334.03	639,390.52	0.00	53,584.08	3,798.41	926,107.04
	PUBLIC TRANSPORTATION ASSIST	1,461,418.54	1,397,749.95	0.00	0.00	79,604.92	2,938,773.41
	TOTAL	\$ 4,128,741.94	\$ 3,461,348.53	\$ 0.00	\$ 287,662.53	\$ 95,418.72	\$ 7,973,171.72
TOTAL - CURRENT MONTH	\$ 90,130,349.97	\$ (391,956.73)	\$ 849,299.64	\$ 5,331,335.66	\$ 528,489.06	\$ 96,447,517.60	

FISCAL YEAR TO DATE - OCTOBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	9,231,840.06	38,641.73	82,340.10	3,580.98	54,233.33	9,410,636.20
	RIGHT OF WAY	2,676,469.60	284,143.92	0.00	95,624.73	0.00	3,056,238.25
	CONSTRUCTION	83,004,331.66	173,324,693.81	554,839.12	4,560,212.34	(565,844.67)	260,878,232.26
	CONSTRUCTION ENGINEERING	2,870,975.39	6,077,745.91	11,537.38	191,923.40	107,116.22	9,259,298.30
	PLANNING & RESEARCH	28,261.79	51,000.90	0.00	0.00	32,185.03	111,447.72
	TOTAL	\$ 97,811,878.50	\$ 179,776,226.27	\$ 648,716.60	\$ 4,851,341.45	\$ (372,310.09)	\$ 282,715,852.73
LOCAL	PRELIMINARY ENGINEERING	69,318.75	708,971.84	62,885.17	576,959.70	19,732.76	1,437,868.22
	RIGHT OF WAY	629.90	234,919.31	20,107.91	11,684.43	(693.56)	266,647.99
	CONSTRUCTION	6,433,838.48	22,909,125.13	1,826,672.38	11,135,185.53	234,811.73	42,539,633.25
	CONSTRUCTION ENGINEERING	539,746.48	1,338,937.02	96,439.72	339,950.69	(52,817.35)	2,262,256.56
	PLANNING & RESEARCH	11,012.54	67,950.96	(8,688.97)	13,058.45	90.83	83,423.81
	TOTAL	\$ 7,054,546.15	\$ 25,259,904.26	\$ 1,997,416.21	\$ 12,076,838.80	\$ 201,124.41	\$ 46,589,829.83
NON-HWY	PRELIMINARY ENGINEERING	5,564,233.71	488,361.86	0.00	96,249.83	70,585.48	6,219,430.88
	RIGHT OF WAY	362,528.99	9,949.34	0.00	2,487.30	0.00	374,965.63
	CONSTRUCTION	536,442.84	2,506,550.56	0.00	633,031.03	0.00	3,676,024.43
	CONSTRUCTION ENGINEERING	2,415,333.97	255,137.63	0.00	60,953.18	0.00	2,731,424.78
	TRAFFIC SAFETY & TRANS	14,570.53	1,897,555.46	0.00	0.00	3,842.20	1,915,968.19
	PLANNING & RESEARCH	1,202,441.54	3,608,334.12	0.00	68,688.99	41,309.00	4,920,773.65
	PUBLIC TRANSPORTATION ASSIST	2,499,629.10	6,587,701.22	67,780.86	24,525.60	159,486.85	9,339,123.63
	TOTAL	\$ 12,595,180.68	\$ 15,353,590.19	\$ 67,780.86	\$ 885,935.93	\$ 275,223.53	\$ 29,177,711.19
TOTAL - FISCAL YEAR TO DATE	\$ 117,461,605.33	\$ 220,389,720.72	\$ 2,713,913.67	\$ 17,814,116.18	\$ 104,037.85	\$ 358,483,393.75	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
OCTOBER 2020**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,759,475,208.40	1,012,437,121.44	747,038,086.96	85,094,712.39	97,811,878.50	142,997,603.77
	FEDERAL	1,356,573,626.07	1,130,170,563.49	226,403,062.58	(10,293,175.19)	179,776,226.27	305,849,906.96
	COUNTY	2,545,993.74	2,205,713.20	340,280.54	69,497.40	648,716.60	1,431,382.70
	CITY	69,598,134.56	27,226,999.34	42,371,135.22	1,341,935.98	4,851,341.45	8,145,440.86
	OTHER	21,587,962.01	18,871,468.47	2,716,493.54	441,372.53	(372,310.09)	1,063,453.83
STATE HIGHWAY SYSTEM TOTALS		\$ 3,209,780,924.78	\$ 2,190,911,865.94	\$ 1,018,869,058.84	\$ 76,654,343.11	\$ 282,715,852.73	\$ 459,487,788.12
LOCAL HIGHWAY SYSTEM							
	STATE	61,461,455.23	39,160,378.98	22,301,076.25	906,895.64	7,054,546.15	13,332,918.36
	FEDERAL	343,111,164.93	227,509,425.45	115,601,739.48	6,439,869.93	25,259,904.26	54,725,893.13
	COUNTY	16,918,153.43	8,706,202.23	8,211,951.20	779,802.24	1,997,416.21	4,614,234.34
	CITY	121,951,795.61	71,819,638.09	50,132,157.52	3,701,737.15	12,076,838.80	15,677,385.63
	OTHER	11,071,537.40	8,101,393.26	2,970,144.14	(8,302.19)	201,124.41	574,497.13
LOCAL HIGHWAY SYSTEM TOTALS		\$ 554,514,106.60	\$ 355,297,038.01	\$ 199,217,068.59	\$ 11,820,002.77	\$ 46,589,829.83	\$ 88,924,928.59
NON-HIGHWAY							
	STATE	347,601,764.54	321,815,995.34	25,785,769.20	4,128,741.94	12,595,180.68	56,551,950.84
	FEDERAL	214,845,023.21	111,351,749.87	103,493,273.34	3,461,348.53	15,353,590.19	31,418,418.26
	COUNTY	700,686.47	362,943.66	337,742.81	0.00	67,780.86	210,361.93
	CITY	7,002,367.56	4,471,388.84	2,530,978.72	287,662.53	885,935.93	1,252,944.95
	OTHER	14,723,110.25	11,953,106.83	2,770,003.42	95,418.72	275,223.53	690,092.58
NON-HIGHWAY TOTALS		\$ 584,872,952.03	\$ 449,955,184.54	\$ 134,917,767.49	\$ 7,973,171.72	\$ 29,177,711.19	\$ 90,123,768.56
GRAND TOTALS		\$ 4,349,167,983.41	\$ 2,996,164,088.49	\$ 1,353,003,894.92	\$ 96,447,517.60	\$ 358,483,393.75	\$ 638,536,485.27

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
OCTOBER 2020**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
PRELIMINARY ENGINEERING	535,454,439.50	377,742,787.90	157,711,651.60	4,220,087.46	17,067,935.30	41,957,813.81
RIGHT OF WAY	183,515,596.01	119,506,186.75	64,009,409.26	1,184,072.74	3,697,851.87	13,246,302.08
UTILITIES	47,432,029.82	21,807,430.98	25,624,598.84	275,573.86	1,752,254.29	4,477,359.73
CONSTRUCTION	3,123,572,246.10	2,189,185,322.20	934,386,923.90	82,921,062.59	305,341,635.65	511,868,607.81
CONSTRUCTION ENGINEERING	216,174,766.79	137,707,159.27	78,467,607.52	3,117,844.54	14,252,979.64	28,731,206.09
TRAFFIC SAFETY	35,866,012.15	16,927,299.70	18,938,712.45	754,042.22	1,915,968.19	5,032,731.31
PLANNING & RESEARCH	106,422,521.79	68,444,610.78	37,977,911.01	1,036,060.78	5,115,645.18	11,848,094.32
PUBLIC TRANSPORTATION	100,730,371.25	64,843,290.91	35,887,080.34	2,938,773.41	9,339,123.63	21,374,370.12
GRAND TOTALS	\$ 4,349,167,983.41	\$ 2,996,164,088.49	\$ 1,353,003,894.92	\$ 96,447,517.60	\$ 358,483,393.75	\$ 638,536,485.27

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
OCTOBER 2020**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,177,957,139.06	897,081,817.59	280,875,321.47	75,160,875.40	75,695,573.34	141,146,181.49
ROADS OPERATION FUND AC*	100,994,781.41	1,952,819.48	99,041,961.93	1,897,358.33	930,864.59	(5,327,224.23)
GRADE CROSSING FUND	2,840,216.24	1,486,818.26	1,353,397.98	20,696.11	98,556.98	320,775.26
GRADE SEPARATION-TMT	28,115,756.28	25,037,476.02	3,078,280.26	301,594.52	1,083,650.88	2,670,084.92
RECREATION ROAD FUND	11,277,506.60	9,529,307.75	1,748,198.85	118,695.01	2,819,845.09	4,574,172.96
ST HWY CAPITAL IMPR	699,998,987.40	347,033,981.26	352,965,006.14	4,903,401.87	13,681,742.59	31,950,817.49
STATE AID BRIDGE	4,513,649.37	3,599,787.27	913,862.10	145,933.18	891,402.87	1,311,126.77
TRANS INFRA BANK	142,840,391.81	87,691,488.13	55,148,903.68	7,581,795.55	22,259,968.99	36,236,538.31
TOTAL STATE FUNDS	\$ 2,168,538,428.17	\$ 1,373,413,495.76	\$ 795,124,932.41	\$ 90,130,349.97	\$ 117,461,605.33	\$ 212,882,472.97
FEDERAL FUNDS	1,914,529,814.21	1,469,031,738.81	445,498,075.40	(391,956.73)	220,389,720.72	391,994,218.35
COUNTY FUNDS	20,164,833.64	11,274,859.09	8,889,974.55	849,299.64	2,713,913.67	6,255,978.97
CITY FUNDS	198,552,297.73	103,518,026.27	95,034,271.46	5,331,335.66	17,814,116.18	25,075,771.44
OTHER FUNDS	47,382,609.66	38,925,968.56	8,456,641.10	528,489.06	104,037.85	2,328,043.54
GRAND TOTALS	\$ 4,349,167,983.41	\$ 2,996,164,088.49	\$ 1,353,003,894.92	\$ 96,447,517.60	\$ 358,483,393.75	\$ 638,536,485.27

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
October 31, 2020**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 6,774,228.45	\$ 27,343,290.46	\$ 476,010,017.63			
Expenditures						
Expressway and High Priority Corridors	4,878,791.53	13,615,939.95	236,079,445.36	329,850,546.63	364,827,349.87	
Other Highways	24,610.34	65,802.64	110,954,535.90	23,114,459.51	189,406,989.79	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 4,903,401.87	\$ 13,681,742.59	\$ 458,502,071.22	\$ 352,965,006.14	\$ 554,234,339.66	
Funds Available			\$ 17,507,946.41			

**Transportation Innovation Act
Financial Status
October 31, 2020**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,474,831.54	\$ 9,518,267.69	\$ 138,833,684.10		
Expenditures					
Accelerated State Highway Capital Improvement Program	7,048,199.06	21,398,560.34	79,826,415.57	45,021,940.76	214,610,365.17
County Bridge Match Program	183,596.49	511,408.65	6,455,235.43	10,126,960.92	12,087,383.00
Economic Opportunity Program	350,000.00	350,000.00	1,409,837.13	2.00	18,820,440.14
TIB Projects Completed/Closed					
Total Expenditures	\$ 7,581,795.55	\$ 22,259,968.99	\$ 87,691,488.13	\$ 55,148,903.68	\$ 245,518,188.31
Funds Available			\$ 51,142,195.97		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 19.73%

	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>10/31/20</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	0.745	-	-	0.745	0.555	0.190
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 3.202	-	-	3.202	-	3.202
LCLC - LINCOLN	6.395	\$ 1.262	11.177	-	12.439	2.831	9.608
SubTotal Local	\$ 26.399	\$ 5.209	\$ 12.377	\$ -	\$ 17.586	\$ 3.386	\$ 14.200
METRO PLANNING	1.777	0.351	-	-	0.351	-	0.351
Omaha	66.836%	1.121	0.221	-	0.221	-	0.221
Lincoln	26.341%	0.466	0.092	-	0.092	-	0.092
South Sioux City	1.688%	0.067	0.013	-	0.013	-	0.013
Grand Island	5.135%	0.123	0.024	-	0.024	-	0.024
TAP - Flex	2.900	0.572	-	-	0.572	-	0.572
TAP - 5K and Under	0.874	0.172	-	-	0.172	-	0.172
TAP - 5K-200K	0.573	0.113	-	-	0.113	-	0.113
TAP - MAPA - OMAHA	1.042	0.206	-	-	0.206	(0.070)	0.276
TAP - LCLC - LINCOLN	0.411	0.081	-	-	0.081	-	0.081
REC TRAILS	1.205	0.238	-	-	0.238	-	0.238
TOTAL	\$ 35.181	\$ 6.942	\$ 12.377	\$ -	\$ 19.319	\$ 3.316	\$ 16.003

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of October 31, 2020

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	366,185.31
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	212,495.70
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	130,942.62

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	144,776.24
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	145,127.61
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	67,424.67
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,852.42
3078	SAUNDERS COUNTY	133,683.14
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,424,407.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	354,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

November
2020

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

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- ❖ The state revenue projections in this report were developed in June 2020 and incorporate NDOT’s estimates of the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- ❖ Total revenue in November exceeded expenditures by \$18.0 million. Fiscal year to date revenue surpassed expenditures by \$15.0 million (page 4).
- ❖ Projected \$929.5 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 33.2 cents. The month of November’s major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$196 thousand or 0.7%, motor vehicle registration revenue was over the projected amount by \$ 671 thousand or 25.9% and motor vehicle sales tax was over the projected amount by \$6.8 million or 93.8%. Highway Cash Fund receipts for FY-21 to date were higher than projections by \$32.4 million or 17.2% (page 11, 12).
- ❖ Established an operating budget for Roads Division of \$951.4 million for FY21 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).
- ❖ November expenditures totaled \$66.5 million. Fiscal year to date expenditures totaled \$511.5 million, 53.8% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of October 12, 2020 thru November 8, 2020. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- ❖ Highway construction contract lettings fiscal year to date totaled \$401.2 million, \$343.9 million on the state highway system (page 18).
- ❖ The November report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- ❖ Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. The annual obligation authority is at 19.73% through December 11, 2020 per public Law 116-159. As of November 30, 2020, obligations of \$13.7 million have resulted in an obligation authority balance of \$41.1 million (pages 22, 23 and 24).
- ❖ Build Nebraska Act became effective July 1, 2013. Revenue totaling \$482.9 million has been received to date with allocated expenditures totaling \$459.4 million (page 29).
- ❖ Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$91.2 million has been received to date with expenditures totaling \$92.3 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
November 2020

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	272,761,278.82	264,292,355.12	8,468,923.70	3.20	200,121,451.45	72,639,827.37	36.30
Federal Receivables	7,758,330.68	13,026,608.31	(5,268,277.63)	(40.44)	3,739,673.74	4,018,656.94	107.46
Other Receivables	22,851,599.06	19,859,972.97	2,991,626.09	15.06	11,985,364.46	10,866,234.60	90.66
Inventories	2,970,348.95	3,038,683.82	(68,334.87)	(2.25)	2,943,756.47	26,592.48	0.90
Total Current Assets	\$306,341,557.51	\$300,217,620.22	\$6,123,937.29	2.04 %	\$218,790,246.12	\$87,551,311.39	40.02 %
Capital Assets							
Equipment	65,946,331.64	66,144,151.29	(197,819.65)	(0.30)	64,318,700.56	1,627,631.08	2.53
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,900,636,476.35	7,900,636,476.35	0.00	0.00	7,860,499,911.61	40,136,564.74	0.51
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,636,640,984.10	\$8,636,838,803.75	(\$197,819.65)	0.00 %	\$8,569,388,066.35	\$67,252,917.75	0.78 %
Total Assets	\$8,942,982,541.61	\$8,937,056,423.97	\$5,926,117.64	0.07 %	\$8,788,178,312.47	\$154,804,229.14	1.76 %
LIABILITIES							
Current Liabilities							
Accounts Payable	2,984,449.41	16,151,312.96	(13,166,863.55)	(81.52)	1,012,179.82	1,972,269.59	194.85
Retention Payable	79,203,392.73	65,259,706.65	13,943,686.08	21.37	1,143,969.16	78,059,423.57	6,823.56
Other Payables	69,217,291.19	69,746,956.45	(529,665.26)	(0.76)	53,613,719.14	15,603,572.05	29.10
Total Current Liabilities	\$151,405,133.33	\$151,157,976.06	\$247,157.27	0.16 %	\$55,769,868.12	\$95,635,265.21	171.48 %
Total Liabilities	\$151,405,133.33	\$151,157,976.06	\$247,157.27	0.16 %	\$55,769,868.12	\$95,635,265.21	171.48 %
NET ASSETS							
Capital Equity							
Capital	8,636,640,984.10	8,636,838,803.75	(197,819.65)	0.00	8,569,388,066.35	67,252,917.75	0.78
Total Capital Equity	\$8,636,640,984.10	\$8,636,838,803.75	(\$197,819.65)	0.00 %	\$8,569,388,066.35	\$67,252,917.75	0.78 %
Fund Balance							
Reserved Fund Balance	(76,233,043.78)	(62,221,022.83)	(14,012,020.95)	22.52	1,799,787.31	(78,032,831.09)	(4,335.67)
Unreserved Fund Balance	231,169,467.96	211,280,666.99	19,888,800.97	9.41	161,220,590.69	69,948,877.27	43.39
Total Fund Balance	\$154,936,424.18	\$149,059,644.16	\$5,876,780.02	3.94 %	\$163,020,378.00	(\$8,083,953.82)	(4.96) %
Total Net Assets	\$8,791,577,408.28	\$8,785,898,447.91	\$5,678,960.37	0.06 %	\$8,732,408,444.35	\$59,168,963.93	0.68 %
Total Liabilities and Net Assets	\$8,942,982,541.61	\$8,937,056,423.97	\$5,926,117.64	0.07 %	\$8,788,178,312.47	\$154,804,229.14	1.76 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
NOVEMBER 2020**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	52,615,675.37	51,944,337.20	671,338.17	1.29	253,208,446.48	227,977,634.87	25,230,811.61	11.07
Federal Reimbursements	26,483,616.23	(391,956.73)	26,875,572.96	(6,856.77)	246,876,846.95	238,748,990.66	8,127,856.29	3.40
Local Revenues	3,174,579.98	6,025,983.42	(2,851,403.44)	(47.32)	23,233,445.59	10,041,585.46	13,191,860.13	131.37
Other Entities Revenues	2,199,569.52	780,038.36	1,419,531.16	181.98	3,108,883.10	5,295,894.70	(2,187,011.60)	(41.30)
Total Revenue	\$84,473,441.10	\$58,358,402.25	\$26,115,038.85	44.75 %	\$526,427,622.12	\$482,064,105.69	\$44,363,516.43	9.20 %
Expenditures								
Administration	1,906,024.65	1,387,416.15	518,608.50	37.38	9,347,481.28	9,726,935.72	(379,454.44)	(3.90)
Highway Maintenance	10,850,398.83	12,012,341.11	(1,161,942.28)	(9.67)	75,531,291.33	74,443,490.14	1,087,801.19	1.46
Capital Facilities	384,511.52	238,391.77	146,119.75	61.29	1,599,496.42	919,955.13	679,541.29	73.87
Services and Support	4,117,762.15	2,198,348.95	1,919,413.20	87.31	13,652,767.45	11,242,814.69	2,409,952.76	21.44
Construction	47,255,948.50	93,823,107.79	(46,567,159.29)	(49.63)	398,830,118.28	429,957,610.15	(31,127,491.87)	(7.24)
Highway Safety Office	707,403.47	505,935.24	201,468.23	39.82	2,073,275.84	2,400,609.50	(327,333.66)	(13.64)
Public Transit	1,273,351.31	2,889,222.33	(1,615,871.02)	(55.93)	10,429,562.95	8,510,511.43	1,919,051.52	22.55
Total Expenditures	\$66,495,400.43	\$113,054,763.34	(\$46,559,362.91)	(41.18) %	\$511,463,993.55	\$537,201,926.76	(\$25,737,933.21)	(4.79) %
Excess Revenue (Expenditures)	\$17,978,040.67	(\$54,696,361.09)	\$72,674,401.76	(132.87) %	\$14,963,628.57	(\$55,137,821.07)	\$70,101,449.64	(127.14) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
November 2020**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	135,644,188.36	44,412,821.34	24,375,393.29	53,551,707.35	2,328,810.53	2,033,512.85	10,346,436.58	64,033.66	272,756,903.96
Other Current Assets	33,584,653.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	33,584,653.55
Capital Assets	8,636,640,984.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,636,640,984.10
TOTAL ASSETS	\$8,805,869,826.01	\$44,412,821.34	\$24,375,393.29	\$53,551,707.35	\$2,328,810.53	\$2,033,512.85	\$10,346,436.58	\$64,033.66	\$8,942,982,541.61
LIABILITIES									
Current Liabilities	151,405,133.33	0.00	0.00	0.00	0.00	0.00	0.00	0.00	151,405,133.33
TOTAL LIABILITIES	\$151,405,133.33	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$151,405,133.33
NET ASSETS									
Fund Balance	228,740,516.17	(171,988,398.87)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	139,972,795.61
Capital Equity	8,636,640,984.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,636,640,984.10
Accrued Interfund Transfer	(7,646,933.08)	0.00	943,928.42	4,651,145.78	65,841.92	7,158.34	37,095.56	1,941,763.06	0.00
Revenues	261,339,634.65	216,401,220.21	34,210,737.01	11,927,779.07	303,145.35	164,584.90	1,760,376.07	320,144.86	526,427,622.12
Costs	(464,609,509.16)	0.00	(14,625,671.01)	(26,911,114.77)	(1,174,308.40)	(107,972.28)	(2,921,515.10)	(1,113,902.83)	(511,463,993.55)
TOTAL NET ASSETS	\$8,654,464,692.68	\$44,412,821.34	\$24,375,393.29	\$53,551,707.35	\$2,328,810.53	\$2,033,512.85	\$10,346,436.58	\$64,033.66	\$8,791,577,408.28
TOTAL LIABILITIES AND NET ASSETS	\$8,805,869,826.01	\$44,412,821.34	\$24,375,393.29	\$53,551,707.35	\$2,328,810.53	\$2,033,512.85	\$10,346,436.58	\$64,033.66	\$8,942,982,541.61

