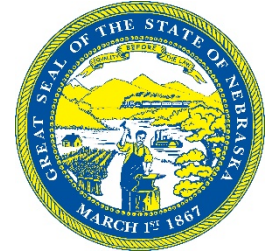


NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION



NEBRASKA STATE HIGHWAY COMMISSION

Quarterly Financial Report as of September 30, 2020

This report presents the financial position and projects of the Nebraska Department of Transportation and includes all funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30. Contracts let or planned to be let through the fiscal year, as of the specified day, are listed by letting dates. It was prepared entirely with Nebraska Department of Transportation resources.

July
2020

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

Nebraska Transportation Financing

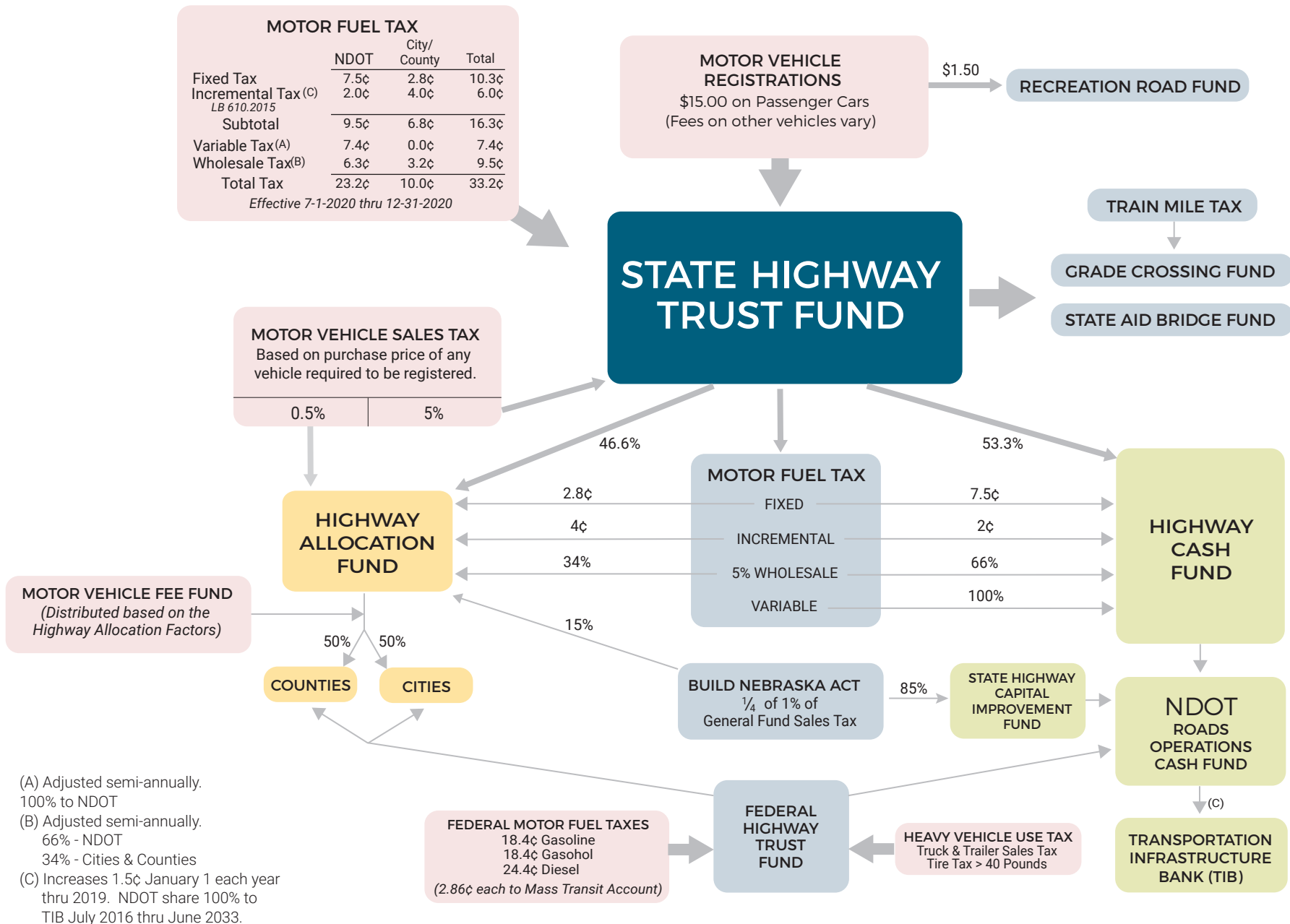


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July 2020 Highlights

- ❖ State Highway Cash Fund appropriation level of \$459.0 million (page 1).
- ❖ Revenues in July exceeded expenditures by \$3.8 million (page 6).
- ❖ Set the state fuel tax at 33.2¢ (page 13).
- ❖ Projected \$929.5 million in total receipts (page 14):

\$531.7 million in state receipts
 \$376.8 million in federal receipts
 \$ 21.0 million in local and other receipts

The revenue projections included in this report take into account an estimated impact of COVID19 on NDOT's revenue sources.

- ❖ Highway cash fund receipts for FY-21 to date are over projections by \$3.1 million or 10.3% (page 14).
- ❖ Established an operating budget of \$951.4 million for the Roads Division which represents the expenditure authority appropriated by the Legislature for the fiscal year (pages 15 and 16):
 - \$732.3 million for highway construction and related work
 - \$219.1 million for non-construction programs

July expenditures totaled \$136.4 million or 14.3% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of June 8, 2020 thru July 19, 2020. The payroll additive rate is established at 65% and the administrative rate is 2.29%.

- ❖ Highway construction contract lettings are projected to be \$882.7 million, \$793.6 million on the state highway system (page 20).
- ❖ The July report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract (page 21)
- ❖ For Federal Fiscal Year 2020, Nebraska received federal fund apportionments and allocations under the FAST Act totaling \$318.5 million. As of July 31, 2020 obligations of \$240.4 million have resulted in an obligation authority balance of \$62.5 million (pages 24, 25, and 26).
- ❖ Build Nebraska Act became effective July 1, 2013. Revenue totaling \$454.7 million has been received to date with expenditures totaling \$448.5 million, leaving a fund balance of \$6.2 million (page 31).
- ❖ Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earning totaling \$81.5 million has been received to date with expenditures totaling \$69.7 million (page 32).

LEGISLATIVE APPROPRIATION BILLS

Effective July 1, 2020 through June 30, 2021

The 106th Nebraska Legislature, first and second sessions passed bills that provide funds or authorize their withdrawal from the Nebraska Department of Transportation in FY-2021. The total funding provided for all of the Department's programs in FY-2021 is \$974,814,006.

Legislative bills 294 and 297 provide the funding for the Department's operations as detailed on the following page.

These bills provide for:

Highway Cash Fund appropriation to the Roads Operations Cash Fund was established at \$459 million. Any funds deposited into the Highway Cash Fund in excess of this appropriation cannot be utilized by the Department in fiscal year 2021 and will become part of the following year's appropriation.

Salaries limit of \$115,915,217 for Roads and \$1,370,924 for Aeronautics. This amount does not include encumbrances from fiscal year 2020 for salary costs incurred in that fiscal year but not paid until FY-2021.

Nebraska State Patrol funding from the Roads Operations Cash Fund of:

\$9,216,051 for the Carrier Enforcement operations

\$ 489,979 for law enforcement coverage along state road construction zones.

Department of Motor Vehicles funding of:

\$1,400,000 for the "One Stop Shop" permit and registration function for interstate truckers from the Highway Trust Fund and

\$2,400,000 for the License Plate Cash Fund from the Highway Trust Fund.

Department of Revenue funding of \$1,443,670 for the motor fuel tax enforcement function from the Highway Trust Fund.

**NEBRASKA DEPARTMENT OF TRANSPORTATION
FISCAL YEAR 2021
LEGISLATIVE APPROPRIATION
BY PROGRAM**

		Footnote	As	Encumbrance		Reappropriation ^C	Total Appropriations/ Encumbrances
			Appropriated ^F	Payroll ^A	Other ^B		
ADMINISTRATION & SERVICES	026		2,917,169	58,061	248,503	863,561	4,087,294
PUBLIC AIRPORTS	301		20,150,300		338,420	10,012,865	30,501,585
TRANSIT - OPERATING ASST	305-1	E	5,777,705			3,144,496	8,922,201
TRANSIT - INTERCITY BUS	305-1	E	535,000			840,243	1,375,243
HIGHWAY ADMINISTRATION	568		19,078,247	655,154	182,210	266,592	20,182,204
CONSTRUCTION	569	D	725,954,208	3,035,791	2,041,515	24,683,776	755,715,290
SERVICES & SUPPORT	572		28,608,939	342,947	2,806,824	164,486	31,923,196
HIGHWAY MAINTENANCE	574		161,443,553	2,828,098	15,843,230	5,711,311	185,826,192
STATE OWNED AIRCRAFT	596		348,885			126,058	474,943
FACILITY IMPROVEMENTS	901	E	10,000,000		548,921	10,464,175	21,013,096
TOTAL			\$ 974,814,006	\$ 6,920,051	\$ 22,009,623	\$ 56,277,563	\$ 1,060,021,243

- A. Represents carryover for the last payroll encumbered from the preceding fiscal year.
 - B. Represents carryover for expenditures encumbered from the preceding fiscal year as of June 30.
 - C. Reappropriation balance represents remaining carry over funding from the preceding fiscal year after accounting for encumbrances.
 - D. Federal funds associated with the Department's highway construction program are reimbursements of previously expended cash funds.
 - E. Amounts shown represent fixed ceilings beyond which expenditures cannot exceed.
 - F. This represents the expenditure authority appropriated by the Legislature for the fiscal year.
- These are contained in the FY-2021 Department Budget.

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
July 2020

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	244,352,685.71	249,800,916.27	(5,448,230.56)	(2.18)	235,033,412.77	9,319,272.94	3.97
Federal Receivables	31,299,219.63	9,412,271.13	21,886,948.50	232.54	11,144,896.25	20,154,323.38	180.84
Other Receivables	28,181,058.04	10,196,619.51	17,984,438.53	176.38	8,925,450.95	19,255,607.09	215.74
Inventories	3,142,276.25	3,084,652.41	57,623.84	1.87	3,004,905.18	137,371.07	4.57
Total Current Assets	\$306,975,239.63	\$272,494,459.32	\$34,480,780.31	12.65 %	\$258,108,665.15	\$48,866,574.48	18.93 %
Capital Assets							
Equipment	67,559,971.43	66,310,188.83	1,249,782.60	1.88	64,209,047.44	3,350,923.99	5.22
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,900,636,476.35	7,900,636,476.35	0.00	0.00	7,860,499,911.61	40,136,564.74	0.51
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,638,254,623.89	\$8,637,004,841.29	\$1,249,782.60	0.01 %	\$8,569,278,413.23	\$68,976,210.66	0.80 %
Total Assets	\$8,945,229,863.52	\$8,909,499,300.61	\$35,730,562.91	0.40 %	\$8,827,387,078.38	\$117,842,785.14	1.33 %
LIABILITIES							
Current Liabilities							
Accounts Payable	12,577,065.04	384,221.51	12,192,843.53	3,173.39	13,082,363.96	(505,298.92)	(3.86)
Retention Payable	19,450,116.64	8,158,079.15	11,292,037.49	138.42	1,106,512.03	18,343,604.61	1,657.79
Other Payables	73,157,670.04	54,730,506.66	18,427,163.38	33.67	55,418,037.70	17,739,632.34	32.01
Total Current Liabilities	\$105,184,851.72	\$63,272,807.32	\$41,912,044.40	66.24 %	\$69,606,913.69	\$35,577,938.03	51.11 %
Total Liabilities	\$105,184,851.72	\$63,272,807.32	\$41,912,044.40	66.24 %	\$69,606,913.69	\$35,577,938.03	51.11 %
NET ASSETS							
Capital Equity							
Capital	8,638,254,623.89	8,637,004,841.29	1,249,782.60	0.01	8,569,278,413.23	68,976,210.66	0.80
Total Capital Equity	\$8,638,254,623.89	\$8,637,004,841.29	\$1,249,782.60	0.01 %	\$8,569,278,413.23	\$68,976,210.66	0.80 %
Fund Balance							
Reserved Fund Balance	(16,307,840.39)	(5,073,426.74)	(11,234,413.65)	221.44	1,898,393.15	(18,206,233.54)	(959.03)
Unreserved Fund Balance	218,098,228.30	214,295,078.74	3,803,149.56	1.77	186,603,358.31	31,494,869.99	16.88
Total Fund Balance	\$201,790,387.91	\$209,221,652.00	(\$7,431,264.09)	(3.55)%	\$188,501,751.46	\$13,288,636.45	7.05 %
Total Net Assets	\$8,840,045,011.80	\$8,846,226,493.29	(\$6,181,481.49)	(0.07)%	\$8,757,780,164.69	\$82,264,847.11	0.94 %
Total Liabilities and Net Assets	\$8,945,229,863.52	\$8,909,499,300.61	\$35,730,562.91	0.40 %	\$8,827,387,078.38	\$117,842,785.14	1.33 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
JULY 2020**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	51,605,770.56	33,298,843.79	18,306,926.77	54.98	51,605,770.56	42,438,507.51	9,167,263.05	21.60
Federal Reimbursements	87,636,953.49	46,475,499.93	41,161,453.56	88.57	87,636,953.49	47,624,963.92	40,011,989.57	84.01
Local Revenues	3,723,965.13	1,699,675.37	2,024,289.76	119.10	3,723,965.13	2,305,701.78	1,418,263.35	61.51
Other Entities Revenues	(2,795,843.40)	597,760.27	(3,393,603.67)	(567.72)	(2,795,843.40)	1,864,163.65	(4,660,007.05)	(249.98)
Total Revenue	\$140,170,845.78	\$82,071,779.36	\$58,099,066.42	70.79 %	\$140,170,845.78	\$94,233,336.86	\$45,937,508.92	48.75 %
Expenditures								
Administration	2,196,233.17	1,935,356.72	260,876.45	13.48	2,196,233.17	2,450,237.87	(254,004.70)	(10.37)
Highway Maintenance	19,209,100.19	12,593,721.24	6,615,378.95	52.53	19,209,100.19	17,114,394.65	2,094,705.54	12.24
Capital Facilities	54,808.92	189,133.38	(134,324.46)	(71.02)	54,808.92	354,334.54	(299,525.62)	(84.53)
Services and Support	1,826,762.25	2,502,022.26	(675,260.01)	(26.99)	1,826,762.25	1,935,137.26	(108,375.01)	(5.60)
Construction	110,927,200.59	60,833,123.01	50,094,077.58	82.35	110,927,200.59	100,377,217.93	10,549,982.66	10.51
Highway Safety Office	244,506.26	246,884.26	(2,378.00)	(0.96)	244,506.26	357,453.12	(112,946.86)	(31.60)
Public Transit	1,909,364.84	1,308,177.45	601,187.39	45.96	1,909,364.84	1,417,706.38	491,658.46	34.68
Total Expenditures	\$136,367,976.22	\$79,608,418.32	\$56,759,557.90	71.30 %	\$136,367,976.22	\$124,006,481.75	\$12,361,494.47	9.97 %
Excess Revenue (Expenditures)	\$3,802,869.56	\$2,463,361.04	\$1,339,508.52	54.38 %	\$3,802,869.56	(\$29,773,144.89)	\$33,576,014.45	(112.77) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
July 2020**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	119,534,005.27	31,816,293.18	9,905,559.44	66,025,620.70	3,138,717.29	2,002,746.17	11,861,338.10	64,030.70	244,348,310.85
Other Current Assets	62,626,928.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	62,626,928.78
Capital Assets	8,638,254,623.89	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,638,254,623.89
TOTAL ASSETS	\$8,820,415,557.94	\$31,816,293.18	\$9,905,559.44	\$66,025,620.70	\$3,138,717.29	\$2,002,746.17	\$11,861,338.10	\$64,030.70	\$8,945,229,863.52
LIABILITIES									
Current Liabilities	105,184,851.72	0.00	0.00	0.00	0.00	0.00	0.00	0.00	105,184,851.72
TOTAL LIABILITIES	\$105,184,851.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$105,184,851.72
NET ASSETS									
Fund Balance	114,766,840.04	0.00	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	197,987,518.35
Capital Equity	8,638,254,623.89	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,638,254,623.89
Accrued Interfund Transfer	(12,213,241.57)	0.00	3,662,283.47	4,306,557.60	381,731.74	54,174.31	2,408,104.17	1,400,390.28	0.00
Revenues	99,661,189.94	31,816,293.18	6,059,160.57	2,141,723.43	4,585.63	33,004.28	390,858.05	64,030.70	140,170,845.78
Costs	(125,238,706.08)	0.00	(3,662,283.47)	(4,306,557.60)	(381,731.74)	(54,174.31)	(2,408,104.17)	(316,418.85)	(136,367,976.22)
TOTAL NET ASSETS	\$8,715,230,706.22	\$31,816,293.18	\$9,905,559.44	\$66,025,620.70	\$3,138,717.29	\$2,002,746.17	\$11,861,338.10	\$64,030.70	\$8,840,045,011.80
TOTAL LIABILITIES AND NET ASSETS	\$8,820,415,557.94	\$31,816,293.18	\$9,905,559.44	\$66,025,620.70	\$3,138,717.29	\$2,002,746.17	\$11,861,338.10	\$64,030.70	\$8,945,229,863.52

FUND BALANCES AND INVESTMENT EARNINGS
Roads Divisions
July 2020

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1											
Expenditures	136.3											
Balance	3.80											
Cumulative Balance	3.80											

Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances earned \$334,808.19 in July, with an interest rate of 1.75%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%													1.75%
Earnings (Thousands)	\$334												\$334	\$334

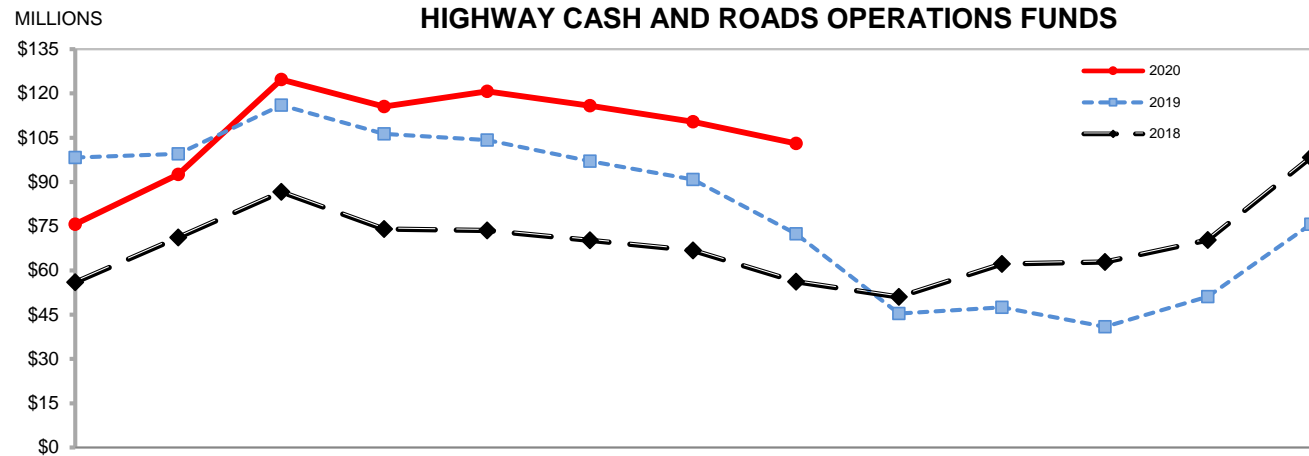
FUND BALANCES - MONTHLY LOW POINT

Roads Divisions

July 2020

(IN MILLIONS)

Total of all funds available as of July 31 is \$231 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$138 million on the 31st to a low of \$103 million on the 22nd



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0					
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
2018	71.2	86.6	74.0	73.6	70.2	66.8	56.2	51.0	62.2	62.9	70.3	98.3
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8					
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
2018	0.0	1.6	5.3	8.0	6.5	5.1	3.7	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9					
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
2018	63.3	64.5	65.3	66.3	66.8	67.7	69.1	69.8	71.7	73.2	75.1	76.7
GRADE CROSSING PROTECTION FUND												
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1					
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
2018	4.5	4.2	4.3	5.2	5.2	5.1	5.3	5.3	5.1	5.3	5.3	5.2
RECREATION ROAD FUND												
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5					
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
2018	6.5	6.7	7.0	7.2	7.4	7.8	7.9	8.0	8.3	8.5	8.5	8.7
STATE AID BRIDGE FUND												
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0					
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2018	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
July 2020

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	73,686.72						2,605,258.10			73,686.72
460000 Intergovernmental			(56,842.41)		10,265.98					2,558,681.67
470000 Sales & Charges			1,950.00	26,229.76	3,114.30					31,294.06
480000 Miscellaneous	10,557.16				101,999.50			2,979.82	168.00	115,704.48
490000 Other					13,434.26					13,434.26
TOTAL REVENUES	84,243.88	-	(54,892.41)	26,229.76	128,814.04	-	2,605,258.10	2,979.82	168.00	2,792,801.19
EXPENDITURES:										
510000 Personal Services	54,880.50		45,056.81	19,584.34	12,467.90	12.36		12.36		132,014.27
520000 Operating Expenses	14,129.88		699.08	6,119.05	21,926.16	2,163.59		13,635.71		58,673.47
570000 Travel Expenses	705.29		1,248.91	3,484.27						5,438.47
580000 Capital Outlay				(5,708.47)						(5,708.47)
590000 Government Aid	13,460.93						3,600,186.49			3,613,647.42
TOTAL EXPENDITURES	83,176.60	-	47,004.80	23,479.19	34,394.06	2,175.95	3,600,186.49	13,648.07	-	3,804,065.16
Excess (Deficiency) of Revenues Over Expenditures	1,067.28	-	(101,897.21)	2,750.57	94,419.98	(2,175.95)	(994,928.39)	(10,668.25)	168.00	(1,011,263.97)
Misc Expenditure Adjustment 865101										-
OTHER FINANCING										
SOURCES (USES):										
Transfers In			101,897.21	(2,750.57)						-
Transfers Out	(99,146.64)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(98,079.36)	-	-	-	94,419.98	(2,175.95)	(994,928.39)	(10,668.25)	168.00	(1,011,263.97)
Fund Balance June 30, 2020	1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance July 31, 2020	1,391,220.66	(37.02)	-	-	1,330,885.66	(2,175.95)	2,492,980.68	(10,668.25)	1,349,135.50	6,551,341.28
Reconcile to NIS General Cash										
Receivables	Unrestricted monies available for agency operations									1,378,376.46
Payables	(This number is comprised of the Fund Balance in columns Admin + Pave Maint + Operations)									(201,315.44)
NIS General Cash Acct 111100										1,037,969.03
										7,387,994.87

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RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/16	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	4.6
Wholesale Tax ¢	12.5	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	-0.7
Total Tax ¢	26.8¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	3.9¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Dept. of Transportation and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increases 1 ½¢ each year thru 2019. ½¢ each to Dept. of Transportation , cities and counties.

Variable Tax: The Dept. of Transportation receives the revenue generated from the variable excise tax which is adjusted semi-annually and is computed by applying the rate to the average price of motor fuel purchased by state government in April and October. The variable rate for FY-2020 is 1.4% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the State Energy Office on April 1 and October 1. It is distributed 66% to the Dept. of Transportation and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the Dept. of Transportation receives 53 ⅓ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The Dept. of Transportation's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from Dept. of Transportation and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2021 RECEIPTS
AS OF JULY 31, 2020
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$98,306	\$ 8,106	\$ 8,848	\$ 742	9.1%	\$ 8,106	\$ 8,848	\$ 742	9.1%
Incremental Fixed	26,215	2,162	2,368	206	9.5%	2,162	2,368	206	9.5%
Variable	96,904	3,026	3,315	289	9.5%	3,026	3,315	289	9.5%
Wholesale	<u>79,104</u>	<u>7,276</u>	<u>7,970</u>	<u>694</u>	9.5%	<u>7,276</u>	<u>7,970</u>	<u>694</u>	9.5%
Subtotal	300,529	20,570	22,501	1,931	9.4%	20,570	22,501	1,931	9.4%
Motor Vehicle Registrations	32,062	1,957	2,742	785	40.1%	1,957	2,742	785	40.1%
Prorate Registrations	<u>12,180</u>	<u>350</u>	<u>416</u>	<u>66</u>	18.9%	<u>350</u>	<u>416</u>	<u>66</u>	18.9%
Subtotal	44,242	2,307	3,158	851	36.9%	2,307	3,158	851	36.9%
Sales Tax on Motor Vehicles	101,752	6,004	6,102	98	1.6%	6,004	6,102	98	1.6%
Interest	1,874	137	214	77	56.0%	137	214	77	56.0%
Sale of Supplies and Materials	1,300	126	113	(13)	(10.5%)	126	113	(13)	(10.5%)
Sale of Fixed Assets	1,350	16	80	64	402.6%	16	80	64	402.6%
Excess Limit	3,100	280	250	(30)	(10.8%)	280	250	(30)	(10.8%)
Overload Fines	1,150	109	32	(77)	(70.6%)	109	32	(77)	(70.6%)
Other Fees	<u>1,900</u>	<u>163</u>	<u>330</u>	<u>167</u>	102.6%	<u>163</u>	<u>330</u>	<u>167</u>	102.6%
SUBTOTAL HIGHWAY CASH FUND	\$ 457,197 (A)	\$ 29,712	\$ 32,780	\$ 3,068	10.3%	\$ 29,712	\$ 32,780	\$ 3,068 (B)	10.3%
Incremental Tax Transfer to TIB Fund	(25,938)	(2,099)	(2,045)	55	(2.6%)	(\$2,099)	(2,045)	55	(2.6%)
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 431,259	\$ 27,613	\$ 30,735	\$ 3,122	11.3%	\$ 27,613	\$ 30,735	\$ 3,122	11.3%
State Hwy Capital Impr Fund	65,666	3,850	6,059	2,209	57.4%	3,850	6,059	2,209	57.4%
Transportation Infrastructure Bank Fund (TIB)	26,478	2,144	2,142	(3)	(0.1%)	2,144	2,142	(3)	(0.1%)
Grade Crossing Protection Fund	3,410	49	38	(11)	(23.3%)	49	38	(11)	(23.3%)
Recreation Road Fund	4,110	314	391	77	24.5%	314	391	77	24.5%
State Aid Bridge Fund	<u>783</u>	<u>65</u>	<u>64</u>	<u>(1)</u>	<u>(1.5%)</u>	<u>65</u>	<u>64</u>	<u>(1)</u>	<u>(1.5%)</u>
TOTAL STATE RECEIPTS	\$ 531,706	\$ 34,035	\$ 39,429	\$ 5,393	15.8%	\$ 34,035	\$ 39,429	\$ 5,393	15.8%
Federal Receipts									
FHWA	362,300	53,678	63,516	9,838	18.3%	53,678	63,516	9,838	18.3%
Transit	9,000	748	1,994	1,246	166.6%	748	1,994	1,246	166.6%
Highway Safety	<u>5,500</u>	<u>0</u>	<u>0</u>	<u>0</u>		<u>0</u>	<u>0</u>	<u>0</u>	
Subtotal-Federal Receipts	376,800	54,426	65,511	11,085	20.4%	54,426	65,511	11,085	20.4%
Local Receipts	15,000	1,983	15,502	13,519	681.8%	1,983	15,502	13,519	681.8%
Other Entities	<u>6,000</u>	<u>398</u>	<u>260</u>	<u>(138)</u>	<u>(34.6%)</u>	<u>398</u>	<u>260</u>	<u>(138)</u>	<u>(34.7%)</u>
TOTAL DEPARTMENT RECEIPTS	\$ 929,506	\$ 90,842	\$ 120,701	\$ 29,859	32.9%	\$ 90,842	\$ 120,701	\$ 29,859	32.9%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 15, 2020	\$ 457,197
(B) Receipts Over/(Under) Projection To Date	3,068
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 460,265
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	1,265
% Variance From Appropriation	0.3%

** Numbers may not add due to rounding.

** Projections are updated semiannually in January and July.

Note: The revenue projections included in this report take into account an estimated impact of COVID19 on NDOT's revenue sources.

**BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
July 2020**

<u>COST BY RESOURCE</u>	<u>Cash-Flow Allotment</u>	<u>Months Expenditure</u>	<u>Expended to Date</u>	<u>Allotment Balance</u>	<u>% Expended to Date</u>	<u>Encumbrances</u>
Personal Services						
Permanent Salaries	107,938,356.00	11,172,185.48	11,172,185.48	96,766,170.52	10.35%	0.00
Temporary Salaries	1,905,021.00	488,891.15	488,891.15	1,416,129.85	25.66%	0.00
Overtime	6,071,840.00	661,653.65	661,653.65	5,410,186.35	10.90%	0.00
Employee Benefits	41,462,486.00	3,684,102.02	3,684,102.02	37,778,383.98	8.89%	0.00
SUBTOTAL	\$ 157,377,703.00	\$ 16,006,832.30	\$ 16,006,832.30	\$ 141,370,870.70	10.17%	\$ 0.00
Operating Expenses						
Utilities	3,653,660.00	259,025.03	259,025.03	3,394,634.97	7.09%	0.00
Rentals	877,359.00	103,209.84	103,209.84	774,149.16	11.76%	3,000.00
Repairs & Maintenance	11,598,760.00	780,851.24	780,851.24	10,817,908.76	6.73%	1,205,294.22
Maintenance Contracts	14,089,958.00	2,015,439.71	2,015,439.71	12,074,518.29	14.30%	24,611,695.81
Engineering Contracts	35,285,520.00	2,464,439.79	2,464,439.79	32,821,080.21	6.98%	44,253,787.21
Contractual Services	43,240,438.00	1,026,459.79	1,026,459.79	42,213,978.21	2.37%	11,565,416.76
Technology Expenses	21,745,005.00	1,680,043.66	1,680,043.66	20,064,961.34	7.73%	33,187,335.37
Other Operating Expenses	4,888,173.00	58,788.79	58,788.79	4,829,384.21	1.20%	1,232.50
SUBTOTAL	\$ 135,378,873.00	\$ 8,388,257.85	\$ 8,388,257.85	\$ 126,990,615.15	6.20%	\$ 114,827,761.87
Supplies and Materials						
General Supplies & Materials	1,698,165.00	109,564.70	109,564.70	1,588,600.30	6.45%	295,902.16
Maint & Const Materials	48,031,631.00	6,904,971.75	6,904,971.75	41,126,659.25	14.38%	22,983.83
Automotive Supplies & Materials	18,230,100.00	1,135,367.95	1,135,367.95	17,094,732.05	6.23%	0.00
SUBTOTAL	\$ 67,959,896.00	\$ 8,149,904.40	\$ 8,149,904.40	\$ 59,809,991.60	11.99%	\$ 318,885.99
Travel						
In State Travel	825,995.00	28,127.69	28,127.69	797,867.31	3.41%	0.00
Out of State Travel	230,758.00	319.92	319.92	230,438.08	0.14%	0.00
SUBTOTAL	\$ 1,056,753.00	\$ 28,447.61	\$ 28,447.61	\$ 1,028,305.39	2.69%	\$ 0.00
Capital Outlay						
Land	16,000,000.00	961,031.00	961,031.00	15,038,969.00	6.01%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	83,390,693.66	83,390,693.66	369,853,038.34	18.40%	764,740,378.62
Buildings	11,668,000.00	0.00	0.00	11,668,000.00	0.00%	4,030,889.60
Heavy Equipment and Vehicles	14,691,430.00	2,278,277.63	2,278,277.63	12,413,152.37	15.51%	3,660,458.64
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	9,100.00	9,100.00	1,148,460.00	0.79%	442,565.00
SUBTOTAL	\$ 496,860,722.00	\$ 86,639,102.29	\$ 86,639,102.29	\$ 410,221,619.71	17.44%	\$ 772,874,291.86
Government Aid & Distr						
Public Transit Aid	42,312,705.00	1,851,377.10	1,851,377.10	40,461,327.90	4.38%	24,012,129.61
Highway Safety Office	5,200,000.00	196,395.13	196,395.13	5,003,604.87	3.78%	5,198,707.00
Other Government Aid	45,251,000.00	15,107,659.54	15,107,659.54	30,143,340.46	33.39%	134,235,463.96
SUBTOTAL	\$ 92,763,705.00	\$ 17,155,431.77	\$ 17,155,431.77	\$ 75,608,273.23	18.49%	\$ 163,446,300.57
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.00%	\$ 0.00
AGENCY SUMMARY:	\$ 951,397,652.00	\$ 136,367,976.22	\$ 136,367,976.22	\$ 815,029,675.78	14.33%	\$ 1,051,467,240.29

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM / FUNCTION
 July 2020

	<u>Cash-Flow</u> <u>Allotment</u>	<u>Months</u> <u>Expenditure</u>	<u>Expended</u> <u>to Date</u>	<u>Allotment</u> <u>Balance</u>	<u>% Expended</u> <u>to Date</u>	<u>Encumbrances</u>
Administration						
Administration	19,028,247.00	2,194,958.53	2,194,958.53	16,833,288.47	11.54%	673,867.13
Boards & Commissions	50,000.00	1,274.64	1,274.64	48,725.36	2.55%	0.00
SUBTOTAL:	\$19,078,247.00	\$2,196,233.17	\$2,196,233.17	\$16,882,013.83	11.51%	\$673,867.13
Service and Support						
Charges to Others	1,100,000.00	71,786.52	71,786.52	1,028,213.48	6.53%	41,460.59
Deficiency Claims	17,184.00	0.00	0.00	17,184.00	0.00%	0.00
Supply Base/Inventories	1,000,000.00	190,607.39	190,607.39	809,392.61	19.06%	255,312.85
Building Operations	6,000,000.00	1,032,308.74	1,032,308.74	4,967,691.26	17.21%	2,389,397.85
Business Technology Services	14,657,318.00	1,631,483.66	1,631,483.66	13,025,834.34	11.13%	19,381,138.82
Support Centers	5,208,762.00	158,104.96	158,104.96	5,050,657.04	3.04%	0.00
Payroll Clearing	625,675.00	(1,257,529.02)	(1,257,529.02)	1,883,204.02	(200.99)%	30,441.13
SUBTOTAL:	\$28,608,939.00	\$1,826,762.25	\$1,826,762.25	\$26,782,176.75	6.39%	\$22,097,751.24
Capital Facilities						
Capital Facilities	10,000,000.00	54,808.92	54,808.92	9,945,191.08	0.55%	5,550,404.35
SUBTOTAL:	\$10,000,000.00	\$54,808.92	\$54,808.92	\$9,945,191.08	0.55%	\$5,550,404.35
Highway Maintenance						
System Preservation	42,000,000.00	9,073,043.59	9,073,043.59	32,926,956.41	21.60%	1,312,968.40
Operations	42,000,000.00	6,102,176.58	6,102,176.58	35,897,823.42	14.53%	20,344,184.36
Snow and Ice Control	40,000,000.00	699,300.87	699,300.87	39,300,699.13	1.75%	257,243.56
Unusual & Disaster Oper	1,500,000.00	369,457.39	369,457.39	1,130,542.61	24.63%	26,265,085.68
Equipment Operations	15,000,000.00	1,027,266.23	1,027,266.23	13,972,733.77	6.85%	3,741,282.91
Indirect Charges	20,943,554.00	1,937,855.53	1,937,855.53	19,005,698.47	9.25%	445,565.00
SUBTOTAL:	\$161,443,554.00	\$19,209,100.19	\$19,209,100.19	\$142,234,453.81	11.90%	\$52,366,329.91
Highway Construction						
Preliminary Engineering	50,100,000.00	4,485,339.14	4,485,339.14	45,614,660.86	8.95%	30,111,533.01
Right-Of-Way	15,000,000.00	1,213,004.78	1,213,004.78	13,786,995.22	8.09%	73,747.44
Construction	467,777,351.00	83,515,358.49	83,515,358.49	384,261,992.51	17.85%	742,349,426.01
Construction Engineering	25,000,000.00	3,611,726.86	3,611,726.86	21,388,273.14	14.45%	4,655,052.89
SUBTOTAL:	\$557,877,351.00	\$92,825,429.27	\$92,825,429.27	\$465,051,921.73	16.64%	\$777,189,759.35
Construction Related Expense						
Overhead	19,002,440.00	1,715,198.03	1,715,198.03	17,287,241.97	9.03%	16,643,133.83
Planning & Research	12,056,000.00	1,186,707.27	1,186,707.27	10,869,292.73	9.84%	19,563,291.32
Local Systems	95,792,666.00	15,199,866.02	15,199,866.02	80,592,799.98	15.87%	128,171,866.55
Highway Safety Office	5,213,250.00	244,506.26	244,506.26	4,968,743.74	4.69%	5,198,707.00
Public Transportation Asst	42,325,205.00	1,909,364.84	1,909,364.84	40,415,840.16	4.51%	24,012,129.61
SUBTOTAL:	\$174,389,561.00	\$20,255,642.42	\$20,255,642.42	\$154,133,918.58	11.62%	\$193,589,128.31
AGENCY SUMMARY:	\$951,397,652.00	\$136,367,976.22	\$136,367,976.22	\$815,029,675.78	14.33%	\$1,051,467,240.29

**PROGRAM STATUS REPORT
BUSINESS MONTH - JULY 2020**

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	1,144,463.59	2,246,966.76	0.00	3,504,526.85	3,317,594.45	958,633.83	11,172,185.48
Temporary Salaries	1,333.59	41,432.80	0.00	347,273.04	59,822.66	39,029.06	488,891.15
Overtime	1,776.51	47,317.37	0.00	254,989.71	345,629.17	11,940.89	661,653.65
Employee Benefits	0.00	3,684,102.02	0.00	0.00	0.00	0.00	3,684,102.02
SUBTOTAL: Personal Services	\$1,147,573.69	\$6,019,818.95	\$0.00	\$4,106,789.60	\$3,723,046.28	\$1,009,603.78	\$16,006,832.30
Operating Expenses							
Utilities	0.00	159,447.49	0.00	99,335.39	198.35	43.80	259,025.03
Rentals	118.00	10,944.95	0.00	92,066.39	0.00	80.50	103,209.84
Repairs & Maintenance	0.00	194,446.69	0.00	583,969.02	0.00	2,435.53	780,851.24
Maintenance Contracts	0.00	2,360.00	0.00	2,011,929.31	1,150.40	0.00	2,015,439.71
Engineering Contracts	0.00	8,617.00	54,671.70	41,900.00	1,921,188.08	438,063.01	2,464,439.79
Contractual Services	9,745.25	142,933.71	0.00	171,200.48	5,858.24	696,722.11	1,026,459.79
Technology Expenses	270,233.46	1,140,091.28	0.00	1,428.00	0.00	268,290.92	1,680,043.66
Other Operating Expenses	14,909.88	28,584.58	137.22	3,509.63	355.48	11,292.00	58,788.79
SUBTOTAL: Operating Expenses	\$295,006.59	\$1,687,425.70	\$54,808.92	\$3,005,338.22	\$1,928,750.55	\$1,416,927.87	\$8,388,257.85
Supplies and Materials							
General Supplies & Materials	23,930.30	11,845.00	0.00	69,740.49	60.81	3,988.10	109,564.70
Maint & Const Materials	4,647.14	262,435.85	0.00	6,591,779.44	28,949.51	17,159.81	6,904,971.75
Automotive Supplies & Materials	0.00	86,082.36	0.00	1,049,258.52	0.00	27.07	1,135,367.95
SUBTOTAL: Supplies and Materials	\$28,577.44	\$360,363.21	\$0.00	\$7,710,778.45	\$29,010.32	\$21,174.98	\$8,149,904.40
Travel							
In State Travel	2,010.38	2,012.06	0.00	1,072.06	10,484.41	12,548.78	28,127.69
Out of State Travel	0.00	0.00	0.00	0.00	0.00	319.92	319.92
SUBTOTAL: Travel	\$2,010.38	\$2,012.06	\$0.00	\$1,072.06	\$10,484.41	\$12,868.70	\$28,447.61
Capital Outlay							
Land	0.00	0.00	0.00	0.00	961,031.00	0.00	961,031.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	83,354,393.93	0.00	83,390,693.66
Heavy Equipment and Vehicles	0.00	0.00	0.00	2,278,277.63	0.00	0.00	2,278,277.63
Specialty Equipment	0.00	0.00	0.00	9,100.00	0.00	0.00	9,100.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$0.00	\$2,323,677.36	\$84,315,424.93	\$0.00	\$86,639,102.29
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	1,851,377.10	1,851,377.10
Highway Safety Office	0.00	(990.85)	0.00	0.00	0.00	197,385.98	196,395.13
Other Government Aid	0.00	0.00	0.00	0.00	39,989.95	15,067,669.59	15,107,659.54
SUBTOTAL: Government Aid & Distr	\$0.00	(\$990.85)	\$0.00	\$0.00	\$39,989.95	\$17,116,432.67	\$17,155,431.77
Internal Redistributions							
Redistribution	723,065.07	(6,241,866.82)	0.00	2,061,444.50	2,778,722.83	678,634.42	0.00
SUBTOTAL: Internal Redistributions	\$723,065.07	(\$6,241,866.82)	\$0.00	\$2,061,444.50	\$2,778,722.83	\$678,634.42	\$0.00
GRAND TOTAL:	\$2,196,233.17	\$1,826,762.25	\$54,808.92	\$19,209,100.19	\$92,825,429.27	\$20,255,642.42	\$136,367,976.22

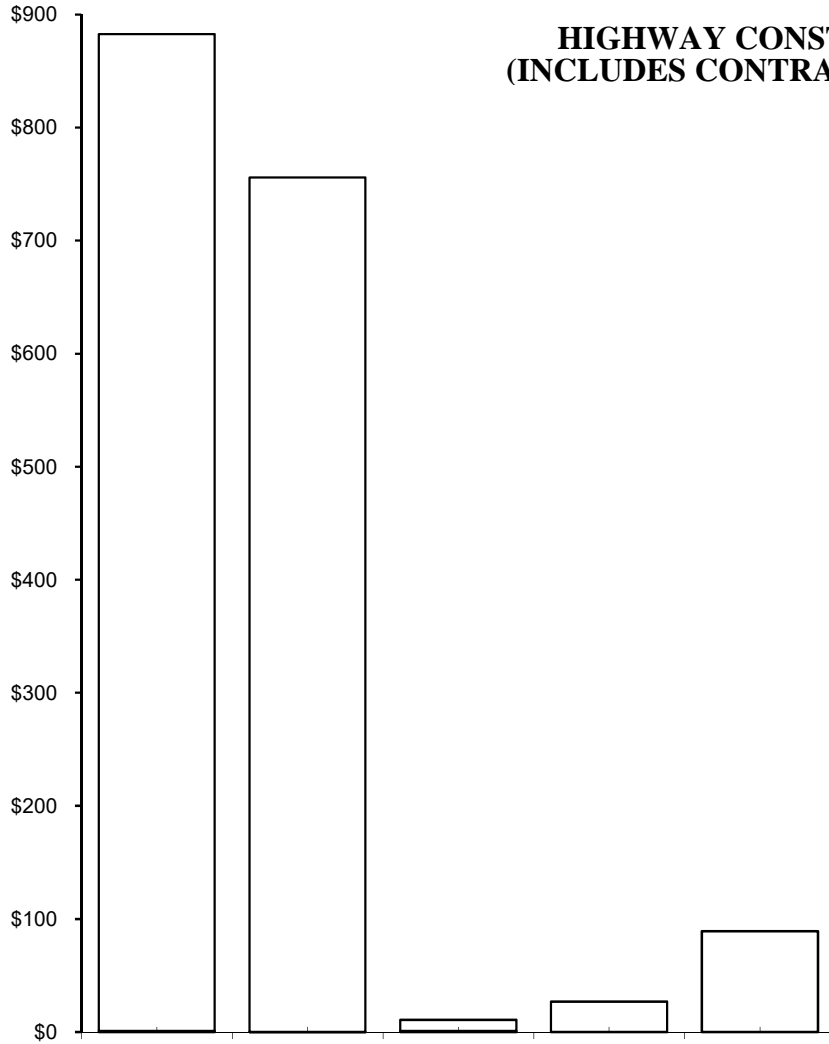
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BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
 July 2020

<u>COST BY ORGANIZATIONAL STRUCTURE</u>	<u>Cash-Flow Allotment</u>	<u>Months Expenditure</u>	<u>Expended to Date</u>	<u>Allotment Balance</u>	<u>% Expended to Date</u>	<u>Encumbrances</u>
OFFICE OF THE DIRECTOR						
110 DIRECTOR AND DEPUTIES	726,686.00	74,166.50	74,166.50	652,519.50	10.21%	0.00
140 LEGAL	2,947,291.00	96,987.68	96,987.68	2,850,303.32	3.29%	171,636.73
250 STRATEGIC PLANNING DIVISION	3,403,939.00	409,877.57	409,877.57	2,994,061.43	12.04%	1,076,469.69
290 COMMUNICATION AND PUBLIC POLICY DIVISION	2,673,354.00	212,909.88	212,909.88	2,460,444.12	7.96%	91,985.66
SUBTOTAL: OFFICE OF THE DIRECTOR	\$ 9,751,270.00	\$ 793,941.63	\$ 793,941.63	\$ 8,957,328.37	8.14%	\$ 1,340,092.08
OFFICE OF ENGINEERING						
130 CONTROLLER DIVISION	2,292,623.00	225,388.74	225,388.74	2,067,234.26	9.83%	0.00
320 BRIDGE DIVISION	7,541,203.00	693,019.09	693,019.09	6,848,183.91	9.19%	1,843,725.22
340 TRAFFIC ENGINEERING DIVISION	4,529,104.00	530,572.45	530,572.45	3,998,531.55	11.71%	701,002.26
350 RIGHT OF WAY DIVISION	5,044,385.00	513,795.45	513,795.45	4,530,589.55	10.19%	85,834.30
360 PROJECT DEVELOPMENT DIVISION	15,264,477.00	966,686.36	966,686.36	14,297,790.64	6.33%	11,344,895.12
370 ROADWAY DESIGN DIVISION	26,301,169.00	2,084,186.24	2,084,186.24	24,216,982.76	7.92%	17,107,581.45
420 PROGRAM MANAGEMENT DIVISION	1,459,088.00	142,318.91	142,318.91	1,316,769.09	9.75%	19,462.40
580 LOCAL ASSISTANCE DIVISION	3,162,748.00	249,208.72	249,208.72	2,913,539.28	7.88%	1,788,142.01
SUBTOTAL: OFFICE OF ENGINEERING	\$ 65,594,797.00	\$ 5,405,175.96	\$ 5,405,175.96	\$ 60,189,621.04	8.24%	\$ 32,890,642.76
OFFICE OF OPERATIONS						
170 HUMAN RESOURCES DIVISION	2,660,436.00	199,896.70	199,896.70	2,460,539.30	7.51%	341,451.13
260 OPERATIONS DIVISION	21,611,341.00	1,640,187.11	1,640,187.11	19,971,153.89	7.59%	7,095,026.28
280 BUSINESS TECH SUPPORT DIVISION	19,976,472.00	1,890,749.24	1,890,749.24	18,085,722.76	9.46%	33,517,903.53
380 CONSTRUCTION DIVISION	2,920,458.00	321,332.33	321,332.33	2,599,125.67	11.00%	1,512.50
390 MATERIALS & RESEARCH DIVISION	13,034,426.00	1,106,061.85	1,106,061.85	11,928,364.15	8.49%	10,900,826.63
610 DISTRICT 1	34,024,032.00	3,638,531.99	3,638,531.99	30,385,500.01	10.69%	4,376,931.37
620 DISTRICT 2	23,354,011.00	2,358,241.84	2,358,241.84	20,995,769.16	10.10%	5,702,619.82
630 DISTRICT 3	31,339,572.00	3,749,867.77	3,749,867.77	27,589,704.23	11.97%	2,846,163.96
640 DISTRICT 4	33,107,740.00	3,731,879.02	3,731,879.02	29,375,860.98	11.27%	3,847,676.44
650 DISTRICT 5	23,367,222.00	2,147,183.93	2,147,183.93	21,220,038.07	9.19%	4,129,315.30
660 DISTRICT 6	25,846,634.00	2,854,896.67	2,854,896.67	22,991,737.33	11.05%	5,274,296.69
670 DISTRICT 7	17,087,182.00	2,348,967.10	2,348,967.10	14,738,214.90	13.75%	3,336,104.40
680 DISTRICT 8	16,356,389.00	2,797,644.03	2,797,644.03	13,558,744.97	17.10%	2,714,913.08
SUBTOTAL: OFFICE OF OPERATIONS	\$ 264,685,915.00	\$ 28,785,439.58	\$ 28,785,439.58	\$ 235,900,475.42	10.88%	\$ 84,084,741.13
BUDGETARY CONTROL						
902 SUPPLY BASE	0.00	50,060.86	50,060.86	(50,060.86)	0.00%	0.00
903 EQUIPMENT OPERATIONS	1,082,062.00	(402,387.12)	(402,387.12)	1,484,449.12	0.00%	291,036.29
904 TRANSPORTATION CAPITAL	610,283,608.00	101,735,745.31	101,735,745.31	508,547,862.69	16.67%	932,860,728.03
SUBTOTAL: BUDGETARY CONTROL	\$ 611,365,670.00	\$ 101,383,419.05	\$ 101,383,419.05	\$ 509,982,250.95	16.67%	\$ 933,151,764.32
AGENCY TOTAL:	\$ 951,397,652.00	\$ 136,367,976.22	\$ 136,367,976.22	\$ 815,029,675.78	14.33%	\$ 1,051,467,240.29

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020					
9/24/2020					
10/15 & 29/2020					
11/19/2020					
12/10/2020					
1/14/2021					
2/25/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	0.09	0.72	0.00	0.00	0.81

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020									
9/24/2020									
10/15 & 29/2020									
11/19/2020									
12/10/2020									
1/14/2021									
2/25/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	0.00	0.09	0.00	0.00	0.72	0.00	0.00	0.00	0.81

	State System			Local System	
	Total Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
% Let to Date	0.1%	0.0%	6.7%	0.0%	0.0%
Actual \$ Let	0.81	0.09	0.72	0.00	0.00
Projected \$ Remaining	881.86	755.93	10.08	26.81	89.05
Total	\$882.67	\$756.02	\$10.79	\$26.81	\$89.05

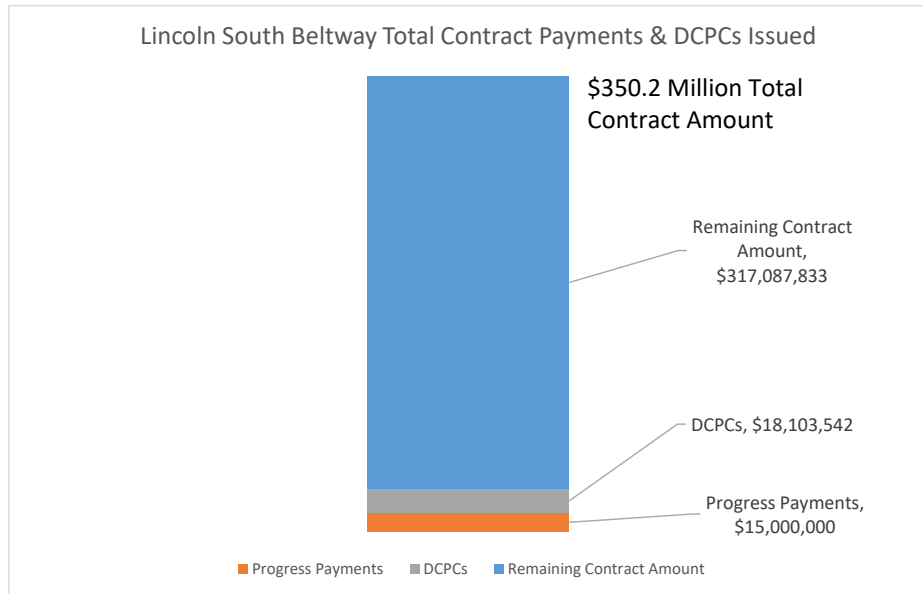
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of July 31, 2020.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through July 2020

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through July 2020)

Remaining Contract Amount	\$317,087,833
Progress Payments	\$15,000,000
DCPCs	\$18,103,542

DCPC Payments Due by Fiscal Year (for DCPCs Issued Through July 2020)

FY 2023	\$15,000,000
FY 2024	\$3,103,542

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST									
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896
STP - Bridge Off System		3,777		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691
Research		1,369		1,429		1,494		1,494		1,533		1,563
Transportation Alternatives (TAP)	668	5,552	835	5,801	751	5,677	766	5,800	766	5,800	767	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730
Redistribution - Certain Authorizations	123	0.913		0.874		0.968	51	0.376	61	0.451	45	0.327
Redistribution - TIFIA	632	4,721										
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$ 38,744	\$ 289,325	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543
Others & Ext of Alloc Programs	11	0.150		1,274								
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 295,123	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455
Obligation Authority												
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	-	-
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	292,728	\$ 43,685	302,824	\$ 48,418	306,849	\$ 40,601	311,471	\$ 46,365	284,111

Footnotes:

FY20 Apportionment per Public Law 114-94.

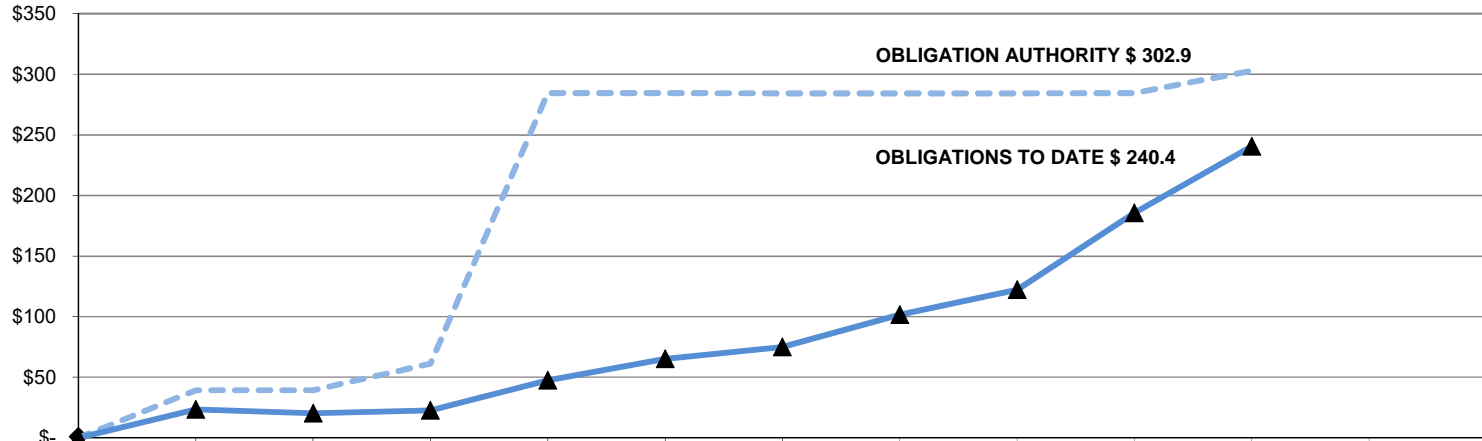
STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2020
JULY 31, 2020

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2020	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2019	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	-	174,621,967	(40,000,000)	134,621,967	63,742,175	70,879,792	110,966,700	89,095,279
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	(283,690)	283,690	-	-
Highway Bridge Program	-	-	-	-	-	-	-	84,430
STP - Bridge Off System	-	3,777,257	-	3,777,257	3,708,812	68,445	966,035	7,385,435
STP - Flexible - Any Area	-	33,411,512	40,000,000	73,411,512	61,003,774	12,407,738	41,508,407	106,720,714
STP - MAPA - Omaha	44,832,419	16,337,654	-	61,170,073	53,830,274	7,339,799	21,769,567	62,500,770
STP - LCLC - Lincoln	10,055,746	6,438,852	-	16,494,598	2,588,692	13,905,906	73,093	3,622,368
STP - 5,001 to 200,000 Pop	27,453,615	8,979,411	-	36,433,026	1,386,199	35,046,827	8,000	1,917,847
STP - 5,000 & Less Population	8,358,570	13,697,023	-	22,055,593	19,012,222	3,043,371	-	20,317,846
Congestion Mitigation & Air Qual	-	10,811,816	-	10,811,816	1,145,082	9,666,734	-	2,881,809
Highway Safety Improvemt Prog	16,460,070	15,819,107	59,850	32,339,027	9,429,481	22,909,546	2,792,406	15,938,444
Rail-Hwy - Hazard Elimination	195,922	1,949,979	-	2,145,901	-	2,145,901	4,555,970	2,412,977
Rail-Hwy - Protection Devices	5,955,563	1,949,979	-	7,905,542	(138,239)	8,043,781	-	2,513,297
Highway Planning	-	4,690,873	53,000	4,743,873	3,203,993	1,539,880	2,089	11,005,666
Research	-	1,563,625	316,064	1,879,689	1,433,262	446,427	141,795	6,487,515
Metropolitan Planning	-	1,787,676	-	1,787,676	1,494,241	293,435	-	3,693,636
National Hwy Freight Program	-	10,730,335	-	10,730,335	10,730,335	-	-	10,730,335
TAP - Flex	2,020,668	2,900,268	-	4,920,936	4,531,835	389,101	-	7,073,562
TAP - >200,000 Population	856,919	1,453,327	-	2,310,246	111,933	2,198,313	-	887,732
TAP - 5,001 to 200,000 Pop	797,677	572,960	-	1,370,637	1,354,540	16,097	-	1,927,205
TAP - 5,000 and Less Population	1,296,495	873,981	-	2,170,476	92,455	2,078,021	-	794,500
Recreational Trails	-	1,205,213	-	1,205,213	(528,045)	1,733,258	-	3,667,801
Enhancement	123,954	-	-	123,954	(89,921)	213,875	-	291,576
Safe Routes to School Prog	196,930	-	-	196,930	33,790	163,140	-	139,577
Redistribution - Certain Auth.	2,403,439	1,802,399	-	4,205,838	-	4,205,838	-	-
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	825,938	-	629,764	1,455,702	1,573,958	(118,256)	-	1,567,217
Other	1,069,929	-	118,256	1,188,185	1,069,929	118,256	-	1,626,444
Total Formula Funds	\$ 122,903,854	\$ 315,375,214	\$ 1,176,934	\$ 439,456,002	\$ 240,437,089	\$ 199,018,913	\$ 182,784,062	\$ 365,283,984
Allocated/Discretionary Funds	13,931	-	18,356,631	18,370,562	(10,632)	18,381,194	-	453,213
Total Subject to Annual Obligation Limits	\$ 122,917,785	\$ 315,375,214	\$ 19,533,565	\$ 457,826,564	\$ 240,426,457	\$ 217,400,107	\$ 182,784,062	\$ 365,737,197
Special Limit/Allocated Exempt Equity Bonus	144,254,541	4,542,763	68,631,102	217,428,406	110,048,636	107,379,770	-	62,553,487
GRAND TOTAL	\$ 267,172,326	\$ 319,917,977	\$ 88,164,667	\$ 675,254,970	\$ 350,475,093	\$ 324,779,877	\$ 182,784,062	\$ 428,290,683

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY20 Apportionment per Public Law .114-94

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2020
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	39.3	39.3	61.3	284.5	284.6	284.2	284.2	284.3	284.5	302.9		
OA Used	0.0	23.4	20.3	22.7	47.6	65.1	74.9	101.7	122.2	185.7	240.4		

	<u>FEDERAL FY-2019</u>		<u>FEDERAL FY-2020</u>		
	<u>OBLIGATION AUTHORITY</u>		<u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2019		As of July 31, 2020		
Formula Obligation Limitation	\$	277.0	\$	284.1	
August Redistribution		34.4		-	
Redistribution - TIFIA		-		-	
Transfers	\$	1.0	\$	0.4	Period Expired
Subtotal	\$	312.4	\$	284.5	83.3%
Other Allocation Obligation Limitation		0.2		18.4	
Annual Obligation Limitation	\$	312.6	\$	302.9	
Formula Obligations to Date		(312.0)		(240.4)	Obligated
Allocated Obligations to Date		(0.6)		-	79.4%
Subtotal	\$	(312.6)	\$	(240.4)	
Obligation Authority Balance	\$	-	\$	62.5	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Highway Infrastructure Program Exempt		0.0		27.6	
Emergency Relief/Allocated Exempt		94.0		0.0	
Training and Education Fast		0.0		0.2	
National Infrastructure Invest TIGER IX		0.0		25.0	
National Infrastructure Invest Build 2019		0.0		17.0	
Previous Years Funding		56.6		152.0	
Total Special Obligation Limitation	\$	155.1	\$	226.2	
Obligations to Date		(7.6)		(110.0)	
Obligation Authority Balance	\$	147.5	\$	116.2	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - JULY 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,665,105.31	33,869.97	4,289.97	23,135.20	397.08	2,726,797.53
	RIGHT OF WAY	1,038,794.91	0.00	0.00	38,216.16	0.00	1,077,011.07
	CONSTRUCTION	10,925,809.93	75,991,984.28	233,132.11	(329,844.30)	(3,217,033.17)	83,604,048.85
	CONSTRUCTION ENGINEERING	972,969.52	1,748,249.03	7,671.26	39,184.82	15,691.58	2,783,766.21
	PLANNING & RESEARCH	2,338.50	3,226.36	0.00	0.00	2,232.01	7,796.87
	TOTAL	\$ 15,605,018.17	\$ 77,777,329.64	\$ 245,093.34	\$ (229,308.12)	\$ (3,198,712.50)	\$ 90,199,420.53
LOCAL	PRELIMINARY ENGINEERING	(4,591.59)	274,587.91	17,592.42	25,623.23	19,097.26	332,309.23
	RIGHT OF WAY	629.90	90,496.62	4,602.38	8,328.01	0.00	104,056.91
	CONSTRUCTION	4,375,089.25	5,592,202.75	323,465.42	3,199,072.98	94,393.73	13,584,224.13
	CONSTRUCTION ENGINEERING	270,321.77	259,479.48	21,031.03	43,550.16	4,645.91	599,028.35
	PLANNING & RESEARCH	11,012.54	2,751.53	(8,701.38)	(1,826.20)	90.81	3,327.30
	TOTAL	\$ 4,652,461.87	\$ 6,219,518.29	\$ 357,989.87	\$ 3,274,748.18	\$ 118,227.71	\$ 14,622,945.92
NON-HWY	PRELIMINARY ENGINEERING	1,835,175.99	11,004.65	0.00	4,587.50	0.00	1,850,768.14
	RIGHT OF WAY	113,055.96	2,240.00	0.00	560.00	0.00	115,855.96
	CONSTRUCTION	843.21	425,752.90	0.00	106,615.60	0.00	533,211.71
	CONSTRUCTION ENGINEERING	746,090.58	7,968.46	0.00	1,994.91	0.00	756,053.95
	TRAFFIC SAFETY & TRANS	(156,077.31)	592,105.31	0.00	0.00	0.00	436,028.00
	PLANNING & RESEARCH	303,327.81	960,343.19	0.00	3,519.51	8,479.38	1,275,669.89
	PUBLIC TRANSPORTATION ASSIST	268,672.69	1,640,691.05	0.82	0.27	0.01	1,909,364.84
	TOTAL	\$ 3,111,088.93	\$ 3,640,105.56	\$ 0.82	\$ 117,277.79	\$ 8,479.39	\$ 6,876,952.49
TOTAL - CURRENT MONTH	\$ 23,368,568.97	\$ 87,636,953.49	\$ 603,084.03	\$ 3,162,717.85	\$ (3,072,005.40)	\$ 111,699,318.94	