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
November 2020

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.3	128.0	58.3	84.4							
Expenditures	136.3	95.8	99.7	113.0	66.4							
Balance	3.8	19.5	28.3	(54.7)	18.0							
Cumulative Balance	3.8	23.3	51.6	(3.1)	14.9							

Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances earned \$278,286.67 in November, with an interest rate of 1.37%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%	1.47%	1.67%	1.37%									1.54%
Earnings (Thousands)	\$334	\$276	\$286	\$327	\$278								\$1,501	\$300

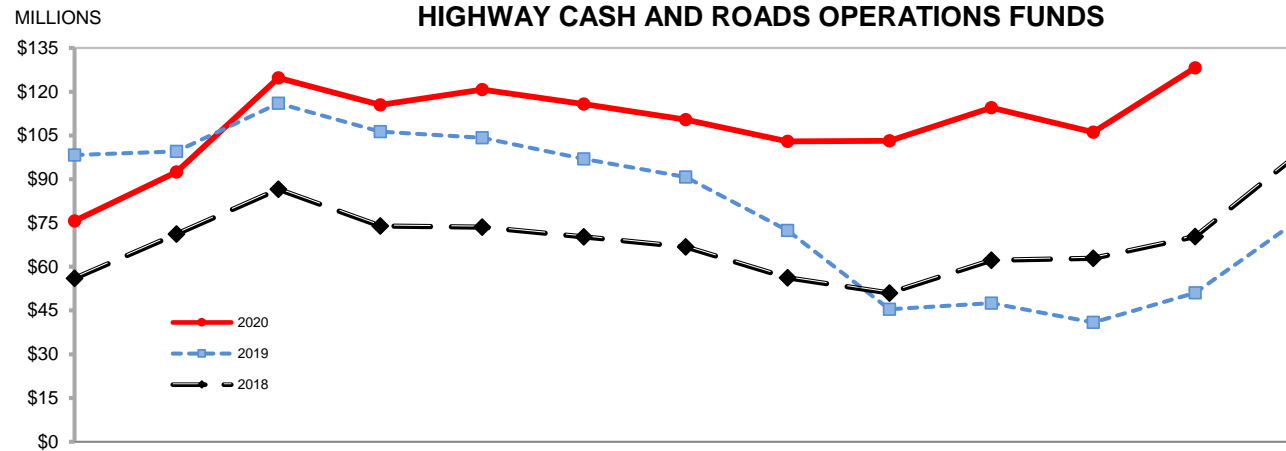
FUND BALANCES - MONTHLY LOW POINT

Roads Divisions

November 2020

(IN MILLIONS)

Total of all funds available as of November 30 is \$267 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$175 million on the 30th to a low of \$128 million on the 23rd



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
2018	71.2	86.6	74.0	73.6	70.2	66.8	56.2	51.0	62.2	62.9	70.3	98.3
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
2018	0.0	1.6	5.3	8.0	6.5	5.1	3.7	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
2018	63.3	64.5	65.3	66.3	66.8	67.7	69.1	69.8	71.7	73.2	75.1	76.7
GRADE CROSSING PROTECTION FUND												
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
2018	4.5	4.2	4.3	5.2	5.2	5.1	5.3	5.3	5.1	5.3	5.3	5.2
RECREATION ROAD FUND												
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
2018	6.5	6.7	7.0	7.2	7.4	7.8	7.9	8.0	8.3	8.5	8.5	8.7
STATE AID BRIDGE FUND												
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2018	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES
November 2020

	<u>ADMINISTRATION 026</u>						<u>301</u>	<u>AIRCRAFT 596</u>		<u>TOTALS</u>
	<u>Admin.</u>	<u>COVID 19</u>	<u>Proj Plan/Mgt</u>	<u>Nav. Aids</u>	<u>Airfields</u>	<u>Pave. Maint.</u>	<u>Capital Projs.</u>	<u>Operations</u>	<u>Aircraft Res.</u>	
REVENUES:										
450000 Taxes	58,942.47									58,942.47
460000 Intergovernmental			5,293.08				3,408,269.61			3,413,562.69
470000 Sales & Charges				13,230.92	5,413.00					18,643.92
480000 Miscellaneous	8,259.56				100,535.50					108,795.06
490000 Other					(47,451.44)	933.80				(46,517.64)
TOTAL REVENUES	67,202.03	-	5,293.08	13,230.92	58,497.06	933.80	3,408,269.61	-	-	3,553,426.50
EXPENDITURES:										
510000 Personal Services	38,839.25		35,412.34	13,314.78	8,154.65					95,721.02
520000 Operating Expenses	13,980.71		12,141.03	727.45	10,699.52	685.32		4,569.21		42,803.24
570000 Travel Expenses	29.99	217.36	287.49	4,648.39				125.10		5,308.33
580000 Capital Outlay										-
590000 Government Aid	4,887.70						3,294,428.00			3,299,315.70
TOTAL EXPENDITURES	57,737.65	217.36	47,840.86	18,690.62	18,854.17	685.32	3,294,428.00	4,694.31	-	3,443,148.29
Excess (Deficiency) of Revenues Over Expenditures	9,464.38	(217.36)	(42,547.78)	(5,459.70)	39,642.89	248.48	113,841.61	(4,694.31)	-	110,278.21
OTHER FINANCING SOURCES (USES):										
Transfers In			42,547.78	5,459.70					-	
Transfers Out	(48,007.48)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(38,543.10)	(217.36)	-	-	39,642.89	248.48	113,841.61	(4,694.31)	-	110,278.21
Fund Balance October 31, 2020	1,420,209.45	(1,791.34)	-	-	1,137,496.02	24,697.94	3,273,123.90	(39,290.96)	1,348,083.63	7,162,528.64
Fund Balance November 30, 2020	1,381,666.35	(2,008.70)	-	-	1,177,138.91	24,946.42	3,386,965.51	(43,985.27)	1,348,083.63	7,272,806.85

**COMBINED SUMMARY OF REVENUES & EXPENDITURES
FISCAL YEAR TO DATE (July 1, 2020 through November 30, 2020)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	443,535.87									443,535.87
460000	Intergovernmental			(15,344.06)	450.00	226,546.01		16,984,905.99			17,196,557.94
470000	Sales & Charges			11,050.00	79,149.30	18,557.85	28,367.93				137,125.08
480000	Miscellaneous	47,106.86				280,460.10			2,979.82	168.00	330,714.78
490000	Other					12,861.14	933.80				13,794.94
TOTAL REVENUES		490,642.73	-	(4,294.06)	79,599.30	538,425.10	29,301.73	16,984,905.99	2,979.82	168.00	18,121,728.61
EXPENDITURES:											
510000	Personal Services	209,838.02		187,207.55	74,112.62	47,035.07	12.36		12.36		518,217.98
520000	Operating Expenses	69,886.90	52.87	75,689.29	25,509.39	550,716.80	4,342.95		46,020.50	1,051.87	773,270.57
570000	Travel Expenses	961.94	1,918.81	3,537.21	14,198.56				932.23		21,548.75
580000	Capital Outlay				(5,708.47)						(5,708.47)
590000	Government Aid	18,348.63						17,085,849.55			17,104,198.18
TOTAL EXPENDITURES		299,035.49	1,971.68	266,434.05	108,112.10	597,751.87	4,355.31	17,085,849.55	46,965.09	1,051.87	18,411,527.01
Excess (Deficiency) of Revenues Over Expenditures		191,607.24	(1,971.68)	(270,728.11)	(28,512.80)	(59,326.77)	24,946.42	(100,943.56)	(43,985.27)	(883.87)	(289,798.40)
OTHER FINANCING SOURCES (USES):											
	Transfers In			270,728.11	28,512.80		(24,946.42)		43,985.27	-	
	Transfers Out	(318,279.76)									
	Grant \$ transfer	(250,000.00)						250,000.00			
Excess (Deficiency) of Revenues Over Expenditures		(376,672.52)	(1,971.68)	-	-	(59,326.77)	-	149,056.44	-	(883.87)	(289,798.40)
Fund Balance June 30, 2020		1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance November 30, 2020		1,112,627.50	(2,008.70)	-	-	1,177,138.91	-	3,636,965.51	-	1,348,083.63	7,272,806.85

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/16	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	4.6
Wholesale Tax ¢	12.5	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	-0.7
Total Tax ¢	26.8¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	3.9¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Dept. of Transportation and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increases 1 ½¢ each year thru 2019. ½¢ each to Dept. of Transportation , cities and counties.

Variable Tax: The Dept. of Transportation receives the revenue generated from the variable excise tax which is adjusted semi-annually and is computed by applying the rate to the average price of motor fuel purchased by state government in April and October. The variable rate for FY-2020 is 1.4% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the State Energy Office on April 1 and October 1. It is distributed 66% to the Dept. of Transportation and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the Dept. of Transportation receives 53 ⅓ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The Dept. of Transportation's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from Dept. of Transportation and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2021 RECEIPTS
AS OF NOVEMBER 30, 2020
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$98,306	\$ 8,676	\$ 8,718	\$ 42	0.5%	\$ 41,937	\$ 44,087	\$ 2,150	5.1%
Incremental Fixed	26,215	2,314	2,333	20	0.9%	11,183	11,799	616	5.5%
Variable	96,904	8,560	8,633	73	0.9%	36,407	38,211	1,805	5.0%
Wholesale	<u>79,104</u>	<u>7,253</u>	<u>7,315</u>	<u>62</u>	0.9%	<u>35,559</u>	<u>37,538</u>	<u>1,979</u>	5.6%
Subtotal	300,529	26,803	26,999	196	0.7%	125,086	131,636	6,550	5.2%
Motor Vehicle Registrations	32,062	1,895	2,273	378	20.0%	11,800	12,999	1,199	10.2%
Prorate Registrations	<u>12,180</u>	<u>695</u>	<u>987</u>	<u>292</u>	42.1%	<u>2,607</u>	<u>2,839</u>	<u>232</u>	8.9%
Subtotal	44,242	2,590	3,261	671	25.9%	14,407	15,838	1,431	9.9%
Sales Tax on Motor Vehicles	101,752	7,265	14,076	6,811	93.8%	44,095	68,595	24,500	55.5%
Interest	1,874	127	183	56	44.3%	635	995	360	56.7%
Sale of Supplies and Materials	1,300	103	114	11	10.2%	562	505	(57)	(10.1%)
Sale of Fixed Assets	1,350	376	301	(75)	(19.9%)	686	806	120	17.5%
Excess Limit	3,100	258	194	(64)	(24.9%)	1,373	1,227	(146)	(10.7%)
Overload Fines	1,150	108	36	(72)	(66.7%)	582	159	(423)	(72.6%)
Other Fees	<u>1,900</u>	<u>123</u>	<u>97</u>	<u>(26)</u>	(20.9%)	<u>825</u>	<u>863</u>	<u>38</u>	4.6%
SUBTOTAL HIGHWAY CASH FUND	\$ 457,197 (A)	\$ 37,753	\$ 45,262	\$ 7,509	19.9%	\$ 188,251	\$ 220,625	\$ 32,373 (B)	17.2%
Incremental Tax Transfer to TIB Fund	(25,938)	(2,156)	(2,339)	(183)	8.5%	(\$10,969)	(11,512)	(543)	4.9%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 431,259	\$ 35,597	\$ 42,922	\$ 7,325	20.6%	\$ 177,282	\$ 209,113	\$ 31,831	18.0%
State Hwy Capital Impr Fund	65,666	5,746	6,867	1,121	19.5%	26,289	34,211	7,922	30.1%
Transportation Infrastructure Bank Fund (TIB)	26,478	2,201	2,410	208	9.5%	11,194	11,928	734	6.6%
Grade Crossing Protection Fund	3,410	47	35	(12)	(24.6%)	935	468	(467)	(50.0%)
Recreation Road Fund	4,110	271	298	27	9.9%	1,483	1,760	277	18.7%
State Aid Bridge Fund	<u>783</u>	<u>65</u>	<u>64</u>	<u>(1)</u>	(1.5%)	<u>325</u>	<u>320</u>	<u>(5)</u>	(1.5%)
TOTAL STATE RECEIPTS	\$ 531,706	\$ 43,927	\$ 52,596	\$ 8,669	19.7%	\$ 217,508	\$ 257,800	\$ 40,291	18.5%
Federal Receipts									
FHWA	362,300	35,946	29,877	(6,069)	(16.9%)	239,341	235,414	(3,927)	(1.6%)
Transit	9,000	422	1,382	960	227.4%	3,046	11,546	8,500	279.1%
Highway Safety	<u>5,500</u>	<u>681</u>	<u>499</u>	<u>(182)</u>	(26.7%)	<u>1,867</u>	<u>1,332</u>	<u>(535)</u>	(28.7%)
Subtotal-Federal Receipts	376,800	37,049	31,757	(5,292)	(14.3%)	244,254	248,292	4,038	1.7%
Local Receipts	15,000	708	5,915	5,207	735.5%	10,046	30,038	19,992	199.1%
Other Entities	<u>6,000</u>	<u>137</u>	<u>312</u>	<u>175</u>	127.7%	<u>2,580</u>	<u>3,235</u>	<u>655</u>	25.4%
TOTAL DEPARTMENT RECEIPTS	\$ 929,506	\$ 81,821	\$ 90,581	\$ 8,760	10.7%	\$ 474,388	\$ 539,365	\$ 64,976	13.7%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 15, 2020	\$ 457,197
(B) Receipts Over/(Under) Projection To Date	32,373
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 489,570
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	30,570
% Variance From Appropriation	6.7%

** Numbers may not add due to rounding.

** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT. Consequently, the effects of COVID-19 on NDOT revenues will occur at various intervals.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
November 2020

FISCAL YEAR 2021
 Period Expired 41.67%
 Pay Period Ending 11/08/2020

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	107,938,356.00	7,723,359.48	41,960,615.73	65,977,740.27	38.87%	0.00
Temporary Salaries	1,905,021.00	115,704.68	1,190,580.84	714,440.16	62.50%	0.00
Overtime	6,071,840.00	580,616.94	2,536,159.72	3,535,680.28	41.77%	0.00
Employee Benefits	41,462,487.00	3,084,390.13	15,978,423.57	25,484,063.43	38.54%	0.00
SUBTOTAL: Personal Services	\$157,377,704.00	\$11,504,071.23	\$61,665,779.86	\$95,711,924.14	39.18%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	259,207.97	1,248,829.18	2,404,830.82	34.18%	0.00
Rentals	877,359.00	92,448.73	502,848.51	374,510.49	57.31%	3,000.00
Repairs & Maintenance	11,598,760.00	1,365,864.91	4,118,729.90	7,480,030.10	35.51%	1,436,725.34
Maintenance Contracts	14,089,958.00	703,835.41	5,307,154.15	8,782,803.85	37.67%	25,782,453.06
Engineering Contracts	35,285,520.00	2,465,150.39	12,158,005.12	23,127,514.88	34.46%	43,258,549.47
Contractual Services	43,240,438.00	994,186.56	5,892,471.37	37,347,966.63	13.63%	10,663,962.89
Technology Expenses	21,745,004.00	1,705,483.50	8,372,301.63	13,372,702.37	38.50%	31,097,373.10
Other Operating Expenses	4,888,173.00	617,281.16	2,802,829.29	2,085,343.71	57.34%	580,486.27
SUBTOTAL: Operating Expenses	\$135,378,872.00	\$8,203,458.63	\$40,403,169.15	\$94,975,702.85	29.84%	\$112,822,550.13
Supplies and Materials						
General Supplies & Materials	1,698,165.00	536,445.07	996,733.08	701,431.92	58.69%	295,902.16
Maint & Const Materials	48,031,631.00	3,049,182.08	31,370,053.51	16,661,577.49	65.31%	18,408.83
Automotive Supplies & Materials	18,230,100.00	1,186,874.91	5,550,600.92	12,679,499.08	30.45%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$4,772,502.06	\$37,917,387.51	\$30,042,508.49	55.79%	\$314,310.99
Travel						
In State Travel	825,995.00	24,507.27	173,254.25	652,740.75	20.98%	0.00
Out of State Travel	230,758.00	705.22	1,284.77	229,473.23	0.56%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$25,212.49	\$174,539.02	\$882,213.98	16.52%	\$0.00
Capital Outlay						
Land	16,000,000.00	179,792.50	2,671,000.00	13,329,000.00	16.69%	0.00
Hwy. Constr. - Contract Pymt.	453,243,731.00	27,421,307.07	288,100,163.13	165,143,567.87	63.56%	772,466,387.70
Buildings	11,668,000.00	292,136.40	1,006,695.35	10,661,304.65	8.63%	3,117,516.21
Heavy Equipment and Vehicles	14,691,430.00	1,083,225.06	5,151,840.10	9,539,589.90	35.07%	2,164,816.31
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	8,430.00	95,120.00	1,062,440.00	8.22%	442,565.00
SUBTOTAL: Capital Outlay	\$496,860,721.00	\$28,984,891.03	\$297,024,818.58	\$199,835,902.42	59.78%	\$778,191,285.22
Government Aid & Distr						
Public Transit Aid	42,312,705.00	1,234,337.95	10,216,361.06	32,096,343.94	24.14%	21,564,222.70
Highway Safety Office	5,200,000.00	674,044.41	1,872,140.89	3,327,859.11	36.00%	5,198,707.00
Other Government Aid	45,251,000.00	11,096,882.63	62,189,797.48	(16,938,797.48)	137.43%	151,732,115.28
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$13,005,264.99	\$74,278,299.43	\$18,485,405.57	80.07%	\$178,495,044.98
Internal Redistributions						
Redistribution	1.00	0.00	0.00	1.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$1.00	\$0.00	\$0.00	\$1.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$66,495,400.43	\$511,463,993.55	\$439,933,658.45	53.76%	\$1,069,823,191.32