FISCAL YEAR TO DATE - JULY 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,665,105.31	33,869.97	4,289.97	23,135.20	397.08	2,726,797.53
	RIGHT OF WAY	1,038,794.91	0.00	0.00	38,216.16	0.00	1,077,011.07
	CONSTRUCTION	10,925,809.93	75,991,984.28	233,132.11	(329,844.30)	(3,217,033.17)	83,604,048.85
	CONSTRUCTION ENGINEERING	972,969.52	1,748,249.03	7,671.26	39,184.82	15,691.58	2,783,766.21
	PLANNING & RESEARCH	2,338.50	3,226.36	0.00	0.00	2,232.01	7,796.87
	TOTAL	\$ 15,605,018.17	\$ 77,777,329.64	\$ 245,093.34	\$ (229,308.12)	\$ (3,198,712.50)	\$ 90,199,420.53
LOCAL	PRELIMINARY ENGINEERING	(4,591.59)	274,587.91	17,592.42	25,623.23	19,097.26	332,309.23
	RIGHT OF WAY	629.90	90,496.62	4,602.38	8,328.01	0.00	104,056.91
	CONSTRUCTION	4,375,089.25	5,592,202.75	323,465.42	3,199,072.98	94,393.73	13,584,224.13
	CONSTRUCTION ENGINEERING	270,321.77	259,479.48	21,031.03	43,550.16	4,645.91	599,028.35
	PLANNING & RESEARCH	11,012.54	2,751.53	(8,701.38)	(1,826.20)	90.81	3,327.30
	TOTAL	\$ 4,652,461.87	\$ 6,219,518.29	\$ 357,989.87	\$ 3,274,748.18	\$ 118,227.71	\$ 14,622,945.92
NON-HWY	PRELIMINARY ENGINEERING	1,835,175.99	11,004.65	0.00	4,587.50	0.00	1,850,768.14
	RIGHT OF WAY	113,055.96	2,240.00	0.00	560.00	0.00	115,855.96
	CONSTRUCTION	843.21	425,752.90	0.00	106,615.60	0.00	533,211.71
	CONSTRUCTION ENGINEERING	746,090.58	7,968.46	0.00	1,994.91	0.00	756,053.95
	TRAFFIC SAFETY & TRANS	(156,077.31)	592,105.31	0.00	0.00	0.00	436,028.00
	PLANNING & RESEARCH	303,327.81	960,343.19	0.00	3,519.51	8,479.38	1,275,669.89
	PUBLIC TRANSPORTATION ASSIST	268,672.69	1,640,691.05	0.82	0.27	0.01	1,909,364.84
	TOTAL	\$ 3,111,088.93	\$ 3,640,105.56	\$ 0.82	\$ 117,277.79	\$ 8,479.39	\$ 6,876,952.49
TOTAL - FISCAL YEAR TO DATE	\$ 23,368,568.97	\$ 87,636,953.49	\$ 603,084.03	\$ 3,162,717.85	\$ (3,072,005.40)	\$ 111,699,318.94	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
JULY 2020**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,667,252,521.17	930,230,261.11	737,022,260.06	15,605,018.17	15,605,018.17	60,790,743.44
	FEDERAL	1,250,955,275.69	1,028,171,666.86	222,783,608.83	77,777,329.64	77,777,329.64	203,851,010.33
	COUNTY	2,456,583.34	1,802,089.94	654,493.40	245,093.34	245,093.34	1,027,759.44
	CITY	61,661,348.51	22,146,349.77	39,514,998.74	(229,308.12)	(229,308.12)	3,064,791.29
	OTHER	21,485,396.71	16,045,066.06	5,440,330.65	(3,198,712.50)	(3,198,712.50)	(1,762,948.58)
STATE HIGHWAY SYSTEM TOTALS		\$ 3,003,811,125.42	\$ 1,998,395,433.74	\$ 1,005,415,691.68	\$ 90,199,420.53	\$ 90,199,420.53	\$ 266,971,355.92
LOCAL HIGHWAY SYSTEM							
	STATE	58,881,009.61	36,758,294.70	22,122,714.91	4,652,461.87	4,652,461.87	10,930,834.08
	FEDERAL	308,523,043.23	208,465,529.48	100,057,513.75	6,219,518.29	6,219,518.29	35,685,507.16
	COUNTY	15,108,719.07	7,066,775.89	8,041,943.18	357,989.87	357,989.87	2,974,808.00
	CITY	114,962,223.96	63,017,547.47	51,944,676.49	3,274,748.18	3,274,748.18	6,875,295.01
	OTHER	9,322,940.17	8,018,496.56	1,304,443.61	118,227.71	118,227.71	491,600.43
LOCAL HIGHWAY SYSTEM TOTALS		\$ 506,797,936.04	\$ 323,326,644.10	\$ 183,471,291.94	\$ 14,622,945.92	\$ 14,622,945.92	\$ 56,958,044.68
NON-HIGHWAY							
	STATE	341,001,600.13	312,331,903.59	28,669,696.54	3,111,088.93	3,111,088.93	47,067,859.09
	FEDERAL	196,525,203.14	99,638,265.24	96,886,937.90	3,640,105.56	3,640,105.56	19,704,933.63
	COUNTY	682,136.47	295,163.62	386,972.85	0.82	0.82	142,581.89
	CITY	6,721,354.17	3,702,730.70	3,018,623.47	117,277.79	117,277.79	484,286.81
	OTHER	14,139,839.65	11,686,362.69	2,453,476.96	8,479.39	8,479.39	423,348.44
NON-HIGHWAY TOTALS		\$ 559,070,133.56	\$ 427,654,425.84	\$ 131,415,707.72	\$ 6,876,952.49	\$ 6,876,952.49	\$ 67,823,009.86
GRAND TOTALS		\$ 4,069,679,195.02	\$ 2,749,376,503.68	\$ 1,320,302,691.34	\$ 111,699,318.94	\$ 111,699,318.94	\$ 391,752,410.46

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
JULY 2020**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
PRELIMINARY ENGINEERING	522,704,631.60	365,584,727.50	157,119,904.10	4,909,874.90	4,909,874.90	29,799,753.41
RIGHT OF WAY	182,362,222.32	117,105,258.82	65,256,963.50	1,296,923.94	1,296,923.94	10,845,374.15
UTILITIES	45,873,808.13	20,982,700.51	24,891,107.62	927,523.82	927,523.82	3,652,629.26
CONSTRUCTION	2,894,789,477.60	1,980,637,647.42	914,151,830.18	96,793,960.87	96,793,960.87	303,320,933.03
CONSTRUCTION ENGINEERING	203,741,603.57	127,589,518.14	76,152,085.43	4,138,848.51	4,138,848.51	18,617,074.96
TRAFFIC SAFETY	27,430,494.15	15,447,359.51	11,983,134.64	436,028.00	436,028.00	3,552,791.12
PLANNING & RESEARCH	104,667,800.03	64,615,759.66	40,052,040.37	1,286,794.06	1,286,794.06	8,019,243.20
PUBLIC TRANSPORTATION	88,109,157.62	57,413,532.12	30,695,625.50	1,909,364.84	1,909,364.84	13,944,611.33
GRAND TOTALS	\$ 4,069,679,195.02	\$ 2,749,376,503.68	\$ 1,320,302,691.34	\$ 111,699,318.94	\$ 111,699,318.94	\$ 391,752,410.46

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
JULY 2020**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,110,707,964.10	833,468,541.16	277,239,422.94	12,082,296.91	12,082,296.91	77,532,905.06
ROADS OPERATION FUND AC*	72,637,520.47	1,249,710.37	71,387,810.10	227,755.48	227,755.48	(6,030,333.34)
GRADE CROSSING FUND	2,492,921.25	1,441,222.77	1,051,698.48	52,961.49	52,961.49	275,179.77
GRADE SEPARATION-TMT	28,051,609.79	24,327,010.93	3,724,598.86	373,185.79	373,185.79	1,959,619.83
RECREATION ROAD FUND	11,270,005.60	9,063,655.81	2,206,349.79	2,354,193.15	2,354,193.15	4,108,521.02
ST HWY CAPITAL IMPR	695,740,693.88	337,014,522.14	358,726,171.74	3,662,283.47	3,662,283.47	21,931,358.37
STATE AID BRIDGE	4,472,234.66	3,017,719.48	1,454,515.18	309,335.08	309,335.08	729,058.98
TRANS INFRA BANK	141,762,181.16	69,738,076.74	72,024,104.42	4,306,557.60	4,306,557.60	18,283,126.92
TOTAL STATE FUNDS	\$ 2,067,135,130.91	\$ 1,279,320,459.40	\$ 787,814,671.51	\$ 23,368,568.97	\$ 23,368,568.97	\$ 118,789,436.61
FEDERAL FUNDS	1,756,003,522.06	1,336,275,461.58	419,728,060.48	87,636,953.49	87,636,953.49	259,241,451.12
COUNTY FUNDS	18,247,438.88	9,164,029.45	9,083,409.43	603,084.03	603,084.03	4,145,149.33
CITY FUNDS	183,344,926.64	88,866,627.94	94,478,298.70	3,162,717.85	3,162,717.85	10,424,373.11
OTHER FUNDS	44,948,176.53	35,749,925.31	9,198,251.22	(3,072,005.40)	(3,072,005.40)	(847,999.71)
GRAND TOTALS	\$ 4,069,679,195.02	\$ 2,749,376,503.68	\$ 1,320,302,691.34	\$ 111,699,318.94	\$ 111,699,318.94	\$ 391,752,410.46

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
July 31, 2020**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 6,059,160.57	\$ 6,059,160.57	\$ 454,725,887.74			
Expenditures						
Expressway and High Priority Corridors	3,660,560.29	3,660,560.29	226,124,065.70	335,572,592.77	359,540,514.23	
Other Highways	1,723.18	1,723.18	110,890,456.44	23,153,578.97	191,109,158.62	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 3,662,283.47	\$ 3,662,283.47	\$ 448,482,612.10	\$ 358,726,171.74	\$ 550,649,672.85	
Funds Available			\$ 6,243,275.64			

Transportation Innovation Act Financial Status July 31, 2020

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,141,723.43	\$ 2,141,723.43	\$ 131,457,139.84		
Expenditures					
Accelerated State Highway Capital Improvement Program	4,095,864.52	4,095,864.52	62,523,719.75	61,596,425.93	160,399,047.55
County Bridge Match Program	210,693.08	210,693.08	6,154,519.86	10,427,676.49	12,087,383.00
Economic Opportunity Program			1,059,837.13	2.00	3,140,259.14
TIB Projects Completed/Closed					
Total Expenditures	\$ 4,306,557.60	\$ 4,306,557.60	\$ 69,738,076.74	\$ 72,024,104.42	\$ 175,626,689.69
Funds Available			\$ 61,719,063.10		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2020 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 90.60%

	FAST Act ⁽¹⁾ FY-2020 <u>APPORT</u>	FY-2020 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2020 <u>OBL LIMIT</u>	OBLIGATED THRU <u>07/31/20</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	0.600	-	0.600	1.639	(1.039)
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.422	-	-	3.422	3.591	(0.169)
AMNESTY URBAN 5K - 200K	-	-	1.792	-	1.792	-	1.792
MAPA - OMAHA	16.338	\$ 14.802	-	-	14.802	53.830	(39.028)
LCLC - LINCOLN	6.439	\$ 5.834	5.826	-	11.660	2.589	9.071
SubTotal Local	\$ 26.554	\$ 24.058	\$ 8.218	\$ -	\$ 32.276	\$ 61.649	\$ (29.373)
METRO PLANNING	1.788	1.620	-	-	1.620	1.494	0.126
Omaha	66.836% 1.128	1.022	-	-	1.022	1.150	(0.128)
Lincoln	26.341% 0.469	0.425	-	-	0.425	0.306	0.119
South Sioux City	1.688% 0.067	0.061	-	-	0.061	0.016	0.045
Grand Island	5.135% 0.124	0.112	-	-	0.112	0.022	0.090
TAP - Flex	2.900	2.627	-	(2.706)	(0.079)	(0.079)	-
TAP - 5K and Under	0.874	0.792	-	-	0.792	0.054	0.738
TAP - 5K-200K	0.573	0.519	-	5.429	5.948	5.948	-
TAP - MAPA - OMAHA	1.042	0.944	-	-	0.944	0.079	0.865
TAP - LCLC - LINCOLN	0.411	0.372	-	-	0.372	0.033	0.339
REC TRAILS	1.205	1.092	-	-	1.092	(0.528)	1.620
TOTAL	\$ 35.347	\$ 32.024	\$ 8.218	\$ 2.723	\$ 42.965	\$ 68.650	\$ (25.685)

(1) FY20 Apportionment per Public Law 114-94 through September 30, 2020.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of \$41.2 Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of July 31, 2020

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	366,185.31
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	212,495.70
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	165,620.55

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	156,612.23
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	145,127.61
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	69,584.79
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	2,300,424.42
3078	SAUNDERS COUNTY	145,069.59
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,437,607.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	360,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

August
2020

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

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- The state revenue projections in this report were developed in June 2020 and incorporate NDOT’s estimates of the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- Total revenue in August exceeded expenditures by \$20 million. Fiscal year to date revenues surpassed expenditures by \$23 million (page 4).
- Projected \$930 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 33.2 cents. The month of August’s major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.0 million or 3.8%, motor vehicle registration revenue was under the projected amount by -\$1.3 million or -26.9% and motor vehicle sales tax was over the projected amount by \$9.5 million or 155.9%. Highway Cash Fund receipts for FY-20 to date were higher than projections by-\$12.3 million or 18.2% (page 11, 12).
- Established an operating budget for Roads Division of \$951 million for FY20 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).

 August expenditures totaled \$95.8 million. Fiscal year to date expenditures totaled \$232.2 million, 24.41% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of July 20, 2020 thru August 16, 2020. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- Highway construction contract lettings fiscal year to date totaled \$126 million, \$115 million on the state highway system (page 18).
- The August report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- For Federal Fiscal Year 2020, Nebraska received federal fund apportionments and allocations under the FAST Act totaling \$318 million. As of August 31, 2020 obligations of \$287.9 million have resulted in an obligation authority balance of \$60.4 million (pages 33, 34 and 35).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$461.6 million has been received to date with allocated expenditures totaling \$450.0 million (page 28).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earning totaling \$83.9 million has been received to date with expenditures totaling \$74.7 million (page 29).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

- CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.
- FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.
- OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.
- INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

- EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.
- LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.
- INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.
- BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

- ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.
- RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.
- OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

- CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).
- RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.
- UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
August 2020

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	252,769,338.33	244,352,685.71	8,416,652.62	3.44	208,807,901.64	43,961,436.69	21.05
Federal Receivables	54,681,206.94	31,299,219.63	23,381,987.31	74.70	14,559,989.30	40,121,217.64	275.56
Other Receivables	17,452,745.32	28,181,058.04	(10,728,312.72)	(38.07)	9,029,814.42	8,422,930.90	93.28
Inventories	2,984,546.75	3,142,276.25	(157,729.50)	(5.02)	3,151,042.83	(166,496.08)	(5.28)
Total Current Assets	\$327,887,837.34	\$306,975,239.63	\$20,912,597.71	6.81 %	\$235,548,748.19	\$92,339,089.15	39.20 %
Capital Assets							
Equipment	66,896,387.11	67,559,971.43	(663,584.32)	(0.98)	64,170,323.53	2,726,063.58	4.25
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,900,636,476.35	7,900,636,476.35	0.00	0.00	7,860,499,911.61	40,136,564.74	0.51
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,637,591,039.57	\$8,638,254,623.89	(\$663,584.32)	(0.01)%	\$8,569,239,689.32	\$68,351,350.25	0.80 %
Total Assets	\$8,965,478,876.91	\$8,945,229,863.52	\$20,249,013.39	0.23 %	\$8,804,788,437.51	\$160,690,439.40	1.83 %
LIABILITIES							
Current Liabilities							
Accounts Payable	11,428,526.19	12,577,065.04	(1,148,538.85)	(9.13)	5,821,263.25	5,607,262.94	96.32
Retention Payable	43,362,896.06	19,450,116.64	23,912,779.42	122.94	1,117,344.01	42,245,552.05	3,780.89
Other Payables	75,812,043.59	73,157,670.04	2,654,373.55	3.63	53,510,785.39	22,301,258.20	41.68
Total Current Liabilities	\$130,603,465.84	\$105,184,851.72	\$25,418,614.12	24.17 %	\$60,449,392.65	\$70,154,073.19	116.05 %
Total Liabilities	\$130,603,465.84	\$105,184,851.72	\$25,418,614.12	24.17 %	\$60,449,392.65	\$70,154,073.19	116.05 %
NET ASSETS							
Capital Equity							
Capital	8,637,591,039.57	8,638,254,623.89	(663,584.32)	(0.01)	8,569,239,689.32	68,351,350.25	0.80
Total Capital Equity	\$8,637,591,039.57	\$8,638,254,623.89	(\$663,584.32)	(0.01)%	\$8,569,239,689.32	\$68,351,350.25	0.80 %
Fund Balance							
Reserved Fund Balance	(40,378,349.31)	(16,307,840.39)	(24,070,508.92)	147.60	2,033,698.82	(42,412,048.13)	(2,085.46)
Unreserved Fund Balance	237,662,720.81	218,098,228.30	19,564,492.51	8.97	173,065,656.72	64,597,064.09	37.33
Total Fund Balance	\$197,284,371.50	\$201,790,387.91	(\$4,506,016.41)	(2.23)%	\$175,099,355.54	\$22,185,015.96	12.67 %
Total Net Assets	\$8,834,875,411.07	\$8,840,045,011.80	(\$5,169,600.73)	(0.06)%	\$8,744,339,044.86	\$90,536,366.21	1.04 %
Total Liabilities and Net Assets	\$8,965,478,876.91	\$8,945,229,863.52	\$20,249,013.39	0.23 %	\$8,804,788,437.51	\$160,690,439.40	1.83 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
AUGUST 2020**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	40,225,195.97	51,605,770.56	(11,380,574.59)	(22.05)	91,830,966.53	89,263,901.33	2,567,065.20	2.88
Federal Reimbursements	69,604,205.68	87,636,953.49	(18,032,747.81)	(20.58)	157,241,159.17	114,839,417.51	42,401,741.66	36.92
Local Revenues	4,364,014.82	3,723,965.13	640,049.69	17.19	8,087,979.95	4,657,719.05	3,430,260.90	73.65
Other Entities Revenues	1,201,983.05	(2,795,843.40)	3,997,826.45	(142.99)	(1,593,860.35)	2,711,339.88	(4,305,200.23)	(158.78)
Total Revenue	\$115,395,399.52	\$140,170,845.78	(\$24,775,446.26)	(17.68) %	\$255,566,245.30	\$211,472,377.77	\$44,093,867.53	20.85 %
Expenditures								
Administration	1,813,742.25	2,196,233.17	(382,490.92)	(17.42)	4,009,975.42	4,296,830.78	(286,855.36)	(6.68)
Highway Maintenance	16,968,463.90	19,209,100.19	(2,240,636.29)	(11.66)	36,177,564.09	34,063,546.96	2,114,017.13	6.21
Capital Facilities	456,736.70	54,808.92	401,927.78	733.33	511,545.62	487,072.99	24,472.63	5.02
Services and Support	1,544,590.76	1,826,762.25	(282,171.49)	(15.45)	3,371,353.01	3,704,184.09	(332,831.08)	(8.99)
Construction	72,615,627.97	110,927,200.59	(38,311,572.62)	(34.54)	183,542,828.56	207,688,546.42	(24,145,717.86)	(11.63)
Highway Safety Office	234,484.00	244,506.26	(10,022.26)	(4.10)	478,990.26	876,554.68	(397,564.42)	(45.36)
Public Transit	2,197,511.43	1,909,364.84	288,146.59	15.09	4,106,876.27	3,644,908.25	461,968.02	12.67
Total Expenditures	\$95,831,157.01	\$136,367,976.22	(\$40,536,819.21)	(29.73) %	\$232,199,133.23	\$254,761,644.17	(\$22,562,510.94)	(8.86) %
Excess Revenue (Expenditures)	\$19,564,242.51	\$3,802,869.56	\$15,761,372.95	414.46 %	\$23,367,112.07	(\$43,289,266.40)	\$66,656,378.47	(153.98) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
August 2020**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	114,344,646.14	46,446,120.70	13,158,325.04	64,169,667.57	2,760,328.60	1,981,656.91	9,840,195.52	64,022.99	252,764,963.47
Other Current Assets	75,122,873.87	0.00	0.00	0.00	0.00	0.00	0.00	0.00	75,122,873.87
Capital Assets	8,637,591,039.57	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,637,591,039.57
TOTAL ASSETS	\$8,827,058,559.58	\$46,446,120.70	\$13,158,325.04	\$64,169,667.57	\$2,760,328.60	\$1,981,656.91	\$9,840,195.52	\$64,022.99	\$8,965,478,876.91
LIABILITIES									
Current Liabilities	130,603,465.84	0.00	0.00	0.00	0.00	0.00	0.00	0.00	130,603,465.84
TOTAL LIABILITIES	\$130,603,465.84	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$130,603,465.84
NET ASSETS									
Fund Balance	122,512,874.30	(31,816,293.18)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	173,917,259.43
Capital Equity	8,637,591,039.57	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,637,591,039.57
Accrued Interfund Transfer	(8,322,805.49)	0.00	1,550,211.49	4,918,885.42	250,292.32	17,778.36	125,178.92	1,460,458.98	0.00
Revenues	158,757,402.54	78,262,413.88	12,974,209.64	4,592,327.90	7,928.68	66,089.33	777,819.64	128,053.69	255,566,245.30
Costs	(214,083,417.18)	0.00	(5,212,494.96)	(9,225,443.02)	(632,024.06)	(71,952.67)	(2,533,283.09)	(440,518.25)	(232,199,133.23)
TOTAL NET ASSETS	\$8,696,455,093.74	\$46,446,120.70	\$13,158,325.04	\$64,169,667.57	\$2,760,328.60	\$1,981,656.91	\$9,840,195.52	\$64,022.99	\$8,834,875,411.07
TOTAL LIABILITIES AND NET ASSETS	\$8,827,058,559.58	\$46,446,120.70	\$13,158,325.04	\$64,169,667.57	\$2,760,328.60	\$1,981,656.91	\$9,840,195.52	\$64,022.99	\$8,965,478,876.91

FUND BALANCES AND INVESTMENT EARNINGS

Roads Divisions

August 2020

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.3										
Expenditures	136.3	95.8										
Balance	3.8	19.5										
Cumulative Balance	3.8	23.3										

Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances earned \$278,748.73 in Aug, with an interest rate of 1.45%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%												1.60%
Earnings (Thousands)	\$334	\$276											\$610	\$305

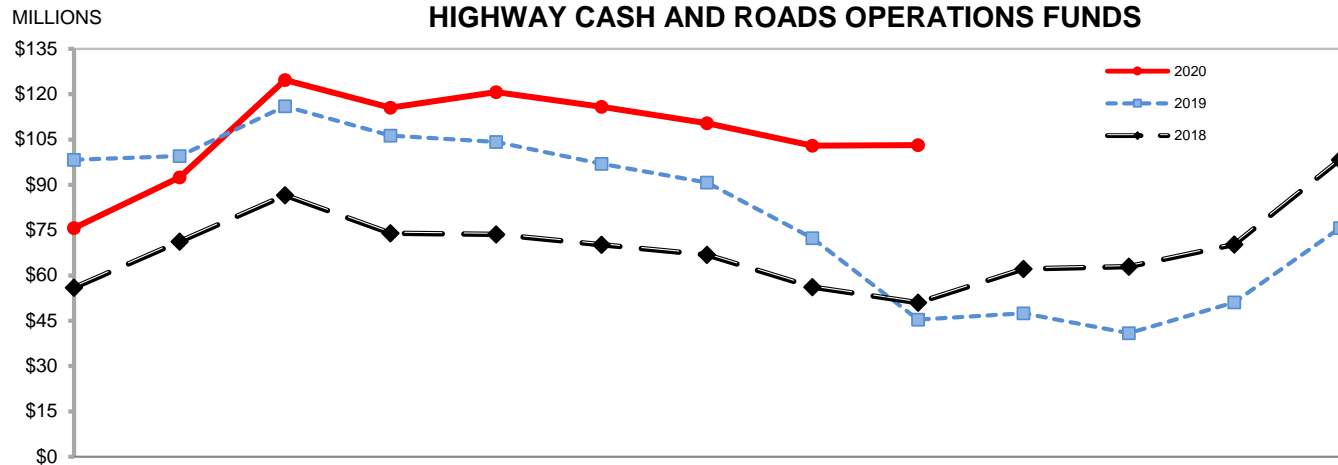
FUND BALANCES - MONTHLY LOW POINT

Roads Divisions

August 2020

(IN MILLIONS)

Total of all funds available as of August 31 is \$241 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendar years. For these two funds, the month ranged from a high of \$149 million on the 31st to a low of \$103 million on the 28th



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2				
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
2018	71.2	86.6	74.0	73.6	70.2	66.8	56.2	51.0	62.2	62.9	70.3	98.3
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2				
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
2018	0.0	1.6	5.3	8.0	6.5	5.1	3.7	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1				
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
2018	63.3	64.5	65.3	66.3	66.8	67.7	69.1	69.8	71.7	73.2	75.1	76.7
GRADE CROSSING PROTECTION FUND												
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7				
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
2018	4.5	4.2	4.3	5.2	5.2	5.1	5.3	5.3	5.1	5.3	5.3	5.2
RECREATION ROAD FUND												
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5				
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
2018	6.5	6.7	7.0	7.2	7.4	7.8	7.9	8.0	8.3	8.5	8.5	8.7
STATE AID BRIDGE FUND												
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0				
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2018	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
August 2020**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	112,431.34									112,431.34
460000 Intergovernmental			8,375.35		4,743.65		2,422,607.94			2,435,726.94
470000 Sales & Charges			6,500.00	13,208.98	2,004.52					21,713.50
480000 Miscellaneous	9,294.77				14,938.10					24,232.87
490000 Other					21,776.14					21,776.14
TOTAL REVENUES	121,726.11	-	14,875.35	13,208.98	43,462.41	-	2,422,607.94	-	-	2,615,880.79
EXPENDITURES:										
510000 Personal Services	38,706.15		35,508.20	13,600.63	9,246.02					97,061.00
520000 Operating Expenses	13,777.98	21.39	1,858.66	4,115.35	22,457.58	738.79		1,940.48		44,910.23
570000 Travel Expenses	(238.73)	1,383.61	1,284.90	2,456.88				17.21		4,903.87
580000 Capital Outlay										-
590000 Government Aid							1,533,926.71			1,533,926.71
TOTAL EXPENDITURES	52,245.40	1,405.00	38,651.76	20,172.86	31,703.60	738.79	1,533,926.71	1,957.69	-	1,680,801.81
Excess (Deficiency) of Revenues Over Expenditures	69,480.71	(1,405.00)	(23,776.41)	(6,963.88)	11,758.81	(738.79)	888,681.23	(1,957.69)	-	935,078.98
OTHER FINANCING SOURCES (USES):										
Transfers In			23,776.41	6,963.88						-
Transfers Out	(30,740.29)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	38,740.42	(1,405.00)	-	-	11,758.81	(738.79)	888,681.23	(1,957.69)	-	935,078.98
Fund Balance July 31, 2020	1,391,220.66	(37.02)	-	-	1,330,885.66	(2,175.95)	2,492,980.68	(10,668.25)	1,349,135.50	6,551,341.28
Fund Balance August 31, 2020	1,429,961.08	(1,442.02)	-	-	1,342,644.47	(2,914.74)	3,381,661.91	(12,625.94)	1,349,135.50	7,486,420.26

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
FISCAL YEAR TO DATE (July 1, 2020 through August 31, 2020)**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	186,118.06									186,118.06
460000 Intergovernmental			(48,467.06)		15,009.63		5,027,866.04			4,994,408.61
470000 Sales & Charges			8,450.00	39,438.74	5,118.82					53,007.56
480000 Miscellaneous	19,851.93				116,937.60			2,979.82	168.00	139,937.35
490000 Other					35,210.40					35,210.40
TOTAL REVENUES	205,969.99	-	(40,017.06)	39,438.74	172,276.45	-	5,027,866.04	2,979.82	168.00	5,408,681.98
EXPENDITURES:										
510000 Personal Services	93,586.65		80,565.01	33,184.97	21,713.92	12.36		12.36		229,075.27
520000 Operating Expenses	27,907.86	21.39	2,557.74	10,234.40	44,383.74	2,902.38		15,576.19		103,583.70
570000 Travel Expenses	466.56	1,383.61	2,533.81	5,941.15				17.21		10,342.34
580000 Capital Outlay				(5,708.47)						(5,708.47)
590000 Government Aid	13,460.93						5,134,113.20			5,147,574.13
TOTAL EXPENDITURES	135,422.00	1,405.00	85,656.56	43,652.05	66,097.66	2,914.74	5,134,113.20	15,605.76	-	5,484,866.97
Excess (Deficiency) of Revenues Over Expenditures	70,547.99	(1,405.00)	(125,673.62)	(4,213.31)	106,178.79	(2,914.74)	(106,247.16)	(12,625.94)	168.00	(76,184.99)
OTHER FINANCING SOURCES (USES):										
Transfers In			125,673.62	4,213.31		2,914.74		12,625.94	-	
Transfers Out	(145,427.61)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(74,879.62)	(1,405.00)	-	-	106,178.79	-	(106,247.16)	-	168.00	(76,184.99)
Fund Balance June 30, 2020	1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance August 31, 2020	1,414,420.40	(1,442.02)	-	-	1,342,644.47	-	3,381,661.91	-	1,349,135.50	7,486,420.26

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/16	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	4.6
Wholesale Tax ¢	12.5	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	-0.7
Total Tax ¢	26.8¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	3.9¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Dept. of Transportation and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increases 1 ½¢ each year thru 2019. ½¢ each to Dept. of Transportation , cities and counties.