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
November 2020

FISCAL YEAR 2021
 Period Expired 41.67%
 Pay Period Ending 11/08/2020

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	1,904,022.99	9,330,897.43	9,697,349.57	49.04%	639,676.23
Boards & Commissions	50,000.00	2,001.66	16,583.85	33,416.15	33.17%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$1,906,024.65	\$9,347,481.28	\$9,730,765.72	49.00%	\$639,676.23
Service and Support						
Charges to Others	1,100,000.00	53,444.43	409,999.59	690,000.41	37.27%	48,721.59
Deficiency Claims	17,184.00	0.00	0.00	17,184.00	0.00%	0.00
Supply Base/Inventories	1,000,000.00	(16,995.69)	588,430.76	411,569.24	58.84%	280,932.81
Building Operations	6,000,000.00	1,397,702.93	5,236,352.81	763,647.19	87.27%	2,406,172.10
Business Technology Services	14,657,318.00	1,661,691.05	7,155,132.25	7,502,185.75	48.82%	18,092,289.08
Support Centers	5,208,763.00	27,624.70	263,565.36	4,945,197.64	5.06%	0.00
Payroll Clearing	625,675.00	994,294.73	(713.32)	626,388.32	(0.11)%	28,391.13
SUBTOTAL: Service and Support	\$28,608,939.00	\$4,117,762.15	\$13,652,767.45	\$14,956,171.55	47.72%	\$20,856,506.71
Capital Facilities						
Capital Facilities	10,000,000.00	384,511.52	1,599,496.42	8,400,503.58	15.99%	4,160,035.40
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$384,511.52	\$1,599,496.42	\$8,400,503.58	15.99%	\$4,160,035.40
Highway Maintenance						
System Preservation	42,000,000.00	1,987,756.05	33,571,138.36	8,428,861.64	79.93%	1,254,198.07
Operations	42,000,000.00	2,608,040.13	19,403,763.61	22,596,236.39	46.20%	18,518,253.95
Snow and Ice Control	40,000,000.00	3,452,604.48	8,598,203.29	31,401,796.71	21.50%	404,907.67
Unusual & Disaster Oper	1,500,000.00	316,362.35	1,324,910.46	175,089.54	88.33%	6,627,892.82
Equipment Operations	15,000,000.00	1,194,099.73	4,714,319.30	10,285,680.70	31.43%	2,222,116.19
Indirect Charges	20,943,553.00	1,291,536.09	7,918,956.31	13,024,596.69	37.81%	445,565.00
SUBTOTAL: Highway Maintenance	\$161,443,553.00	\$10,850,398.83	\$75,531,291.33	\$85,912,261.67	46.78%	\$29,472,933.70
Highway Construction						
Preliminary Engineering	50,100,000.00	3,530,699.64	18,205,343.62	31,894,656.38	36.34%	29,996,218.21
Right-Of-Way	15,000,000.00	333,335.80	3,565,808.53	11,434,191.47	23.77%	76,255.80
Construction	467,777,351.00	27,407,227.47	288,580,448.66	179,196,902.34	61.69%	772,459,359.63
Construction Engineering	25,000,000.00	2,378,786.45	14,252,449.84	10,747,550.16	57.01%	4,912,595.64
SUBTOTAL: Highway Construction	\$557,877,351.00	\$33,650,049.36	\$324,604,050.65	\$233,273,300.35	58.19%	\$807,444,429.28
Construction Related Expense						
Overhead	19,002,441.00	1,281,231.75	6,234,098.12	12,768,342.88	32.81%	15,838,185.31
Planning & Research	12,056,000.00	1,288,879.81	6,007,639.60	6,048,360.40	49.83%	18,507,632.87
Local Systems	95,792,666.00	11,035,787.58	61,984,329.91	33,808,336.09	64.71%	146,140,862.12
Highway Safety Office	5,213,250.00	707,403.47	2,073,275.84	3,139,974.16	39.77%	5,198,707.00
Public Transportation Asst	42,325,205.00	1,273,351.31	10,429,562.95	31,895,642.05	24.64%	21,564,222.70
SUBTOTAL: Construction Related Expense	\$174,389,562.00	\$15,586,653.92	\$86,728,906.42	\$87,660,655.58	49.73%	\$207,249,610.00
AGENCY TOTAL	\$951,397,652.00	\$66,495,400.43	\$511,463,993.55	\$439,933,658.45	53.76%	\$1,069,823,191.32

**PROGRAM STATUS REPORT
BUSINESS MONTH - NOVEMBER 2020**

FISCAL YEAR 2021
Period Expired 41.7%
Pay Period Ending 11/08/2020

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	745,984.10	2,088,060.80	0.00	2,152,347.88	2,091,747.86	645,218.84	7,723,359.48
Temporary Salaries	296.30	15,818.19	0.00	72,832.79	14,628.05	12,129.35	115,704.68
Overtime	1,282.06	13,606.84	0.00	360,456.08	197,057.96	8,214.00	580,616.94
Employee Benefits	0.00	3,084,390.13	0.00	0.00	0.00	0.00	3,084,390.13
SUBTOTAL: Personal Services	\$747,562.46	\$5,201,875.96	\$0.00	\$2,585,636.75	\$2,303,433.87	\$665,562.19	\$11,504,071.23
Operating Expenses							
Utilities	0.00	162,105.21	0.00	96,644.97	412.68	45.11	259,207.97
Rentals	1,131.92	3,079.50	0.00	88,237.31	0.00	0.00	92,448.73
Repairs & Maintenance	0.00	611,156.93	0.00	743,970.35	0.00	10,737.63	1,365,864.91
Maintenance Contracts	0.00	(18,971.17)	0.00	722,806.58	0.00	0.00	703,835.41
Engineering Contracts	0.00	49,715.00	92,375.12	1,275.00	2,031,089.09	290,696.18	2,465,150.39
Contractual Services	183,224.52	114,817.30	0.00	51,524.78	710.00	643,909.96	994,186.56
Technology Expenses	402,109.97	865,240.91	0.00	0.00	0.00	438,132.62	1,705,483.50
Other Operating Expenses	62,042.09	521,619.85	0.00	862.15	1,430.52	31,326.55	617,281.16
SUBTOTAL: Operating Expenses	\$648,508.50	\$2,308,763.53	\$92,375.12	\$1,705,321.14	\$2,033,642.29	\$1,414,848.05	\$8,203,458.63
Supplies and Materials							
General Supplies & Materials	40,515.57	467,344.63	0.00	25,085.74	0.00	3,499.13	536,445.07
Maint & Const Materials	4,043.85	(14,301.82)	0.00	3,034,322.72	9,535.41	15,581.92	3,049,182.08
Automotive Supplies & Materials	0.00	72,622.03	0.00	1,114,252.88	0.00	0.00	1,186,874.91
SUBTOTAL: Supplies and Materials	\$44,559.42	\$525,664.84	\$0.00	\$4,173,661.34	\$9,535.41	\$19,081.05	\$4,772,502.06
Travel							
In State Travel	4,211.54	1,917.04	0.00	853.88	9,368.88	8,155.93	24,507.27
Out of State Travel	0.00	705.22	0.00	0.00	0.00	0.00	705.22
SUBTOTAL: Travel	\$4,211.54	\$2,622.26	\$0.00	\$853.88	\$9,368.88	\$8,155.93	\$25,212.49
Capital Outlay							
Land	0.00	0.00	0.00	0.00	179,792.50	0.00	179,792.50
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	27,421,307.07	0.00	27,421,307.07
Buildings	0.00	0.00	292,136.40	0.00	0.00	0.00	292,136.40
Heavy Equipment and Vehicles	0.00	0.00	0.00	1,083,225.06	0.00	0.00	1,083,225.06
IT Hardware / Software	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Specialty Equipment	0.00	0.00	0.00	0.00	0.00	8,430.00	8,430.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$292,136.40	\$1,083,225.06	\$27,601,099.57	\$8,430.00	\$28,984,891.03
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	1,234,337.95	1,234,337.95
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	674,044.41	674,044.41
Other Government Aid	0.00	0.00	0.00	0.00	(12,985.78)	11,109,868.41	11,096,882.63
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	(\$12,985.78)	\$13,018,250.77	\$13,005,264.99
Internal Redistributions							
Redistribution	461,182.73	(3,921,164.44)	0.00	1,301,700.66	1,705,955.12	452,325.93	0.00
SUBTOTAL: Internal Redistributions	\$461,182.73	(\$3,921,164.44)	\$0.00	\$1,301,700.66	\$1,705,955.12	\$452,325.93	\$0.00
GRAND TOTAL:	\$1,906,024.65	\$4,117,762.15	\$384,511.52	\$10,850,398.83	\$33,650,049.36	\$15,586,653.92	\$66,495,400.43

**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - NOVEMBER 2020**

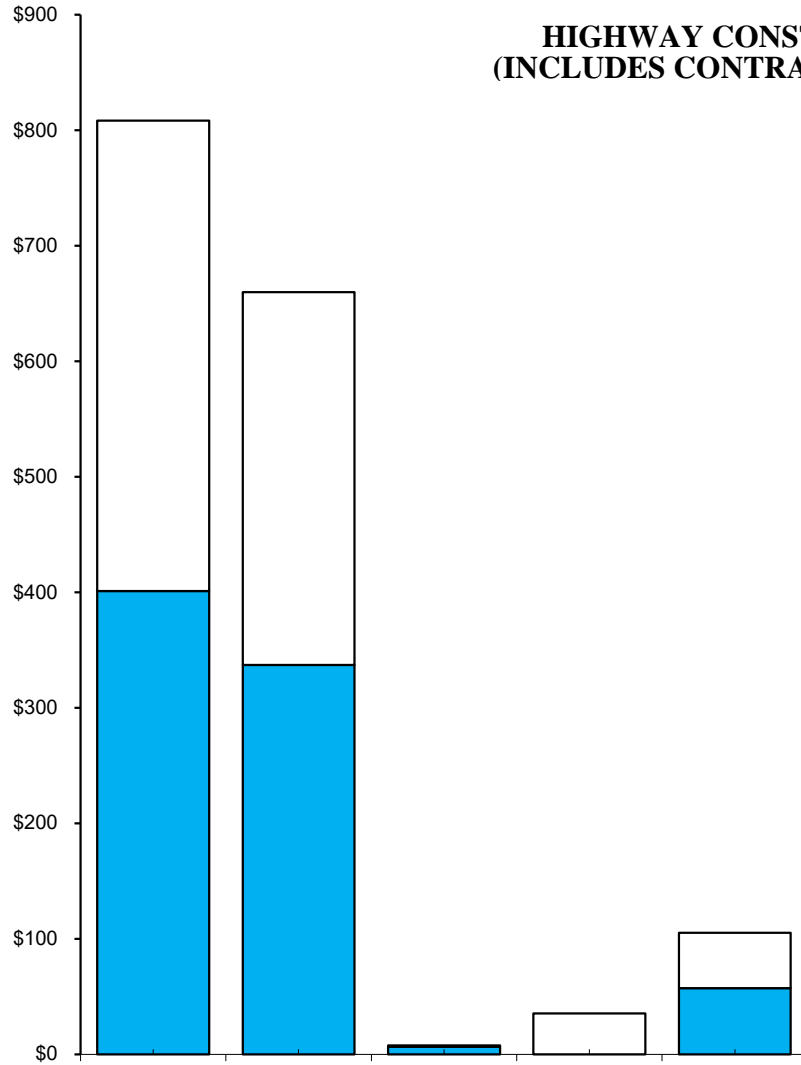
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	4,246,222.06	9,210,367.16	0.00	12,772,968.12	12,114,810.81	3,616,247.58	41,960,615.73
Temporary Salaries	2,449.94	118,448.69	0.00	814,949.15	150,585.53	104,147.53	1,190,580.84
Overtime	7,862.17	162,480.01	0.00	1,064,754.33	1,258,399.08	42,664.13	2,536,159.72
Employee Benefits	0.00	15,978,423.57	0.00	0.00	0.00	0.00	15,978,423.57
SUBTOTAL: Personal Services	\$4,256,534.17	\$25,469,719.43	\$0.00	\$14,652,671.60	\$13,523,795.42	\$3,763,059.24	\$61,665,779.86
Operating Expenses							
Utilities	0.00	752,549.52	0.00	494,466.15	1,590.58	222.93	1,248,829.18
Rentals	4,216.13	23,058.42	0.00	474,243.46	1,250.00	80.50	502,848.51
Repairs & Maintenance	0.00	1,257,102.84	0.00	2,828,262.61	7,331.01	26,033.44	4,118,729.90
Maintenance Contracts	0.00	77,721.76	0.00	5,227,945.99	1,486.40	0.00	5,307,154.15
Engineering Contracts	0.00	296,491.50	589,352.26	91,200.00	9,730,539.37	1,450,421.99	12,158,005.12
Contractual Services	398,962.44	908,629.89	0.00	1,306,909.66	16,863.83	3,261,105.55	5,892,471.37
Technology Expenses	1,602,072.07	4,820,713.65	0.00	758,433.12	0.00	1,191,082.79	8,372,301.63
Other Operating Expenses	179,082.45	1,083,484.40	3,448.81	1,303,018.35	30,293.99	203,501.29	2,802,829.29
SUBTOTAL: Operating Expenses	\$2,184,333.09	\$9,219,751.98	\$592,801.07	\$12,484,479.34	\$9,789,355.18	\$6,132,448.49	\$40,403,169.15
Supplies and Materials							
General Supplies & Materials	224,203.21	546,910.85	0.00	205,993.92	92.67	19,532.43	996,733.08
Maint & Const Materials	20,276.83	596,834.43	0.00	30,506,348.81	142,747.57	103,845.87	31,370,053.51
Automotive Supplies & Materials	0.00	368,350.81	0.00	5,182,200.67	0.00	49.44	5,550,600.92
SUBTOTAL: Supplies and Materials	\$244,480.04	\$1,512,096.09	\$0.00	\$35,894,543.40	\$142,840.24	\$123,427.74	\$37,917,387.51
Travel							
In State Travel	27,215.16	14,505.90	0.00	7,867.77	61,102.81	62,562.61	173,254.25
Out of State Travel	0.00	705.22	0.00	0.00	27.12	552.43	1,284.77
SUBTOTAL: Travel	\$27,215.16	\$15,211.12	\$0.00	\$7,867.77	\$61,129.93	\$63,115.04	\$174,539.02
Capital Outlay							
Land	0.00	0.00	0.00	0.00	2,671,000.00	0.00	2,671,000.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	288,063,863.40	0.00	288,100,163.13
Buildings	0.00	0.00	1,006,695.35	0.00	0.00	0.00	1,006,695.35
Heavy Equipment and Vehicles	0.00	0.00	0.00	5,151,840.10	0.00	0.00	5,151,840.10
IT Hardware / Software	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Specialty Equipment	0.00	0.00	0.00	9,100.00	72,900.00	13,120.00	95,120.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$1,006,695.35	\$5,197,239.83	\$290,807,763.40	\$13,120.00	\$297,024,818.58
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	10,216,361.06	10,216,361.06
Highway Safety Office	0.00	(990.85)	0.00	0.00	0.00	1,873,131.74	1,872,140.89
Other Government Aid	0.00	0.00	0.00	0.00	203,223.40	61,986,574.08	62,189,797.48
SUBTOTAL: Government Aid & Distr	\$0.00	(\$990.85)	\$0.00	\$0.00	\$203,223.40	\$74,076,066.88	\$74,278,299.43
Internal Redistributions							
Redistribution	2,634,918.82	(22,563,020.32)	0.00	7,294,489.39	10,075,943.08	2,557,669.03	0.00
SUBTOTAL: Internal Redistributions	\$2,634,918.82	(\$22,563,020.32)	\$0.00	\$7,294,489.39	\$10,075,943.08	\$2,557,669.03	\$0.00
GRAND TOTAL:	\$9,347,481.28	\$13,652,767.45	\$1,599,496.42	\$75,531,291.33	\$324,604,050.65	\$86,728,906.42	\$511,463,993.55

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
November 2020

FISCAL YEAR 2021
 Period Expired 41.67%
 Pay Period Ending 11/08/2020

<u>COST BY ORGANIZATIONAL STRUCTURE</u>	<u>Cash Flow Allotment</u>	<u>Month's Expenditure</u>	<u>Expended to Date</u>	<u>Allotment Balance</u>	<u>% Expended to Date</u>	<u>Encumbrances</u>
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	57,244.96	306,268.18	420,417.82	42.15%	0.00
140 - LEGAL	2,947,291.00	96,716.15	490,152.66	2,457,138.34	16.63%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	214,753.96	1,323,130.91	2,080,808.09	38.87%	979,304.92
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,673,354.00	151,211.44	864,353.90	1,809,000.10	32.33%	85,283.31
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,751,270.00	\$519,926.51	\$2,983,905.65	\$6,767,364.35	30.60%	\$1,236,224.96
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	176,883.57	895,343.40	1,397,279.60	39.05%	0.00
320 - BRIDGE DIVISION	7,541,203.00	520,383.58	2,986,144.62	4,555,058.38	39.60%	1,330,315.29
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	264,520.75	1,820,397.98	2,708,706.02	40.19%	664,669.41
350 - RIGHT OF WAY DIVISION	5,044,385.00	365,579.25	1,954,339.19	3,090,045.81	38.74%	51,776.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	699,493.09	3,874,263.70	11,390,213.30	25.38%	11,589,400.15
370 - ROADWAY DESIGN DIVISION	26,301,170.00	2,045,945.40	9,288,670.16	17,012,499.84	35.32%	16,732,627.12
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	100,772.39	505,960.51	953,127.49	34.68%	99,446.33
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	257,714.16	1,114,222.35	2,048,525.65	35.23%	1,818,694.46
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,798.00	\$4,431,292.19	\$22,439,341.91	\$43,155,456.09	34.21%	\$32,286,929.06
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	118,500.57	803,209.99	1,903,226.01	29.68%	339,401.13
260 - OPERATIONS DIVISION	21,611,341.00	1,774,063.44	9,442,460.19	12,168,880.81	43.69%	6,724,719.16
280 - BUSINESS TECH SUPPORT DIVISION	19,976,472.00	2,100,075.81	7,938,400.81	12,038,071.19	39.74%	31,393,275.26
380 - CONSTRUCTION DIVISION	2,920,458.00	223,687.32	1,205,468.61	1,714,989.39	41.28%	1,512.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	1,030,244.41	5,241,944.44	7,792,481.56	40.22%	10,587,608.68
610 - DISTRICT 1	34,024,032.00	2,325,178.36	15,121,746.23	18,902,285.77	44.44%	5,253,780.71
620 - DISTRICT 2	23,354,011.00	1,784,652.93	9,372,711.51	13,981,299.49	40.13%	5,639,351.34
630 - DISTRICT 3	31,339,572.00	2,255,618.73	16,527,690.35	14,811,881.65	52.74%	2,146,300.09
640 - DISTRICT 4	33,107,740.00	2,655,943.39	14,471,478.55	18,636,261.45	43.71%	3,175,879.52
650 - DISTRICT 5	23,367,222.00	1,861,954.08	9,142,651.39	14,224,570.61	39.13%	4,075,460.79
660 - DISTRICT 6	25,846,634.00	1,914,711.89	12,086,667.97	13,759,966.03	46.76%	5,052,627.59
670 - DISTRICT 7	17,087,183.00	944,204.27	9,133,439.25	7,953,743.75	53.45%	2,965,286.36
680 - DISTRICT 8	16,356,389.00	1,162,737.49	8,329,953.11	8,026,435.89	50.93%	2,040,214.69
SUBTOTAL: OFFICE OF OPERATIONS	\$264,731,916.00	\$20,151,572.69	\$118,817,822.40	\$145,914,093.60	44.88%	\$79,395,417.82
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	(85,369.01)	78,393.56	(78,393.56)	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	(127,540.33)	(1,213,360.66)	2,295,422.66	(112.13)%	91,518.46
904 - TRANSPORTATION CAPITAL	610,237,606.00	41,605,518.38	368,357,890.69	241,879,715.31	60.36%	956,813,101.02
SUBTOTAL: BUDGETARY CONTROL	\$611,319,668.00	\$41,392,609.04	\$367,222,923.59	\$244,096,744.41	60.07%	\$956,904,619.48
AGENCY TOTAL	\$951,397,652.00	\$66,495,400.43	\$511,463,993.55	\$439,933,658.45	53.76%	\$1,069,823,191.32

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	103.08			11.16	114.24
9/3 & 24/2020	53.32			1.04	54.36
10/8 & 15 & 29/2020	55.25	5.72		41.60	102.57
11/19/2020	125.39	0.33		3.46	129.18
12/10/2020					
1/14/2021					
2/25/2021					
3/18/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	337.13	6.77	0.00	57.26	401.16

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	5.51	1.76	15.44	51.47		10.81	114.24
9/3 & 24/2020	1.04	2.53		16.77	4.81	10.80	15.36	3.05	54.36
10/8 & 15 & 29/2020	12.74	39.65	7.55	22.74	7.37	6.78	5.74		102.57
11/19/2020	7.21	54.66	24.95		32.00	10.36			129.18
12/10/2020									
1/14/2021									
2/25/2021									
3/18/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	42.49	104.68	38.01	41.27	60.34	79.41	21.10	13.86	401.16

	State System				Local System
	Total Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
% Let to Date	49.6%	51.1%	86.4%	0.0%	54.4%
Actual \$ Let	401.16	337.13	6.77	0.00	57.26
Projected \$ Remaining	407.17	322.65	1.07	35.51	47.94
Total	\$808.33	\$659.78	\$7.84	\$35.51	\$105.20

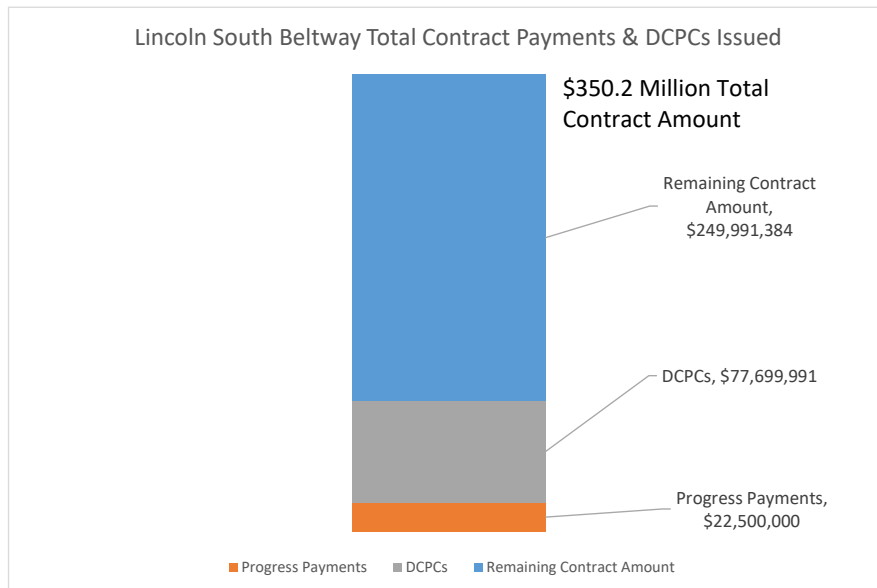
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of November 30, 2020.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through November 2020

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT's obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC's future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through November 2020)

Remaining Contract Amount	\$249,991,384
Progress Payments	\$22,500,000
DCPCs	\$77,699,991

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through November 2020)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$2,881,804
Total DCPCs to date	\$77,699,991

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	9,148	54,978
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000		
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 51,127	329,111	\$ 9,148	54,978

Footnotes:

FY21 Apportionment per Public Law 116-159. Obligation Limitation per Public Law 116-159 reflects 72/365 days through December 11, 2020.

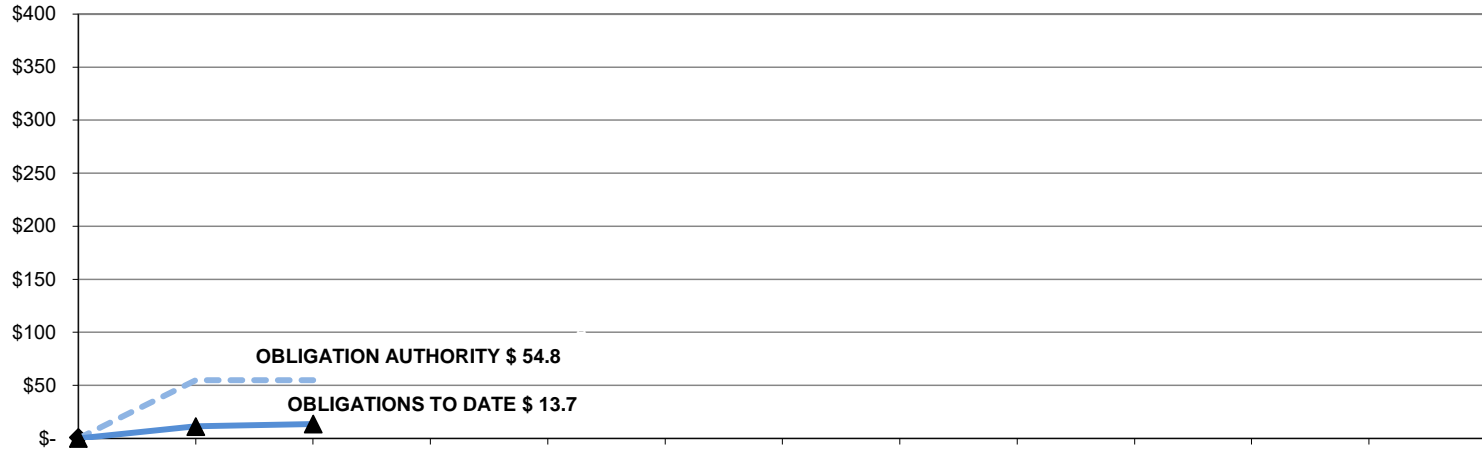
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
NOVEMBER 30, 2020

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	-	187,913,464	6,527,702	181,385,762	101,678,911	83,868,260
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	72,449
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	2,573,978	1,240,670	741,723	6,438,794
STP - Flexible - Any Area	1,350,799	33,159,291	-	34,510,090	755,093	33,754,997	65,082,003	87,316,985
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	161,436	16,933,632	23,322,866	57,618,608
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	2,831,029	17,818,718	73,093	5,436,682
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	-	43,666,604	8,000	1,678,230
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	440,798	13,556,207	-	11,078,628
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	249,616	10,732,540	-	8,405,152
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	(62,041)	36,755,374	3,490,919	9,850,881
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	-	3,376,704	4,555,970	2,214,664
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	281,042	9,893,755	-	2,715,302
Highway Planning	1,539,880	4,661,443	-	6,201,323	-	6,201,323	2,089	8,926,651
Research	293,360	1,553,815	(19,078)	1,828,097	14,907	1,813,190	141,795	5,853,902
Metropolitan Planning	293,435	1,776,501	-	2,069,936	-	2,069,936	-	3,395,153
National Hwy Freight Program	-	10,663,255	-	10,663,255	-	10,663,255	-	10,730,335
TAP - Flex	127,447	2,900,268	-	3,027,715	-	3,027,715	-	4,486,101
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	(70,000)	2,465,918	-	2,025,513
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	-	589,057	-	800,347
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	-	1,809,536	-	1,857,340
Recreational Trails	1,444,778	1,205,213	-	2,649,991	-	2,649,991	-	3,831,705
Enhancement	218,634	-	-	218,634	-	218,634	-	282,386
Safe Routes to School Prog	163,140	-	-	163,140	(13,211)	176,351	-	128,654
Redistribution - Certain Auth.	1,498,117	410,683	-	1,908,800	-	1,908,800	-	3,092,863
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	-	-	-	1,911,079
Other	-	-	-	-	-	-	-	1,118,296
Total Formula Funds	\$ 104,540,835	\$ 312,023,571	\$ (165,388)	\$ 416,399,018	\$ 13,690,349	\$ 402,708,668	\$ 199,097,369	\$ 325,134,959
Allocated/Discretionary Funds	14,859	-	-	14,859	-	14,859	-	18,921,384
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 312,023,571	\$ (165,388)	\$ 416,413,877	\$ 13,690,349	\$ 402,723,527	\$ 199,097,369	\$ 344,056,343
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	-	138,373,520	140,387	138,233,133	-	75,785,214
GRAND TOTAL	\$ 238,405,628	\$ 316,547,157	\$ (165,388)	\$ 554,787,397	\$ 13,830,736	\$ 540,956,660	\$ 199,097,369	\$ 419,841,557

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8										
OA Used	0.0	11.3	13.7										

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2019		As of November 30, 2020		
Formula Obligation Limitation	\$	284.1	\$	54.9	
August Redistribution		45.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	(0.1)	16.7%
Subtotal	\$	329.8	\$	54.8	
Other Allocation Obligation Limitation		18.5		-	
Annual Obligation Limitation	\$	348.3	\$	54.8	
Formula Obligations to Date		0.0		(13.7)	Obligated
Allocated Obligations to Date		-		-	25.0%
Subtotal	\$	-	\$	(13.7)	
Obligation Authority Balance	\$	348.30	\$	41.1	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Highway Infrastructure Program Exempt		0.0		-	
Emergency Relief/Allocated Exempt		0.0		-	
Training and Education Fast		0.2		-	
National Infrastructure Invest TIGER IX		25.0		-	
National Infrastructure Invest Build 2019		17.0		-	
National Infrastructure Invest Build 201		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	141.4	
Obligations to Date		0.0		(0.1)	
Obligation Authority Balance	\$	218.6	\$	141.3	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - NOVEMBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,333,845.18	34,839.23	3,881.04	6,061.32	670.40	2,379,297.17
	RIGHT OF WAY	257,818.51	0.00	84,358.94	(81,301.92)	0.00	260,875.53
	CONSTRUCTION	8,191,413.13	15,603,736.89	32,027.42	2,059,908.42	1,535,952.14	27,423,038.00
	CONSTRUCTION ENGINEERING	772,563.89	1,181,351.43	(1,463.63)	(22,834.29)	7,684.17	1,937,301.57
	PLANNING & RESEARCH	23,537.81	0.00	0.00	0.00	48,022.56	71,560.37
	TOTAL	\$ 11,579,178.52	\$ 16,819,927.55	\$ 118,803.77	\$ 1,961,833.53	\$ 1,592,329.27	\$ 32,072,072.64
LOCAL	PRELIMINARY ENGINEERING	4,243.14	509,881.27	26,755.60	45,646.21	544.79	587,071.01
	RIGHT OF WAY	2,205,235.31	1,036,579.32	77.72	535,021.94	275,021.71	4,051,936.00
	CONSTRUCTION	821,712.54	3,545,291.17	178,062.00	531,662.86	13,919.74	5,090,648.31
	CONSTRUCTION ENGINEERING	50,448.62	306,467.44	4,906.32	99,544.78	0.00	461,367.16
	PLANNING & RESEARCH	0.00	116,820.60	0.00	29,205.14	0.00	146,025.74
	TOTAL	\$ 3,081,639.61	\$ 5,515,039.80	\$ 209,801.64	\$ 1,241,080.93	\$ 289,486.24	\$ 10,337,048.22
NON-HWY	PRELIMINARY ENGINEERING	1,232,284.73	47,454.29	0.00	(26,340.87)	1,849.95	1,255,248.10
	RIGHT OF WAY	72,089.33	5,505.08	0.00	1,376.26	0.00	78,970.67
	CONSTRUCTION	1,782.93	914,689.01	0.00	230,827.22	0.00	1,147,299.16
	CONSTRUCTION ENGINEERING	455,732.43	78,266.44	0.00	19,937.36	0.00	553,936.23
	TRAFFIC SAFETY & TRANS	42,158.39	1,019,521.66	0.00	0.00	0.00	1,061,680.05
	PLANNING & RESEARCH	269,068.21	863,799.24	0.00	0.00	8,710.18	1,141,577.63
	PUBLIC TRANSPORTATION ASSIST	53,938.95	1,219,413.16	0.00	0.00	33,284.56	1,306,636.67
	TOTAL	\$ 2,127,054.97	\$ 4,148,648.88	\$ 0.00	\$ 225,799.97	\$ 43,844.69	\$ 6,545,348.51
TOTAL - CURRENT MONTH		\$ 16,787,873.10	\$ 26,483,616.23	\$ 328,605.41	\$ 3,428,714.43	\$ 1,925,660.20	\$ 48,954,469.37

FISCAL YEAR TO DATE - NOVEMBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	11,565,685.24	73,480.96	86,221.14	9,642.30	54,903.73	11,789,933.37
	RIGHT OF WAY	2,934,288.11	284,143.92	84,358.94	14,322.81	0.00	3,317,113.78
	CONSTRUCTION	91,195,744.79	188,928,430.70	586,866.54	6,620,120.76	970,107.47	288,301,270.26
	CONSTRUCTION ENGINEERING	3,643,539.28	7,259,097.34	10,073.75	169,089.11	114,800.39	11,196,599.87
	PLANNING & RESEARCH	51,799.60	51,000.90	0.00	0.00	80,207.59	183,008.09
	TOTAL	\$ 109,391,057.02	\$ 196,596,153.82	\$ 767,520.37	\$ 6,813,174.98	\$ 1,220,019.18	\$ 314,787,925.37
LOCAL	PRELIMINARY ENGINEERING	73,561.89	1,218,853.11	89,640.77	622,605.91	20,277.55	2,024,939.23
	RIGHT OF WAY	2,205,865.21	1,271,498.63	20,185.63	546,706.37	274,328.15	4,318,583.99
	CONSTRUCTION	7,255,551.02	26,454,416.30	2,004,734.38	11,666,848.39	248,731.47	47,630,281.56
	CONSTRUCTION ENGINEERING	590,195.10	1,645,404.46	101,346.04	439,495.47	(52,817.35)	2,723,623.72
	PLANNING & RESEARCH	11,012.54	184,771.56	(8,688.97)	42,263.59	90.83	229,449.55
	TOTAL	\$ 10,136,185.76	\$ 30,774,944.06	\$ 2,207,217.85	\$ 13,317,919.73	\$ 490,610.65	\$ 56,926,878.05
NON-HWY	PRELIMINARY ENGINEERING	6,796,518.44	535,816.15	0.00	69,908.96	72,435.43	7,474,678.98
	RIGHT OF WAY	434,618.32	15,454.42	0.00	3,863.56	0.00	453,936.30
	CONSTRUCTION	538,225.77	3,421,239.57	0.00	863,858.25	0.00	4,823,323.59
	CONSTRUCTION ENGINEERING	2,871,066.40	333,404.07	0.00	80,890.54	0.00	3,285,361.01
	TRAFFIC SAFETY & TRANS	56,728.92	2,917,077.12	0.00	0.00	3,842.20	2,977,648.24
	PLANNING & RESEARCH	1,471,509.75	4,472,133.36	0.00	68,688.99	50,019.18	6,062,351.28
	PUBLIC TRANSPORTATION ASSIST	2,553,568.05	7,807,114.38	67,780.86	24,525.60	192,771.41	10,645,760.30
	TOTAL	\$ 14,722,235.65	\$ 19,502,239.07	\$ 67,780.86	\$ 1,111,735.90	\$ 319,068.22	\$ 35,723,059.70
TOTAL - FISCAL YEAR TO DATE		\$ 134,249,478.43	\$ 246,873,336.95	\$ 3,042,519.08	\$ 21,242,830.61	\$ 2,029,698.05	\$ 407,437,863.12

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
NOVEMBER 2020**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,903,034,047.32	1,024,567,037.97	878,467,009.35	11,579,178.52	109,391,057.02	154,576,782.29
	FEDERAL	1,406,219,728.46	1,146,990,491.04	259,229,237.42	16,819,927.55	196,596,153.82	322,669,834.51
	COUNTY	2,635,993.74	2,324,516.97	311,476.77	118,803.77	767,520.37	1,550,186.47
	CITY	72,578,080.57	29,188,832.87	43,389,247.70	1,961,833.53	6,813,174.98	10,107,274.39
	OTHER	21,652,747.98	20,463,797.74	1,188,950.24	1,592,329.27	1,220,019.18	2,655,783.10
STATE HIGHWAY SYSTEM TOTALS		\$ 3,406,120,598.07	\$ 2,223,534,676.59	\$ 1,182,585,921.48	\$ 32,072,072.64	\$ 314,787,925.37	\$ 491,559,860.76
LOCAL HIGHWAY SYSTEM							
	STATE	61,964,752.39	42,242,018.59	19,722,733.80	3,081,639.61	10,136,185.76	16,414,557.97
	FEDERAL	361,830,727.14	233,024,465.25	128,806,261.89	5,515,039.80	30,774,944.06	60,240,932.93
	COUNTY	16,966,401.63	8,916,003.87	8,050,397.76	209,801.64	2,207,217.85	4,824,035.98
	CITY	126,166,903.36	73,060,719.02	53,106,184.34	1,241,080.93	13,317,919.73	16,918,466.56
	OTHER	11,339,599.29	8,390,879.50	2,948,719.79	289,486.24	490,610.65	863,983.37
LOCAL HIGHWAY SYSTEM TOTALS		\$ 578,268,383.81	\$ 365,634,086.23	\$ 212,634,297.58	\$ 10,337,048.22	\$ 56,926,878.05	\$ 99,261,976.81
NON-HIGHWAY							
	STATE	347,998,336.79	323,943,050.31	24,055,286.48	2,127,054.97	14,722,235.65	58,679,005.81
	FEDERAL	215,569,041.05	115,500,398.75	100,068,642.30	4,148,648.88	19,502,239.07	35,567,067.14
	COUNTY	707,186.47	362,943.66	344,242.81	0.00	67,780.86	210,361.93
	CITY	7,016,417.61	4,697,188.81	2,319,228.80	225,799.97	1,111,735.90	1,478,744.92
	OTHER	14,828,436.75	11,996,951.52	2,831,485.23	43,844.69	319,068.22	733,937.27
NON-HIGHWAY TOTALS		\$ 586,119,418.67	\$ 456,500,533.05	\$ 129,618,885.62	\$ 6,545,348.51	\$ 35,723,059.70	\$ 96,669,117.07
GRAND TOTALS		\$ 4,570,508,400.55	\$ 3,045,669,295.87	\$ 1,524,839,104.68	\$ 48,954,469.37	\$ 407,437,863.12	\$ 687,490,954.64

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
NOVEMBER 2020**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	48,000.00	0.00	48,000.00	0.00	0.00	0.00
PRELIMINARY ENGINEERING	539,139,029.79	382,515,142.19	156,623,887.60	4,221,616.28	21,289,551.58	46,179,430.09
RIGHT OF WAY	188,758,053.96	123,897,968.95	64,860,085.01	4,391,782.20	8,089,634.07	17,638,084.28
UTILITIES	47,492,028.82	22,034,137.80	25,457,891.02	226,706.82	1,978,961.11	4,704,066.55
CONSTRUCTION	3,327,474,419.46	2,222,619,600.85	1,104,854,818.61	33,434,278.65	338,775,914.30	545,302,886.46
CONSTRUCTION ENGINEERING	223,411,984.64	140,659,764.23	82,752,220.41	2,952,604.96	17,205,584.60	31,683,811.05
TRAFFIC SAFETY	36,237,157.16	17,988,979.75	18,248,177.41	1,061,680.05	2,977,648.24	6,094,411.36
PLANNING & RESEARCH	106,437,430.89	69,803,774.52	36,633,656.37	1,359,163.74	6,474,808.92	13,207,258.06
PUBLIC TRANSPORTATION	101,510,295.83	66,149,927.58	35,360,368.25	1,306,636.67	10,645,760.30	22,681,006.79
GRAND TOTALS	\$ 4,570,508,400.55	\$ 3,045,669,295.87	\$ 1,524,839,104.68	\$ 48,954,469.37	\$ 407,437,863.12	\$ 687,490,954.64