Variable Tax: The Dept. of Transportation receives the revenue generated from the variable excise tax which is adjusted semi-annually and is computed by applying the rate to the average price of motor fuel purchased by state government in April and October. The variable rate for FY-2020 is 1.4% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the State Energy Office on April 1 and October 1. It is distributed 66% to the Dept. of Transportation and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the Dept. of Transportation receives 53 ⅓ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The Dept. of Transportation's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from Dept. of Transportation and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2021 RECEIPTS
AS OF AUGUST 31, 2020
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$98,306	\$ 8,548	\$ 8,855	\$ 307	3.6%	\$ 16,654	\$ 17,702	\$ 1,048	6.3%
Incremental Fixed	26,215	2,279	2,370	90	3.9%	4,441	4,738	297	6.7%
Variable	96,904	8,434	8,768	334	4.0%	11,460	12,083	623	5.4%
Wholesale	79,104	7,146	7,429	283	4.0%	14,422	15,400	978	6.8%
Subtotal	300,529	26,407	27,422	1,015	3.8%	46,977	49,923	2,946	6.3%
Motor Vehicle Registrations	32,062	3,688	2,767	(921)	(25.0%)	5,645	5,509	(136)	(2.4%)
Prorate Registrations	12,180	981	648	(333)	(34.0%)	1,331	1,064	(267)	(20.1%)
Subtotal	44,242	4,669	3,415	(1,254)	(26.9%)	6,976	6,573	(403)	(5.8%)
Sales Tax on Motor Vehicles	101,752	6,078	15,552	9,474	155.9%	12,082	21,654	9,572	79.2%
Interest	1,874	129	178	49	37.9%	266	392	126	47.2%
Sale of Supplies and Materials	1,300	99	94	(5)	(5.5%)	225	206	(19)	(8.3%)
Sale of Fixed Assets	1,350	14	161	147	1052.8%	30	242	212	706.1%
Excess Limit	3,100	279	255	(24)	(8.5%)	559	505	(54)	(9.7%)
Overload Fines	1,150	151	32	(119)	(78.6%)	260	64	(196)	(75.2%)
Other Fees	1,900	107	97	(10)	(9.7%)	270	427	157	58.0%
SUBTOTAL HIGHWAY CASH FUND	\$ 457,197 (A)	\$ 37,933	\$ 47,206	\$ 9,273	24.4%	\$ 67,645	\$ 79,986	\$ 12,341 (B)	18.2%
Incremental Tax Transfer to TIB Fund	(25,938)	(2,162)	(2,369)	(207)	9.6%	(\$4,261)	(4,414)	(152)	3.6%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 431,259	\$ 35,771	\$ 44,837	\$ 9,066	25.3%	\$ 63,384	\$ 75,572	\$ 12,188	19.2%
State Hwy Capital Impr Fund	65,666	5,415	6,915	1,500	27.7%	9,265	12,974	3,709	40.0%
Transportation Infrastructure Bank Fund (TIB)	26,478	2,207	2,451	244	11.1%	4,351	4,592	241	5.5%
Grade Crossing Protection Fund	3,410	47	36	(11)	(22.5%)	96	74	(22)	(22.9%)
Recreation Road Fund	4,110	295	387	92	31.2%	609	778	169	27.7%
State Aid Bridge Fund	783	65	64	(1)	(1.5%)	130	128	(2)	(1.5%)
TOTAL STATE RECEIPTS	\$ 531,706	\$ 43,800	\$ 54,690	\$ 10,890	24.9%	\$ 77,835	\$ 94,119	\$ 16,284	20.9%
Federal Receipts									
FHWA	362,300	50,197	41,479	(8,718)	(17.4%)	103,875	104,995	1,120	1.1%
Transit	9,000	923	4,516	3,593	389.2%	1,671	6,510	4,839	289.6%
Highway Safety	5,500	359	235	(124)	(34.5%)	359	235	(124)	(34.5%)
Subtotal-Federal Receipts	376,800	51,479	46,229	(5,250)	(10.2%)	105,905	111,740	5,835	5.5%
Local Receipts	15,000	5,939	5,992	53	0.9%	7,922	21,495	13,573	171.4%
Other Entities	6,000	1,043	562	(481)	(46.0%)	1,441	822	(619)	(43.0%)
TOTAL DEPARTMENT RECEIPTS	\$ 929,506	\$ 102,261	\$ 107,475	\$ 5,214	5.1%	\$ 193,103	\$ 228,176	\$ 35,073	18.2%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS

(A) Total Projected Receipts as of June 15, 2020	\$ 457,197
(B) Receipts Over/(Under) Projection To Date	12,341
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 469,538
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	10,538
% Variance From Appropriation	2.3%

** Numbers may not add due to rounding.

** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT. Consequently, the effects of COVID-19 on NDOT revenues will occur at various intervals.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
August 2020

<u>COST BY RESOURCE</u>	<u>Cash Flow</u> <u>Allotment</u>	<u>Month's</u> <u>Expenditure</u>	<u>Expended</u> <u>to Date</u>	<u>Allotment</u> <u>Balance</u>	<u>% Expended</u> <u>to Date</u>	<u>Encumbrances</u>
Personal Services						
Permanent Salaries	107,938,356.00	7,754,540.66	18,926,726.14	89,011,629.86	17.53%	0.00
Temporary Salaries	1,905,021.00	283,169.37	772,060.52	1,132,960.48	40.53%	0.00
Overtime	6,071,840.00	468,910.76	1,130,564.41	4,941,275.59	18.62%	0.00
Employee Benefits	41,462,486.00	3,085,288.39	6,769,390.41	34,693,095.59	16.33%	0.00
SUBTOTAL: Personal Services	\$157,377,703.00	\$11,591,909.18	\$27,598,741.48	\$129,778,961.52	17.54%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	233,568.88	492,593.91	3,161,066.09	13.48%	0.00
Rentals	877,359.00	66,798.39	170,008.23	707,350.77	19.38%	(4,164.31)
Repairs & Maintenance	11,598,760.00	351,867.34	1,132,718.58	10,466,041.42	9.77%	1,267,005.22
Maintenance Contracts	14,089,958.00	989,019.05	3,004,458.76	11,085,499.24	21.32%	25,879,860.13
Engineering Contracts	35,285,520.00	2,419,621.52	4,884,061.31	30,401,458.69	13.84%	43,193,591.47
Contractual Services	43,240,438.00	1,841,813.31	2,868,273.10	40,372,164.90	6.63%	10,405,902.29
Technology Expenses	21,745,005.00	801,207.45	2,481,251.11	19,263,753.89	11.41%	32,331,408.75
Other Operating Expenses	4,888,173.00	1,908,033.49	1,966,822.28	2,921,350.72	40.24%	1,232.50
SUBTOTAL: Operating Expenses	\$135,378,873.00	\$8,611,929.43	\$17,000,187.28	\$118,378,685.72	12.56%	\$113,074,836.05
Supplies and Materials						
General Supplies & Materials	1,698,165.00	105,309.83	214,874.53	1,483,290.47	12.65%	295,902.16
Maint & Const Materials	48,031,631.00	7,698,520.38	14,603,492.13	33,428,138.87	30.40%	19,133.83
Automotive Supplies & Materials	18,230,100.00	1,022,188.67	2,157,556.62	16,072,543.38	11.84%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$8,826,018.88	\$16,975,923.28	\$50,983,972.72	24.98%	\$315,035.99
Travel						
In State Travel	825,995.00	35,532.72	63,660.41	762,334.59	7.71%	0.00
Out of State Travel	230,758.00	27.12	347.04	230,410.96	0.15%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$35,559.84	\$64,007.45	\$992,745.55	6.06%	\$0.00
Capital Outlay						
Land	16,000,000.00	305,836.00	1,266,867.00	14,733,133.00	7.92%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	51,949,217.87	135,339,911.53	317,903,820.47	29.86%	801,599,007.09
Buildings	11,668,000.00	166,794.30	166,794.30	11,501,205.70	1.43%	3,598,730.91
Heavy Equipment and Vehicles	14,691,430.00	400,762.41	2,679,040.04	12,012,389.96	18.24%	2,955,682.23
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	72,900.00	82,000.00	1,075,560.00	7.08%	442,565.00
SUBTOTAL: Capital Outlay	\$496,860,722.00	\$52,895,510.58	\$139,534,612.87	\$357,326,109.13	28.08%	\$808,595,985.23
Government Aid & Distr						
Public Transit Aid	42,312,705.00	2,158,284.95	4,009,662.05	38,303,042.95	9.48%	25,724,119.51
Highway Safety Office	5,200,000.00	193,467.28	389,862.41	4,810,137.59	7.50%	5,198,707.00
Other Government Aid	45,251,000.00	11,518,476.87	26,626,136.41	18,624,863.59	58.84%	128,967,970.05
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$13,870,229.10	\$31,025,660.87	\$61,738,044.13	33.45%	\$159,890,796.56
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$95,831,157.01	\$232,199,133.23	\$719,198,518.77	24.41%	\$1,081,876,653.83

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
 August 2020

FISCAL YEAR 2021
 Period Expired 16.67%
 Pay Period Ending 8/16/2020

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	1,812,434.30	4,007,392.83	15,020,854.17	21.06%	637,964.05
Boards & Commissions	50,000.00	1,307.95	2,582.59	47,417.41	5.17%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$1,813,742.25	\$4,009,975.42	\$15,068,271.58	21.02%	\$637,964.05
Service and Support						
Charges to Others	1,100,000.00	84,240.77	156,027.29	943,972.71	14.18%	37,914.59
Deficiency Claims	17,184.00	0.00	0.00	17,184.00	0.00%	0.00
Supply Base/Inventories	1,000,000.00	(90,551.33)	100,056.06	899,943.94	10.01%	230,196.97
Building Operations	6,000,000.00	783,364.14	1,815,672.88	4,184,327.12	30.22%	2,486,609.00
Business Technology Services	14,657,318.00	598,971.06	2,230,454.72	12,426,863.28	15.22%	19,058,808.01
Support Centers	5,208,762.00	(107,188.84)	50,916.12	5,157,845.88	0.98%	0.00
Payroll Clearing	625,675.00	275,754.96	(981,774.06)	1,607,449.06	(156.91)%	28,391.13
SUBTOTAL: Service and Support	\$28,608,939.00	\$1,544,590.76	\$3,371,353.01	\$25,237,585.99	11.78%	\$21,841,919.70
Capital Facilities						
Capital Facilities	10,000,000.00	456,736.70	511,545.62	9,488,454.38	5.12%	4,648,144.00
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$456,736.70	\$511,545.62	\$9,488,454.38	5.12%	\$4,648,144.00
Highway Maintenance						
System Preservation	42,000,000.00	7,885,893.74	16,958,937.33	25,041,062.67	40.38%	1,379,994.40
Operations	42,000,000.00	3,926,132.80	10,028,309.38	31,971,690.62	23.88%	19,558,283.04
Snow and Ice Control	40,000,000.00	2,079,889.05	2,779,189.92	37,220,810.08	6.95%	(74,755.09)
Unusual & Disaster Oper	1,500,000.00	196,943.90	566,401.29	933,598.71	37.76%	28,490,344.10
Equipment Operations	15,000,000.00	1,255,663.50	2,282,929.73	12,717,070.27	15.22%	3,031,842.97
Indirect Charges	20,943,554.00	1,623,940.91	3,561,796.44	17,381,757.56	17.01%	438,400.69
SUBTOTAL: Highway Maintenance	\$161,443,554.00	\$16,968,463.90	\$36,177,564.09	\$125,265,989.91	22.41%	\$52,824,110.11
Highway Construction						
Preliminary Engineering	50,100,000.00	3,171,243.76	7,656,582.90	42,443,417.10	15.28%	28,733,364.98
Right-Of-Way	15,000,000.00	473,141.64	1,686,146.42	13,313,853.58	11.24%	67,461.56
Construction	467,777,351.00	52,031,415.46	135,546,773.95	332,230,577.05	28.98%	778,869,745.54
Construction Engineering	25,000,000.00	3,062,075.19	6,673,802.05	18,326,197.95	26.70%	5,394,243.40
SUBTOTAL: Highway Construction	\$557,877,351.00	\$58,737,876.05	\$151,563,305.32	\$406,314,045.68	27.17%	\$813,064,815.48
Construction Related Expense						
Overhead	19,002,440.00	1,048,120.15	2,763,318.18	16,239,121.82	14.54%	16,328,297.06
Planning & Research	12,056,000.00	1,257,563.52	2,444,270.79	9,611,729.21	20.27%	18,666,894.03
Local Systems	95,792,666.00	11,572,068.25	26,771,934.27	69,020,731.73	27.95%	122,941,682.89
Highway Safety Office	5,213,250.00	234,484.00	478,990.26	4,734,259.74	9.19%	5,198,707.00
Public Transportation Asst	42,325,205.00	2,197,511.43	4,106,876.27	38,218,328.73	9.70%	25,724,119.51
SUBTOTAL: Construction Related Expense	\$174,389,561.00	\$16,309,747.35	\$36,565,389.77	\$137,824,171.23	20.97%	\$188,859,700.49
AGENCY TOTAL	\$951,397,652.00	\$95,831,157.01	\$232,199,133.23	\$719,198,518.77	24.41%	\$1,081,876,653.83

**PROGRAM STATUS REPORT
BUSINESS MONTH - AUGUST 2020**

FISCAL YEAR 2021
Period Expired 16.7%
Pay Period Ending 08/16/2020

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	797,009.41	1,567,268.59	0.00	2,430,422.84	2,283,782.16	676,057.66	7,754,540.66
Temporary Salaries	130.40	26,905.31	0.00	193,284.74	36,935.54	25,913.38	283,169.37
Overtime	1,490.32	28,578.84	0.00	170,043.59	260,157.81	8,640.20	468,910.76
Employee Benefits	0.00	3,085,288.39	0.00	0.00	0.00	0.00	3,085,288.39
SUBTOTAL: Personal Services	\$798,630.13	\$4,708,041.13	\$0.00	\$2,793,751.17	\$2,580,875.51	\$710,611.24	\$11,591,909.18
Operating Expenses							
Utilities	0.00	136,164.51	0.00	97,108.68	251.89	43.80	233,568.88
Rentals	982.92	4,002.50	0.00	61,812.97	0.00	0.00	66,798.39
Repairs & Maintenance	0.00	65,531.72	0.00	283,561.80	705.00	2,068.82	351,867.34
Maintenance Contracts	0.00	0.00	0.00	988,683.05	336.00	0.00	989,019.05
Engineering Contracts	0.00	56,907.00	287,082.25	24,225.00	1,787,995.94	263,411.33	2,419,621.52
Contractual Services	161,194.78	239,822.22	0.00	785,348.33	5,395.38	650,052.60	1,841,813.31
Technology Expenses	266,637.42	252,637.04	0.00	0.00	0.00	281,932.99	801,207.45
Other Operating Expenses	60,163.22	516,246.66	2,860.15	1,293,606.57	24,581.71	10,575.18	1,908,033.49
SUBTOTAL: Operating Expenses	\$488,978.34	\$1,271,311.65	\$289,942.40	\$3,534,346.40	\$1,819,265.92	\$1,208,084.72	\$8,611,929.43
Supplies and Materials							
General Supplies & Materials	29,584.64	19,382.94	0.00	52,906.73	24.03	3,411.49	105,309.83
Maint & Const Materials	2,522.09	(9,798.02)	0.00	7,608,871.60	67,394.65	29,530.06	7,698,520.38
Automotive Supplies & Materials	0.00	(158,847.58)	0.00	1,181,013.88	0.00	22.37	1,022,188.67
SUBTOTAL: Supplies and Materials	\$32,106.73	(\$149,262.66)	\$0.00	\$8,842,792.21	\$67,418.68	\$32,963.92	\$8,826,018.88
Travel							
In State Travel	6,249.68	1,367.05	0.00	3,195.92	14,146.82	10,573.25	35,532.72
Out of State Travel	0.00	0.00	0.00	0.00	27.12	0.00	27.12
SUBTOTAL: Travel	\$6,249.68	\$1,367.05	\$0.00	\$3,195.92	\$14,173.94	\$10,573.25	\$35,559.84
Capital Outlay							
Land	0.00	0.00	0.00	0.00	305,836.00	0.00	305,836.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	51,949,217.87	0.00	51,949,217.87
Buildings	0.00	0.00	166,794.30	0.00	0.00	0.00	166,794.30
Heavy Equipment and Vehicles	0.00	0.00	0.00	400,762.41	0.00	0.00	400,762.41
Specialty Equipment	0.00	0.00	0.00	0.00	72,900.00	0.00	72,900.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$166,794.30	\$400,762.41	\$52,327,953.87	\$0.00	\$52,895,510.58
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	2,158,284.95	2,158,284.95
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	193,467.28	193,467.28
Other Government Aid	0.00	0.00	0.00	0.00	5,708.76	11,512,768.11	11,518,476.87
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$5,708.76	\$13,864,520.34	\$13,870,229.10
Internal Redistributions							
Redistribution	487,777.37	(4,286,866.41)	0.00	1,393,615.79	1,922,479.37	482,993.88	0.00
SUBTOTAL: Internal Redistributions	\$487,777.37	(\$4,286,866.41)	\$0.00	\$1,393,615.79	\$1,922,479.37	\$482,993.88	\$0.00
GRAND TOTAL:	\$1,813,742.25	\$1,544,590.76	\$456,736.70	\$16,968,463.90	\$58,737,876.05	\$16,309,747.35	\$95,831,157.01

**PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - AUGUST 2020**

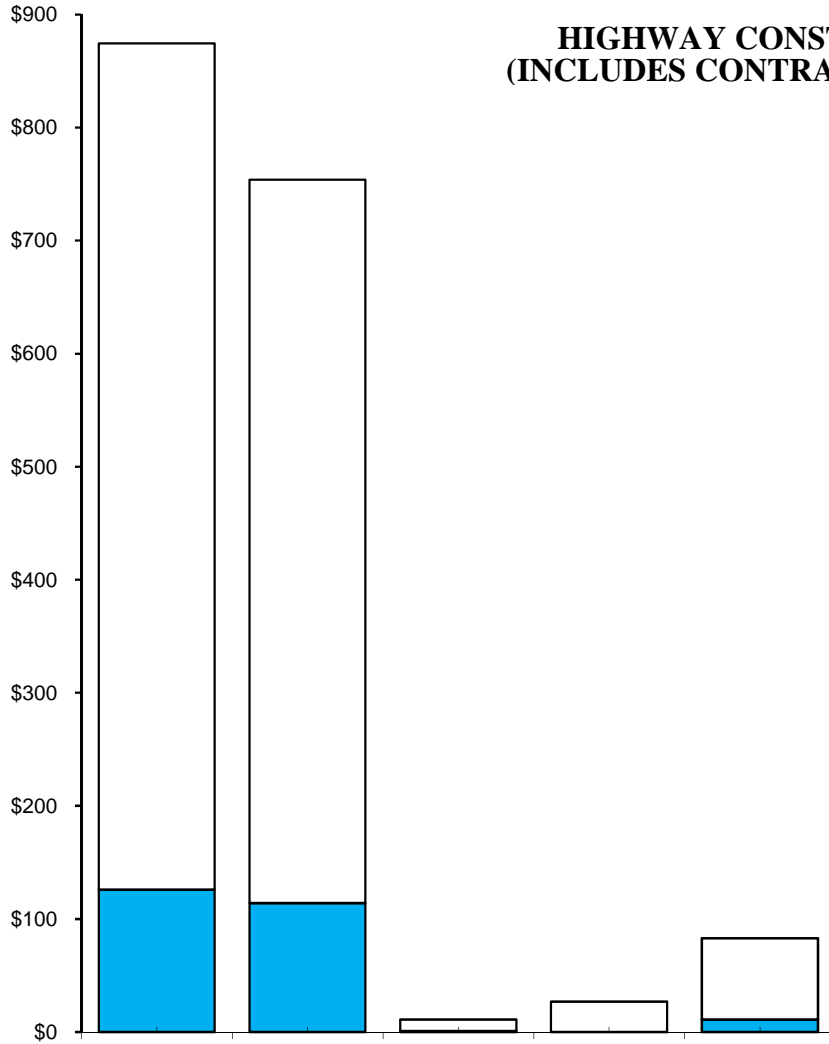
FISCAL YEAR 2021
Period Expired 16.7%
Pay Period Ending 08/16/2020

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	1,941,473.00	3,814,235.35	0.00	5,934,949.69	5,601,376.61	1,634,691.49	18,926,726.14
Temporary Salaries	1,463.99	68,338.11	0.00	540,557.78	96,758.20	64,942.44	772,060.52
Overtime	3,266.83	75,896.21	0.00	425,033.30	605,786.98	20,581.09	1,130,564.41
Employee Benefits	0.00	6,769,390.41	0.00	0.00	0.00	0.00	6,769,390.41
SUBTOTAL: Personal Services	\$1,946,203.82	\$10,727,860.08	\$0.00	\$6,900,540.77	\$6,303,921.79	\$1,720,215.02	\$27,598,741.48
Operating Expenses							
Utilities	0.00	295,612.00	0.00	196,444.07	450.24	87.60	492,593.91
Rentals	1,100.92	14,947.45	0.00	153,879.36	0.00	80.50	170,008.23
Repairs & Maintenance	0.00	259,978.41	0.00	867,530.82	705.00	4,504.35	1,132,718.58
Maintenance Contracts	0.00	2,360.00	0.00	3,000,612.36	1,486.40	0.00	3,004,458.76
Engineering Contracts	0.00	65,524.00	341,753.95	66,125.00	3,709,184.02	701,474.34	4,884,061.31
Contractual Services	170,940.03	382,755.93	0.00	956,548.81	11,253.62	1,346,774.71	2,868,273.10
Technology Expenses	536,870.88	1,392,728.32	0.00	1,428.00	0.00	550,223.91	2,481,251.11
Other Operating Expenses	75,073.10	544,831.24	2,997.37	1,297,116.20	24,937.19	21,867.18	1,966,822.28
SUBTOTAL: Operating Expenses	\$783,984.93	\$2,958,737.35	\$344,751.32	\$6,539,684.62	\$3,748,016.47	\$2,625,012.59	\$17,000,187.28
Supplies and Materials							
General Supplies & Materials	53,514.94	31,227.94	0.00	122,647.22	84.84	7,399.59	214,874.53
Maint & Const Materials	7,169.23	252,637.83	0.00	14,200,651.04	96,344.16	46,689.87	14,603,492.13
Automotive Supplies & Materials	0.00	(72,765.22)	0.00	2,230,272.40	0.00	49.44	2,157,556.62
SUBTOTAL: Supplies and Materials	\$60,684.17	\$211,100.55	\$0.00	\$16,553,570.66	\$96,429.00	\$54,138.90	\$16,975,923.28
Travel							
In State Travel	8,260.06	3,379.11	0.00	4,267.98	24,631.23	23,122.03	63,660.41
Out of State Travel	0.00	0.00	0.00	0.00	27.12	319.92	347.04
SUBTOTAL: Travel	\$8,260.06	\$3,379.11	\$0.00	\$4,267.98	\$24,658.35	\$23,441.95	\$64,007.45
Capital Outlay							
Land	0.00	0.00	0.00	0.00	1,266,867.00	0.00	1,266,867.00
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	135,303,611.80	0.00	135,339,911.53
Buildings	0.00	0.00	166,794.30	0.00	0.00	0.00	166,794.30
Heavy Equipment and Vehicles	0.00	0.00	0.00	2,679,040.04	0.00	0.00	2,679,040.04
Specialty Equipment	0.00	0.00	0.00	9,100.00	72,900.00	0.00	82,000.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$166,794.30	\$2,724,439.77	\$136,643,378.80	\$0.00	\$139,534,612.87
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	4,009,662.05	4,009,662.05
Highway Safety Office	0.00	(990.85)	0.00	0.00	0.00	390,853.26	389,862.41
Other Government Aid	0.00	0.00	0.00	0.00	45,698.71	26,580,437.70	26,626,136.41
SUBTOTAL: Government Aid & Distr	\$0.00	(\$990.85)	\$0.00	\$0.00	\$45,698.71	\$30,980,953.01	\$31,025,660.87
Internal Redistributions							
Redistribution	1,210,842.44	(10,528,733.23)	0.00	3,455,060.29	4,701,202.20	1,161,628.30	0.00
SUBTOTAL: Internal Redistributions	\$1,210,842.44	(\$10,528,733.23)	\$0.00	\$3,455,060.29	\$4,701,202.20	\$1,161,628.30	\$0.00
GRAND TOTAL:	\$4,009,975.42	\$3,371,353.01	\$511,545.62	\$36,177,564.09	\$151,563,305.32	\$36,565,389.77	\$232,199,133.23

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
August 2020

<u>COST BY ORGANIZATIONAL STRUCTURE</u>	<u>Cash Flow Allotment</u>	<u>Month's Expenditure</u>	<u>Expended to Date</u>	<u>Allotment Balance</u>	<u>% Expended to Date</u>	<u>Encumbrances</u>
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	72,963.11	147,129.61	579,556.39	20.25%	0.00
140 - LEGAL	2,947,291.00	101,159.70	198,147.38	2,749,143.62	6.72%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	243,736.70	653,614.27	2,750,324.73	19.20%	1,007,354.34
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,673,354.00	192,301.95	405,211.83	2,268,142.17	15.16%	55,729.13
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,751,270.00	\$610,161.46	\$1,404,103.09	\$8,347,166.91	14.40%	\$1,234,720.20
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	162,201.54	387,590.28	1,905,032.72	16.91%	0.00
320 - BRIDGE DIVISION	7,541,203.00	596,983.15	1,290,002.24	6,251,200.76	17.11%	1,652,850.38
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	281,966.74	812,539.19	3,716,564.81	17.94%	691,492.98
350 - RIGHT OF WAY DIVISION	5,044,385.00	361,642.99	875,438.44	4,168,946.56	17.35%	51,776.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	688,761.95	1,655,448.31	13,609,028.69	10.85%	11,226,792.29
370 - ROADWAY DESIGN DIVISION	26,301,169.00	1,479,076.83	3,563,263.07	22,737,905.93	13.55%	15,826,582.79
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	101,102.14	243,421.05	1,215,666.95	16.68%	19,462.40
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	205,057.86	454,266.58	2,708,481.42	14.36%	1,943,787.59
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,797.00	\$3,876,793.20	\$9,281,969.16	\$56,312,827.84	14.15%	\$31,412,744.73
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	216,169.14	416,065.84	2,290,370.16	15.37%	339,401.13
260 - OPERATIONS DIVISION	21,611,341.00	1,833,450.84	3,473,637.95	18,137,703.05	16.07%	6,173,520.41
280 - BUSINESS TECH SUPPORT DIVISION	19,976,472.00	899,740.61	2,790,489.85	17,185,982.15	13.97%	32,661,976.91
380 - CONSTRUCTION DIVISION	2,920,458.00	231,565.87	552,898.20	2,367,559.80	18.93%	1,512.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	1,057,638.25	2,163,700.10	10,870,725.90	16.60%	10,391,832.34
610 - DISTRICT 1	34,024,032.00	3,560,662.06	7,199,194.05	26,824,837.95	21.16%	5,596,152.63
620 - DISTRICT 2	23,354,011.00	1,969,015.77	4,327,257.61	19,026,753.39	18.53%	5,242,274.41
630 - DISTRICT 3	31,339,572.00	3,080,821.68	6,830,689.45	24,508,882.55	21.80%	2,904,795.39
640 - DISTRICT 4	33,107,740.00	3,053,577.58	6,785,456.60	26,322,283.40	20.50%	3,560,579.77
650 - DISTRICT 5	23,367,222.00	1,953,054.67	4,100,238.60	19,266,983.40	17.55%	4,081,171.03
660 - DISTRICT 6	25,846,634.00	2,484,743.03	5,339,639.70	20,506,994.30	20.66%	5,015,930.01
670 - DISTRICT 7	17,087,182.00	2,259,306.59	4,608,273.69	12,478,908.31	26.97%	3,037,825.98
680 - DISTRICT 8	16,356,389.00	1,433,764.55	4,231,408.58	12,124,980.42	25.87%	2,519,370.88
SUBTOTAL: OFFICE OF OPERATIONS	\$264,731,915.00	\$24,033,510.64	\$52,818,950.22	\$211,912,964.78	19.95%	\$81,526,343.39
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	(187,266.92)	(137,206.06)	137,206.06	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	215,398.84	(186,988.28)	1,269,050.28	(17.28)%	143,256.18
904 - TRANSPORTATION CAPITAL	610,237,608.00	67,282,559.79	169,018,305.10	441,219,302.90	27.70%	967,559,589.33
SUBTOTAL: BUDGETARY CONTROL	\$611,319,668.42	\$67,310,691.71	\$168,694,110.76	\$442,625,559.24	27.60%	\$967,702,845.51
AGENCY TOTAL	\$951,397,652.00	\$95,831,157.01	\$232,199,133.23	\$719,198,518.77	24.41%	\$1,081,876,653.83

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	114.08			11.16	125.24
9/24/2020					
10/15 & 29/2020					
11/19/2020					
12/10/2020					
1/14/2021					
2/25/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	114.17	0.72	0.00	11.16	126.05

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	16.51	1.76	15.44	51.47		10.81	125.24
9/24/2020									
10/15 & 29/2020									
11/19/2020									
12/10/2020									
1/14/2021									
2/25/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	21.50	7.84	16.51	1.76	16.16	51.47	0.00	10.81	126.05

	State System				Local System
	Total FY 2021 Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
% Let to Date	14.4%	15.1%	6.5%	0.0%	13.5%
Actual \$ Let	126.05	114.17	0.72	0.00	11.16
Projected \$ Remaining	748.55	639.74	10.30	26.80	71.71
Total	\$874.60	\$753.91	\$11.02	\$26.80	\$82.87

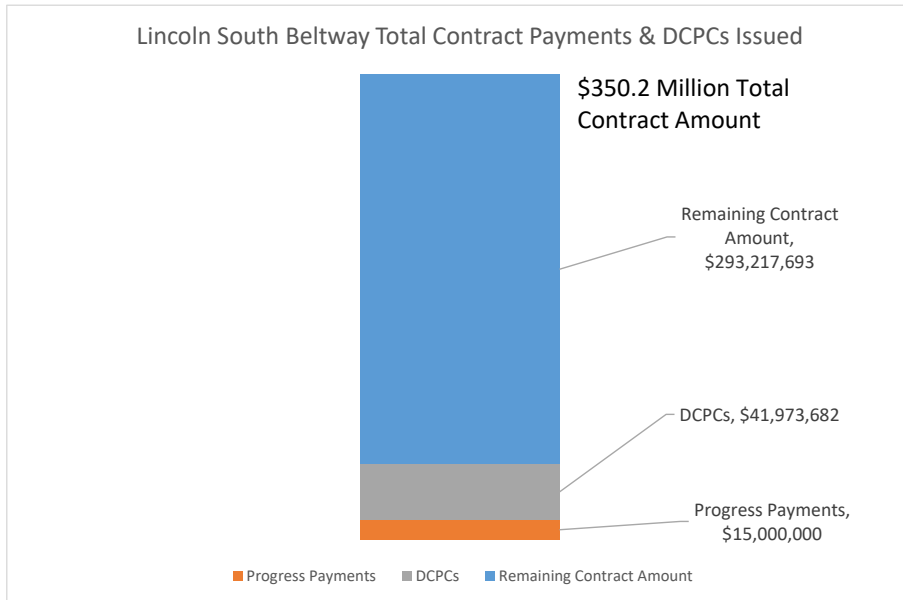
- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of August 31, 2020.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued

Through August 2020

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through August 2020)

Remaining Contract Amount	\$293,217,693
Progress Payments	\$15,000,000
DCPCs	\$41,973,682

DCPC Payments Due by Fiscal Year (for DCPCs Issued Through August 2020)

FY 2023	\$15,000,000
FY 2024	\$26,973,682
FY 2025	
Total DCPCs to date	\$41,973,682

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST									
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896
STP - Bridge Off System		3,777		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691
Research		1,369		1,429		1,494		1,494		1,533		1,563
Transportation Alternatives (TAP)	668	5,552	835	5,801	751	5,677	766	5,800	766	5,800	767	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730
Redistribution - Certain Authorizations	123	0.913		0.874		0.968	51	0.376	61	0.451	45	0.327
Redistribution - TIFIA	632	4,721										
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$ 38,744	\$ 289,325	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543
Others & Ext of Alloc Programs	11	0.150		1,274								
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 295,123	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455
Obligation Authority												
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	\$ 292,728	\$ 43,685	\$ 302,824	\$ 48,418	\$ 306,849	\$ 40,601	\$ 311,471	\$ 46,365	\$ 329,111

Footnotes:

FY20 Apportionment per Public Law 114-94.