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
NOVEMBER 2020**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,198,654,903.40	906,070,903.07	292,584,000.33	8,438,347.47	84,133,920.81	149,584,528.96
ROADS OPERATION FUND AC*	176,554,407.91	4,402,077.18	172,152,330.73	2,449,257.70	3,380,122.29	(2,877,966.53)
GRADE CROSSING FUND	2,885,608.40	1,493,816.34	1,391,792.06	6,998.08	105,555.06	327,773.34
GRADE SEPARATION-TMT	28,115,756.28	25,101,843.92	3,013,912.36	64,367.90	1,148,018.78	2,734,452.82
RECREATION ROAD FUND	11,292,931.84	9,565,572.84	1,727,359.00	36,265.09	2,856,110.18	4,610,438.05
ST HWY CAPITAL IMPR	742,425,901.55	347,977,909.68	394,447,991.87	943,928.42	14,625,671.01	32,894,745.91
STATE AID BRIDGE	4,513,649.37	3,797,349.93	716,299.44	197,562.66	1,088,965.53	1,508,689.43
TRANS INFRA BANK	148,553,977.75	92,342,633.91	56,211,343.84	4,651,145.78	26,911,114.77	40,887,684.09
TOTAL STATE FUNDS	\$ 2,312,997,136.50	\$ 1,390,752,106.87	\$ 922,245,029.63	\$ 16,787,873.10	\$ 134,249,478.43	\$ 229,670,346.07
FEDERAL FUNDS	1,983,619,496.65	1,495,515,355.04	488,104,141.61	26,483,616.23	246,873,336.95	418,477,834.58
COUNTY FUNDS	20,309,581.84	11,603,464.50	8,706,117.34	328,605.41	3,042,519.08	6,584,584.38
CITY FUNDS	205,761,401.54	106,946,740.70	98,814,660.84	3,428,714.43	21,242,830.61	28,504,485.87
OTHER FUNDS	47,820,784.02	40,851,628.76	6,969,155.26	1,925,660.20	2,029,698.05	4,253,703.74
GRAND TOTALS	\$ 4,570,508,400.55	\$ 3,045,669,295.87	\$ 1,524,839,104.68	\$ 48,954,469.37	\$ 407,437,863.12	\$ 687,490,954.64

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
November 30, 2020**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 6,867,446.55	\$ 34,210,737.01	\$ 482,877,464.18			
Expenditures						
Expressway and High Priority Corridors	943,928.42	14,559,868.37	237,023,373.78	370,939,047.05	288,499,727.55	
Other Highways		65,802.64	110,954,535.90	23,508,944.82	191,564,492.10	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 943,928.42	\$ 14,625,671.01	\$ 459,445,999.64	\$ 394,447,991.87	\$ 480,064,219.65	
Funds Available			\$ 23,431,464.54			

Transportation Innovation Act Financial Status November 30, 2020

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,409,511.38	\$ 11,927,779.07	\$ 141,243,195.48		
Expenditures					
Accelerated State Highway Capital Improvement Program	4,061,236.39	25,459,796.73	83,887,651.96	46,217,346.31	185,431,611.15
County Bridge Match Program	274,909.39	786,318.04	6,730,144.82	9,993,995.53	12,087,383.00
Economic Opportunity Program	315,000.00	665,000.00	1,724,837.13	2.00	18,855,440.14
TIB Projects Completed/Closed					
Total Expenditures	\$ 4,651,145.78	\$ 26,911,114.77	\$ 92,342,633.91	\$ 56,211,343.84	\$ 216,374,434.29
Funds Available			\$ 48,900,561.57		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 19.73%

	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>11/30/20</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	0.745	-	-	0.745	2.375	(1.630)
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 3.202	- ⁽⁴⁾	(0.146)	3.056	0.161	2.895
LCLC - LINCOLN	6.395	\$ 1.262	11.177	-	12.439	2.831	9.608
SubTotal Local	\$ 26.399	\$ 5.209	\$ 12.377	\$ (0.146)	\$ 17.440	\$ 5.367	\$ 12.073
METRO PLANNING	1.777	0.351	-	-	0.351	-	0.351
Omaha	66.836%	1.121	0.221	-	0.221	-	0.221
Lincoln	26.341%	0.466	0.092	-	0.092	-	0.092
South Sioux City	1.688%	0.067	0.013	-	0.013	-	0.013
Grand Island	5.135%	0.123	0.024	-	0.024	-	0.024
TAP - Flex	2.900	0.572	-	-	0.572	-	0.572
TAP - 5K and Under	0.874	0.172	-	-	0.172	(0.013)	0.185
TAP - 5K-200K	0.573	0.113	-	-	0.113	-	0.113
TAP - MAPA - OMAHA	1.042	0.206	-	-	0.206	(0.070)	0.276
TAP - LCLC - LINCOLN	0.411	0.081	-	-	0.081	-	0.081
REC TRAILS	1.205	0.238	-	-	0.238	-	0.238
TOTAL	\$ 35.181	\$ 6.942	\$ 12.377	\$ (0.146)	\$ 19.173	\$ 5.284	\$ 13.889

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of November 30, 2020

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	366,185.31
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	212,495.70
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	130,942.62

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,779.01
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	111,459.10
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	67,424.67
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,852.42
3078	SAUNDERS COUNTY	110,480.32
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,424,407.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	354,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

December
2020

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

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- The state revenue projections in this report were developed in June 2020 and incorporate NDOT’s estimates of the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity. Beginning with the January 2021 report next month, updated revenue projections will be used for all major revenue categories, including the gas tax rate effective January 1.
- Total revenue in December exceeded expenditures by \$5.8 million. Fiscal year to date revenue surpassed expenditures by \$20.7 million (page 4).
- Projected \$929.5 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 33.2 cents. The month of December’s major revenue categories: Motor Fuel Tax revenue was under the projected amount by -\$751 thousand or -3.0%, motor vehicle registration revenue was over the projected amount by \$267 thousand or 9.0% and motor vehicle sales tax was over the projected amount by \$6.0 million or 91.6%. Highway Cash Fund receipts for FY21 to date were higher than projections by \$38.0 million or 17.0% (page 11, 12).
- Established an operating budget for Roads Division of \$951.4 million for FY21 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).
- December expenditures totaled \$66.3 million. Fiscal year to date expenditures totaled \$577.8 million, 60.7% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of November 9, 2020 thru December 20, 2020. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- Highway construction contract lettings fiscal year to date totaled \$404.7 million, \$346.3 million on the state highway system (page 18).
- The December report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- Congress passed the Continuing Appropriations Act, 2021 and Other Extensions Act. For Federal Fiscal Year 2021, Nebraska received federal fund apportionments totaling \$316.5 million. The annual obligation authority is at 19.73% per public Law 116-159. To date, additional information regarding the remaining obligation authority has not been received. As of December 31, 2020, obligations of \$16.9 million have resulted in an obligation authority balance of \$38 million (pages 22, 23 and 24).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$489.6 million has been received to date with allocated expenditures totaling \$462.6 million (page 29).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earnings totaling \$93.6 million has been received to date with expenditures totaling \$98.0 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
December 2020

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
<u>ASSETS</u>							
Current Assets							
Cash & Cash Equivalents	278,428,279.65	272,761,278.82	5,667,000.83	2.08	215,122,453.62	63,305,826.03	29.43
Federal Receivables	6,058,026.50	7,758,330.68	(1,700,304.18)	(21.92)	2,564,640.44	3,493,386.06	136.21
Other Receivables	21,771,807.52	22,851,599.06	(1,079,791.54)	(4.73)	11,179,641.78	10,592,165.74	94.75
Inventories	2,851,164.12	2,970,348.95	(119,184.83)	(4.01)	3,019,948.02	(168,783.90)	(5.59)
Total Current Assets	\$309,109,277.79	\$306,341,557.51	\$2,767,720.28	0.90 %	\$231,886,683.86	\$77,222,593.93	33.30 %
Capital Assets							
Equipment	64,930,458.16	65,946,331.64	(1,015,873.48)	(1.54)	64,488,119.09	442,339.07	0.69
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,900,636,476.35	7,900,636,476.35	0.00	0.00	7,860,499,911.61	40,136,564.74	0.51
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,635,625,110.62	\$8,636,640,984.10	(\$1,015,873.48)	(0.01)%	\$8,569,557,484.88	\$66,067,625.74	0.77 %
Total Assets	\$8,944,734,388.41	\$8,942,982,541.61	\$1,751,846.80	0.02 %	\$8,801,444,168.74	\$143,290,219.67	1.63 %
<u>LIABILITIES</u>							
Current Liabilities							
Accounts Payable	3,627,965.31	2,984,449.41	643,515.90	21.56	2,468,403.65	1,159,561.66	46.98
Retention Payable	101,512,450.38	79,203,392.73	22,309,057.65	28.17	1,152,502.18	100,359,948.20	8,708.01
Other Payables	67,614,868.35	69,217,291.19	(1,602,422.84)	(2.32)	53,084,761.24	14,530,107.11	27.37
Total Current Liabilities	\$172,755,284.04	\$151,405,133.33	\$21,350,150.71	14.10 %	\$56,705,667.07	\$116,049,616.97	204.65 %
Total Liabilities	\$172,755,284.04	\$151,405,133.33	\$21,350,150.71	14.10 %	\$56,705,667.07	\$116,049,616.97	204.65 %
<u>NET ASSETS</u>							
Capital Equity							
Capital	8,635,625,110.62	8,636,640,984.10	(1,015,873.48)	(0.01)	8,569,557,484.88	66,067,625.74	0.77
Total Capital Equity	\$8,635,625,110.62	\$8,636,640,984.10	(\$1,015,873.48)	(0.01)%	\$8,569,557,484.88	\$66,067,625.74	0.77 %
Fund Balance							
Reserved Fund Balance	(98,661,286.26)	(76,233,043.78)	(22,428,242.48)	29.42	1,867,445.84	(100,528,732.10)	(5,383.22)
Unreserved Fund Balance	235,015,280.01	231,169,467.96	3,845,812.05	1.66	173,313,570.95	61,701,709.06	35.60
Total Fund Balance	\$136,353,993.75	\$154,936,424.18	(\$18,582,430.43)	(11.99)%	\$175,181,016.79	(\$38,827,023.04)	(22.16)%
Total Net Assets	\$8,771,979,104.37	\$8,791,577,408.28	(\$19,598,303.91)	(0.22)%	\$8,744,738,501.67	\$27,240,602.70	0.31 %
Total Liabilities and Net Assets	\$8,944,734,388.41	\$8,942,982,541.61	\$1,751,846.80	0.02 %	\$8,801,444,168.74	\$143,290,219.67	1.63 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
DECEMBER 2020**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	48,416,767.57	52,615,675.37	(4,198,907.80)	(7.98)	301,625,214.05	273,112,643.68	28,512,570.37	10.44
Federal Reimbursements	19,572,059.46	26,483,616.23	(6,911,556.77)	(26.10)	266,448,906.41	271,347,246.83	(4,898,340.42)	(1.81)
Local Revenues	3,401,779.69	3,174,579.98	227,199.71	7.16	26,635,225.28	11,289,290.75	15,345,934.53	135.93
Other Entities Revenues	699,865.56	2,199,569.52	(1,499,703.96)	(68.18)	3,808,748.66	5,981,471.11	(2,172,722.45)	(36.32)
Total Revenue	\$72,090,472.28	\$84,473,441.10	(\$12,382,968.82)	(14.66) %	\$598,518,094.40	\$561,730,652.37	\$36,787,442.03	6.55 %
Expenditures								
Administration	2,607,309.98	1,906,024.65	701,285.33	36.79	11,954,791.26	12,224,947.24	(270,155.98)	(2.21)
Highway Maintenance	11,225,204.78	10,850,398.83	374,805.95	3.45	86,756,496.11	81,530,475.17	5,226,020.94	6.41
Capital Facilities	655,658.52	384,511.52	271,147.00	70.52	2,255,154.94	1,121,049.93	1,134,105.01	101.16
Services and Support	5,867,917.83	4,117,762.15	1,750,155.68	42.50	19,520,685.28	15,342,094.16	4,178,591.12	27.24
Construction	43,732,063.48	47,255,948.50	(3,523,885.02)	(7.46)	442,562,181.76	482,037,968.36	(39,475,786.60)	(8.19)
Highway Safety Office	309,864.60	707,403.47	(397,538.87)	(56.20)	2,383,140.44	2,626,896.08	(243,755.64)	(9.28)
Public Transit	1,935,880.39	1,273,351.31	662,529.08	52.03	12,365,443.34	9,911,279.54	2,454,163.80	24.76
Total Expenditures	\$66,333,899.58	\$66,495,400.43	(\$161,500.85)	(0.24) %	\$577,797,893.13	\$604,794,710.48	(\$26,996,817.35)	(4.46) %
Excess Revenue (Expenditures)	\$5,756,572.70	\$17,978,040.67	(\$12,221,467.97)	(67.98) %	\$20,720,201.27	(\$43,064,058.11)	\$63,784,259.38	(148.11) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
December 2020**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	141,784,470.53	39,997,016.69	30,109,823.66	51,300,039.03	2,551,519.58	2,058,898.32	10,558,113.95	64,023.03	278,423,904.79
Other Current Assets	30,685,373.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	30,685,373.00
Capital Assets	8,635,625,110.62	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,635,625,110.62
TOTAL ASSETS	\$8,808,094,954.15	\$39,997,016.69	\$30,109,823.66	\$51,300,039.03	\$2,551,519.58	\$2,058,898.32	\$10,558,113.95	\$64,023.03	\$8,944,734,388.41
LIABILITIES									
Current Liabilities	172,755,284.04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	172,755,284.04
TOTAL LIABILITIES	\$172,755,284.04	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$172,755,284.04
NET ASSETS									
Fund Balance	248,814,334.38	(216,401,220.21)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	115,633,792.48
Capital Equity	8,635,625,110.62	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,635,625,110.62
Accrued Interfund Transfer	(11,120,277.77)	0.00	3,176,344.88	5,698,331.34	72,289.61	32,469.82	57,709.90	2,083,132.22	0.00
Revenues	283,721,363.25	256,398,236.90	40,889,095.80	14,327,256.53	591,696.32	197,128.71	2,009,149.00	384,167.89	598,518,094.40
Costs	(521,700,860.37)	0.00	(17,802,015.89)	(32,609,446.11)	(1,246,598.01)	(140,442.10)	(2,979,225.00)	(1,319,305.65)	(577,797,893.13)
TOTAL NET ASSETS	\$8,635,339,670.11	\$39,997,016.69	\$30,109,823.66	\$51,300,039.03	\$2,551,519.58	\$2,058,898.32	\$10,558,113.95	\$64,023.03	\$8,771,979,104.37
TOTAL LIABILITIES AND NET ASSETS	\$8,808,094,954.15	\$39,997,016.69	\$30,109,823.66	\$51,300,039.03	\$2,551,519.58	\$2,058,898.32	\$10,558,113.95	\$64,023.03	\$8,944,734,388.41

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
December 2020

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.4	128.0	58.3	84.4	72.0						
Expenditures	136.3	95.8	99.7	113.0	66.4	66.3						
Balance	3.8	19.6	28.3	(54.7)	18.0	5.7						
Cumulative Balance	3.8	23.4	51.7	(3.0)	15.0	20.7						

Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances earned \$295,078.30 in December, with an interest rate of 1.46%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%	1.47%	1.67%	1.37%	1.46%								1.53%
Earnings (Thousands)	\$334	\$276	\$286	\$327	\$278	\$295							\$1,796	\$299

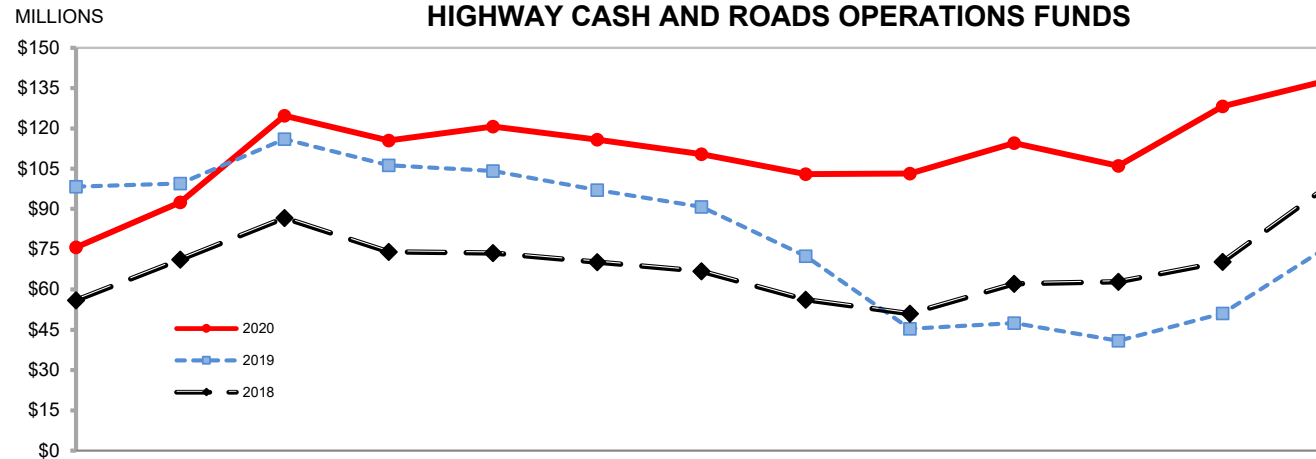
FUND BALANCES - MONTHLY LOW POINT

Roads Divisions

December 2020

(IN MILLIONS)

Total of all funds available as of December 31 is \$274 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$178 million on the 31st to a low of \$137 million on the 30th



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5	106.1	128.2	137.8
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
2018	71.2	86.6	74.0	73.6	70.2	66.8	56.2	51.0	62.2	62.9	70.3	98.3
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6	15.6	17.5	23.4
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
2018	0.0	1.6	5.3	8.0	6.5	5.1	3.7	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6	58.6	53.5	51.2
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
2018	63.3	64.5	65.3	66.3	66.8	67.7	69.1	69.8	71.7	73.2	75.1	76.7
GRADE CROSSING PROTECTION FUND												
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5	4.6	4.3	4.3
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
2018	4.5	4.2	4.3	5.2	5.2	5.1	5.3	5.3	5.1	5.3	5.3	5.2
RECREATION ROAD FUND												
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7	10.1	10.0	10.3
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
2018	6.5	6.7	7.0	7.2	7.4	7.8	7.9	8.0	8.3	8.5	8.5	8.7
STATE AID BRIDGE FUND												
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2018	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES
December 2020

		<u>ADMINISTRATION 026</u>					<u>301</u>	<u>AIRCRAFT 596</u>		<u>TOTALS</u>	
		<u>Admin.</u>	<u>COVID 19</u>	<u>Proj Plan/Mgt</u>	<u>Nav. Aids</u>	<u>Airfields</u>	<u>Pave. Maint.</u>	<u>Capital Projs.</u>	<u>Operations</u>	<u>Aircraft Res.</u>	
REVENUES:											
450000	Taxes	103,247.18									103,247.18
460000	Intergovernmental			7,228.17				1,398,003.33			1,405,231.50
470000	Sales & Charges				12,004.94	85.25					12,090.19
480000	Miscellaneous	8,227.55				39,191.50					47,419.05
490000	Other					12,843.92					12,843.92
TOTAL REVENUES		111,474.73	-	7,228.17	12,004.94	52,120.67	-	1,398,003.33	-	-	1,580,831.84
EXPENDITURES:											
510000	Personal Services	55,020.83		51,574.40	19,849.26	11,198.89					137,643.38
520000	Operating Expenses	21,150.80		436.95	1,819.78	2,227.91			765.60		26,401.04
570000	Travel Expenses	130.21		174.36	459.57	4.60	239.60				1,008.34
580000	Capital Outlay					83.84					83.84
590000	Government Aid							2,224,693.28			2,224,693.28
TOTAL EXPENDITURES		76,301.84	-	52,185.71	22,128.61	13,515.24	239.60	2,224,693.28	765.60	-	2,389,829.88
Excess (Deficiency) of Revenues Over Expenditures		35,172.89	-	(44,957.54)	(10,123.67)	38,605.43	(239.60)	(826,689.95)	(765.60)	-	(808,998.04)
OTHER FINANCING SOURCES (USES):											
	Transfers In			44,957.54	10,123.67					-	
	Transfers Out	(55,081.21)									
	Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures		(19,908.32)	-	-	-	38,605.43	(239.60)	(826,689.95)	(765.60)	-	(808,998.04)
Fund Balance November 30, 2020		1,381,666.35	(2,008.70)	-	-	1,177,138.91	24,946.42	3,386,965.51	(43,985.27)	1,348,083.63	7,272,806.85
Fund Balance December 31, 2020		1,361,758.03	(2,008.70)	-	-	1,215,744.34	24,706.82	2,560,275.56	(44,750.87)	1,348,083.63	6,463,808.81