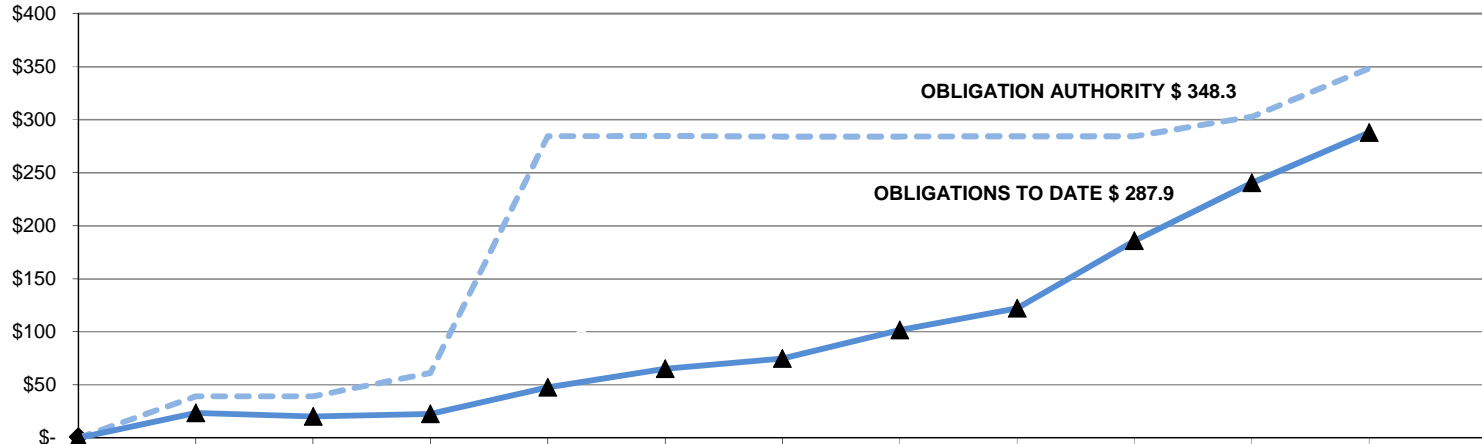
**STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2020
AUGUST 31, 2020**

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2020	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2019	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	-	174,621,967	(55,000,000)	119,621,967	81,264,209	38,357,758	107,813,999	94,126,711
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	283,690
Highway Bridge Program	-	-	-	-	-	-	-	74,789
STP - Bridge Off System	-	3,777,257	-	3,777,257	3,708,812	68,445	966,035	6,914,505
STP - Flexible - Any Area	-	33,411,512	55,000,000	88,411,512	86,997,190	1,414,322	55,368,138	118,487,677
STP - MAPA - Omaha	44,832,419	16,337,654	-	61,170,073	53,766,553	7,403,520	23,322,866	59,180,108
STP - LCLC - Lincoln	10,055,746	6,438,852	-	16,494,598	2,589,096	13,905,502	73,093	3,575,907
STP - 5,001 to 200,000 Pop	27,453,615	8,979,411	-	36,433,026	1,459,097	34,973,929	8,000	2,095,199
STP - 5,000 & Less Population	8,358,570	13,697,023	-	22,055,593	19,572,545	2,483,048	-	16,951,631
Congestion Mitigation & Air Qual	-	10,811,816	-	10,811,816	1,711,419	9,100,397	-	2,420,051
Highway Safety Improvemt Prog	16,460,070	15,819,107	59,850	32,339,027	11,214,038	21,124,989	2,792,406	15,794,639
Rail-Hwy - Hazard Elimination	195,922	1,949,979	-	2,145,901	520,831	1,625,070	4,555,970	2,719,378
Rail-Hwy - Protection Devices	5,955,563	1,949,979	-	7,905,542	(138,239)	8,043,781	-	2,496,170
Highway Planning	-	4,690,873	53,000	4,743,873	3,203,993	1,539,880	2,089	10,234,784
Research	-	1,563,625	601,062	2,164,687	1,433,262	731,425	141,795	6,359,363
Metropolitan Planning	-	1,787,676	-	1,787,676	1,494,241	293,435	-	3,659,255
National Hwy Freight Program	-	10,730,335	-	10,730,335	10,730,335	-	-	10,730,335
TAP - Flex	2,020,668	2,900,268	-	4,920,936	4,527,546	393,390	-	6,688,197
TAP - >200,000 Population	856,919	1,453,327	-	2,310,246	298,652	2,011,594	-	1,063,167
TAP - 5,001 to 200,000 Pop	797,677	572,960	-	1,370,637	1,354,540	16,097	-	1,615,777
TAP - 5,000 and Less Population	1,296,495	873,981	-	2,170,476	88,586	2,081,890	-	790,631
Recreational Trails	-	1,205,213	-	1,205,213	(528,045)	1,733,258	-	3,667,529
Enhancement	123,954	-	-	123,954	(89,921)	213,875	-	289,696
Safe Routes to School Prog	196,930	-	-	196,930	33,790	163,140	-	139,577
Redistribution - Certain Auth.	2,403,439	1,802,399	-	4,205,838	-	4,205,838	-	-
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	825,938	-	748,020	1,573,958	1,573,958	-	-	1,508,493
Other	1,069,929	-	-	1,069,929	1,069,929	-	-	1,543,114
Total Formula Funds	\$ 122,903,854	\$ 315,375,214	\$ 1,461,932	\$ 439,741,000	\$ 287,856,419	\$ 151,884,581	\$ 195,044,391	\$ 373,410,374
Allocated/Discretionary Funds	13,931	-	18,466,679	18,480,610	(10,632)	18,491,242	-	413,089
Total Subject to Annual Obligation Limits	\$ 122,917,785	\$ 315,375,214	\$ 19,928,611	\$ 458,221,610	\$ 287,845,788	\$ 170,375,822	\$ 195,044,391	\$ 373,823,463
Special Limit/Allocated Exempt Equity Bonus	144,254,541	4,542,763	68,631,102	217,428,406	111,148,496	106,279,910	-	58,502,178
	-	-	-	-	-	-	-	-
GRAND TOTAL	\$ 267,172,326	\$ 319,917,977	\$ 88,559,713	\$ 675,650,016	\$ 398,994,284	\$ 276,655,732	\$ 195,044,391	\$ 432,325,641

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY20 Apportionment per Public Law .114-94

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2020
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	39.3	39.3	61.3	284.5	284.6	284.2	284.2	284.3	284.5	302.9	348.3	
OA Used	0.0	23.4	20.3	22.7	47.6	65.1	74.9	101.7	122.2	185.7	240.4	287.9	

	<u>FEDERAL FY-2019</u>		<u>FEDERAL FY-2020</u>		
	<u>OBLIGATION AUTHORITY</u>		<u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2019		As of August 31, 2020		
Formula Obligation Limitation	\$	277.0	\$	284.1	
August Redistribution		34.4		45.0	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	1.0	\$	0.7	91.7%
Subtotal	\$	312.4	\$	329.8	
Other Allocation Obligation Limitation		0.2		18.5	
Annual Obligation Limitation	\$	312.6	\$	348.3	
Formula Obligations to Date		(312.0)		(287.9)	Obligated
Allocated Obligations to Date		(0.6)		-	82.7%
Subtotal	\$	(312.6)	\$	(287.9)	
Obligation Authority Balance	\$	-	\$	60.4	
 <u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Highway Infrastructure Program Exempt		0.0		27.6	
Emergency Relief/Allocated Exempt		94.0		0.0	
Training and Education Fast		0.0		0.2	
National Infrastructure Invest TIGER IX		0.0		25.0	
National Infrastructure Invest Build 2019		0.0		17.0	
Previous Years Funding		56.6		152.0	
Total Special Obligation Limitation	\$	155.1	\$	226.2	
Obligations to Date		(7.6)		(111.1)	
Obligation Authority Balance	\$	147.5	\$	115.1	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - AUGUST 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,045,894.11	1,858.92	5,612.87	4,132.83	17,078.21	2,074,576.94
	RIGHT OF WAY	272,156.26	0.00	0.00	16,470.59	0.00	288,626.85
	CONSTRUCTION	(7,725,891.35)	58,324,235.18	164,088.00	290,306.37	893,561.67	51,946,299.87
	CONSTRUCTION ENGINEERING	749,520.87	1,515,066.53	5,118.63	29,799.81	46,596.88	2,346,102.72
	PLANNING & RESEARCH	4,198.58	16,794.32	0.00	0.00	0.00	20,992.90
	TOTAL	\$ (4,654,121.53)	\$ 59,857,954.95	\$ 174,819.50	\$ 340,709.60	\$ 957,236.76	\$ 56,676,599.28
LOCAL	PRELIMINARY ENGINEERING	19,591.19	228,792.74	10,085.70	(8,858.67)	1,284.43	250,895.39
	RIGHT OF WAY	0.00	106,623.17	11,850.14	349.93	0.00	118,823.24
	CONSTRUCTION	753,222.50	5,523,613.67	352,960.49	3,239,566.44	47,145.18	9,916,508.28
	CONSTRUCTION ENGINEERING	117,748.08	241,774.97	3,671.07	57,983.57	7,548.10	428,725.79
	PLANNING & RESEARCH	0.00	3,538.75	144.77	71.17	0.02	3,754.71
	TOTAL	\$ 890,561.77	\$ 6,104,343.30	\$ 378,712.17	\$ 3,289,112.44	\$ 55,977.73	\$ 10,718,707.41
NON-HWY	PRELIMINARY ENGINEERING	1,169,409.60	185,019.00	0.00	43,592.69	5,219.49	1,403,240.78
	RIGHT OF WAY	81,158.57	(280.00)	0.00	(70.00)	0.00	80,808.57
	CONSTRUCTION	36,604.32	538,736.00	0.00	134,681.41	0.00	710,021.73
	CONSTRUCTION ENGINEERING	668,144.95	72,179.41	0.00	18,011.17	0.00	758,335.53
	TRAFFIC SAFETY & TRANS	12,277.14	272,334.40	0.00	0.00	60.00	284,671.54
	PLANNING & RESEARCH	284,611.94	1,019,805.26	0.00	7,763.13	24,483.27	1,336,663.60
	PUBLIC TRANSPORTATION ASSIST	623,858.89	1,554,113.36	45,797.20	20,729.83	481.50	2,244,980.78
	TOTAL	\$ 2,876,065.41	\$ 3,641,907.43	\$ 45,797.20	\$ 224,708.23	\$ 30,244.26	\$ 6,818,722.53
TOTAL - CURRENT MONTH	\$ (887,494.35)	\$ 69,604,205.68	\$ 599,328.87	\$ 3,854,530.27	\$ 1,043,458.75	\$ 74,214,029.22	

FISCAL YEAR TO DATE - AUGUST 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	4,710,999.42	35,728.89	9,902.84	27,268.03	17,475.29	4,801,374.47
	RIGHT OF WAY	1,310,951.17	0.00	0.00	54,686.75	0.00	1,365,637.92
	CONSTRUCTION	3,199,918.58	134,316,219.46	397,220.11	(39,537.93)	(2,323,471.50)	135,550,348.72
	CONSTRUCTION ENGINEERING	1,722,490.39	3,263,315.56	12,789.89	68,984.63	62,288.46	5,129,868.93
	PLANNING & RESEARCH	6,537.08	20,020.68	0.00	0.00	2,232.01	28,789.77
	TOTAL	\$ 10,950,896.64	\$ 137,635,284.59	\$ 419,912.84	\$ 111,401.48	\$ (2,241,475.74)	\$ 146,876,019.81
LOCAL	PRELIMINARY ENGINEERING	14,999.60	503,380.65	27,678.12	16,764.56	20,381.69	583,204.62
	RIGHT OF WAY	629.90	197,119.79	16,452.52	8,677.94	0.00	222,880.15
	CONSTRUCTION	5,128,311.75	11,115,816.42	676,425.91	6,438,639.42	141,538.91	23,500,732.41
	CONSTRUCTION ENGINEERING	388,069.85	501,254.45	24,702.10	101,533.73	12,194.01	1,027,754.14
	PLANNING & RESEARCH	11,012.54	6,290.28	(8,556.61)	(1,755.03)	90.83	7,082.01
	TOTAL	\$ 5,543,023.64	\$ 12,323,861.59	\$ 736,702.04	\$ 6,563,860.62	\$ 174,205.44	\$ 25,341,653.33
NON-HWY	PRELIMINARY ENGINEERING	3,004,585.59	196,023.65	0.00	48,180.19	5,219.49	3,254,008.92
	RIGHT OF WAY	194,214.53	1,960.00	0.00	490.00	0.00	196,664.53
	CONSTRUCTION	37,447.53	964,488.90	0.00	241,297.01	0.00	1,243,233.44
	CONSTRUCTION ENGINEERING	1,414,235.53	80,147.87	0.00	20,006.08	0.00	1,514,389.48
	TRAFFIC SAFETY & TRANS	(143,800.17)	864,439.71	0.00	0.00	60.00	720,699.54
	PLANNING & RESEARCH	587,939.75	1,980,148.45	0.00	11,282.64	32,962.65	2,612,333.49
	PUBLIC TRANSPORTATION ASSIST	892,531.58	3,194,804.41	45,798.02	20,730.10	481.51	4,154,345.62
	TOTAL	\$ 5,987,154.34	\$ 7,282,012.99	\$ 45,798.02	\$ 341,986.02	\$ 38,723.65	\$ 13,695,675.02
TOTAL - FISCAL YEAR TO DATE	\$ 22,481,074.62	\$ 157,241,159.17	\$ 1,202,412.90	\$ 7,017,248.12	\$ (2,028,546.65)	\$ 185,913,348.16	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
AUGUST 2020**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,666,592,712.93	925,576,139.58	741,016,573.35	(4,654,121.53)	10,950,896.64	56,136,621.91
	FEDERAL	1,257,428,758.86	1,088,029,621.81	169,399,137.05	59,857,954.95	137,635,284.59	263,708,965.28
	COUNTY	2,456,583.34	1,976,909.44	479,673.90	174,819.50	419,912.84	1,202,578.94
	CITY	61,666,804.40	22,487,059.37	39,179,745.03	340,709.60	111,401.48	3,405,500.89
	OTHER	21,581,532.01	17,002,302.82	4,579,229.19	957,236.76	(2,241,475.74)	(805,711.82)
STATE HIGHWAY SYSTEM TOTALS		\$ 3,009,726,391.54	\$ 2,055,072,033.02	\$ 954,654,358.52	\$ 56,676,599.28	\$ 146,876,019.81	\$ 323,647,955.20
LOCAL HIGHWAY SYSTEM							
	STATE	58,895,799.38	37,648,856.47	21,246,942.91	890,561.77	5,543,023.64	11,821,395.85
	FEDERAL	310,955,004.16	214,569,872.78	96,385,131.38	6,104,343.30	12,323,861.59	41,789,850.46
	COUNTY	15,150,311.74	7,445,488.06	7,704,823.68	378,712.17	736,702.04	3,353,520.17
	CITY	115,408,419.70	66,306,659.91	49,101,759.79	3,289,112.44	6,563,860.62	10,164,407.45
	OTHER	9,322,940.17	8,074,474.29	1,248,465.88	55,977.73	174,205.44	547,578.16
LOCAL HIGHWAY SYSTEM TOTALS		\$ 509,732,475.15	\$ 334,045,351.51	\$ 175,687,123.64	\$ 10,718,707.41	\$ 25,341,653.33	\$ 67,676,752.09
NON-HIGHWAY							
	STATE	344,405,305.38	315,207,969.00	29,197,336.38	2,876,065.41	5,987,154.34	49,943,924.50
	FEDERAL	203,752,211.17	103,280,172.67	100,472,038.50	3,641,907.43	7,282,012.99	23,346,841.06
	COUNTY	682,886.47	340,960.82	341,925.65	45,797.20	45,798.02	188,379.09
	CITY	6,743,542.16	3,927,438.93	2,816,103.23	224,708.23	341,986.02	708,995.04
	OTHER	14,161,924.65	11,716,606.95	2,445,317.70	30,244.26	38,723.65	453,592.70
NON-HIGHWAY TOTALS		\$ 569,745,869.83	\$ 434,473,148.37	\$ 135,272,721.46	\$ 6,818,722.53	\$ 13,695,675.02	\$ 74,641,732.39
GRAND TOTALS		\$ 4,089,204,736.52	\$ 2,823,590,532.90	\$ 1,265,614,203.62	\$ 74,214,029.22	\$ 185,913,348.16	\$ 465,966,439.68

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
AUGUST 2020**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
PRELIMINARY ENGINEERING	525,644,924.59	369,313,440.61	156,331,483.98	3,728,713.11	8,638,588.01	33,528,466.52
RIGHT OF WAY	182,410,307.21	117,593,517.48	64,816,789.73	488,258.66	1,785,182.60	11,333,632.81
UTILITIES	46,104,092.72	21,443,498.45	24,660,594.27	460,797.94	1,388,321.76	4,113,427.20
CONSTRUCTION	2,898,251,645.75	2,042,749,679.36	855,501,966.39	62,112,031.94	158,905,992.81	365,432,964.97
CONSTRUCTION ENGINEERING	205,535,812.49	131,122,682.18	74,413,130.31	3,533,164.04	7,672,012.55	22,150,239.00
TRAFFIC SAFETY	27,430,494.15	15,732,031.05	11,698,463.10	284,671.54	720,699.54	3,837,462.66
PLANNING & RESEARCH	105,309,640.71	65,977,170.87	39,332,469.84	1,361,411.21	2,648,205.27	9,380,654.41
PUBLIC TRANSPORTATION	98,517,818.90	59,658,512.90	38,859,306.00	2,244,980.78	4,154,345.62	16,189,592.11
GRAND TOTALS	\$ 4,089,204,736.52	\$ 2,823,590,532.90	\$ 1,265,614,203.62	\$ 74,214,029.22	\$ 185,913,348.16	\$ 465,966,439.68

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
AUGUST 2020**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,115,807,526.09	825,065,001.57	290,742,524.52	(8,403,539.59)	3,678,757.32	69,129,365.47
ROADS OPERATION FUND AC*	68,195,029.97	1,790,891.76	66,404,138.21	541,181.39	768,936.87	(5,489,151.95)
GRADE CROSSING FUND	2,496,921.25	1,458,603.12	1,038,318.13	17,380.35	70,341.84	292,560.12
GRADE SEPARATION-TMT	28,051,609.79	24,571,699.87	3,479,909.92	244,688.94	617,874.73	2,204,308.77
RECREATION ROAD FUND	11,277,505.60	9,186,032.31	2,091,473.29	122,376.50	2,476,569.65	4,230,897.52
ST HWY CAPITAL IMPR	697,830,269.17	338,564,733.63	359,265,535.54	1,550,211.49	5,212,494.96	23,481,569.86
STATE AID BRIDGE	4,472,734.66	3,139,040.63	1,333,694.03	121,321.15	430,656.23	850,380.13
TRANS INFRA BANK	141,762,221.16	74,656,962.16	67,105,259.00	4,918,885.42	9,225,443.02	23,202,012.34
TOTAL STATE FUNDS	\$ 2,069,893,817.69	\$ 1,278,432,965.05	\$ 791,460,852.64	\$ (887,494.35)	\$ 22,481,074.62	\$ 117,901,942.26
FEDERAL FUNDS	1,772,135,974.19	1,405,879,667.26	366,256,306.93	69,604,205.68	157,241,159.17	328,845,656.80
COUNTY FUNDS	18,289,781.55	9,763,358.32	8,526,423.23	599,328.87	1,202,412.90	4,744,478.20
CITY FUNDS	183,818,766.26	92,721,158.21	91,097,608.05	3,854,530.27	7,017,248.12	14,278,903.38
OTHER FUNDS	45,066,396.83	36,793,384.06	8,273,012.77	1,043,458.75	(2,028,546.65)	195,459.04
GRAND TOTALS	\$ 4,089,204,736.52	\$ 2,823,590,532.90	\$ 1,265,614,203.62	\$ 74,214,029.22	\$ 185,913,348.16	\$ 465,966,439.68

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
August 31, 2020**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 6,915,049.07	\$ 12,974,209.64	\$ 461,640,936.81			
Expenditures						
Expressway and High Priority Corridors	1,546,269.26	5,206,829.55	227,670,334.96	336,115,898.80	364,910,449.87	
Other Highways	3,942.23	5,665.41	110,894,398.67	23,149,636.74	191,109,158.62	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 1,550,211.49	\$ 5,212,494.96	\$ 450,032,823.59	\$ 359,265,535.54	\$ 556,019,608.49	
Funds Available			\$ 11,608,113.22			

**Transportation Innovation Act
Financial Status
August 31, 2020**

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act. This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,450,604.47	\$ 4,592,327.90	\$ 133,907,744.31		
Expenditures					
Accelerated State Highway Capital Improvement Program	4,832,242.79	8,928,107.31	67,355,962.54	56,764,223.14	180,359,047.55
County Bridge Match Program	86,642.63	297,335.71	6,241,162.49	10,341,033.86	12,087,383.00
Economic Opportunity Program			1,059,837.13	2.00	18,570,440.14
TIB Projects Completed/Closed					
Total Expenditures	\$ 4,918,885.42	\$ 9,225,443.02	\$ 74,656,962.16	\$ 67,105,259.00	\$ 211,016,870.69
Funds Available			\$ 59,250,782.15		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2020 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 90.60%

	FAST Act ⁽¹⁾ FY-2020 <u>APPORT</u>	FY-2020 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2020 <u>OBL LIMIT</u>	OBLIGATED THRU <u>08/31/20</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	0.600	-	0.600	1.639	(1.039)
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.422	-	-	3.422	3.591	(0.169)
AMNESTY URBAN 5K - 200K	-	-	1.792	-	1.792	-	1.792
MAPA - OMAHA	16.338	\$ 14.802	- ⁽⁴⁾	-	14.802	53.767	(38.965)
LCLC - LINCOLN	6.439	\$ 5.834	5.826	-	11.660	2.589	9.071
SubTotal Local	\$ 26.554	\$ 24.058	\$ 8.218	\$ -	\$ 32.276	\$ 61.586	\$ (29.310)
METRO PLANNING	1.788	1.620	-	-	1.620	1.494	0.126
Omaha	66.836%	1.128	1.022	-	1.022	1.150	(0.128)
Lincoln	26.341%	0.469	0.425	-	0.425	0.306	0.119
South Sioux City	1.688%	0.067	0.061	-	0.061	0.016	0.045
Grand Island	5.135%	0.124	0.112	-	0.112	0.022	0.090
TAP - Flex	2.900	2.627	-	(2.706)	(0.079)	(0.079)	-
TAP - 5K and Under	0.874	0.792	-	-	0.792	0.054	0.738
TAP - 5K-200K	0.573	0.519	-	5.429	5.948	5.940	0.008
TAP - MAPA - OMAHA	1.042	0.944	-	-	0.944	0.265	0.679
TAP - LCLC - LINCOLN	0.411	0.372	-	-	0.372	0.033	0.339
REC TRAILS	1.205	1.092	-	-	1.092	(0.528)	1.620
TOTAL	\$ 35.347	\$ 32.024	\$ 8.218	\$ 2.723	\$ 42.965	\$ 68.765	\$ (25.800)

(1) FY20 Apportionment per Public Law 114-94 through September 30, 2020.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of \$41.2 Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of August 31, 2020

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	366,185.31
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	212,495.70
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	165,620.55

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	156,612.23
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	145,127.61
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	69,584.79
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	2,300,424.42
3078	SAUNDERS COUNTY	145,069.59
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,437,607.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	360,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14

September
2020

Nebraska Department of Transportation Financial Report



NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

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- The state revenue projections in this report were developed in June 2020 and incorporate NDOT’s estimates of the impact of the COVID-19 pandemic. The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and subsequent transfer to NDOT following the revenue generating economic activity.
- Total revenue in September exceeded expenditures by \$28.3 million. Fiscal year to date revenues surpassed expenditures by \$51.7 million (page 4).
- Projected \$929.5 million in total receipts (Roads Division) for the fiscal year with a state fuel tax at 33.2 cents. The month of September’s major revenue categories: Motor Fuel Tax revenue was over the projected amount by \$1.3 million or 5.0%, motor vehicle registration revenue was over the projected amount by \$ 546 thousand or 21.2% and motor vehicle sales tax was under the projected amount by \$-138 thousand or -0.8%. Highway Cash Fund receipts for FY-20 to date were higher than projections by \$14.2 million or 12.4% (page 11, 12).
- Established an operating budget for Roads Division of \$951.4 million for FY20 which represents our best estimate of cash requirements for the fiscal year (pages 13, 14 and 17).

September expenditures totaled \$99.7 million. Fiscal year to date expenditures totaled \$331.9 million, 34.9% of the yearly budget. For payroll purposes only, this includes the payroll reporting period of August 17, 2020 thru September 13, 2020. The payroll additive rate is established at 65% and the administrative rate is 2.29%.
- Highway construction contract lettings fiscal year to date totaled \$169.4 million, \$157.2 million on the state highway system (page 18).
- The September report includes a page describing the payments and Deferred Contract Payment Certificates issued under the Lincoln South Beltway contract. (page 19).
- For Federal Fiscal Year 2020, Nebraska received federal fund apportionments and allocations under the FAST Act totaling \$318.5 million. As of September 30, 2020, the entire amount of spending authority was obligated (pages 22, 23 and 24).
- Build Nebraska Act became effective July 1, 2013. Revenue totaling \$469.2 million has been received to date with allocated expenditures totaling \$453.6 million (page 29).
- Transportation Innovation Act became effective July 1, 2016. This act transferred \$50 million from the Cash Reserve Fund. Additional allocated gas tax revenue and interest earning totaling \$86.4 million has been received to date with expenditures totaling \$80.1 million (page 30).

STATEMENT OF NET ASSETS – Financial statement that shows assets, liabilities, and net assets at month end as compared to the previous month end and the same point in time in the previous year.

REPORT SCOPE

The Statement of Net Assets, page 2, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash funds. The Department’s fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

CURRENT ASSETS

CASH - On deposit with the State Treasurer and available for meeting the demands of Department expenses and liabilities. This demand may vary from \$100,000 to \$28 million for a single day. During the low construction season, December through May, the monthly demand may be as low as \$30 million as compared to as high as \$130 million during the high construction season.

FEDERAL RECEIVABLES – Includes: Claims Submitted - Federal participation in highway construction costs incurred to date, claimed but not received, Earned Unbilled - Federal participation in highway construction costs incurred to date - not claimed, Deferred Expense - Project expenses held in retentions that are not eligible for federal reimbursement until final payments are made.

OTHER RECEIVABLES - Includes receivables due the Department from entities other than the federal government, both project and non-project related.

INVENTORIES - Cost of supplies and material goods held in stock for future use by the Department in part of daily operations.

CAPITAL ASSETS

EQUIPMENT - Cost of automotive/heavy road equipment reported at acquisition price less depreciation.

LAND – Historical costs of all land acquired since 1958 by the Department for highway operations.

INFRASTRUCTURES - Historical costs of all highways and bridges, 1958 through FY-2001. Beginning FY-2002, infrastructures are reported using the modified approach (reported as an asset value when it increases the capacity and/or efficiency and open to the public.) Includes work in progress.

BUILDINGS – Historical costs of all capital construction building costs. Includes work in progress.

LIABILITIES

ACCOUNTS PAYABLE - Recognized costs for which payment has not been made.

RETENTIONS - Parts of contract earnings for which payment is deferred pending final acceptance of the project by the Department.

OTHER PAYABLES - Includes performance guarantees and advance deposits.

NET ASSETS - Net current resources invested in highway facilities or available for future expenditures.

CAPITAL EQUITY – Net capital investment in highway facilities (equipment, land, infrastructures, and buildings).

RESERVE FUND BALANCE – The portion of the fund balance that is legally segregated for a specific future use and is not available for expenditure.

UNRESERVED FUND BALANCE – The portion of the fund balance available to finance future expenditures.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

STATEMENT OF NET ASSETS
September 2020

	Current Month Balance	Previous Month Balance	Difference	%	Previous Year Balance	Difference	%
ASSETS							
Current Assets							
Cash & Cash Equivalents	265,702,538.64	252,769,338.33	12,933,200.31	5.12	208,044,456.73	57,658,081.91	27.71
Federal Receivables	61,952,291.92	54,681,206.94	7,271,084.98	13.30	9,483,761.17	52,468,530.75	553.25
Other Receivables	16,239,655.17	17,452,745.32	(1,213,090.15)	(6.95)	8,048,866.23	8,190,788.94	101.76
Inventories	2,966,993.62	2,984,546.75	(17,553.13)	(0.59)	3,128,340.26	(161,346.64)	(5.16)
Total Current Assets	\$346,861,479.35	\$327,887,837.34	\$18,973,642.01	5.79 %	\$228,705,424.39	\$118,156,054.96	51.66 %
Capital Assets							
Equipment	67,286,655.05	66,896,387.11	390,267.94	0.58	64,220,450.62	3,066,204.43	4.77
Land	569,312,437.83	569,312,437.83	0.00	0.00	545,113,987.23	24,198,450.60	4.44
Infrastructures	7,900,636,476.35	7,900,636,476.35	0.00	0.00	7,860,499,911.61	40,136,564.74	0.51
Buildings	100,745,738.28	100,745,738.28	0.00	0.00	99,455,466.95	1,290,271.33	1.30
Total Capital Assets	\$8,637,981,307.51	\$8,637,591,039.57	\$390,267.94	0.00 %	\$8,569,289,816.41	\$68,691,491.10	0.80 %
Total Assets	\$8,984,842,786.86	\$8,965,478,876.91	\$19,363,909.95	0.22 %	\$8,797,995,240.80	\$186,847,546.06	2.12 %
LIABILITIES							
Current Liabilities							
Accounts Payable	6,985,412.93	11,428,526.19	(4,443,113.26)	(38.88)	7,380,295.57	(394,882.64)	(5.35)
Retention Payable	57,361,659.26	43,362,896.06	13,998,763.20	32.28	1,117,148.44	56,244,510.82	5,034.65
Other Payables	70,932,045.07	75,812,043.59	(4,879,998.52)	(6.44)	52,828,306.94	18,103,738.13	34.27
Total Current Liabilities	\$135,279,117.26	\$130,603,465.84	\$4,675,651.42	3.58 %	\$61,325,750.95	\$73,953,366.31	120.59 %
Total Liabilities	\$135,279,117.26	\$130,603,465.84	\$4,675,651.42	3.58 %	\$61,325,750.95	\$73,953,366.31	120.59 %
NET ASSETS							
Capital Equity							
Capital	8,637,981,307.51	8,637,591,039.57	390,267.94	0.00	8,569,289,816.41	68,691,491.10	0.80
Total Capital Equity	\$8,637,981,307.51	\$8,637,591,039.57	\$390,267.94	0.00 %	\$8,569,289,816.41	\$68,691,491.10	0.80 %
Fund Balance							
Reserved Fund Balance	(54,394,665.64)	(40,378,349.31)	(14,016,316.33)	34.71	2,011,191.82	(56,405,857.46)	(2,804.60)
Unreserved Fund Balance	265,977,027.73	237,662,720.81	28,314,306.92	11.91	165,368,481.62	100,608,546.11	60.84
Total Fund Balance	\$211,582,362.09	\$197,284,371.50	\$14,297,990.59	7.25 %	\$167,379,673.44	\$44,202,688.65	26.41 %
Total Net Assets	\$8,849,563,669.60	\$8,834,875,411.07	\$14,688,258.53	0.17 %	\$8,736,669,489.85	\$112,894,179.75	1.29 %
Total Liabilities and Net Assets	\$8,984,842,786.86	\$8,965,478,876.91	\$19,363,909.95	0.22 %	\$8,797,995,240.80	\$186,847,546.06	2.12 %

COMPARATIVE STATEMENT OF OPERATIONS - Summary of Revenues and Costs for the Accounting Period by month and by FY to date as compared to the previous month and the same point in time the previous year.

REPORT SCOPE

The Comparative Statement of Operations, page 4, presents the financial position of the Nebraska Department of Transportation and includes all funds. Separate reports portray the detail operations and status of the Recreation Road, Grade Crossing, State Aid Bridge, State Highway Capital Improvement, and Transportation Infrastructure Bank Cash Funds. The Department's fiscal year is for a twelve-month period from July 1 to June 30.

FINANCIAL STATEMENT TERMS

REVENUE - Income accruing during an accounting period. The figures for revenue on page 4 of this report and those receipt figures seen throughout the rest of the report have different sources. The figures on page 4 are based on accrual accounting from NDOT's financial systems. The receipt figures throughout the rest of the report are based on the State's Accounting System.

STATE - This amount reflects the Department's share of the state tax on motor fuel and diesel fuel, motor vehicle registration fees, sales tax on motor vehicles and other highway-user payments. It also reflects monies deposited in the State Aid Bridge Fund, Recreation Road Fund, Grade Crossing Protection Fund, the State Highway Capital Improvement Fund and the Transportation Infrastructure Bank Fund.

FEDERAL - The federal reimbursable share of Department expenditures in the Roads Division as of the date of publication.

LOCAL - Reimbursable share of Department expenditures from local Nebraska government subdivisions (cities and counties) for their participating share for Roads Division construction projects.

OTHER ENTITIES - Reimbursable share of Department expenditures from other entities (states, railroads, etc.) for their participating share for Roads Division construction projects.

EXPENDITURES - The value of goods received, services performed, and work accomplished during an accounting period. Costs incurred, for which supporting transaction documents or notification has not reached the Controller Division within the accounting period, will be reflected in subsequent accounting periods.

ADMINISTRATION - Cost of administrative support for all Department activities that includes supervisory and related expenses and the cost of board and commission activities.

HIGHWAY MAINTENANCE - Costs to perform physical maintenance, traffic control, winter operations, indirect charges, and unusual and disaster operations on the highway system.

CAPITAL FACILITIES - Costs of land purchase, design and construction of new facilities necessary to accomplish the Department's construction and maintenance programs.

SERVICES and SUPPORT - Serves as a support center of activity costs for the other four programs. Includes supply base/inventories, charges to others for services rendered, building operations, business technology services, and support centers such as the print shop.

CONSTRUCTION - Costs of engineering, R.O.W. appraisals and purchase, construction work performed, highway planning and research, and highway safety.

NEBRASKA OFFICE OF HIGHWAY SAFETY - Costs incurred in the administration of grants for the National Highway Safety Program.

PUBLIC TRANSIT - Costs for bus acquisitions and transit systems operating loss subsidy.

EXCESS REVENUE (EXPENDITURES) - The increase or decrease in net current assets for the period.

BASIS OF ACCOUNTING - Nebraska Department of Transportation utilizes the accrual basis of accounting modified by the recording of revenues on a cash basis. Accrual basis of accounting reflects costs in the period the costs are incurred, not necessarily when payment is made and similarly reflects revenue in the period it is earned and not necessarily when it is collected.

**STATEMENT OF OPERATIONS
ALL OPERATING FUNDS
SEPTEMBER 2020**

	Current Month	Previous Month	Difference	%	Current Fiscal Year To Date	Prev Fiscal Year to Date	Difference	%
Revenue								
State Revenues	56,817,467.38	40,225,195.97	16,592,271.41	41.25	148,648,433.91	136,784,332.24	11,864,101.67	8.67
Federal Reimbursements	63,544,028.28	69,604,205.68	(6,060,177.40)	(8.71)	220,785,187.45	161,601,972.72	59,183,214.73	36.62
Local Revenues	5,944,902.24	4,364,014.82	1,580,887.42	36.23	14,032,882.19	6,250,504.26	7,782,377.93	124.51
Other Entities Revenues	1,723,135.57	1,201,983.05	521,152.52	43.36	129,275.22	3,439,424.77	(3,310,149.55)	(96.24)
Total Revenue	\$128,029,533.47	\$115,395,399.52	\$12,634,133.95	10.95 %	\$383,595,778.77	\$308,076,233.99	\$75,519,544.78	24.51 %
Expenditures								
Administration	2,044,065.06	1,813,742.25	230,322.81	12.70	6,054,040.48	6,400,017.14	(345,976.66)	(5.41)
Highway Maintenance	16,490,987.30	16,968,463.90	(477,476.60)	(2.81)	52,668,551.39	51,759,943.65	908,607.74	1.76
Capital Facilities	465,047.51	456,736.70	8,310.81	1.82	976,593.13	590,330.72	386,262.41	65.43
Services and Support	3,965,303.34	1,544,590.76	2,420,712.58	156.72	7,336,656.35	6,923,638.46	413,017.89	5.97
Construction	74,208,233.43	72,615,627.97	1,592,605.46	2.19	257,751,061.99	287,253,949.82	(29,502,887.83)	(10.27)
Highway Safety Office	380,946.87	234,484.00	146,462.87	62.46	859,937.13	1,181,554.08	(321,616.95)	(27.22)
Public Transit	2,160,113.04	2,197,511.43	(37,398.39)	(1.70)	6,266,989.31	4,960,907.60	1,306,081.71	26.33
Total Expenditures	\$99,714,696.55	\$95,831,157.01	\$3,883,539.54	4.05 %	\$331,913,829.78	\$359,070,341.47	(\$27,156,511.69)	(7.56) %
Excess Revenue (Expenditures)	\$28,314,836.92	\$19,564,242.51	\$8,750,594.41	44.73 %	\$51,681,948.99	(\$50,994,107.48)	\$102,676,056.47	(201.35) %

BALANCE SHEET BY FUND – Statement of the financial position for all funds administered by the Nebraska Department of Transportation.

FUND DEFINITION

Roads Operations Cash Fund = State statute 66-4,100 established this fund in order to effectively implement the provisions of the variable excise tax. This fund is the collection point for all monies which are not directed by the Legislature to other fund accounts, including all federal and local reimbursements. This fund also acts as the recipient of the state funds transferred from the Highway Cash Fund and as a focal point for most highway expenditures.

Highway Cash Fund = State statute 66-4,100 established this fund to receive all state revenue transferred to the Department of Transportation from the Highway Trust Fund, including funds generated by the motor and diesel fuel taxes, motor vehicle registration and related fees, along with sales tax revenue from motor vehicles. These funds are then transferred to the Department's Roads Operations Cash Fund up to the limit as established by the Legislature.

State Highway Capital Improvement Fund = State statute 39-2703 established this fund to receive 85% of one quarter of one percent of state General Fund sales tax for the period July 1, 2013 through June 30, 2033. At least twenty five percent of this fund is to be used for the construction of the expressway system and federally designated high priority corridors. The remaining money is to be used for surface transportation projects of the highest priority as determined by the Department of Transportation.

Transportation Infrastructure Bank Fund = State statute 39-2803 established this fund to receive the additional fuel tax revenue generated by Laws 2015, LB 610 for the period July 1, 2016 through June 30, 2033 and directed the State Treasurer to transfer \$50 million from the state's Cash Reserve Fund in July 2016. This fund is to be used for three specific programs: Accelerated State Highway Capital Improvement Program, County Bridge Match Program, and Economic Opportunity Program.

Grade Separation Fund = State statute 74-1320 established a 7.5¢ tax per train mile, effective April 1, 1984, levied on all train miles traveled in this state, plus a tax of \$100 annually on all public grade crossings in this state. A train mile is defined as each mile traveled by a train in this state regardless of the number of cars in such train. Quarterly payments are due on the first day of the calendar quarter. These funds are used for the construction of rail and highway grade separations.

Grade Crossing Protection Fund = The Grade Crossing Protection Fund is established by Statute 74-1317. State Statute 39-2215 provides for the monthly transfer of \$30,000 from the Highway Trust Fund to the Grade Crossing Protection Fund. The funds provide the state's share, or 95% of the cost of construction and installation of automatic crossing protection devices on all classes of roadways. The participating political subdivision is required to finance the balance of the cost, except in a county where a railroad transportation safety district has been formed, in which case the balance shall be borne entirely by the railroad transportation safety district involved. Projects are generally funded from Federal Funds, State Funds, and political subdivisions. Any political subdivision, which eliminates a crossing by closing the street or highway, will be paid \$5,000 plus the actual costs of the closing not to exceed \$12,000 from the Grade Crossing Protection Fund. In addition, the political subdivision will be paid \$5,000 from the responsible railroad. Any political subdivision, which eliminates a crossing by removal of the rail, will be paid \$2,000 or the actual cost, not to exceed \$12,000 from the Grade Crossing Protection Fund.

Recreation Road Fund = The Recreation Road program, established by Statute 39-1390, reflects the use of \$1.50 of each motor vehicle registration fee per Statute 60-302 for construction and maintenance (when not provided for by others) of dustless surface roads designated as State Recreation Roads.

State Aid Bridge Fund = The State Aid Bridge Fund, established by Statute 39-846, provides a program of state aid for the replacement of county bridges. The State Aid Bridge Fund receives \$64,000 per month from the Highway Trust Fund, 50% from the Department's share and 50% from the counties' share, which is proportional from each county based on the lineal feet of bridges twenty feet or more in length and all overpasses in each county. When Federal-aid Bridge Replacement funds are available, bridge replacement projects are funded from federal funds, county funds and the State Aid Bridge Fund. After all Federal-aid Bridge Replacement funds have been utilized, funding distribution may vary based on availability of funds.

**BALANCE SHEET BY FUND
September 2020**

	Roads Operations Cash 2270	Highway Cash 2271	State Highway Capital Improvement 2274	Transportation Infrastructure Bank 2275	Grade Separation 2670	Grade Crossing Protection 2671	State Recreation Road 2672	State Aid Bridge 7757	Total
ASSETS									
Cash	122,373,221.55	47,477,394.14	19,202,965.92	61,701,890.40	2,798,921.22	1,996,883.31	10,082,856.20	64,031.04	265,698,163.78
Other Current Assets	81,163,315.57	0.00	0.00	0.00	0.00	0.00	0.00	0.00	81,163,315.57
Capital Assets	8,637,981,307.51	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,637,981,307.51
TOTAL ASSETS	\$8,841,517,844.63	\$47,477,394.14	\$19,202,965.92	\$61,701,890.40	\$2,798,921.22	\$1,996,883.31	\$10,082,856.20	\$64,031.04	\$8,984,842,786.86
LIABILITIES									
Current Liabilities	135,279,117.26	0.00	0.00	0.00	0.00	0.00	0.00	0.00	135,279,117.26
TOTAL LIABILITIES	\$135,279,117.26	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$135,279,117.26
NET ASSETS									
Fund Balance	154,942,148.67	(78,262,413.88)	3,846,398.87	63,883,897.27	3,134,131.66	1,969,741.89	11,470,480.05	(1,083,971.43)	159,900,413.10
Capital Equity	8,637,981,307.51	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,637,981,307.51
Accrued Interfund Transfer	(11,142,390.79)	0.00	3,565,845.76	5,452,730.42	167,941.39	7,691.22	229,723.32	1,718,458.68	0.00
Revenues	228,509,820.91	125,739,808.02	20,569,062.01	7,043,436.15	296,813.62	99,094.09	1,145,659.24	192,084.73	383,595,778.77
Costs	(304,052,158.93)	0.00	(8,778,340.72)	(14,678,173.44)	(799,965.45)	(79,643.89)	(2,763,006.41)	(762,540.94)	(331,913,829.78)
TOTAL NET ASSETS	\$8,706,238,727.37	\$47,477,394.14	\$19,202,965.92	\$61,701,890.40	\$2,798,921.22	\$1,996,883.31	\$10,082,856.20	\$64,031.04	\$8,849,563,669.60
TOTAL LIABILITIES AND NET ASSETS	\$8,841,517,844.63	\$47,477,394.14	\$19,202,965.92	\$61,701,890.40	\$2,798,921.22	\$1,996,883.31	\$10,082,856.20	\$64,031.04	\$8,984,842,786.86

FUND BALANCES AND INVESTMENT EARNINGS

Roads Divisions

September 2020

The nature of revenue and expenditure trends create a cash flow situation in that during the first six months of a fiscal year expenditures are more than incoming revenue. It is therefore necessary to begin the fiscal year with a cash balance. This balance will alleviate the cash flow requirement existing from July thru December. It will also allow the Department to meet January's expenditures which will be incurred before most of January's revenue is received.

The table below reflects the variance between revenue and expenditures for the current year, in millions. *Indicates months that include costs for three payrolls.

FY21	JUL*	AUG	SEPT	OCT	NOV	DEC*	JAN	FEB	MAR	APR	MAY	JUN*
Revenue	140.1	115.3	128.0									
Expenditures	136.3	95.8	99.7									
Balance	3.80	19.50	28.30									
Cumulative Balance	3.80	23.30	51.60									

Department funds are programmed and obligated to meet the construction program and operating requirements. A season imbalance of receipts and expenditures causes funds to become temporarily available for investment activity, especially during the winter months.

Investments on fund balances earned \$286,152.02 in September, with an interest rate of 1.47%. The chart below reflects the interest earned and interest rate by month. The interest information as reflected here represents total interest received from all funds. The figures on page 6 represent total receipts by fund, including interest.

FY 21	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total	AVG
Interest Rate	1.75%	1.45%	1.47%											1.56%
Earnings (Thousands)	\$334	\$276	\$286										\$896	\$299

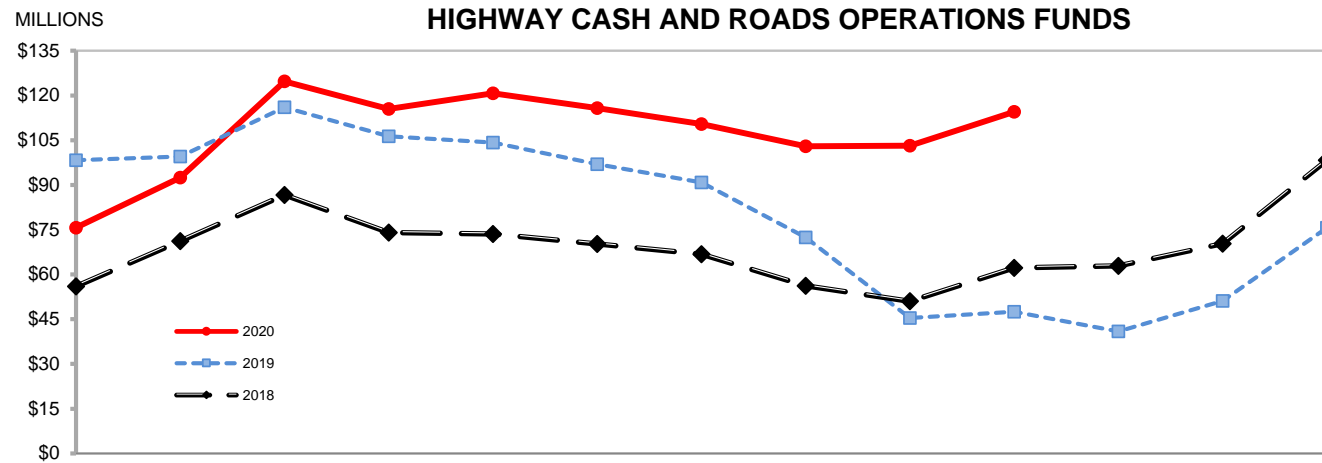
FUND BALANCES - MONTHLY LOW POINT

Roads Divisions

September 2020

(IN MILLIONS)

Total of all funds available as of September 30 is \$258 million. The chart below compares the Highway Cash and Roads Operations Funds monthly lowest level for three calendars years. For these two funds, the month ranged from a high of \$149 million on the 31st to a low of \$103 million on the 28th



	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HIGHWAY CASH & ROADS OPERATIONS												
2020	92.5	124.7	115.5	120.7	115.8	110.4	103.0	103.2	114.5			
2019	99.5	116.0	106.3	104.2	97.0	90.8	72.4	45.4	47.5	40.9	51.1	75.7
2018	71.2	86.6	74.0	73.6	70.2	66.8	56.2	51.0	62.2	62.9	70.3	98.3
STATE HIGHWAY CAPITAL IMPROVEMENT FUND												
2020	0.0	0.0	1.4	0.0	2.7	4.8	3.8	6.2	11.6			
2019	0.0	0.0	0.0	0.7	4.3	7.5	7.6	0.0	0.0	0.0	0.0	0.0
2018	0.0	1.6	5.3	8.0	6.5	5.1	3.7	0.0	0.0	0.0	0.0	0.0
TRANSPORTATION INFRASTRUCTURE BANK FUND												
2020	67.0	67.1	67.2	67.8	69.0	66.3	65.9	64.1	61.6			
2019	77.8	79.1	79.8	81.4	82.8	83.7	83.4	85.9	84.8	79.5	79.1	70.0
2018	63.3	64.5	65.3	66.3	66.8	67.7	69.1	69.8	71.7	73.2	75.1	76.7
GRADE CROSSING PROTECTION FUND												
2020	4.8	4.8	5.8	5.7	5.5	5.2	5.1	4.7	4.5			
2019	5.5	5.5	5.5	6.7	6.4	6.3	6.6	6.5	6.3	6.2	5.5	5.0
2018	4.5	4.2	4.3	5.2	5.2	5.1	5.3	5.3	5.1	5.3	5.3	5.2
RECREATION ROAD FUND												
2020	7.7	11.6	12.0	12.3	11.9	11.4	11.5	9.5	9.7			
2019	8.8	8.9	9.3	9.5	9.7	9.8	10.1	10.1	10.3	10.7	10.9	11.1
2018	6.5	6.7	7.0	7.2	7.4	7.8	7.9	8.0	8.3	8.5	8.5	8.7
STATE AID BRIDGE FUND												
2020	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0			
2019	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2018	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
September 2020**

		ADMINISTRATION 026					301	AIRCRAFT 596		TOTALS	
		Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:											
450000	Taxes	113,002.80									113,002.80
460000	Intergovernmental			11,631.37		211,536.38		3,484,936.15			3,708,103.90
470000	Sales & Charges			2,600.00	13,239.85	1,717.47	28,136.15				45,693.47
480000	Miscellaneous	9,192.81				14,185.50					23,378.31
490000	Other					12,808.46					12,808.46
TOTAL REVENUES		122,195.61	-	14,231.37	13,239.85	240,247.81	28,136.15	3,484,936.15	-	-	3,902,986.94
EXPENDITURES:											
510000	Personal Services	38,706.07		35,570.75	13,723.13	9,239.41					97,239.36
520000	Operating Expenses	11,660.21		57.02	10,213.31	446,494.76	224.41		11,855.96		480,505.67
570000	Travel Expenses	121.79	312.38	680.98	1,327.32				355.26		2,797.73
580000	Capital Outlay										-
590000	Government Aid							3,599,224.51			3,599,224.51
80000	Misc Expense Adj										-
TOTAL EXPENDITURES		50,488.07	312.38	36,308.75	25,263.76	455,734.17	224.41	3,599,224.51	12,211.22	-	4,179,767.27
Excess (Deficiency) of Revenues Over Expenditures		71,707.54	(312.38)	(22,077.38)	(12,023.91)	(215,486.36)	27,911.74	(114,288.36)	(12,211.22)	-	(276,780.33)
OTHER FINANCING SOURCES (USES):											
	Transfers In			22,077.38	12,023.91						-
	Transfers Out	(34,101.29)									
	Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures		37,606.25	(312.38)	-	-	(215,486.36)	27,911.74	(114,288.36)	(12,211.22)	-	(276,780.33)
Fund Balance August 31, 2020		1,429,961.08	(1,442.02)	-	-	1,342,644.47	(2,914.74)	3,381,661.91	(12,625.94)	1,349,135.50	7,486,420.26
Fund Balance Septemeber 30, 2020		1,467,567.33	(1,754.40)	-	-	1,127,158.11	24,997.00	3,267,373.55	(24,837.16)	1,349,135.50	7,209,639.93

**COMBINED SUMMARY OF REVENUES & EXPENDITURES FOR AERONAUTICS DIVISION
FISCAL YEAR TO DATE (July 1, 2020 through September 30, 2020)**

	ADMINISTRATION 026						301	AIRCRAFT 596		TOTALS
	Admin.	COVID 19	Proj Plan/Mgt	Nav. Aids	Airfields	Pave. Maint.	Capital Projs.	Operations	Aircraft Res.	
REVENUES:										
450000 Taxes	299,120.86									299,120.86
460000 Intergovernmental			(36,835.69)		226,546.01		8,512,802.19			8,702,512.51
470000 Sales & Charges			11,050.00	52,678.59	6,836.29	28,136.15				98,701.03
480000 Miscellaneous	29,044.74				131,123.10			2,979.82	168.00	163,315.66
490000 Other					48,018.86					48,018.86
TOTAL REVENUES	328,165.60	-	(25,785.69)	52,678.59	412,524.26	28,136.15	8,512,802.19	2,979.82	168.00	9,311,668.92
EXPENDITURES:										
510000 Personal Services	132,292.72		116,135.76	46,908.10	30,953.33	12.36		12.36		326,314.63
520000 Operating Expenses	39,568.07	21.39	2,614.76	20,447.71	490,878.50	3,126.79		27,432.15		584,089.37
570000 Travel Expenses	588.35	1,695.99	3,214.79	7,268.47				372.47		13,140.07
580000 Capital Outlay				(5,708.47)						(5,708.47)
590000 Government Aid	13,460.93						8,733,337.71			8,746,798.64
80000 Misc Expense Adj										-
TOTAL EXPENDITURES	185,910.07	1,717.38	121,965.31	68,915.81	521,831.83	3,139.15	8,733,337.71	27,816.98	-	9,664,634.24
Excess (Deficiency) of Revenues Over Expenditures	142,255.53	(1,717.38)	(147,751.00)	(16,237.22)	(109,307.57)	24,997.00	(220,535.52)	(24,837.16)	168.00	-352,965.32
OTHER FINANCING SOURCES (USES):										
Transfers In			147,751.00	16,237.22						-
Transfers Out	(163,988.22)									
Grant \$ transfer										
Excess (Deficiency) of Revenues Over Expenditures	(21,732.69)	(1,717.38)	-	-	(109,307.57)	24,997.00	(220,535.52)	(24,837.16)	168.00	-352,965.32
Fund Balance June 30, 2020	1,489,300.02	(37.02)	-	-	1,236,465.68	-	3,487,909.07	-	1,348,967.50	7,562,605.25
Fund Balance Septemeber 30, 2020	1,467,567.33	(1,754.40)	-	-	1,127,158.11	24,997.00	3,267,373.55	(24,837.16)	1,349,135.50	7,209,639.93

RECEIPTS

Motor Fuel Tax Rates

Effective Date	1/16	7/16	1/17	7/17	1/18	7/18	1/19	7/19	1/20	7/20	6 Month Change
Fixed Tax ¢	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	10.3	0.0
Incremental Tax ¢	1.5	1.5	3.0	3.0	4.5	4.5	6.0	6.0	6.0	6.0	0.0
Variable Tax ¢	2.5	2.5	3.5	4.2	4.9	3.5	2.6	3.7	2.8	7.4	4.6
Wholesale Tax ¢	12.5	11.5	10.5	9.5	8.7	9.7	10.7	9.7	10.2	9.5	-0.7
Total Tax ¢	26.8¢	25.8¢	27.3¢	27.0¢	28.4¢	28.0¢	29.6¢	29.7¢	29.3¢	33.2¢	3.9¢

MOTOR FUEL TAX AND SPECIAL FUEL TAX : The receipts in the current month were generated by motor fuel sales in the previous month.

Fixed Tax: Beginning July 1, 2009, the 10.3¢ fixed tax is distributed 7.5¢ to the Dept. of Transportation and 2.8¢ to the cities and counties, 50% each.

Incremental Fixed Tax: Effective January 1, 2016, the fixed tax increases 1 ½¢ each year thru 2019. ½¢ each to Dept. of Transportation , cities and counties.

Variable Tax: The Dept. of Transportation receives the revenue generated from the variable excise tax which is adjusted semi-annually and is computed by applying the rate to the average price of motor fuel purchased by state government in April and October. The variable rate for FY-2020 is 1.4% January through June.

Wholesale Tax: The 5% tax is applied to the average wholesale price of gasoline for the most current six month data as reported by the State Energy Office on April 1 and October 1. It is distributed 66% to the Dept. of Transportation and 17% each to the cities and counties.

MOTOR VEHICLE REGISTRATION AND OTHER REGISTRATION FEES: Fees collected from the registration of all non-exempt vehicles that are required to be licensed for operation on public roads within the State of Nebraska of which the Dept. of Transportation receives 53 ⅓ percent. Includes Motor Vehicle Registration and related fees (Fleet Proration Fees, Transporter Plate Fees, Repossession Plate Fees, Antique Car Licenses, Service Fee for Semi-Annual Truck Registrations, Demonstration Permits, and License Plate Fees). Does not include Recreation Road registration and interest.

SALES TAX ON MOTOR VEHICLES: State sales tax is 5 ½% applied to the purchase price of any vehicle required to pay motor vehicle registration fees. Effective October 1, 2006, all sales tax revenue over the 5% is distributed equally between the cities and counties. Effective October 1, 2007, the sales taxes derived from the lease for periods greater than thirty-one days of motor vehicles, trailers, and semitrailers is credited to the Highway Trust Fund.

OTHER STATE RECEIPTS: Includes excess limit permits, highway overload fines, interest from invested highway cash and roads operation funds, sale of surplus property and motor fuels to other state agencies, rentals, special motor vehicle fees and permits, and miscellaneous fees and permits.

STATE HIGHWAY CAPITAL IMPROVEMENT FUND: The Build Nebraska Act designates 85% of one quarter of one percent of state General Fund sales tax revenue on all taxable purchases for state highways.

TRANSPORTATION INFRASTRUCTURE BANK: The Dept. of Transportation's share of the additional fixed fuel tax revenue to be transferred from the Roads Operation Cash Fund for the period July 2016 through June 2033.

GRADE CROSSING PROTECTION FUND: Monthly transfer of \$30,000 from the motor vehicle sales tax receipts, train mile tax receipts and interest on invested funds.

RECREATION ROAD FUND: Fee of \$1.50 per motor vehicle registration and interest from invested funds.

STATE AID BRIDGE FUND: Highway Trust Fund monthly transfer of \$64,000, one half each from Dept. of Transportation and the counties share plus interest on invested funds.

FEDERAL RECEIPTS: Receipts collected from the federal reimbursable share of project costs.

LOCAL RECEIPTS: Receipts collected from local Nebraska government subdivisions (cities and counties) for their participating share.

OTHER RECEIPTS: Receipts collected from other entities (states, railroads, etc.) for their participating share.

**FY-2021 RECEIPTS
AS OF SEPTEMBER 30, 2020
Roads Division
(\$ THOUSANDS)**

Highway Cash Fund:	TOTAL PROJECTED June 2020	M O N T H L Y				F I S C A L Y E A R T O D A T E			
		PROJECTED	ACTUAL	\$ DIFF	% DIFF	PROJECTED	ACTUAL	\$ DIFF	% DIFF
Motor Fuel Taxes									
Fixed	\$98,306	\$ 8,522	\$ 8,927	\$ 405	4.7%	\$ 25,176	\$ 26,629	\$ 1,453	5.8%
Incremental Fixed	26,215	2,273	2,389	116	5.1%	6,714	7,127	413	6.2%
Variable	96,904	8,408	8,839	431	5.1%	19,869	20,923	1,054	5.3%
Wholesale	<u>79,104</u>	<u>7,124</u>	<u>7,489</u>	<u>365</u>	5.1%	<u>21,547</u>	<u>22,889</u>	<u>1,343</u>	6.2%
Subtotal	300,529	26,328	27,644	1,317	5.0%	73,305	77,568	4,263	5.8%
Motor Vehicle Registrations	32,062	2,282	2,743	461	20.2%	7,927	8,252	325	4.1%
Prorate Registrations	<u>12,180</u>	<u>292</u>	<u>377</u>	<u>85</u>	29.1%	<u>1,623</u>	<u>1,441</u>	<u>(182)</u>	(11.2%)
Subtotal	44,242	2,574	3,120	546	21.2%	9,550	9,693	143	1.5%
Sales Tax on Motor Vehicles	101,752	16,786	16,648	(138)	(0.8%)	28,868	38,302	9,434	32.6%
Interest	1,874	126	195	69	54.5%	392	586	194	49.6%
Sale of Supplies and Materials	1,300	105	102	(3)	(2.6%)	330	309	(21)	(6.5%)
Sale of Fixed Assets	1,350	53	118	65	121.8%	83	359	276	333.0%
Excess Limit	3,100	291	266	(25)	(8.4%)	850	771	(79)	(9.3%)
Overload Fines	1,150	107	33	(74)	(69.4%)	367	97	(270)	(73.5%)
Other Fees	<u>1,900</u>	<u>154</u>	<u>255</u>	<u>101</u>	65.8%	<u>424</u>	<u>682</u>	<u>258</u>	60.9%
SUBTOTAL HIGHWAY CASH FUND	\$ 457,197 (A)	\$ 46,524	\$ 48,381	\$ 1,857	4.0%	\$ 114,169	\$ 128,367	\$ 14,198 (B)	12.4%
Incremental Tax Transfer to TIB Fund	(25,938)	(2,279)	(2,370)	(90)	4.0%	(\$6,541)	(6,783)	(243)	3.7%
SUBTOTAL ROADS OPERATIONS CASH FUND	\$ 431,259	\$ 44,244	\$ 46,011	\$ 1,767	4.0%	\$ 107,628	\$ 121,583	\$ 13,955	13.0%
State Hwy Capital Impr Fund	65,666	5,595	7,595	2,000	35.7%	14,860	20,569	5,709	38.4%
Transportation Infrastructure Bank Fund (TIB)	26,478	2,324	2,451	127	5.5%	6,676	7,043	368	5.5%
Grade Crossing Protection Fund	3,410	746	322	(424)	(56.9%)	842	396	(446)	(53.0%)
Recreation Road Fund	4,110	322	368	46	14.2%	931	1,146	215	23.1%
State Aid Bridge Fund	<u>783</u>	<u>65</u>	<u>64</u>	<u>(1)</u>	(1.5%)	<u>195</u>	<u>192</u>	<u>(3)</u>	(1.5%)
TOTAL STATE RECEIPTS	\$ 531,706	\$ 53,297	\$ 56,810	\$ 3,514	6.6%	\$ 131,132	\$ 150,929	\$ 19,798	15.1%
Federal Receipts									
FHWA	362,300	58,361	54,622	(3,739)	(6.4%)	162,236	159,617	(2,619)	(1.6%)
Transit	9,000	636	1,412	776	121.9%	2,307	7,922	5,615	243.4%
Highway Safety	<u>5,500</u>	<u>730</u>	<u>227</u>	<u>(503)</u>	(68.9%)	<u>1,089</u>	<u>462</u>	<u>(627)</u>	(57.5%)
Subtotal-Federal Receipts	376,800	59,727	56,261	(3,466)	(5.8%)	165,632	168,001	2,369	1.4%
Local Receipts	15,000	708	2,312	1,604	226.6%	8,630	23,807	15,177	175.9%
Other Entities	<u>6,000</u>	<u>789</u>	<u>1,853</u>	<u>1,064</u>	134.8%	<u>2,230</u>	<u>2,675</u>	<u>445</u>	20.0%
TOTAL DEPARTMENT RECEIPTS	\$ 929,506	\$ 114,521	\$ 117,237	\$ 2,716	2.4%	\$ 307,624	\$ 345,413	\$ 37,789	12.3%

HIGHWAY CASH FUND APPROPRIATION ANALYSIS	
(A) Total Projected Receipts as of June 15, 2020	\$ 457,197
(B) Receipts Over/(Under) Projection To Date	14,198
Previous year's receipts over appropriation	0
Total Modified Projected Receipts	\$ 471,395
Highway Cash Fund Appropriation	\$ 459,000
Projected Receipts Over / (Under) Appropriation	12,395
% Variance From Appropriation	2.7%

** Numbers may not add due to rounding.
** Projections are updated semiannually in January and July.

Note: The various NDOT state revenue sources have varying collection times and varying lag times related to timing of remittance to the state and NDOT. Consequently, the effects of COVID-19 on NDOT revenues will occur at various intervals.