**COMBINED SUMMARY OF REVENUES & EXPENDITURES
FISCAL YEAR TO DATE (July 1, 2020 through December 31, 2020)**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	546,783.05									546,783.05
460000	Intergovernmental			(8,115.89)	450.00	226,546.01		18,382,909.32			18,601,789.44
470000	Sales & Charges			11,050.00	91,154.24	18,643.10	28,367.93				149,215.27
480000	Miscellaneous	55,334.41				319,651.60			2,979.82	168.00	378,133.83
490000	Other					25,705.06	933.80				26,638.86
TOTAL REVENUES		602,117.46	-	2,934.11	91,604.24	590,545.77	29,301.73	18,382,909.32	2,979.82	168.00	19,702,560.45
EXPENDITURES:											
510000	Personal Services	264,858.85		238,781.95	93,961.88	58,233.96	12.36		12.36		655,861.36
520000	Operating Expenses	91,037.70	52.87	76,126.24	27,329.17	552,944.71	4,342.95		46,786.10	1,051.87	799,671.61
570000	Travel Expenses	1,092.15	1,918.81	3,711.57	14,658.13	4.60	239.60		932.23		22,557.09
580000	Capital Outlay				(5,708.47)	83.84					(5,624.63)
590000	Government Aid	18,348.63						19,310,542.83			19,328,891.46
TOTAL EXPENDITURES		375,337.33	1,971.68	318,619.76	130,240.71	611,267.11	4,594.91	19,310,542.83	47,730.69	1,051.87	20,801,356.89
Excess (Deficiency) of Revenues Over Expenditures		226,780.13	(1,971.68)	(315,685.65)	(38,636.47)	(20,721.34)	24,706.82	(927,633.51)	(44,750.87)	(883.87)	(1,098,796.44)
OTHER FINANCING SOURCES (USES):											
	Transfers In			315,685.65	38,636.47		(24,706.82)		44,750.87	-	
	Transfers Out	(374,366.17)									
	Grant \$ transfer	(250,000.00)						250,000.00			
Excess (Deficiency) of Revenues Over Expenditures		(397,586.04)	(1,971.68)	-	-	(20,721.34)	-	(677,633.51)	-	(883.87)	(1,098,796.44)
Fund Balance June 30, 2020		1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance December 31, 2020		1,091,713.98	(2,008.70)	-	-	1,215,744.34	-	2,810,275.56	-	1,348,083.63	6,463,808.81

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/16	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	4.6
Wholesale Tax ¢	12.5	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	-0.7
Total Tax ¢	26.8¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	3.9¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Dept. of Transportation and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increases 1 ½¢ each year thru 2019. ½¢ each to Dept. of Transportation , cities and counties.

Variable Tax: The Dept. of Transportation receives the revenue generated from the variable excise tax which is adjusted semi-annually and is computed by applying the rate to the average price of motor fuel purchased by state government in April and October. The variable rate for FY-2020 is 1.4% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the State Energy Office on April 1 and October 1. It is distributed 66% to the Dept. of Transportation and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the Dept. of Transportation receives 53 ⅓ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The Dept. of Transportation's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from Dept. of Transportation and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2021 RECEIPTS
AS OF DECEMBER 31, 2020
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$98,306	\$ 8,028	\$ 7,763	\$ (265)	(3.3%)	\$ 49,965	\$ 51,851	\$ 1,885	3.8%
Incremental Fixed	26,215	2,141	2,079	(62)	(2.9%)	13,324	13,878	554	4.2%
Variable	96,904	7,921	7,691	(230)	(2.9%)	44,327	45,902	1,575	3.6%
Wholesale	79,104	6,711	6,517	(194)	(2.9%)	42,270	44,055	1,784	4.2%
Subtotal	300,529	24,800	24,050	(751)	(3.0%)	149,887	155,686	5,799	3.9%
Motor Vehicle Registrations	32,062	1,551	1,775	224	14.5%	13,351	14,774	1,423	10.7%
Prorate Registrations	12,180	1,409	1,451	42	3.0%	4,016	4,291	275	6.8%
Subtotal	44,242	2,960	3,227	267	9.0%	17,367	19,065	1,698	9.8%
Sales Tax on Motor Vehicles	101,752	6,526	12,506	5,980	91.6%	50,621	81,101	30,480	60.2%
Interest	1,874	133	207	74	55.9%	768	1,202	434	56.5%
Sale of Supplies and Materials	1,300	101	109	8	8.4%	663	615	(48)	(7.3%)
Sale of Fixed Assets	1,350	17	153	136	798.7%	703	959	256	36.4%
Excess Limit	3,100	222	227	5	2.4%	1,595	1,454	(141)	(8.8%)
Overload Fines	1,150	89	30	(59)	(66.7%)	671	189	(482)	(71.8%)
Other Fees	1,900	215	222	7	3.4%	1,040	1,085	45	4.3%
SUBTOTAL HIGHWAY CASH FUND	\$ 457,197 (A)	\$ 35,063	\$ 40,731	\$ 5,668	16.2%	\$ 223,315	\$ 261,356	\$ 38,041 (B)	17.0%
Incremental Tax Transfer to TIB Fund	(25,938)	(2,314)	(2,333)	(20)	0.9%	(\$13,283)	(13,845)	(562)	4.2%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 431,259	\$ 32,750	\$ 38,398	\$ 5,648	17.2%	\$ 210,032	\$ 247,511	\$ 37,479	17.8%
State Hwy Capital Impr Fund	65,666	5,257	6,678	1,421	27.0%	31,546	40,889	9,343	29.6%
Transportation Infrastructure Bank Fund (TIB)	26,478	2,359	2,399	41	1.7%	13,553	14,327	774	5.7%
Grade Crossing Protection Fund	3,410	747	321	(426)	(57.0%)	1,682	789	(893)	(53.1%)
Recreation Road Fund	4,110	225	249	24	10.6%	1,708	2,009	301	17.6%
State Aid Bridge Fund	783	65	64	(1)	(1.5%)	390	384	(6)	(1.5%)
TOTAL STATE RECEIPTS	\$ 531,706	\$ 41,402	\$ 48,110	\$ 6,707	16.2%	\$ 258,911	\$ 305,909	\$ 46,999	18.2%
Federal Receipts									
FHWA	362,300	14,228	19,445	5,217	36.7%	253,569	254,859	1,290	0.5%
Transit	9,000	171	1,122	951	556.0%	3,217	12,668	9,451	293.8%
Highway Safety	5,500	873	701	(172)	(19.7%)	2,740	2,032	(708)	(25.8%)
Subtotal-Federal Receipts	376,800	15,272	21,267	5,995	39.3%	259,526	269,559	10,033	3.9%
Local Receipts	15,000	708	1,461	753	106.3%	10,754	31,499	20,745	193.0%
Other Entities	6,000	1,103	170	(933)	(84.5%)	3,683	3,406	(277)	(7.6%)
TOTAL DEPARTMENT RECEIPTS	\$ 929,506	\$ 58,485	\$ 71,008	\$ 12,522	21.4%	\$ 532,874	\$ 610,372	\$ 77,499	14.5%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 15, 2020	\$ 457,197
(B) Receipts Over/(Under) Projection To Date	38,041
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 495,238
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	36,238
% Variance From Appropriation	7.9%

** Numbers may not add due to rounding.

** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT. Consequently, the effects of COVID-19 on NDOT revenues will occur at various intervals.

**BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
December 2020**

FISCAL YEAR 2021
Period Expired 50.00%
Pay Period Ending 12/20/2020

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	107,938,356.00	11,511,675.10	53,472,290.83	54,466,065.17	49.54%	0.00
Temporary Salaries	1,905,021.00	135,148.98	1,325,729.82	579,291.18	69.59%	0.00
Overtime	6,071,840.00	515,524.90	3,051,684.62	3,020,155.38	50.26%	0.00
Employee Benefits	41,462,487.00	3,655,438.78	19,633,862.35	21,828,624.65	47.35%	0.00
SUBTOTAL: Personal Services	\$157,377,704.00	\$15,817,787.76	\$77,483,567.62	\$79,894,136.38	49.23%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	312,416.71	1,561,245.89	2,092,414.11	42.73%	0.00
Rentals	877,359.00	50,081.74	552,930.25	324,428.75	63.02%	3,000.00
Repairs & Maintenance	11,598,760.00	891,009.95	5,009,739.85	6,589,020.15	43.19%	1,543,589.64
Maintenance Contracts	14,089,958.00	481,125.05	5,788,279.20	8,301,678.80	41.08%	26,811,520.75
Engineering Contracts	35,285,520.00	2,171,566.22	14,329,571.34	20,955,948.66	40.61%	40,736,777.56
Contractual Services	43,240,438.00	740,279.71	6,632,751.08	36,607,686.92	15.34%	11,757,476.00
Technology Expenses	21,745,004.00	3,962,869.00	12,335,170.63	9,409,833.37	56.73%	30,561,887.08
Other Operating Expenses	4,888,173.00	59,629.59	2,862,458.88	2,025,714.12	58.56%	231,189.50
SUBTOTAL: Operating Expenses	\$135,378,872.00	\$8,668,977.97	\$49,072,147.12	\$86,306,724.88	36.25%	\$111,645,440.53
Supplies and Materials						
General Supplies & Materials	1,698,165.00	88,010.59	1,084,743.67	613,421.33	63.88%	295,902.16
Maint & Const Materials	48,031,631.00	2,611,066.11	33,981,119.62	14,050,511.38	70.75%	18,208.83
Automotive Supplies & Materials	18,230,100.00	1,220,587.27	6,771,188.19	11,458,911.81	37.14%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$3,919,663.97	\$41,837,051.48	\$26,122,844.52	61.56%	\$314,110.99
Travel						
In State Travel	825,995.00	25,347.16	198,601.41	627,393.59	24.04%	0.00
Out of State Travel	230,758.00	1,692.91	2,977.68	227,780.32	1.29%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$27,040.07	\$201,579.09	\$855,173.91	19.08%	\$0.00
Capital Outlay						
Land	16,000,000.00	1,528,277.00	4,199,277.00	11,800,723.00	26.25%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	25,025,031.27	313,125,194.40	140,118,537.60	69.09%	846,906,347.74
Buildings	11,668,000.00	590,088.31	1,596,783.66	10,071,216.34	13.69%	6,498,427.90
Heavy Equipment and Vehicles	14,691,430.00	89,061.00	5,240,901.10	9,450,528.90	35.67%	10,394,668.31
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	0.00	95,120.00	1,062,440.00	8.22%	475,815.00
SUBTOTAL: Capital Outlay	\$496,860,722.00	\$27,232,457.58	\$324,257,276.16	\$172,603,445.84	65.26%	\$864,275,258.95
Government Aid & Distr						
Public Transit Aid	42,312,705.00	1,884,049.45	12,100,410.51	30,212,294.49	28.60%	21,363,861.39
Highway Safety Office	5,200,000.00	260,027.10	2,132,167.99	3,067,832.01	41.00%	5,198,707.00
Other Government Aid	45,251,000.00	8,523,895.68	70,713,693.16	(25,462,693.16)	156.27%	152,569,783.59
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$10,667,972.23	\$84,946,271.66	\$7,817,433.34	91.57%	\$179,132,351.98
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$66,333,899.58	\$577,797,893.13	\$373,599,758.87	60.73%	\$1,155,367,162.45

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
December 2020

FISCAL YEAR 2021
 Period Expired 50.00%
 Pay Period Ending 12/20/2020

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	2,607,169.98	11,938,067.41	7,090,179.59	62.74%	605,013.45
Boards & Commissions	50,000.00	140.00	16,723.85	33,276.15	33.45%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$2,607,309.98	\$11,954,791.26	\$7,123,455.74	62.66%	\$605,013.45
Service and Support						
Charges to Others	1,100,000.00	73,890.70	483,890.29	616,109.71	43.99%	43,265.59
Deficiency Claims	17,184.00	0.00	0.00	17,184.00	0.00%	0.00
Supply Base/Inventories	1,000,000.00	143,685.43	732,116.19	267,883.81	73.21%	250,385.94
Building Operations	6,000,000.00	1,451,456.73	6,687,809.54	(687,809.54)	111.46%	2,287,486.89
Business Technology Services	14,657,318.00	3,176,102.14	10,331,234.39	4,326,083.61	70.49%	17,824,546.07
Support Centers	5,208,762.00	(8,549.99)	255,015.37	4,953,746.63	4.90%	0.00
Payroll Clearing	625,675.00	1,031,332.82	1,030,619.50	(404,944.50)	164.72%	26,341.13
SUBTOTAL: Service and Support	\$28,608,939.00	\$5,867,917.83	\$19,520,685.28	\$9,088,253.72	68.23%	\$20,432,025.62
Capital Facilities						
Capital Facilities	10,000,000.00	655,658.52	2,255,154.94	7,744,845.06	22.55%	7,495,990.29
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$655,658.52	\$2,255,154.94	\$7,744,845.06	22.55%	\$7,495,990.29
Highway Maintenance						
System Preservation	42,000,000.00	1,639,564.53	35,210,702.89	6,789,297.11	83.84%	1,353,550.33
Operations	42,000,000.00	3,277,520.68	22,681,284.29	19,318,715.71	54.00%	19,676,011.54
Snow and Ice Control	40,000,000.00	3,890,085.58	12,488,288.87	27,511,711.13	31.22%	429,190.17
Unusual & Disaster Oper	1,500,000.00	418,970.31	1,743,880.77	(243,880.77)	116.26%	6,440,368.98
Equipment Operations	15,000,000.00	(72,887.53)	4,641,431.77	10,358,568.23	30.94%	10,467,915.39
Indirect Charges	20,943,553.00	2,071,951.21	9,990,907.52	10,952,645.48	47.70%	478,815.00
SUBTOTAL: Highway Maintenance	\$161,443,553.00	\$11,225,204.78	\$86,756,496.11	\$74,687,056.89	53.74%	\$38,845,851.41
Highway Construction						
Preliminary Engineering	50,100,000.00	3,918,825.90	22,124,169.52	27,975,830.48	44.16%	28,386,125.92
Right-Of-Way	15,000,000.00	1,333,078.32	4,898,886.85	10,101,113.15	32.66%	43,949.91
Construction	467,777,351.00	25,195,587.39	313,776,036.05	154,001,314.95	67.08%	846,899,319.67
Construction Engineering	25,000,000.00	2,528,543.09	16,780,992.93	8,219,007.07	67.12%	4,551,280.54
SUBTOTAL: Highway Construction	\$557,877,351.00	\$32,976,034.70	\$357,580,085.35	\$200,297,265.65	64.10%	\$879,880,676.04
Construction Related Expense						
Overhead	19,002,441.00	1,245,136.03	7,479,234.15	11,523,206.85	39.36%	15,382,551.88
Planning & Research	12,056,000.00	1,183,171.82	7,190,811.42	4,865,188.58	59.65%	19,432,902.69
Local Systems	95,792,666.00	8,327,720.93	70,312,050.84	25,480,615.16	73.40%	146,729,582.68
Highway Safety Office	5,213,250.00	309,864.60	2,383,140.44	2,830,109.56	45.71%	5,198,707.00
Public Transportation Asst	42,325,205.00	1,935,880.39	12,365,443.34	29,959,761.66	29.22%	21,363,861.39
SUBTOTAL: Construction Related Expense	\$174,389,562.00	\$13,001,773.77	\$99,730,680.19	\$74,658,881.81	57.19%	\$208,107,605.64
AGENCY TOTAL	\$951,397,652.00	\$66,333,899.58	\$577,797,893.13	\$373,599,758.87	60.73%	\$1,155,367,162.45

**PROGRAM STATUS REPORT
BUSINESS MONTH - DECEMBER 2020**

FISCAL YEAR 2021
Period Expired 50.0%
Pay Period Ending 12/20/2020

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	1,003,333.24	3,636,429.76	0.00	3,232,148.59	2,757,176.27	882,587.24	11,511,675.10
Temporary Salaries	172.60	17,209.65	0.00	82,315.32	18,929.04	16,522.37	135,148.98
Overtime	2,518.75	65,065.34	0.00	331,988.83	104,308.51	11,643.47	515,524.90
Employee Benefits	0.00	3,655,438.78	0.00	0.00	0.00	0.00	3,655,438.78
SUBTOTAL: Personal Services	\$1,006,024.59	\$7,374,143.53	\$0.00	\$3,646,452.74	\$2,880,413.82	\$910,753.08	\$15,817,787.76
Operating Expenses							
Utilities	0.00	191,715.01	0.00	120,238.01	418.58	45.11	312,416.71
Rentals	817.92	887.30	0.00	48,091.67	0.00	284.85	50,081.74
Repairs & Maintenance	0.00	210,821.98	0.00	672,754.15	4,634.82	2,799.00	891,009.95
Maintenance Contracts	0.00	16,177.43	0.00	464,947.62	0.00	0.00	481,125.05
Engineering Contracts	0.00	9,250.00	63,676.80	13,028.00	1,779,255.09	306,356.33	2,171,566.22
Contractual Services	42,037.49	174,063.84	0.00	125,195.07	3,009.61	395,973.70	740,279.71
Technology Expenses	885,211.87	2,643,376.14	0.00	378,502.56	0.00	55,778.43	3,962,869.00
Other Operating Expenses	18,541.13	11,306.90	0.00	721.12	1,995.54	27,064.90	59,629.59
SUBTOTAL: Operating Expenses	\$946,608.41	\$3,257,598.60	\$63,676.80	\$1,823,478.20	\$1,789,313.64	\$788,302.32	\$8,668,977.97
Supplies and Materials							
General Supplies & Materials	20,829.42	28,523.14	1,893.41	33,469.52	0.00	3,295.10	88,010.59
Maint & Const Materials	4,810.00	(86,419.47)	0.00	2,592,856.51	69,953.44	29,865.63	2,611,066.11
Automotive Supplies & Materials	0.00	180,183.50	0.00	1,040,403.77	0.00	0.00	1,220,587.27
SUBTOTAL: Supplies and Materials	\$25,639.42	\$122,287.17	\$1,893.41	\$3,666,729.80	\$69,953.44	\$33,160.73	\$3,919,663.97
Travel							
In State Travel	2,657.36	4,851.39	0.00	1,384.78	6,341.71	10,111.92	25,347.16
Out of State Travel	0.00	1,692.91	0.00	0.00	0.00	0.00	1,692.91
SUBTOTAL: Travel	\$2,657.36	\$6,544.30	\$0.00	\$1,384.78	\$6,341.71	\$10,111.92	\$27,040.07
Capital Outlay							
Land	0.00	424,367.00	0.00	0.00	1,100,335.00	3,575.00	1,528,277.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	25,025,031.27	0.00	25,025,031.27
Buildings	0.00	0.00	590,088.31	0.00	0.00	0.00	590,088.31
Heavy Equipment and Vehicles	0.00	0.00	0.00	89,061.00	0.00	0.00	89,061.00
SUBTOTAL: Capital Outlay	\$0.00	\$424,367.00	\$590,088.31	\$89,061.00	\$26,125,366.27	\$3,575.00	\$27,232,457.58
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	1,884,049.45	1,884,049.45
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	260,027.10	260,027.10
Other Government Aid	0.00	0.00	0.00	0.00	19,241.00	8,504,654.68	8,523,895.68
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$19,241.00	\$10,648,731.23	\$10,667,972.23
Internal Redistributions							
Redistribution	626,380.20	(5,317,022.77)	0.00	1,998,098.26	2,085,404.82	607,139.49	0.00
SUBTOTAL: Internal Redistributions	\$626,380.20	(\$5,317,022.77)	\$0.00	\$1,998,098.26	\$2,085,404.82	\$607,139.49	\$0.00
GRAND TOTAL:	\$2,607,309.98	\$5,867,917.83	\$655,658.52	\$11,225,204.78	\$32,976,034.70	\$13,001,773.77	\$66,333,899.58

**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - DECEMBER 2020**

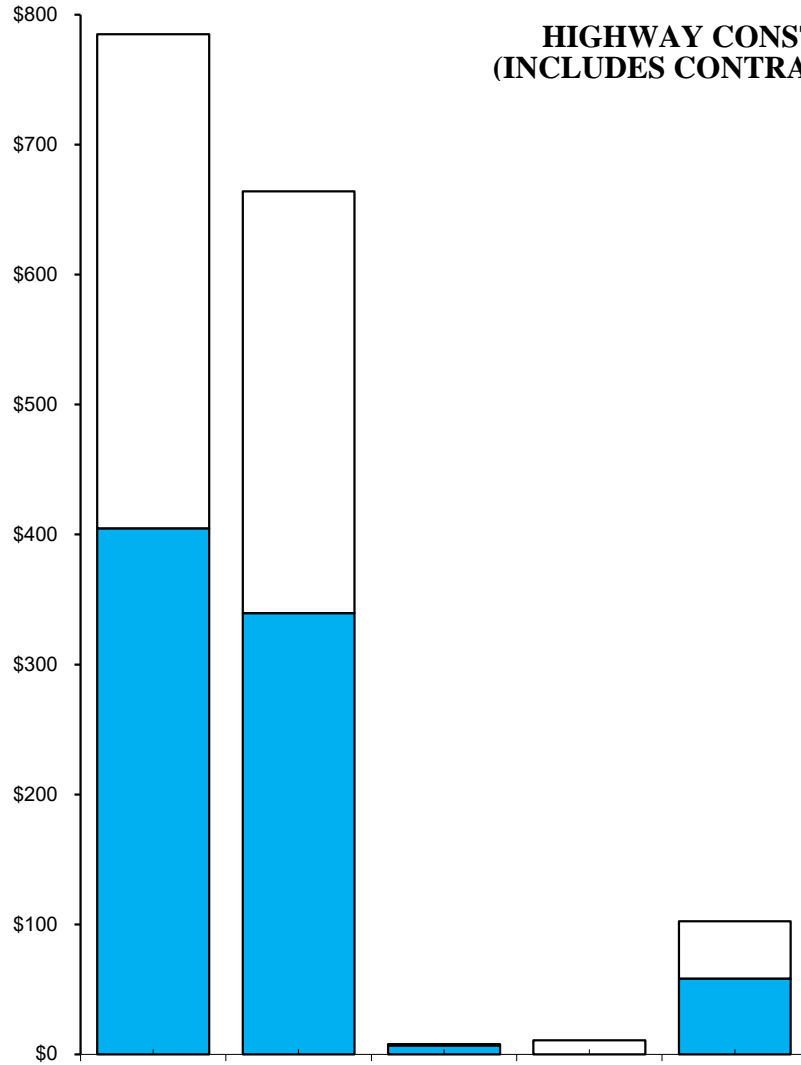
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	5,249,555.30	12,846,796.92	0.00	16,005,116.71	14,871,987.08	4,498,834.82	53,472,290.83
Temporary Salaries	2,622.54	135,658.34	0.00	897,264.47	169,514.57	120,669.90	1,325,729.82
Overtime	10,380.92	227,545.35	0.00	1,396,743.16	1,362,707.59	54,307.60	3,051,684.62
Employee Benefits	0.00	19,633,862.35	0.00	0.00	0.00	0.00	19,633,862.35
SUBTOTAL: Personal Services	\$5,262,558.76	\$32,843,862.96	\$0.00	\$18,299,124.34	\$16,404,209.24	\$4,673,812.32	\$77,483,567.62
Operating Expenses							
Utilities	0.00	944,264.53	0.00	614,704.16	2,009.16	268.04	1,561,245.89
Rentals	5,034.05	23,945.72	0.00	522,335.13	1,250.00	365.35	552,930.25
Repairs & Maintenance	0.00	1,467,924.82	0.00	3,501,016.76	11,965.83	28,832.44	5,009,739.85
Maintenance Contracts	0.00	93,899.19	0.00	5,692,893.61	1,486.40	0.00	5,788,279.20
Engineering Contracts	0.00	305,741.50	653,029.06	104,228.00	11,509,794.46	1,756,778.32	14,329,571.34
Contractual Services	440,999.93	1,082,693.73	0.00	1,432,104.73	19,873.44	3,657,079.25	6,632,751.08
Technology Expenses	2,487,283.94	7,464,089.79	0.00	1,136,935.68	0.00	1,246,861.22	12,335,170.63
Other Operating Expenses	197,623.58	1,094,791.30	3,448.81	1,303,739.47	32,289.53	230,566.19	2,862,458.88
SUBTOTAL: Operating Expenses	\$3,130,941.50	\$12,477,350.58	\$656,477.87	\$14,307,957.54	\$11,578,668.82	\$6,920,750.81	\$49,072,147.12
Supplies and Materials							
General Supplies & Materials	245,032.63	575,433.99	1,893.41	239,463.44	92.67	22,827.53	1,084,743.67
Maint & Const Materials	25,086.83	510,414.96	0.00	33,099,205.32	212,701.01	133,711.50	33,981,119.62
Automotive Supplies & Materials	0.00	548,534.31	0.00	6,222,604.44	0.00	49.44	6,771,188.19
SUBTOTAL: Supplies and Materials	\$270,119.46	\$1,634,383.26	\$1,893.41	\$39,561,273.20	\$212,793.68	\$156,588.47	\$41,837,051.48
Travel							
In State Travel	29,872.52	19,357.29	0.00	9,252.55	67,444.52	72,674.53	198,601.41
Out of State Travel	0.00	2,398.13	0.00	0.00	27.12	552.43	2,977.68
SUBTOTAL: Travel	\$29,872.52	\$21,755.42	\$0.00	\$9,252.55	\$67,471.64	\$73,226.96	\$201,579.09
Capital Outlay							
Land	0.00	424,367.00	0.00	0.00	3,771,335.00	3,575.00	4,199,277.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	313,088,894.67	0.00	313,125,194.40
Buildings	0.00	0.00	1,596,783.66	0.00	0.00	0.00	1,596,783.66
Heavy Equipment and Vehicles	0.00	0.00	0.00	5,240,901.10	0.00	0.00	5,240,901.10
IT Hardware / Software	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Specialty Equipment	0.00	0.00	0.00	9,100.00	72,900.00	13,120.00	95,120.00
SUBTOTAL: Capital Outlay	\$0.00	\$424,367.00	\$1,596,783.66	\$5,286,300.83	\$316,933,129.67	\$16,695.00	\$324,257,276.16
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	12,100,410.51	12,100,410.51
Highway Safety Office	0.00	(990.85)	0.00	0.00	0.00	2,133,158.84	2,132,167.99
Other Government Aid	0.00	0.00	0.00	0.00	222,464.40	70,491,228.76	70,713,693.16
SUBTOTAL: Government Aid & Distr	\$0.00	(\$990.85)	\$0.00	\$0.00	\$222,464.40	\$84,724,798.11	\$84,946,271.66
Internal Redistributions							
Redistribution	3,261,299.02	(27,880,043.09)	0.00	9,292,587.65	12,161,347.90	3,164,808.52	0.00
SUBTOTAL: Internal Redistributions	\$3,261,299.02	(\$27,880,043.09)	\$0.00	\$9,292,587.65	\$12,161,347.90	\$3,164,808.52	\$0.00
GRAND TOTAL:	\$11,954,791.26	\$19,520,685.28	\$2,255,154.94	\$86,756,496.11	\$357,580,085.35	\$99,730,680.19	\$577,797,893.13

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
December 2020

FISCAL YEAR 2021
 Period Expired 50.00%
 Pay Period Ending 12/20/2020

COST BY ORGANIZATIONAL STRUCTURE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	64,500.44	370,768.62	355,917.38	51.02%	0.00
140 - LEGAL	2,947,291.00	99,437.65	589,590.31	2,357,700.69	20.00%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	239,157.19	1,562,288.10	1,841,650.90	45.90%	956,212.51
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,673,354.00	193,630.05	1,057,983.95	1,615,370.05	39.58%	74,636.53
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,751,270.00	\$596,725.33	\$3,580,630.98	\$6,170,639.02	36.72%	\$1,202,485.77
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	224,447.71	1,119,791.11	1,172,831.89	48.84%	0.00
320 - BRIDGE DIVISION	7,541,203.00	753,635.15	3,739,779.77	3,801,423.23	49.59%	1,105,324.34
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	372,144.42	2,192,542.40	2,336,561.60	48.41%	664,669.41
350 - RIGHT OF WAY DIVISION	5,044,385.00	515,718.47	2,470,057.66	2,574,327.34	48.97%	51,776.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	879,984.87	4,754,248.57	10,510,228.43	31.15%	11,153,973.04
370 - ROADWAY DESIGN DIVISION	26,301,170.00	1,939,244.92	11,227,915.08	15,073,254.92	42.69%	15,567,036.22
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	143,961.62	649,922.13	809,165.87	44.54%	99,446.33
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	319,465.76	1,433,688.11	1,729,059.89	45.33%	1,699,460.43
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,798.00	\$5,148,602.92	\$27,587,944.83	\$38,006,853.17	42.06%	\$30,341,686.07
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	168,663.92	971,873.91	1,734,562.09	35.91%	337,351.13
260 - OPERATIONS DIVISION	21,611,341.00	2,635,430.66	12,077,890.85	9,533,450.15	55.89%	6,566,726.78
280 - BUSINESS TECH SUPPORT DIVISION	19,976,472.00	2,996,811.97	10,935,212.78	9,041,259.22	54.74%	30,857,789.24
380 - CONSTRUCTION DIVISION	2,920,458.00	311,103.62	1,516,572.23	1,403,885.77	51.93%	1,512.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	975,618.67	6,217,563.11	6,816,862.89	47.70%	11,893,151.06
610 - DISTRICT 1	34,024,032.00	2,769,433.01	17,891,179.24	16,132,852.76	52.58%	6,058,127.19
620 - DISTRICT 2	23,354,011.00	2,059,213.03	11,431,924.54	11,922,086.46	48.95%	6,953,948.50
630 - DISTRICT 3	31,339,572.00	2,873,912.53	19,401,602.88	11,937,969.12	61.91%	3,068,531.95
640 - DISTRICT 4	33,107,740.00	2,664,777.74	17,136,256.29	15,971,483.71	51.76%	4,761,541.37
650 - DISTRICT 5	23,367,222.00	2,254,441.31	11,397,092.70	11,970,129.30	48.77%	5,469,579.79
660 - DISTRICT 6	25,846,634.00	2,293,110.14	14,379,778.11	11,466,855.89	55.64%	5,258,576.42
670 - DISTRICT 7	17,087,183.00	1,187,011.08	10,320,450.33	6,766,732.67	60.40%	4,128,843.41
680 - DISTRICT 8	16,356,389.00	1,280,475.51	9,610,428.62	6,745,960.38	58.76%	2,823,612.98
SUBTOTAL: OFFICE OF OPERATIONS	\$264,731,916.00	\$24,470,003.19	\$143,287,825.59	\$121,444,090.41	54.13%	\$88,179,292.32
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	15,140.62	93,534.18	(93,534.18)	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	(1,833,730.15)	(3,047,090.81)	4,129,152.81	(281.60)%	83,252.61
904 - TRANSPORTATION CAPITAL	610,237,606.00	37,937,157.67	406,295,048.36	203,942,557.64	66.58%	1,035,560,445.68
SUBTOTAL: BUDGETARY CONTROL	\$611,319,668.00	\$36,118,568.14	\$403,341,491.73	\$207,978,176.27	65.98%	\$1,035,643,698.29
AGENCY TOTAL	\$951,397,652.00	\$66,333,899.58	\$577,797,893.13	\$373,599,758.87	60.73%	\$1,155,367,162.45

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	103.08			11.16	114.24
9/3 & 24/2020	53.32			1.04	54.36
10/8 & 15 & 29/2020	55.25	5.72		41.60	102.57
11/19/2020	125.39	0.33		3.46	129.18
12/10 & 17/2020	2.39			1.19	3.58
1/14/2021					
2/25/2021					
3/18/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	339.52	6.77	0.00	58.45	404.74

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	5.51	1.76	15.44	51.47		10.81	114.24
9/3 & 24/2020	1.04	2.53		16.77	4.81	10.80	15.36	3.05	54.36
10/8 & 15 & 29/2020	12.74	39.65	7.55	22.74	7.37	6.78	5.74		102.57
11/19/2020	7.21	54.66	24.95		32.00	10.36			129.18
12/10 & 17/2020	0.50	1.89						1.19	3.58
1/14/2021									
2/25/2021									
3/18/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	42.99	106.57	38.01	41.27	60.34	79.41	21.10	15.05	404.74

	State System			Local System
Total Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
% Let to Date	51.6%	51.1%	86.1%	0.0%
Actual \$ Let	404.74	339.52	6.77	0.00
Projected \$ Remaining	380.25	324.50	1.09	10.78
Total	\$784.99	\$664.02	\$7.86	\$10.78

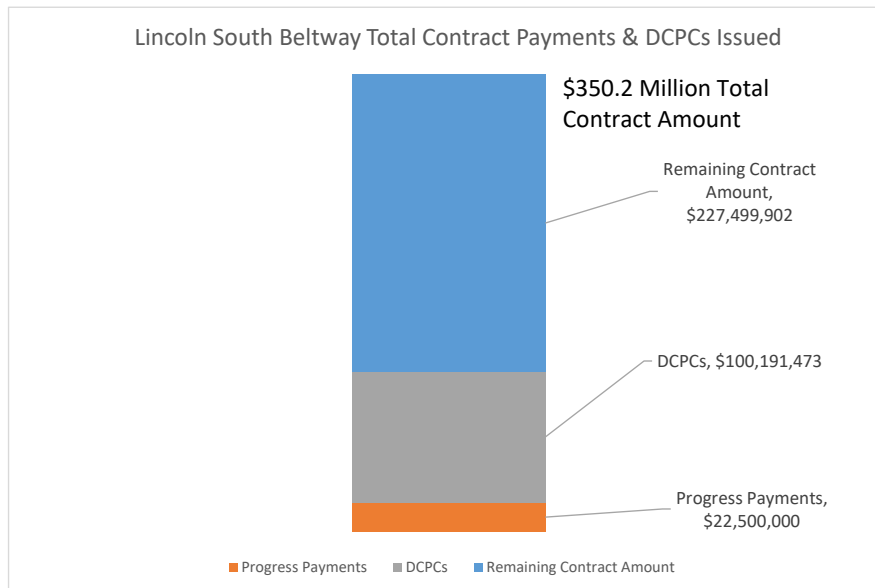
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of December 31, 2020.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through December 2020

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT's obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC's future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through December 2020)

Remaining Contract Amount	\$227,499,902
Progress Payments	\$22,500,000
DCPCs	\$100,191,473

DCPC Payments Due by State Fiscal Year (for DCPCs Issued Through December 2020)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$29,876,813
FY 2026	\$25,373,285
Total DCPCs to date	<u>\$100,191,473</u>

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST											
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment		Fiscal 2021 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622	22,811	173,531
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896	11,717	88,296
STP - Bridge Off System		3,777		-		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412		33,159
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338		16,227
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439		6,395
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979		8,919
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697		13,604
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691		4,661
Research		1,369		1,429		1,494		1,494		1,533		1,563		1,554
Transportation Alternatives (TAP)	668	5,552	835	4,927	751	5,677	766	5,800	766	5,800	767	5,801	768	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217	82	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819	2,359	15,713
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900	245	3,883
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812	2,444	10,744
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788	358	1,777
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730	1,489	10,663
Redistribution - Certain Authorizations	123	0,913		0,874		0,968	51	0,376	61	0,451	45	0,327	55	0,398
Redistribution - TIFIA	632	4,721												
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$38,744	\$ 288,451	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912	\$ 42,328	\$ 312,023
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543	603	4,524
Others & Ext of Alloc Programs	11	0,150		1,274										
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 294,249	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455	\$ 42,931	\$ 316,547
Obligation Authority														
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111	9,148	54,978
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000		
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 51,127	329,111	\$ 9,148	54,978

Footnotes:

FY21 Apportionment per Public Law 116-159. Available Obligation Limitation per Public Law 116-159 reflects 19.73% of the annual amount. To date, NDOT has not received additional information regarding the remaining Obligation Authority.

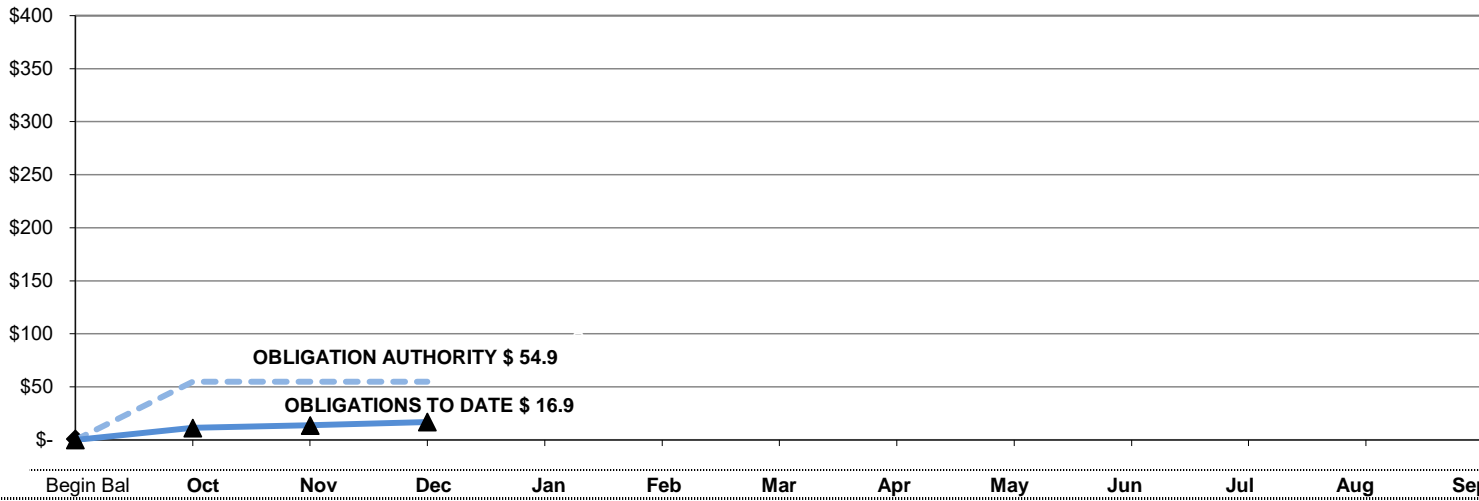
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2021
DECEMBER 31, 2020

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2021	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2020	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	14,382,721	173,530,743	-	187,913,464	6,527,702	181,385,762	132,674,925	78,270,865
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	-
Highway Bridge Program	-	-	-	-	-	-	-	71,607
STP - Bridge Off System	37,391	3,777,257	-	3,814,648	2,573,978	1,240,670	741,723	5,661,054
STP - Flexible - Any Area	1,350,799	33,159,291	-	34,510,090	4,466,337	30,043,753	63,106,698	87,471,277
STP - MAPA - Omaha	1,014,529	16,226,849	(146,310)	17,095,068	173,299	16,921,769	23,322,866	55,957,494
STP - LCLC - Lincoln	14,254,565	6,395,182	-	20,649,747	2,831,029	17,818,718	73,093	4,818,943
STP - 5,001 to 200,000 Pop	34,748,093	8,918,511	-	43,666,604	-	43,666,604	8,000	1,578,709
STP - 5,000 & Less Population	392,878	13,604,127	-	13,997,005	440,798	13,556,207	-	9,598,033
Congestion Mitigation & Air Qual	237,929	10,744,227	-	10,982,156	294,061	10,688,095	-	7,208,528
Highway Safety Improvemt Prog	20,980,044	15,713,289	-	36,693,333	(538,050)	37,231,384	3,490,919	8,698,327
Rail-Hwy - Hazard Elimination	1,435,380	1,941,324	-	3,376,704	73,284	3,303,420	4,555,970	2,128,889
Rail-Hwy - Protection Devices	8,233,472	1,941,325	-	10,174,797	241,932	9,932,865	-	2,649,984
Highway Planning	1,539,880	4,661,443	-	6,201,323	-	6,201,323	2,089	8,698,197
Research	293,360	1,553,815	112,922	1,960,097	14,907	1,945,190	141,795	5,671,726
Metropolitan Planning	293,435	1,776,501	-	2,069,936	-	2,069,936	-	3,358,238
National Hwy Freight Program	-	10,663,255	-	10,663,255	-	10,663,255	-	10,730,335
TAP - Flex	127,447	2,900,268	-	3,027,715	-	3,027,715	-	3,651,162
TAP - >200,000 Population	942,591	1,453,327	-	2,395,918	(62,501)	2,458,419	-	1,930,388
TAP - 5,001 to 200,000 Pop	16,097	572,960	-	589,057	-	589,057	-	783,419
TAP - 5,000 and Less Population	935,555	873,981	-	1,809,536	277,724	1,531,812	-	1,747,317
Recreational Trails	1,444,778	1,205,213	-	2,649,991	-	2,649,991	-	3,831,705
Enhancement	218,634	-	-	218,634	-	218,634	-	281,687
Safe Routes to School Prog	163,140	-	-	163,140	(13,211)	176,351	-	128,654
Redistribution - Certain Auth.	1,498,117	410,683	-	1,908,800	(401,317)	2,310,117	-	2,643,539
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	-	-	-	-	-	-	-	1,910,776
Other	-	-	-	-	-	-	-	1,107,345
Total Formula Funds	\$ 104,540,835	\$ 312,023,571	\$ (33,388)	\$ 416,531,018	\$ 16,899,972	\$ 399,631,046	\$ 228,118,078	\$ 310,588,198
Allocated/Discretionary Funds	14,859	-	2,755,000	2,769,859	-	2,769,859	-	18,921,289
Total Subject to Annual Obligation Limits	\$ 104,555,694	\$ 312,023,571	\$ 2,721,612	\$ 419,300,877	\$ 16,899,972	\$ 402,400,905	\$ 228,118,078	\$ 329,509,487
Special Limit/Allocated Exempt Equity Bonus	133,849,934	4,523,586	-	138,373,520	1,141,139	137,232,381	-	75,103,063
	-	-	-	-	-	-	-	-
GRAND TOTAL	\$ 238,405,628	\$ 316,547,157	\$ 2,721,612	\$ 557,674,397	\$ 18,041,111	\$ 539,633,286	\$ 228,118,078	\$ 404,612,550

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY21 Apportionment per Public Law 116-159.