BUDGET STATUS REPORT
AGENCY SUMMARY BY RESOURCE
September 2020

FISCAL YEAR 2021
 Period Expired 25.00%
 Pay Period Ending 9/13/2020

COST BY RESOURCE	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Personal Services						
Permanent Salaries	107,938,356.00	7,610,463.14	26,537,189.28	81,401,166.72	24.59%	0.00
Temporary Salaries	1,905,021.00	158,673.28	930,733.80	974,287.20	48.86%	0.00
Overtime	6,071,840.00	392,769.52	1,523,333.93	4,548,506.07	25.09%	0.00
Employee Benefits	41,462,486.00	3,061,288.56	9,830,678.97	31,631,807.03	23.71%	0.00
SUBTOTAL: Personal Services	\$157,377,703.00	\$11,223,194.50	\$38,821,935.98	\$118,555,767.02	24.67%	\$0.00
Operating Expenses						
Utilities	3,653,660.00	273,265.62	765,859.53	2,887,800.47	20.96%	0.00
Rentals	877,359.00	191,405.12	361,413.35	515,945.65	41.19%	(4,164.31)
Repairs & Maintenance	11,598,760.00	752,234.05	1,884,952.63	9,713,807.37	16.25%	1,329,177.72
Maintenance Contracts	14,089,958.00	634,363.67	3,638,822.43	10,451,135.57	25.83%	25,443,959.52
Engineering Contracts	35,285,520.00	2,466,491.04	7,350,552.35	27,934,967.65	20.83%	44,023,180.32
Contractual Services	43,240,438.00	1,502,168.35	4,370,441.45	38,869,996.55	10.11%	10,798,644.28
Technology Expenses	21,745,005.00	2,975,992.14	5,457,243.25	16,287,761.75	25.10%	31,677,751.81
Other Operating Expenses	4,888,173.00	33,897.61	2,000,719.89	2,887,453.11	40.93%	580,486.27
SUBTOTAL: Operating Expenses	\$135,378,873.00	\$8,829,817.60	\$25,830,004.88	\$109,548,868.12	19.08%	\$113,849,035.61
Supplies and Materials						
General Supplies & Materials	1,698,165.00	169,830.42	384,704.95	1,313,460.05	22.65%	295,902.16
Maint & Const Materials	48,031,631.00	8,569,502.53	23,172,994.66	24,858,636.34	48.25%	19,133.83
Automotive Supplies & Materials	18,230,100.00	1,190,809.75	3,348,366.37	14,881,733.63	18.37%	0.00
SUBTOTAL: Supplies and Materials	\$67,959,896.00	\$9,930,142.70	\$26,906,065.98	\$41,053,830.02	39.59%	\$315,035.99
Travel						
In State Travel	825,995.00	33,918.73	97,579.14	728,415.86	11.81%	0.00
Out of State Travel	230,758.00	232.51	579.55	230,178.45	0.25%	0.00
SUBTOTAL: Travel	\$1,056,753.00	\$34,151.24	\$98,158.69	\$958,594.31	9.29%	\$0.00
Capital Outlay						
Land	16,000,000.00	219,816.02	1,486,683.02	14,513,316.98	9.29%	0.00
Hwy. Constr. - Contract Pymt.	453,243,732.00	54,241,039.28	189,580,950.81	263,662,781.19	41.83%	730,519,415.85
Buildings	11,668,000.00	358,686.35	525,480.65	11,142,519.35	4.50%	3,598,730.91
Heavy Equipment and Vehicles	14,691,430.00	1,299,580.00	3,978,620.04	10,712,809.96	27.08%	2,117,114.23
IT Hardware / Software	100,000.00	0.00	0.00	100,000.00	0.00%	0.00
Specialty Equipment	1,157,560.00	4,690.00	86,690.00	1,070,870.00	7.49%	442,565.00
SUBTOTAL: Capital Outlay	\$496,860,722.00	\$56,123,811.65	\$195,658,424.52	\$301,202,296.48	39.38%	\$736,677,825.99
Government Aid & Distr						
Public Transit Aid	42,312,705.00	2,119,627.08	6,129,289.13	36,183,415.87	14.49%	25,187,074.19
Highway Safety Office	5,200,000.00	343,616.22	733,478.63	4,466,521.37	14.11%	5,198,707.00
Other Government Aid	45,251,000.00	11,110,335.56	37,736,471.97	7,514,528.03	83.39%	120,964,876.85
SUBTOTAL: Government Aid & Distr	\$92,763,705.00	\$13,573,578.86	\$44,599,239.73	\$48,164,465.27	48.08%	\$151,350,658.04
Internal Redistributions						
Redistribution	0.00	0.00	0.00	0.00	0.00%	0.00
SUBTOTAL: Internal Redistributions	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
AGENCY TOTAL	\$951,397,652.00	\$99,714,696.55	\$331,913,829.78	\$619,483,822.22	34.89%	\$1,002,192,555.63

BUDGET STATUS REPORT
AGENCY SUMMARY BY PROGRAM/FUNCTION
September 2020

FISCAL YEAR 2021
 Period Expired 25.00%
 Pay Period Ending 9/13/2020

COST BY PROGRAM	Cash Flow Allotment	Month's Expenditure	Expended to Date	Allotment Balance	% Expended to Date	Encumbrances
Administration						
Administration	19,028,247.00	2,034,965.85	6,042,358.68	12,985,888.32	31.75%	673,830.05
Boards & Commissions	50,000.00	9,099.21	11,681.80	38,318.20	23.36%	0.00
SUBTOTAL: Administration	\$19,078,247.00	\$2,044,065.06	\$6,054,040.48	\$13,024,206.52	31.73%	\$673,830.05
Service and Support						
Charges to Others	1,100,000.00	118,007.65	274,034.94	825,965.06	24.91%	37,914.59
Deficiency Claims	17,184.00	0.00	0.00	17,184.00	0.00%	0.00
Supply Base/Inventories	1,000,000.00	328,304.93	428,360.99	571,639.01	42.84%	217,483.62
Building Operations	6,000,000.00	1,197,946.61	3,013,619.49	2,986,380.51	50.23%	2,302,849.17
Business Technology Services	14,657,318.00	2,019,093.47	4,249,548.19	10,407,769.81	28.99%	18,731,979.54
Support Centers	5,208,762.00	160,576.20	211,492.32	4,997,269.68	4.06%	0.00
Payroll Clearing	625,675.00	141,374.48	(840,399.58)	1,466,074.58	(134.32)%	28,391.13
SUBTOTAL: Service and Support	\$28,608,939.00	\$3,965,303.34	\$7,336,656.35	\$21,272,282.65	25.64%	\$21,318,618.05
Capital Facilities						
Capital Facilities	10,000,000.00	465,047.51	976,593.13	9,023,406.87	9.77%	4,545,814.25
SUBTOTAL: Capital Facilities	\$10,000,000.00	\$465,047.51	\$976,593.13	\$9,023,406.87	9.77%	\$4,545,814.25
Highway Maintenance						
System Preservation	42,000,000.00	8,526,995.24	25,485,932.57	16,514,067.43	60.68%	1,299,994.40
Operations	42,000,000.00	2,983,299.92	13,011,609.30	28,988,390.70	30.98%	19,302,014.20
Snow and Ice Control	40,000,000.00	1,538,961.33	4,318,151.25	35,681,848.75	10.80%	(76,907.35)
Unusual & Disaster Oper	1,500,000.00	213,981.63	780,382.92	719,617.08	52.03%	5,702,978.57
Equipment Operations	15,000,000.00	1,326,095.92	3,609,025.65	11,390,974.35	24.06%	2,178,876.79
Indirect Charges	20,943,553.00	1,901,653.26	5,463,449.70	15,480,103.30	26.09%	438,400.69
SUBTOTAL: Highway Maintenance	\$161,443,553.00	\$16,490,987.30	\$52,668,551.39	\$108,775,001.61	32.62%	\$28,845,357.30
Highway Construction						
Preliminary Engineering	50,100,000.00	3,492,485.14	11,149,068.04	38,950,931.96	22.25%	29,901,362.46
Right-Of-Way	15,000,000.00	375,669.15	2,061,815.57	12,938,184.43	13.75%	65,224.51
Construction	467,777,351.00	54,335,780.17	189,882,554.12	277,894,796.88	40.59%	730,512,387.78
Construction Engineering	25,000,000.00	2,534,034.25	9,207,836.30	15,792,163.70	36.83%	5,220,592.08
SUBTOTAL: Highway Construction	\$557,877,351.00	\$60,737,968.71	\$212,301,274.03	\$345,576,076.97	38.06%	\$765,699,566.83
Construction Related Expense						
Overhead	19,002,441.00	941,940.98	3,705,259.16	15,297,181.84	19.50%	15,927,764.01
Planning & Research	12,056,000.00	1,359,314.35	3,803,585.14	8,252,414.86	31.55%	19,787,107.23
Local Systems	95,792,666.00	11,169,009.39	37,940,943.66	57,851,722.34	39.61%	115,008,716.72
Highway Safety Office	5,213,250.00	380,946.87	859,937.13	4,353,312.87	16.50%	5,198,707.00
Public Transportation Asst	42,325,205.00	2,160,113.04	6,266,989.31	36,058,215.69	14.81%	25,187,074.19
SUBTOTAL: Construction Related Expense	\$174,389,562.00	\$16,011,324.63	\$52,576,714.40	\$121,812,847.60	30.15%	\$181,109,369.15
AGENCY TOTAL	\$951,397,652.00	\$99,714,696.55	\$331,913,829.78	\$619,483,822.22	34.89%	\$1,002,192,555.63

**PROGRAM STATUS REPORT
BUSINESS MONTH - SEPTEMBER 2020**

<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	755,969.26	1,711,192.12	0.00	2,318,855.75	2,161,754.71	662,691.30	7,610,463.14
Temporary Salaries	320.75	18,724.37	0.00	106,129.86	20,547.92	12,950.38	158,673.28
Overtime	1,363.98	42,505.94	0.00	135,230.65	207,180.52	6,488.43	392,769.52
Employee Benefits	0.00	3,061,288.56	0.00	0.00	0.00	0.00	3,061,288.56
SUBTOTAL: Personal Services	\$757,653.99	\$4,833,710.99	\$0.00	\$2,560,216.26	\$2,389,483.15	\$682,130.11	\$11,223,194.50
Operating Expenses							
Utilities	0.00	170,933.46	0.00	101,956.47	330.58	45.11	273,265.62
Rentals	1,283.37	778.84	0.00	189,342.91	0.00	0.00	191,405.12
Repairs & Maintenance	0.00	260,928.77	0.00	480,173.81	5,891.01	5,240.46	752,234.05
Maintenance Contracts	0.00	73,599.26	0.00	560,764.41	0.00	0.00	634,363.67
Engineering Contracts	0.00	135,046.00	106,361.16	23,800.00	2,052,240.19	149,043.69	2,466,491.04
Contractual Services	16,018.12	276,828.92	0.00	186,349.67	3,573.71	1,019,397.93	1,502,168.35
Technology Expenses	660,886.22	1,670,691.95	0.00	567,753.84	0.00	76,660.13	2,975,992.14
Other Operating Expenses	18,360.68	9,062.74	0.00	4,249.91	2,093.18	131.10	33,897.61
SUBTOTAL: Operating Expenses	\$696,548.39	\$2,597,869.94	\$106,361.16	\$2,114,391.02	\$2,064,128.67	\$1,250,518.42	\$8,829,817.60
Supplies and Materials							
General Supplies & Materials	108,380.44	28,258.53	0.00	28,882.65	5.38	4,303.42	169,830.42
Maint & Const Materials	6,424.68	187,282.85	0.00	8,321,118.26	28,021.14	26,655.60	8,569,502.53
Automotive Supplies & Materials	0.00	297,802.56	0.00	893,007.19	0.00	0.00	1,190,809.75
SUBTOTAL: Supplies and Materials	\$114,805.12	\$513,343.94	\$0.00	\$9,243,008.10	\$28,026.52	\$30,959.02	\$9,930,142.70
Travel							
In State Travel	7,894.66	4,077.15	0.00	314.01	11,637.77	9,995.14	33,918.73
Out of State Travel	0.00	0.00	0.00	0.00	0.00	232.51	232.51
SUBTOTAL: Travel	\$7,894.66	\$4,077.15	\$0.00	\$314.01	\$11,637.77	\$10,227.65	\$34,151.24
Capital Outlay							
Land	0.00	0.00	0.00	0.00	219,816.02	0.00	219,816.02
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	0.00	54,241,039.28	0.00	54,241,039.28
Buildings	0.00	0.00	358,686.35	0.00	0.00	0.00	358,686.35
Heavy Equipment and Vehicles	0.00	0.00	0.00	1,299,580.00	0.00	0.00	1,299,580.00
Specialty Equipment	0.00	0.00	0.00	0.00	0.00	4,690.00	4,690.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$358,686.35	\$1,299,580.00	\$54,460,855.30	\$4,690.00	\$56,123,811.65
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	2,119,627.08	2,119,627.08
Highway Safety Office	0.00	0.00	0.00	0.00	0.00	343,616.22	343,616.22
Other Government Aid	0.00	0.00	0.00	0.00	7,474.65	11,102,860.91	11,110,335.56
SUBTOTAL: Government Aid & Distr	\$0.00	\$0.00	\$0.00	\$0.00	\$7,474.65	\$13,566,104.21	\$13,573,578.86
Internal Redistributions							
Redistribution	467,162.90	(3,983,698.68)	0.00	1,273,477.91	1,776,362.65	466,695.22	0.00
SUBTOTAL: Internal Redistributions	\$467,162.90	(\$3,983,698.68)	\$0.00	\$1,273,477.91	\$1,776,362.65	\$466,695.22	\$0.00
GRAND TOTAL:	\$2,044,065.06	\$3,965,303.34	\$465,047.51	\$16,490,987.30	\$60,737,968.71	\$16,011,324.63	\$99,714,696.55

PROGRAM STATUS REPORT
FISCAL YEAR TO DATE - SEPTEMBER 2020

FISCAL YEAR 2021
 Period Expired 25.0%
 Pay Period Ending 09/13/2020

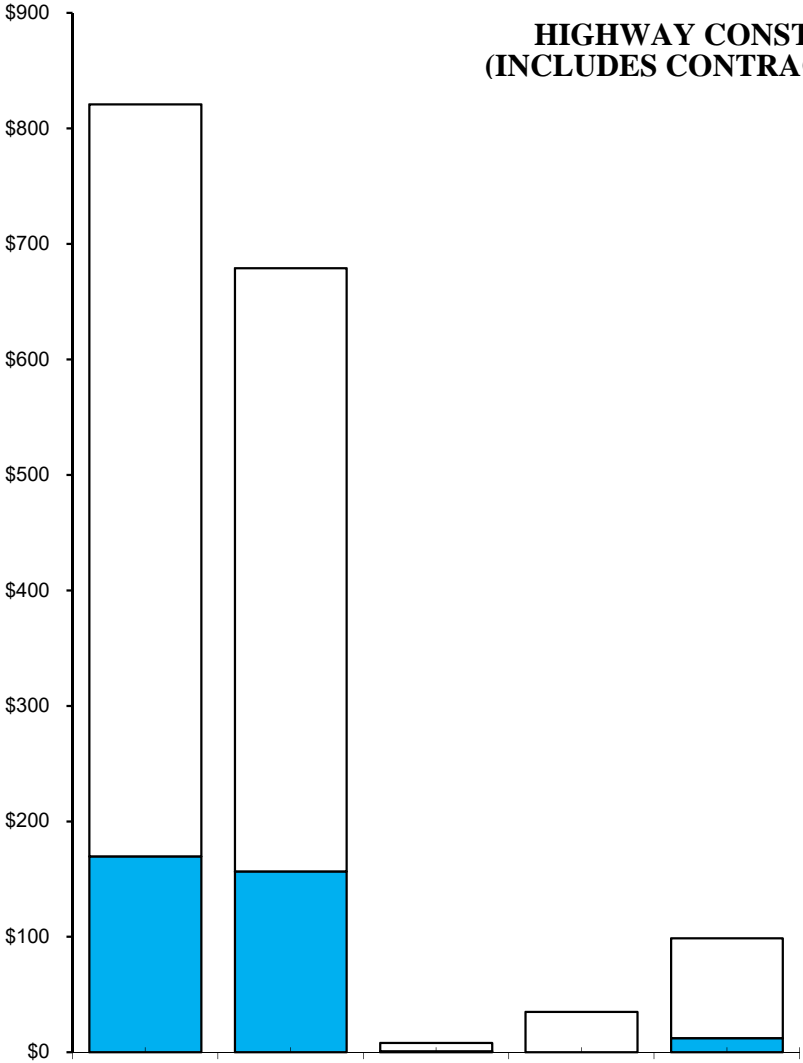
<u>Budget Category</u>	<u>Administration</u>	<u>Service and Support</u>	<u>Capital Facilities</u>	<u>Highway Maintenance</u>	<u>Highway Construction</u>	<u>Construction Related Expense</u>	<u>Total</u>
Personal Services							
Permanent Salaries	2,697,442.26	5,525,427.47	0.00	8,253,805.44	7,763,131.32	2,297,382.79	26,537,189.28
Temporary Salaries	1,784.74	87,062.48	0.00	646,687.64	117,306.12	77,892.82	930,733.80
Overtime	4,630.81	118,402.15	0.00	560,263.95	812,967.50	27,069.52	1,523,333.93
Employee Benefits	0.00	9,830,678.97	0.00	0.00	0.00	0.00	9,830,678.97
SUBTOTAL: Personal Services	\$2,703,857.81	\$15,561,571.07	\$0.00	\$9,460,757.03	\$8,693,404.94	\$2,402,345.13	\$38,821,935.98
Operating Expenses							
Utilities	0.00	466,545.46	0.00	298,400.54	780.82	132.71	765,859.53
Rentals	2,384.29	15,726.29	0.00	343,222.27	0.00	80.50	361,413.35
Repairs & Maintenance	0.00	520,907.18	0.00	1,347,704.63	6,596.01	9,744.81	1,884,952.63
Maintenance Contracts	0.00	75,959.26	0.00	3,561,376.77	1,486.40	0.00	3,638,822.43
Engineering Contracts	0.00	200,570.00	448,115.11	89,925.00	5,761,424.21	850,518.03	7,350,552.35
Contractual Services	186,958.15	659,584.85	0.00	1,142,898.48	14,827.33	2,366,172.64	4,370,441.45
Technology Expenses	1,197,757.10	3,063,420.27	0.00	569,181.84	0.00	626,884.04	5,457,243.25
Other Operating Expenses	93,433.78	553,893.98	2,997.37	1,301,366.11	27,030.37	21,998.28	2,000,719.89
SUBTOTAL: Operating Expenses	\$1,480,533.32	\$5,556,607.29	\$451,112.48	\$8,654,075.64	\$5,812,145.14	\$3,875,531.01	\$25,830,004.88
Supplies and Materials							
General Supplies & Materials	161,895.38	59,486.47	0.00	151,529.87	90.22	11,703.01	384,704.95
Maint & Const Materials	13,593.91	439,920.68	0.00	22,521,769.30	124,365.30	73,345.47	23,172,994.66
Automotive Supplies & Materials	0.00	225,037.34	0.00	3,123,279.59	0.00	49.44	3,348,366.37
SUBTOTAL: Supplies and Materials	\$175,489.29	\$724,444.49	\$0.00	\$25,796,578.76	\$124,455.52	\$85,097.92	\$26,906,065.98
Travel							
In State Travel	16,154.72	7,456.26	0.00	4,581.99	36,269.00	33,117.17	97,579.14
Out of State Travel	0.00	0.00	0.00	0.00	27.12	552.43	579.55
SUBTOTAL: Travel	\$16,154.72	\$7,456.26	\$0.00	\$4,581.99	\$36,296.12	\$33,669.60	\$98,158.69
Capital Outlay							
Land	0.00	0.00	0.00	0.00	1,486,683.02	0.00	1,486,683.02
Hwy. Constr. - Contract Pymt.	0.00	0.00	0.00	36,299.73	189,544,651.08	0.00	189,580,950.81
Buildings	0.00	0.00	525,480.65	0.00	0.00	0.00	525,480.65
Heavy Equipment and Vehicles	0.00	0.00	0.00	3,978,620.04	0.00	0.00	3,978,620.04
Specialty Equipment	0.00	0.00	0.00	9,100.00	72,900.00	4,690.00	86,690.00
SUBTOTAL: Capital Outlay	\$0.00	\$0.00	\$525,480.65	\$4,024,019.77	\$191,104,234.10	\$4,690.00	\$195,658,424.52
Government Aid & Distr							
Public Transit Aid	0.00	0.00	0.00	0.00	0.00	6,129,289.13	6,129,289.13
Highway Safety Office	0.00	(990.85)	0.00	0.00	0.00	734,469.48	733,478.63
Other Government Aid	0.00	0.00	0.00	0.00	53,173.36	37,683,298.61	37,736,471.97
SUBTOTAL: Government Aid & Distr	\$0.00	(\$990.85)	\$0.00	\$0.00	\$53,173.36	\$44,547,057.22	\$44,599,239.73
Internal Redistributions							
Redistribution	1,678,005.34	(14,512,431.91)	0.00	4,728,538.20	6,477,564.85	1,628,323.52	0.00
SUBTOTAL: Internal Redistributions	\$1,678,005.34	(\$14,512,431.91)	\$0.00	\$4,728,538.20	\$6,477,564.85	\$1,628,323.52	\$0.00
GRAND TOTAL:	\$6,054,040.48	\$7,336,656.35	\$976,593.13	\$52,668,551.39	\$212,301,274.03	\$52,576,714.40	\$331,913,829.78

BUDGET STATUS REPORT
AGENCY SUMMARY BY ORGANIZATIONAL ELEMENT
September 2020

FISCAL YEAR 2021
 Period Expired 25.00%
 Pay Period Ending 9/13/2020

<u>COST BY ORGANIZATIONAL STRUCTURE</u>	<u>Cash Flow Allotment</u>	<u>Month's Expenditure</u>	<u>Expended to Date</u>	<u>Allotment Balance</u>	<u>% Expended to Date</u>	<u>Encumbrances</u>
OFFICE OF THE DIRECTOR						
110 - DIRECTOR AND DEPUTIES	726,686.00	46,329.33	193,458.94	533,227.06	26.62%	0.00
140 - LEGAL	2,947,291.00	96,051.77	294,199.15	2,653,091.85	9.98%	171,636.73
250 - STRATEGIC PLANNING DIVISION	3,403,939.00	145,783.37	799,397.64	2,604,541.36	23.48%	1,007,354.34
290 - COMMUNICATION AND PUBLIC POLICY DIVISION	2,673,354.00	158,570.63	563,782.46	2,109,571.54	21.09%	91,595.13
SUBTOTAL: OFFICE OF THE DIRECTOR	\$9,751,270.00	\$446,735.10	\$1,850,838.19	\$7,900,431.81	18.98%	\$1,270,586.20
OFFICE OF ENGINEERING						
130 - CONTROLLER DIVISION	2,292,623.00	169,312.07	556,902.35	1,735,720.65	24.29%	0.00
320 - BRIDGE DIVISION	7,541,203.00	575,679.57	1,865,681.81	5,675,521.19	24.74%	1,521,557.43
340 - TRAFFIC ENGINEERING DIVISION	4,529,104.00	353,219.49	1,165,758.68	3,363,345.32	25.74%	702,457.12
350 - RIGHT OF WAY DIVISION	5,044,385.00	357,594.23	1,233,032.67	3,811,352.33	24.44%	51,776.30
360 - PROJECT DEVELOPMENT DIVISION	15,264,477.00	724,275.29	2,379,723.60	12,884,753.40	15.59%	11,514,284.17
370 - ROADWAY DESIGN DIVISION	26,301,169.00	1,921,346.35	5,484,609.42	20,816,559.58	20.85%	16,755,868.04
420 - PROGRAM MANAGEMENT DIVISION	1,459,088.00	60,797.39	304,218.44	1,154,869.56	20.85%	19,462.33
580 - LOCAL ASSISTANCE DIVISION	3,162,748.00	258,373.91	712,640.49	2,450,107.51	22.53%	1,897,484.15
SUBTOTAL: OFFICE OF ENGINEERING	\$65,594,797.00	\$4,420,598.30	\$13,702,567.46	\$51,892,229.54	20.89%	\$32,462,889.54
OFFICE OF OPERATIONS						
170 - HUMAN RESOURCES DIVISION	2,706,436.00	146,267.71	562,333.55	2,144,102.45	20.78%	339,401.13
260 - OPERATIONS DIVISION	21,611,341.00	2,881,126.49	6,354,764.44	15,256,576.56	29.40%	5,931,763.62
280 - BUSINESS TECH SUPPORT DIVISION	19,976,472.00	1,729,584.30	4,520,074.15	15,456,397.85	22.63%	32,008,319.97
380 - CONSTRUCTION DIVISION	2,920,458.00	207,386.51	760,284.71	2,160,173.29	26.03%	1,512.50
390 - MATERIALS & RESEARCH DIVISION	13,034,426.00	1,431,906.69	3,595,606.79	9,438,819.21	27.59%	11,133,023.09
610 - DISTRICT 1	34,024,032.00	3,247,761.14	10,446,955.19	23,577,076.81	30.70%	5,401,279.36
620 - DISTRICT 2	23,354,011.00	1,532,500.27	5,859,757.88	17,494,253.12	25.09%	5,212,149.79
630 - DISTRICT 3	31,339,572.00	3,827,577.75	10,658,267.20	20,681,304.80	34.01%	2,330,322.67
640 - DISTRICT 4	33,107,740.00	2,428,243.56	9,213,700.16	23,894,039.84	27.83%	3,461,241.98
650 - DISTRICT 5	23,367,222.00	1,787,023.06	5,887,261.66	17,479,960.34	25.19%	4,065,016.03
660 - DISTRICT 6	25,846,634.00	2,836,834.26	8,176,473.96	17,670,160.04	31.63%	5,012,758.14
670 - DISTRICT 7	17,087,182.00	2,039,348.81	6,647,622.50	10,439,559.50	38.90%	2,981,687.75
680 - DISTRICT 8	16,356,389.00	1,657,189.99	5,888,598.57	10,467,790.43	36.00%	2,214,550.88
SUBTOTAL: OFFICE OF OPERATIONS	\$264,731,915.00	\$25,752,750.54	\$78,571,700.76	\$186,160,214.24	29.68%	\$80,093,026.91
BUDGETARY CONTROL						
902 - SUPPLY BASE	0.00	208,941.40	71,735.34	(71,735.34)	0.00%	0.00
903 - EQUIPMENT OPERATIONS	1,082,062.00	214,125.46	27,137.18	1,054,924.82	2.51%	128,048.19
904 - TRANSPORTATION CAPITAL	610,237,608.00	68,671,545.75	237,689,850.85	372,547,757.15	38.95%	888,238,004.79
SUBTOTAL: BUDGETARY CONTROL	\$611,319,670.00	\$69,094,612.61	\$237,788,723.37	\$373,530,946.63	38.90%	\$888,366,052.98
AGENCY TOTAL	\$951,397,652.00	\$99,714,696.55	\$331,913,829.78	\$619,483,822.22	34.89%	\$1,002,192,555.63

**FY-2021
HIGHWAY CONSTRUCTION CONTRACT LETTINGS
(INCLUDES CONTRACTED CONSTRUCTION COSTS ONLY)
\$ IN MILLIONS**



SUMMARY BY PROGRAM YEAR					
LETTING DATE	STATE SYSTEM			LOCAL SYSTEM	TOTAL
	FY-2021 PROGRAM PROJECTS	PRIOR YEAR PROJECTS	ADVANCED PROJECTS	FY-2021 PROJECTS	
7/16/2020	0.09	0.72			0.81
8/20/2020	103.08			11.16	114.24
9/3 & 24/2020	53.32			1.04	54.36
10/8 & 15 & 29/2020					
11/19/2020					
12/10/2020					
1/14/2021					
2/25/2021					
3/18/2021					
4/8/2021					
5/6/2021					
6/17/2021					
	156.49	0.72	0.00	12.20	169.41

SUMMARY BY DISTRICT									
LETTING DATE	D-1	D-2	D-3	D-4	D-5	D-6	D-7	D-8	TOTAL
7/16/2020		0.09			0.72				0.81
8/20/2020	21.50	7.75	5.51	1.76	15.44	51.47		10.81	114.24
9/3 & 24/2020	1.04	2.53		16.77	4.81	10.80	15.36	3.05	54.36
10/8 & 15 & 29/2020									
11/19/2020									
12/10/2020									
1/14/2021									
2/25/2021									
3/18/2021									
4/8/2021									
5/6/2021									
6/17/2021									
	22.54	10.37	5.51	18.53	20.97	62.27	15.36	13.86	169.41

	State System			Local System
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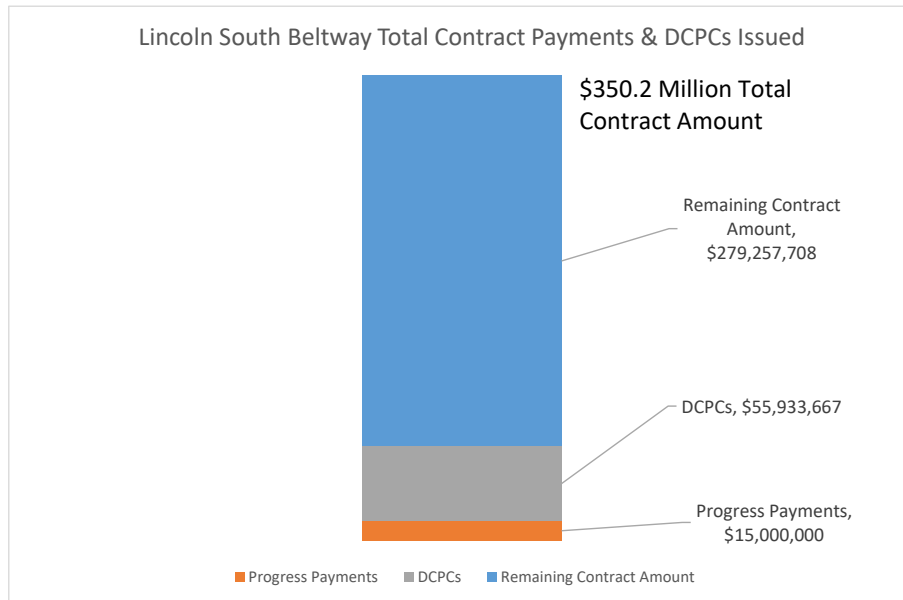
Total Letting(1)	FY 2021 Program (2)	Prior Year Projects (3)	Advanced Projects	FY2021 Program (4)
% Let to Date	23.1%	8.9%	0.0%	12.4%
Actual \$ Let	156.49	0.72	0.00	12.20
Projected \$ Remaining	522.44	7.37	35.00	86.48
Total	\$678.93	\$8.09	\$35.00	\$98.68

- (1) Total Lettings - Includes the contract letting estimate of all projects programmed for letting during the fiscal year (state and local).
 - (2) FY-2021 State System Program - Includes the contract lettings portion of the state system projects and any additions to the program.
 - (3) Prior Year Projects - Includes projects from previous years' programs.
 - (4) Local System Program - Includes all local system projects.
- Projected dollars are updated estimates as of September 30, 2020.