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2021
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	54.9	54.8	54.9									
OA Used	0.0	11.3	13.7	16.9									

	<u>FEDERAL FY-2020</u> <u>OBLIGATION AUTHORITY</u>		<u>FEDERAL FY-2021</u> <u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2019		As of December 31, 2020		
Formula Obligation Limitation	\$	284.1	\$	54.9	
August Redistribution		45.0		-	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	0.7	\$	-	25.0%
Subtotal	\$	329.8	\$	54.9	
Other Allocation Obligation Limitation		18.5		-	
Annual Obligation Limitation	\$	348.3	\$	54.9	
Formula Obligations to Date		0.0		(16.9)	Obligated
Allocated Obligations to Date		-		-	30.8%
Subtotal	\$	-	\$	(16.9)	
Obligation Authority Balance	\$	348.30	\$	38.0	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Congestion MGMT Tech Depl Highway		0.0		2.7	
Infrastructure Program Exemp		0.0		-	
Emergency Relief/Allocated Exemp		0.0		-	
Training and Education Fas		0.2		-	
National Infrastructure Invest TIGER I		25.0		-	
National Infrastructure Invest Build 201		17.0		-	
National Infrastructure Invest Build 2		20.0		-	
Previous Years Funding		152.0		136.9	
Total Special Obligation Limitation	\$	218.6	\$	144.1	
Obligations to Date		0.0		(1.1)	
Obligation Authority Balance	\$	218.6	\$	143.0	

FY21 available Obligation limitation per Public Law 116-159 reflects 19.73% of the annual amount. To date, NDOT has not received additional information regarding the remaining Obligation Authority.

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - DECEMBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,454,617.63	0.00	5,126.60	8,677.77	243.30	2,468,665.30
	RIGHT OF WAY	1,212,293.57	0.00	0.00	5,115.44	0.00	1,217,409.01
	CONSTRUCTION	13,289,297.32	9,427,120.78	0.00	2,107,873.98	370,782.03	25,195,074.11
	CONSTRUCTION ENGINEERING	914,609.06	895,986.74	121.79	13,896.81	5,405.64	1,830,020.04
	PLANNING & RESEARCH	24,216.35	76,075.51	0.00	0.00	8,163.32	108,455.18
	TOTAL	\$ 17,895,033.93	\$ 10,399,183.03	\$ 5,248.39	\$ 2,135,564.00	\$ 384,594.29	\$ 30,819,623.64
LOCAL	PRELIMINARY ENGINEERING	25,817.31	527,193.21	30,172.26	69,063.72	6,079.50	658,326.00
	RIGHT OF WAY	0.00	9,895.00	23.42	0.00	0.00	9,918.42
	CONSTRUCTION	396,738.17	4,518,180.77	235,866.99	601,695.99	8,456.02	5,760,937.94
	CONSTRUCTION ENGINEERING	42,089.50	867,717.13	53,917.48	219,809.07	0.00	1,183,533.18
	PLANNING & RESEARCH	191.48	13,321.37	0.00	3,117.40	21.45	16,651.70
	TOTAL	\$ 464,836.46	\$ 5,936,307.48	\$ 319,980.15	\$ 893,686.18	\$ 14,556.97	\$ 7,629,367.24
NON-HWY	PRELIMINARY ENGINEERING	1,581,092.08	3,466.93	0.00	1,901.48	1,748.75	1,588,209.24
	RIGHT OF WAY	114,796.82	4,798.68	0.00	1,199.66	0.00	120,795.16
	CONSTRUCTION	290,943.84	302,134.74	0.00	172,316.34	0.00	765,394.92
	CONSTRUCTION ENGINEERING	586,476.71	37,736.40	0.00	9,678.41	0.00	633,891.52
	TRAFFIC SAFETY & TRANS	54,427.07	311,290.57	0.00	0.00	0.00	365,717.64
	PLANNING & RESEARCH	335,557.21	800,468.16	46.42	69,483.95	12,093.86	1,217,649.60
	PUBLIC TRANSPORTATION ASSIST	113,636.90	1,776,673.47	35,511.76	16,853.25	62,820.20	2,005,495.58
	TOTAL	\$ 3,076,930.63	\$ 3,236,568.95	\$ 35,558.18	\$ 271,433.09	\$ 76,662.81	\$ 6,697,153.66
TOTAL - CURRENT MONTH	\$ 21,436,801.02	\$ 19,572,059.46	\$ 360,786.72	\$ 3,300,683.27	\$ 475,814.07	\$ 45,146,144.54	

FISCAL YEAR TO DATE - DECEMBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	14,020,302.87	73,480.96	91,347.74	18,320.07	55,147.03	14,258,598.67
	RIGHT OF WAY	4,146,581.68	284,143.92	84,358.94	19,438.25	0.00	4,534,522.79
	CONSTRUCTION	104,485,042.11	198,355,551.48	586,866.54	8,727,994.74	1,340,889.50	313,496,344.37
	CONSTRUCTION ENGINEERING	4,558,148.34	8,155,084.08	10,195.54	182,985.92	120,206.03	13,026,619.91
	PLANNING & RESEARCH	76,015.95	127,076.41	0.00	0.00	88,370.91	291,463.27
	TOTAL	\$ 127,286,090.95	\$ 206,995,336.85	\$ 772,768.76	\$ 8,948,738.98	\$ 1,604,613.47	\$ 345,607,549.01
LOCAL	PRELIMINARY ENGINEERING	99,379.20	1,746,046.32	119,813.03	691,669.63	26,357.05	2,683,265.23
	RIGHT OF WAY	2,205,865.21	1,281,393.63	20,209.05	546,706.37	274,328.15	4,328,502.41
	CONSTRUCTION	7,652,289.19	30,972,597.07	2,240,601.37	12,268,544.38	257,187.49	53,391,219.50
	CONSTRUCTION ENGINEERING	632,284.60	2,513,121.59	155,263.52	659,304.54	(52,817.35)	3,907,156.90
	PLANNING & RESEARCH	11,204.02	198,092.93	(8,688.97)	45,380.99	112.28	246,101.25
	TOTAL	\$ 10,601,022.22	\$ 36,711,251.54	\$ 2,527,198.00	\$ 14,211,605.91	\$ 505,167.62	\$ 64,556,245.29
NON-HWY	PRELIMINARY ENGINEERING	8,377,610.52	539,283.08	0.00	71,810.44	74,184.18	9,062,888.22
	RIGHT OF WAY	549,415.14	20,253.10	0.00	5,063.22	0.00	574,731.46
	CONSTRUCTION	829,169.61	3,723,374.31	0.00	1,036,174.59	0.00	5,588,718.51
	CONSTRUCTION ENGINEERING	3,457,543.11	371,140.47	0.00	90,568.95	0.00	3,919,252.53
	TRAFFIC SAFETY & TRANS	111,155.99	3,228,367.69	0.00	0.00	3,842.20	3,343,365.88
	PLANNING & RESEARCH	1,807,066.96	5,272,601.52	46.42	138,172.94	62,113.04	7,280,000.88
	PUBLIC TRANSPORTATION ASSIST	2,667,204.95	9,583,787.85	103,292.62	41,378.85	255,591.61	12,651,255.88
	TOTAL	\$ 17,799,166.28	\$ 22,738,808.02	\$ 103,339.04	\$ 1,383,168.99	\$ 395,731.03	\$ 42,420,213.36
TOTAL - FISCAL YEAR TO DATE	\$ 155,686,279.45	\$ 266,445,396.41	\$ 3,403,305.80	\$ 24,543,513.88	\$ 2,505,512.12	\$ 452,584,007.66	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
DECEMBER 2020**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,912,816,630.39	1,042,462,071.90	870,354,558.49	17,895,033.93	127,286,090.95	172,471,816.22
	FEDERAL	1,408,269,927.09	1,157,389,674.07	250,880,253.02	10,399,183.03	206,995,336.85	333,069,017.54
	COUNTY	2,635,993.74	2,329,765.36	306,228.38	5,248.39	772,768.76	1,555,434.86
	CITY	74,753,795.02	31,324,396.87	43,429,398.15	2,135,564.00	8,948,738.98	12,242,838.39
	OTHER	22,178,154.81	20,848,392.03	1,329,762.78	384,594.29	1,604,613.47	3,040,377.39
STATE HIGHWAY SYSTEM TOTALS		\$ 3,420,654,501.05	\$ 2,254,354,300.23	\$ 1,166,300,200.82	\$ 30,819,623.64	\$ 345,607,549.01	\$ 522,379,484.40
LOCAL HIGHWAY SYSTEM							
	STATE	62,014,002.39	42,706,855.05	19,307,147.34	464,836.46	10,601,022.22	16,879,394.43
	FEDERAL	363,292,354.55	238,960,772.73	124,331,581.82	5,936,307.48	36,711,251.54	66,177,240.41
	COUNTY	17,217,297.13	9,235,984.02	7,981,313.11	319,980.15	2,527,198.00	5,144,016.13
	CITY	126,201,782.92	73,954,405.20	52,247,377.72	893,686.18	14,211,605.91	17,812,152.74
	OTHER	11,393,928.35	8,405,436.47	2,988,491.88	14,556.97	505,167.62	878,540.34
LOCAL HIGHWAY SYSTEM TOTALS		\$ 580,119,365.34	\$ 373,263,453.47	\$ 206,855,911.87	\$ 7,629,367.24	\$ 64,556,245.29	\$ 106,891,344.05
NON-HIGHWAY							
	STATE	377,016,217.01	327,019,980.94	49,996,236.07	3,076,930.63	17,799,166.28	61,755,936.44
	FEDERAL	215,931,098.59	118,736,967.70	97,194,130.89	3,236,568.95	22,738,808.02	38,803,636.09
	COUNTY	844,154.38	398,501.84	445,652.54	35,558.18	103,339.04	245,920.11
	CITY	7,200,881.99	4,968,621.90	2,232,260.09	271,433.09	1,383,168.99	1,750,178.01
	OTHER	14,851,002.84	12,073,614.33	2,777,388.51	76,662.81	395,731.03	810,600.08
NON-HIGHWAY TOTALS		\$ 615,843,354.81	\$ 463,197,686.71	\$ 152,645,668.10	\$ 6,697,153.66	\$ 42,420,213.36	\$ 103,366,270.73
GRAND TOTALS		\$ 4,616,617,221.20	\$ 3,090,815,440.41	\$ 1,525,801,780.79	\$ 45,146,144.54	\$ 452,584,007.66	\$ 732,637,099.18

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
DECEMBER 2020**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
OTHER	48,000.00	0.00	48,000.00	0.00	0.00	0.00
PRELIMINARY ENGINEERING	540,598,875.26	387,230,342.73	153,368,532.53	4,715,200.54	26,004,752.12	50,894,630.63
RIGHT OF WAY	188,782,177.23	125,246,091.54	63,536,085.69	1,348,122.59	9,437,756.66	18,986,206.87
UTILITIES	52,223,201.41	22,746,552.77	29,476,648.64	712,414.97	2,691,376.08	5,416,481.52
CONSTRUCTION	3,364,576,369.17	2,253,628,592.85	1,110,947,776.32	31,008,992.00	369,784,906.30	576,311,878.46
CONSTRUCTION ENGINEERING	225,931,519.02	144,307,208.97	81,624,310.05	3,647,444.74	20,853,029.34	35,331,255.79
TRAFFIC SAFETY	36,237,157.16	18,354,697.39	17,882,459.77	365,717.64	3,343,365.88	6,460,129.00
PLANNING & RESEARCH	105,157,979.37	71,146,531.00	34,011,448.37	1,342,756.48	7,817,565.40	14,550,014.54
PUBLIC TRANSPORTATION	103,061,942.58	68,155,423.16	34,906,519.42	2,005,495.58	12,651,255.88	24,686,502.37
GRAND TOTALS	\$ 4,616,617,221.20	\$ 3,090,815,440.41	\$ 1,525,801,780.79	\$ 45,146,144.54	\$ 452,584,007.66	\$ 732,637,099.18

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
DECEMBER 2020**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,230,551,844.15	917,951,921.85	312,599,922.30	11,881,018.78	96,014,939.59	161,465,547.74
ROADS OPERATION FUND AC*	178,004,964.58	4,728,705.24	173,276,259.34	326,628.06	3,706,750.35	(2,551,338.47)
GRADE CROSSING FUND	2,888,108.40	1,525,559.25	1,362,549.15	31,742.91	137,297.97	359,516.25
GRADE SEPARATION-TMT	28,115,756.28	25,172,515.16	2,943,241.12	70,671.24	1,218,690.02	2,805,124.06
RECREATION ROAD FUND	11,292,931.84	9,616,832.25	1,676,099.59	51,259.41	2,907,369.59	4,661,697.46
ST HWY CAPITAL IMPR	747,056,281.17	351,154,254.56	395,902,026.61	3,176,344.88	17,802,015.89	36,071,090.79
STATE AID BRIDGE	4,513,649.37	3,998,154.33	515,495.04	200,804.40	1,289,769.93	1,709,493.83
TRANS INFRA BANK	149,423,314.00	98,040,965.25	51,382,348.75	5,698,331.34	32,609,446.11	46,586,015.43
TOTAL STATE FUNDS	\$ 2,351,846,849.79	\$ 1,412,188,907.89	\$ 939,657,941.90	\$ 21,436,801.02	\$ 155,686,279.45	\$ 251,107,147.09
FEDERAL FUNDS	1,987,493,380.23	1,515,087,414.50	472,405,965.73	19,572,059.46	266,445,396.41	438,049,894.04
COUNTY FUNDS	20,697,445.25	11,964,251.22	8,733,194.03	360,786.72	3,403,305.80	6,945,371.10
CITY FUNDS	208,156,459.93	110,247,423.97	97,909,035.96	3,300,683.27	24,543,513.88	31,805,169.14
OTHER FUNDS	48,423,086.00	41,327,442.83	7,095,643.17	475,814.07	2,505,512.12	4,729,517.81
GRAND TOTALS	\$ 4,616,617,221.20	\$ 3,090,815,440.41	\$ 1,525,801,780.79	\$ 45,146,144.54	\$ 452,584,007.66	\$ 732,637,099.18

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
December 31, 2020**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 6,678,358.79	\$ 40,889,095.80	\$ 489,555,822.97			
Expenditures						
Expressway and High Priority Corridors	3,158,693.56	17,718,561.93	240,182,067.34	372,410,733.11	285,694,245.44	
Other Highways	17,651.32	83,453.96	110,972,187.22	23,491,293.50	193,991,013.35	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 3,176,344.88	\$ 17,802,015.89	\$ 462,622,344.52	\$ 395,902,026.61	\$ 479,685,258.79	
Funds Available			\$ 26,933,478.45			

Transportation Innovation Act Financial Status December 31, 2020

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,399,477.46	\$ 14,327,256.53	\$ 143,642,672.94		
Expenditures					
Accelerated State Highway Capital Improvement Program	5,261,922.98	30,721,719.71	89,149,574.94	40,905,423.34	271,553,573.79
County Bridge Match Program	146,080.77	932,398.81	6,876,225.59	9,894,664.76	12,087,383.00
Economic Opportunity Program	290,327.59	955,327.59	2,015,164.72	582,260.65	17,982,853.93
TIB Projects Completed/Closed					
Total Expenditures	\$ 5,698,331.34	\$ 32,609,446.11	\$ 98,040,965.25	\$ 51,382,348.75	\$ 301,623,810.72
Funds Available			\$ 45,601,707.69		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2021 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 19.73%

	FAST Act ⁽¹⁾ FY-2021 <u>APPORT</u>	FY-2021 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2021 <u>OBL LIMIT</u>	OBLIGATED THRU <u>12/31/20</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	-	-	0.000	-	-
BRIDGE STP OFF SYSTEM (BRO)	3.777	0.745	-	-	0.745	2.375	(1.630)
AMNESTY URBAN 5K - 200K	-	-	1.200	-	1.200	-	1.200
MAPA - OMAHA	16.227	\$ 3.202	-	(0.146)	3.056	0.173	2.883
LCLC - LINCOLN	6.395	\$ 1.262	11.177	-	12.439	2.831	9.608
SubTotal Local	\$ 26.399	\$ 5.209	\$ 12.377	\$ (0.146)	\$ 17.440	\$ 5.379	\$ 12.061
METRO PLANNING	1.777	0.351	-	-	0.351	-	0.351
Omaha	66.836%	1.121	0.221	-	0.221	-	0.221
Lincoln	26.341%	0.466	0.092	-	0.092	-	0.092
South Sioux City	1.688%	0.067	0.013	-	0.013	-	0.013
Grand Island	5.135%	0.123	0.024	-	0.024	-	0.024
TAP - Flex	2.900	0.572	-	-	0.572	-	0.572
TAP - 5K and Under	0.874	0.172	-	-	0.172	0.265	(0.093)
TAP - 5K-200K	0.573	0.113	-	-	0.113	-	0.113
TAP - MAPA - OMAHA	1.042	0.206	-	-	0.206	(0.070)	0.276
TAP - LCLC - LINCOLN	0.411	0.081	-	-	0.081	0.007	0.074
REC TRAILS	1.205	0.238	-	-	0.238	-	0.238
TOTAL	\$ 35.181	\$ 6.942	\$ 12.377	\$ (0.146)	\$ 19.173	\$ 5.581	\$ 13.592

(1) FY21 Apportionment per Public Law 116-159 through September 30, 2021.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of approximately \$1Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of December 31, 2020

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	365,175.59
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	211,334.69
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	130,942.62

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	115,779.01
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	111,459.10
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	67,424.67
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	1,997,852.42
3078	SAUNDERS COUNTY	110,480.32
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,424,407.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	354,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14