Lincoln South Beltway Construction Contract Payments and Deferred Payment Certificates Issued Through September 2020

The construction contract for the Lincoln South Beltway project established a maximum quarterly payment schedule of no more than \$7.5 million per calendar quarter (not including certain change orders). As the contractor earns amounts for quantities of work accepted but not paid during construction due to the maximum quarterly payment schedule, NDOT will issue Deferred Contract Payment Certificates (DCPCs).

The DCPCs evidence NDOT’s obligation to make continued quarterly payments beyond the period of construction over a time period necessary to pay the total contract price, subject to appropriation of legally available funds. The DCPCs are used by the contractor to draw from their external financing source to pay their costs during construction. For each DCPC issued by NDOT, the contractor will identify the DCPC’s future payment due date, which will be scheduled to coincide with the maximum quarterly payment schedule. NDOT will pay on the DCPCs pursuant to the maximum payment schedule and as specified by the DCPCs, which is anticipated to occur until fiscal year 2032.



Total Contract Payments & DCPCs Issued (Through September 2020)

Remaining Contract Amount	\$279,257,708
Progress Payments	\$15,000,000
DCPCs	\$55,933,667

DCPC Payments Due by Fiscal Year (for DCPCs Issued Through September 2020)

FY 2023	\$15,000,000
FY 2024	\$29,941,375
FY 2025	\$10,992,292
Total DCPCs to date	<u>\$55,933,667</u>

Note: The contract also provides that certain types of change orders are not subject to the quarterly limit and will not be part of the DCPC calculation. The payments for these change orders are not included above.

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FEDERAL APPORTIONMENT DEFINITIONS

ALLOCATED/DISCRETIONARY FUNDS = Funds allocated to states based on grants for specific purposes.

CONGESTION MITIGATION & AIR QUALITY = This program provides a flexible funding source to State and local governments for transportation projects and programs to help meet the requirements of the Clean Air Act. Funding is available to reduce congestion and improve air quality for areas that do not meet the National Ambient Air Quality Standards.

EQUITY BONUS = Provides each state with a specific share of the aggregate funding for major highway programs. Every state is guaranteed at least a specified percentage of that State's share of contributions to the Highway Account of the Highway Trust Fund.

HIGHWAY PLANNING = Federal-aid highway program to assist State transportation agencies in the planning and the development of the National Highway System.

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) = The HSIP is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Funds are provided to assist in correcting or improving a hazardous road location or feature and to address a highway safety problem that is identified in the state's Strategic Highway Safety Plan (SHSP).

METROPOLITAN PLANNING = Funding to assist in development of transportation improvement programs, long-range transportation plans and other technical studies in the metropolitan areas.

NATIONAL HIGHWAY FREIGHT PROGRAM (NHFP) = The purpose, among other goals, of the National Highway Freight Program (NHFP) is to improve efficient movement of freight on the National Highway Freight Network (NHFN).

NATIONAL HIGHWAY PERFORMANCE PROGRAM (NHPP) = The purposes of this program is to provide support for the condition and performance of the National Highway System (NHS), to provide support for the construction of new facilities on the NHS and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in a State's asset management plan for the NHS.

RAIL-HIGHWAY – HAZARD ELIMINATION and PROTECTION DEVICES = The purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries at all at-grade public rail highway crossings. Eligibility projects include, but not limited to, the installation of protective devices, the elimination of hazards, and grade crossing separation.

REDISTRIBUTION = Additional funds apportioned to states that may be used for any purpose described in section 133(b) of title 23, U.S.C.

RESEARCH = Funds used for an annual program established to provide a systematic investigation that provides facts, principals and collects information on a particular subject. Effective research projects are conducted to provide a safer, more efficient, and longer lasting transportation network in collaboration with public and private industry, FHWA and academia.

SPECIAL LIMITATION & EXEMPT = Funds that are awarded with their own obligation limitation and are not subject to the annual limitation.

SURFACE TRANSPORTATION PROGRAM (STP) = Federal-aid highway funding program that funds a broad range of surface transportation capital needs, including many roads, transit, sea and airport access, vanpool, bike and pedestrian facilities. This program is divided into many sub-categories by population.

TRANSPORTATION ALTERNATIVES PROGRAM (TAP) = Funding provided for programs and projects defined as transportation alternatives, including on and off road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities and many more. The program is divided into sub-categories – flex based on population and recreational trails.

**APPORTIONED FEDERAL HIGHWAY FUNDS
(\$ IN MILLIONS)**

Federal Trust Fund	MAP-21		Fixing America's Surface Transportation = FAST									
	Fiscal 2015 Apportionment		Fiscal 2016 Apportionment		Fiscal 2017 Apportionment		Fiscal 2018 Apportionment		Fiscal 2019 Apportionment		Fiscal 2020 Apportionment	
	National Prelim Tables	Nebraska Actual	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska	National	Nebraska
National Hwy Perf Prog (NHPP)	21,759	157,699	20,895	161,392	22,828	164,017	21,855	167,506	22,134	171,617	22,820	174,622
Surface Transportation Block Grant	9,553	80,245	10,812	81,732	10,589	83,247	11,219	85,196	11,432	87,186	11,704	88,896
STP - Bridge Off System		3,777		3,777		3,777		3,777		3,777		3,777
STP - Flexible - Any Area		33,607		33,470		33,379		33,456		33,508		33,412
STP - MAPA - Omaha		13,438		13,935		14,468		15,092		15,733		16,338
STP - LCLC - Lincoln		5,296		5,492		5,702		5,948		6,200		6,439
STP - 5,001 to 200,000 Population		7,385		7,659		7,952		8,295		8,647		8,979
STP - 5,000 and Less Population		11,266		11,682		12,130		12,652		13,190		13,697
Highway Planning		4,107		4,288		4,379		4,482		4,598		4,691
Research		1,369		1,429		1,494		1,494		1,533		1,563
Transportation Alternatives (TAP)	668	5,552	835	5,801	751	5,677	766	5,800	766	5,800	767	5,801
Recreational Trails	81	1,217	84	1,205	84	1,217	83	1,215	83	1,217	83	1,217
Highway Safety Improvement Prog	2,241	14,458	2,059	12,655	2,275	14,910	2,272	15,221	2,312	15,566	2,359	15,819
Rail-Highway Crossings	220	3,564	350	5,702	230	3,692	235	3,767	240	3,834	245	3,900
Congestion Mitigation & Air Qual CMAQ	2,316	9,820	2,263	10,043	2,360	10,200	2,355	10,411	2,393	10,637	2,446	10,812
Metropolitan Planning	320	1,567	329	1,651	336	1,673	343	1,711	350	1,754	358	1,788
National Freight Program			1,117	8,270	1,091	7,860	1,196	8,588	1,341	9,694	1,488	10,730
Redistribution - Certain Authorizations	123	0.913		0.874		0.968	51	0.376	61	0.451	45	0.327
Redistribution - TIFIA	632	4,721										
Sub-Total Core Funds	\$ 37,913	\$ 279,756	\$ 38,744	\$ 289,325	\$ 40,544	\$ 293,461	\$ 40,375	\$ 299,791	\$ 41,112	\$ 307,756	\$ 42,315	\$ 313,912
National Highway Perf Exempt	639	4,853	639	4,524	595	4,489	597	4,512	599	4,546	601	4,543
Others & Ext of Alloc Programs	11	0.150		1.274								
Total	\$ 38,563	\$ 284,759	\$ 39,383	\$ 295,123	\$ 41,139	\$ 297,950	\$ 40,972	\$ 304,303	\$ 41,711	\$ 312,302	\$ 42,916	\$ 318,455
Obligation Authority												
Core Formula Obligation Limitation	35,870	263,137	37,015	273,728	40,548	271,600	44,234	274,849	36,629	277,028	46,365	284,111
August Redistribution	1,907	17,802	2,833	19,000	3,137	31,224	4,184	32,000	3,972	34,443	4,762	45,000
Total Annual Obligation Authority	\$ 36,265	\$ 280,939	\$ 39,848	\$ 292,728	\$ 43,685	\$ 302,824	\$ 48,418	\$ 306,849	\$ 40,601	\$ 311,471	\$ 46,365	\$ 329,111

Footnotes:

FY20 Apportionment per Public Law 114-94.

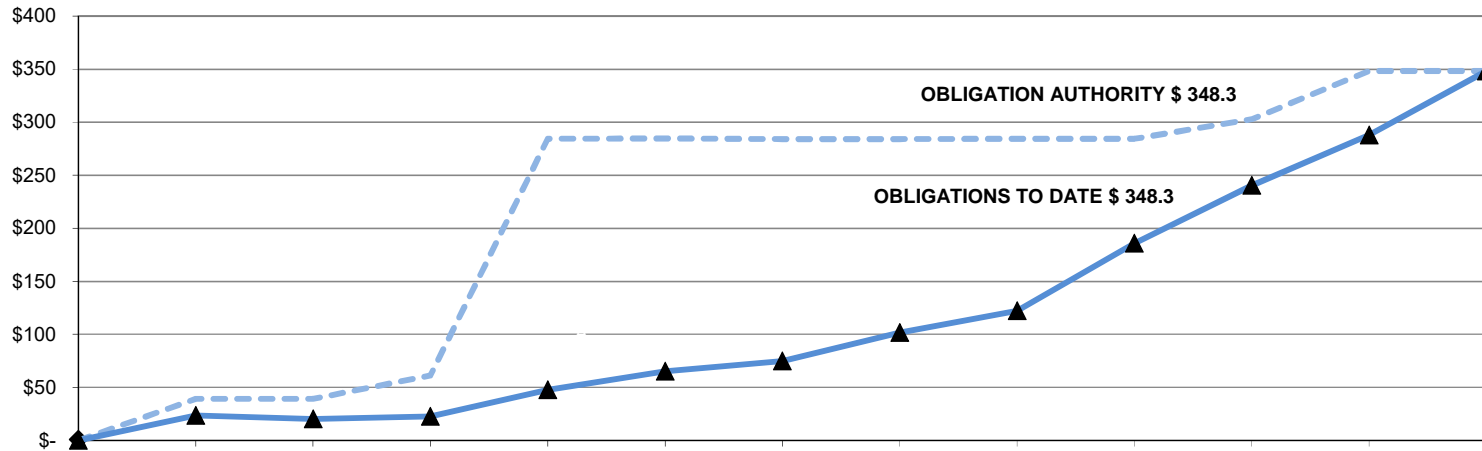
**STATUS OF FEDERAL HIGHWAY APPORTIONMENTS
FEDERAL FY-2020
SEPTEMBER 30, 2020**

APPORTIONMENT TYPE	APPORT	FAST Act	TRANSFERS			CURRENT	ADVANCED	
	BALANCE	FY-2020	ADJ & SPECIAL	TOTAL	OBLIGATIONS ^(A)	APPORT	CONSTRUCTION	UNPAID
	9/30/2019	APPORT ^(B)	APPORT			BALANCE	COMMITTED	OBLIGATIONS
National Hwy Perf Prog (NHPP)	-	174,621,967	(55,000,000)	119,621,967	105,239,246	14,382,721	88,763,131	97,225,421
Interstate Maintenance	-	-	-	-	-	-	-	-
National Highway Sys	-	-	-	-	-	-	-	0
Highway Bridge Program	-	-	-	-	-	-	-	73,279
STP - Bridge Off System	-	3,777,257	-	3,777,257	3,739,866	37,391	966,035	5,343,846
STP - Flexible - Any Area	-	33,411,512	55,000,000	88,411,512	87,060,713	1,350,799	65,334,180	104,749,382
STP - MAPA - Omaha	44,832,419	16,337,654	-	61,170,073	53,905,153	7,264,920	23,322,866	56,036,387
STP - LCLC - Lincoln	10,055,746	6,438,852	-	16,494,598	2,686,668	13,807,930	73,093	3,366,397
STP - 5,001 to 200,000 Pop	27,453,615	8,979,411	-	36,433,026	1,684,933	34,748,093	8,000	2,320,334
STP - 5,000 & Less Population	8,358,570	13,697,023	-	22,055,593	21,662,715	392,878	-	15,798,065
Congestion Mitigation & Air Qual	-	10,811,816	-	10,811,816	10,573,887	237,929	-	10,842,320
Highway Safety Improvemt Prog	16,460,070	15,819,107	59,850	32,339,027	11,358,983	20,980,044	2,792,406	13,594,975
Rail-Hwy - Hazard Elimination	195,922	1,949,979	-	2,145,901	520,831	1,625,070	4,555,970	2,592,513
Rail-Hwy - Protection Devices	5,955,563	1,949,979	-	7,905,542	(138,239)	8,043,781	-	2,490,312
Highway Planning	-	4,690,873	53,000	4,743,873	3,203,993	1,539,880	2,089	9,751,640
Research	-	1,563,625	601,062	2,164,687	1,871,327	293,360	141,795	6,155,546
Metropolitan Planning	-	1,787,676	-	1,787,676	1,494,241	293,435	-	3,624,345
National Hwy Freight Program	-	10,730,335	-	10,730,335	10,730,335	-	-	10,730,335
TAP - Flex	2,020,668	2,900,268	-	4,920,936	4,793,489	127,447	-	5,785,407
TAP - >200,000 Population	856,919	1,453,327	-	2,310,246	1,367,655	942,591	-	2,110,558
TAP - 5,001 to 200,000 Pop	797,677	572,960	-	1,370,637	1,354,540	16,097	-	1,012,062
TAP - 5,000 and Less Population	1,296,495	873,981	-	2,170,476	1,234,921	935,555	-	1,936,966
Recreational Trails	-	1,205,213	-	1,205,213	(239,565)	1,444,778	-	3,938,609
Enhancement	123,954	-	-	123,954	(94,680)	218,634	-	283,922
Safe Routes to School Prog	196,930	-	-	196,930	33,790	163,140	-	141,865
Redistribution - Certain Auth.	2,403,439	1,802,399	-	4,205,838	2,707,721	1,498,117	-	3,092,893
Redistribution - TIFIA	-	-	-	-	-	-	-	-
Repurposed/Special Earmark	825,938	-	1,164,436	1,990,374	1,990,374	-	-	1,924,909
Other	1,069,929	-	-	1,069,929	1,069,929	-	-	1,138,172
Total Formula Funds	\$ 122,903,854	\$ 315,375,214	\$ 1,878,348	\$ 440,157,416	\$ 329,812,827	\$ 110,344,589	\$ 185,959,565	\$ 366,060,459
Allocated/Discretionary Funds	13,931	-	18,456,974	18,470,905	18,456,047	14,859	-	18,879,768
Total Subject to Annual Obligation Limits	\$ 122,917,785	\$ 315,375,214	\$ 20,335,322	\$ 458,628,321	\$ 348,268,874	\$ 110,359,447	\$ 185,959,565	\$ 384,940,227
Special Limit/Allocated Exempt Equity Bonus	144,254,541	4,542,763	88,214,686	237,011,990	154,936,665	82,075,325	-	96,962,303
GRAND TOTAL	\$ 267,172,326	\$ 319,917,977	\$ 108,550,008	\$ 695,640,311	\$ 503,205,539	\$ 192,434,772	\$ 185,959,565	\$ 481,902,529

(A) Obligations are commitments by the Federal Highway Administration to participate in the financing of highway construction projects.

(B) FY20 Apportionment per Public Law .114-94

**STATUS OF FEDERAL HIGHWAY OBLIGATION AUTHORITY
FEDERAL FY-2020
(\$ IN MILLIONS)**



	Begin Bal	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Obligation Authority	0.0	39.3	39.3	61.3	284.5	284.6	284.2	284.2	284.3	284.5	302.9	348.3	348.3
OA Used	0.0	23.4	20.3	22.7	47.6	65.1	74.9	101.7	122.2	185.7	240.4	287.9	348.3

	<u>FEDERAL FY-2019</u>		<u>FEDERAL FY-2020</u>		
	<u>OBLIGATION AUTHORITY</u>		<u>OBLIGATION AUTHORITY</u>		
<u>FORMULA AND ALLOCATED FUNDS SUBJECT TO ANNUAL OBLIGATION LIMITATION</u>	As of September 30, 2019		As of September 30, 2020		
Formula Obligation Limitation	\$	277.0	\$	284.1	
August Redistribution		34.4		45.0	
Redistribution - TIFIA		-		-	Period Expired
Transfers	\$	1.0	\$	0.7	100.0%
Subtotal	\$	312.4	\$	329.8	
Other Allocation Obligation Limitation		0.2		18.5	
Annual Obligation Limitation	\$	312.6	\$	348.3	
Formula Obligations to Date		(312.0)		(329.8)	Obligated
Allocated Obligations to Date		(0.6)		(18.5)	100.0%
Subtotal	\$	(312.6)	\$	(348.3)	
Obligation Authority Balance	\$	-	\$	-	
<u>SPECIAL LIMITATION</u>					
National Highway Perf Exempt		4.5		4.5	
Highway Infrastructure Program Exempt		0.0		27.6	
Emergency Relief/Allocated Exempt		94.0		0.0	
Training and Education Fast		0.0		0.2	
National Infrastructure Invest TIGER IX		0.0		25.0	
National Infrastructure Invest Build 2019		0.0		17.0	
National Infrastructure Invest Build 201		0.0		20.0	
Previous Years Funding		56.6		152.0	
Total Special Obligation Limitation	\$	155.1	\$	246.2	
Obligations to Date		(7.6)		(154.9)	
Obligation Authority Balance	\$	147.5	\$	91.3	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM**

CURRENT MONTH - SEPTEMBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	2,284,025.81	1,917.44	3,725.35	11,341.44	32,423.63	2,333,433.67
	RIGHT OF WAY	298,116.79	284,143.92	0.00	38,265.03	0.00	620,525.74
	CONSTRUCTION	(1,134,408.68)	50,521,365.30	157,619.01	3,271,021.37	1,363,407.38	54,179,004.38
	CONSTRUCTION ENGINEERING	309,966.62	1,602,659.05	(2,038.00)	77,376.15	26,273.90	2,014,237.72
	PLANNING & RESEARCH	8,568.93	24,031.16	0.00	0.00	5,688.21	38,288.30
	TOTAL	\$ 1,766,269.47	\$ 52,434,116.87	\$ 159,306.36	\$ 3,398,003.99	\$ 1,427,793.12	\$ 59,185,489.81
LOCAL	PRELIMINARY ENGINEERING	19,885.42	272,054.54	13,628.26	42,270.14	1,196.14	349,034.50
	RIGHT OF WAY	0.00	23,155.33	645.87	2,312.93	0.00	26,114.13
	CONSTRUCTION	504,316.81	5,603,252.13	431,699.28	1,647,337.51	30,340.53	8,216,946.26
	CONSTRUCTION ENGINEERING	80,424.64	589,104.57	34,938.52	117,168.91	3,684.49	825,321.13
	PLANNING & RESEARCH	0.00	8,606.17	0.00	2,151.54	0.00	10,757.71
	TOTAL	\$ 604,626.87	\$ 6,496,172.74	\$ 480,911.93	\$ 1,811,241.03	\$ 35,221.16	\$ 9,428,173.73
NON-HWY	PRELIMINARY ENGINEERING	1,230,881.07	235,884.73	0.00	6,675.26	53,350.60	1,526,791.66
	RIGHT OF WAY	78,074.99	3,105.35	0.00	776.32	0.00	81,956.66
	CONSTRUCTION	149,301.14	843,186.53	0.00	214,500.61	0.00	1,206,988.28
	CONSTRUCTION ENGINEERING	477,937.04	118,909.24	0.00	26,717.42	0.00	623,563.70
	TRAFFIC SAFETY & TRANS	12,243.42	425,200.81	0.00	0.00	3,782.20	441,226.43
	PLANNING & RESEARCH	385,167.76	988,795.15	0.00	3,822.27	4,547.94	1,382,333.12
	PUBLIC TRANSPORTATION ASSIST	145,678.98	1,995,146.86	21,982.84	3,795.50	79,400.42	2,246,004.60
	TOTAL	\$ 2,479,284.40	\$ 4,610,228.67	\$ 21,982.84	\$ 256,287.38	\$ 141,081.16	\$ 7,508,864.45
TOTAL - CURRENT MONTH	\$ 4,850,180.74	\$ 63,540,518.28	\$ 662,201.13	\$ 5,465,532.40	\$ 1,604,095.44	\$ 76,122,527.99	

FISCAL YEAR TO DATE - SEPTEMBER 2020

		STATE	FEDERAL	COUNTY	CITY	OTHER	TOTAL
STATE	PRELIMINARY ENGINEERING	6,995,025.23	37,646.33	13,628.19	38,609.47	49,898.92	7,134,808.14
	RIGHT OF WAY	1,609,067.96	284,143.92	0.00	92,951.78	0.00	1,986,163.66
	CONSTRUCTION	2,065,509.90	184,837,584.76	554,839.12	3,231,483.44	(960,064.12)	189,729,353.10
	CONSTRUCTION ENGINEERING	2,032,457.01	4,865,974.61	10,751.89	146,360.78	88,562.36	7,144,106.65
	PLANNING & RESEARCH	15,106.01	44,051.84	0.00	0.00	7,920.22	67,078.07
	TOTAL	\$ 12,717,166.11	\$ 190,069,401.46	\$ 579,219.20	\$ 3,509,405.47	\$ (813,682.62)	\$ 206,061,509.62
LOCAL	PRELIMINARY ENGINEERING	34,885.02	775,435.19	41,306.38	59,034.70	21,577.83	932,239.12
	RIGHT OF WAY	629.90	220,275.12	17,098.39	10,990.87	0.00	248,994.28
	CONSTRUCTION	5,632,628.56	16,719,068.55	1,108,125.19	8,085,976.93	171,879.44	31,717,678.67
	CONSTRUCTION ENGINEERING	468,494.49	1,090,359.02	59,640.62	218,702.64	15,878.50	1,853,075.27
	PLANNING & RESEARCH	11,012.54	14,896.45	(8,556.61)	396.51	90.83	17,839.72
	TOTAL	\$ 6,147,650.51	\$ 18,820,034.33	\$ 1,217,613.97	\$ 8,375,101.65	\$ 209,426.60	\$ 34,769,827.06
NON-HWY	PRELIMINARY ENGINEERING	4,235,466.66	431,908.38	0.00	54,855.45	58,570.09	4,780,800.58
	RIGHT OF WAY	272,289.52	5,065.35	0.00	1,266.32	0.00	278,621.19
	CONSTRUCTION	186,748.67	1,807,675.43	0.00	455,797.62	0.00	2,450,221.72
	CONSTRUCTION ENGINEERING	1,892,172.57	199,057.11	0.00	46,723.50	0.00	2,137,953.18
	TRAFFIC SAFETY & TRANS	(131,556.75)	1,289,640.52	0.00	0.00	3,842.20	1,161,925.97
	PLANNING & RESEARCH	973,107.51	2,968,943.60	0.00	15,104.91	37,510.59	3,994,666.61
	PUBLIC TRANSPORTATION ASSIST	1,038,210.56	5,189,951.27	67,780.86	24,525.60	79,881.93	6,400,350.22
	TOTAL	\$ 8,466,438.74	\$ 11,892,241.66	\$ 67,780.86	\$ 598,273.40	\$ 179,804.81	\$ 21,204,539.47
TOTAL - FISCAL YEAR TO DATE	\$ 27,331,255.36	\$ 220,781,677.45	\$ 1,864,614.03	\$ 12,482,780.52	\$ (424,451.21)	\$ 262,035,876.15	

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY SYSTEM BY FINANCING PARTICIPANT
SEPTEMBER 2020**

ROAD SYSTEM	FUNDING DESCRIPTION	ACTIVE PROJECTS ESTIMATES	LIFE TO DATE EXPENSES	ESTIMATE BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE HIGHWAY SYSTEM							
	STATE	1,702,244,747.26	927,342,409.05	774,902,338.21	1,766,269.47	12,717,166.11	57,902,891.38
	FEDERAL	1,336,359,617.86	1,140,463,738.68	195,895,879.18	52,434,116.87	190,069,401.46	316,143,082.15
	COUNTY	2,479,333.74	2,136,215.80	343,117.94	159,306.36	579,219.20	1,361,885.30
	CITY	66,821,946.50	25,885,063.36	40,936,883.14	3,398,003.99	3,509,405.47	6,803,504.88
	OTHER	21,587,962.01	18,430,095.94	3,157,866.07	1,427,793.12	(813,682.62)	622,081.30
STATE HIGHWAY SYSTEM TOTALS		\$ 3,129,493,607.37	\$ 2,114,257,522.83	\$ 1,015,236,084.54	\$ 59,185,489.81	\$ 206,061,509.62	\$ 382,833,445.01
LOCAL HIGHWAY SYSTEM							
	STATE	61,011,534.18	38,253,483.34	22,758,050.84	604,626.87	6,147,650.51	12,426,022.72
	FEDERAL	321,431,574.17	221,069,555.52	100,362,018.65	6,496,172.74	18,820,034.33	48,286,023.20
	COUNTY	16,720,103.09	7,926,399.99	8,793,703.10	480,911.93	1,217,613.97	3,834,432.10
	CITY	116,804,352.11	68,117,900.94	48,686,451.17	1,811,241.03	8,375,101.65	11,975,648.48
	OTHER	9,366,421.39	8,109,695.45	1,256,725.94	35,221.16	209,426.60	582,799.32
LOCAL HIGHWAY SYSTEM TOTALS		\$ 525,333,984.94	\$ 343,477,035.24	\$ 181,856,949.70	\$ 9,428,173.73	\$ 34,769,827.06	\$ 77,104,925.82
NON-HIGHWAY							
	STATE	346,411,399.25	317,687,253.40	28,724,145.85	2,479,284.40	8,466,438.74	52,423,208.90
	FEDERAL	213,274,000.87	107,890,401.34	105,383,599.53	4,610,228.67	11,892,241.66	27,957,069.73
	COUNTY	700,686.47	362,943.66	337,742.81	21,982.84	67,780.86	210,361.93
	CITY	6,790,277.41	4,183,726.31	2,606,551.10	256,287.38	598,273.40	965,282.42
	OTHER	14,618,224.25	11,857,688.11	2,760,536.14	141,081.16	179,804.81	594,673.86
NON-HIGHWAY TOTALS		\$ 581,794,588.25	\$ 441,982,012.82	\$ 139,812,575.43	\$ 7,508,864.45	\$ 21,204,539.47	\$ 82,150,596.84
GRAND TOTALS		\$ 4,236,622,180.56	\$ 2,899,716,570.89	\$ 1,336,905,609.67	\$ 76,122,527.99	\$ 262,035,876.15	\$ 542,088,967.67

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY WORK PHASE
SEPTEMBER 2020**

WORK PHASE	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
PRELIMINARY ENGINEERING	530,445,337.84	373,522,700.44	156,922,637.40	4,209,259.83	12,847,847.84	37,737,726.35
RIGHT OF WAY	183,175,004.29	118,322,114.01	64,852,890.28	728,596.53	2,513,779.13	12,062,229.34
UTILITIES	46,874,394.74	21,531,857.12	25,342,537.62	88,358.67	1,476,680.43	4,201,785.87
CONSTRUCTION	3,021,173,409.90	2,106,264,259.61	914,909,150.29	63,514,580.25	222,420,573.06	428,947,545.22
CONSTRUCTION ENGINEERING	213,621,204.04	134,589,314.73	79,031,889.31	3,463,122.55	11,135,135.10	25,613,361.55
TRAFFIC SAFETY	35,116,012.15	16,173,257.48	18,942,754.67	441,226.43	1,161,925.97	4,278,689.09
PLANNING & RESEARCH	106,422,755.70	67,408,550.00	39,014,205.70	1,431,379.13	4,079,584.40	10,812,033.54
PUBLIC TRANSPORTATION	99,794,061.90	61,904,517.50	37,889,544.40	2,246,004.60	6,400,350.22	18,435,596.71
GRAND TOTALS	\$ 4,236,622,180.56	\$ 2,899,716,570.89	\$ 1,336,905,609.67	\$ 76,122,527.99	\$ 262,035,876.15	\$ 542,088,967.67

**TRANSPORTATION FINANCING
EXPENSE SUMMARY BY FINANCING PARTICIPANT
SEPTEMBER 2020**

WHO	ACTIVE PROJECTS ALLOTMENT	LIFE TO DATE EXPENSES	ALLOTMENT BALANCE	CURRENT MONTH EXPENSE	FISCAL YEAR EXPENSE	CALENDAR YEAR EXPENSE
STATE FUNDS						
ROADS OPERATION FUND	1,133,700,532.29	821,920,942.19	311,779,590.10	(3,144,059.38)	534,697.94	65,985,306.09
ROADS OPERATION FUND AC*	88,937,076.05	55,461.15	88,881,614.90	(1,735,430.61)	(966,493.74)	(7,224,582.56)
GRADE CROSSING FUND	2,840,983.25	1,466,122.15	1,374,861.10	7,519.03	77,860.87	300,079.15
GRADE SEPARATION-TMT	28,107,184.79	24,735,881.50	3,371,303.29	164,181.63	782,056.36	2,368,490.40
RECREATION ROAD FUND	11,277,506.60	9,410,612.74	1,866,893.86	224,580.43	2,701,150.08	4,455,477.95
ST HWY CAPITAL IMPR	697,957,203.58	342,130,579.39	355,826,624.19	3,565,845.76	8,778,340.72	27,047,415.62
STATE AID BRIDGE	4,477,961.22	3,453,854.09	1,024,107.13	314,813.46	745,469.69	1,165,193.59
TRANS INFRA BANK	142,369,232.91	80,109,692.58	62,259,540.33	5,452,730.42	14,678,173.44	28,654,742.76
TOTAL STATE FUNDS	\$ 2,109,667,680.69	\$ 1,283,283,145.79	\$ 826,384,534.90	\$ 4,850,180.74	\$ 27,331,255.36	\$ 122,752,123.00
FEDERAL FUNDS	1,871,065,192.90	1,469,423,695.54	401,641,497.36	63,540,518.28	220,781,677.45	392,386,175.08
COUNTY FUNDS	19,900,123.30	10,425,559.45	9,474,563.85	662,201.13	1,864,614.03	5,406,679.33
CITY FUNDS	190,416,576.02	98,186,690.61	92,229,885.41	5,465,532.40	12,482,780.52	19,744,435.78
OTHER FUNDS	45,572,607.65	38,397,479.50	7,175,128.15	1,604,095.44	(424,451.21)	1,799,554.48
GRAND TOTALS	\$ 4,236,622,180.56	\$ 2,899,716,570.89	\$ 1,336,905,609.67	\$ 76,122,527.99	\$ 262,035,876.15	\$ 542,088,967.67

*Advance Construction - projects which are constructed with state funds in anticipation of converting to federal funds at a later date when apportionments and obligation authority become available.

**Build Nebraska Act
Financial Status
September 30, 2020**

The Build Nebraska Act (BNA) was passed into law in May 2011 (LB 84, codified as law 39-2703) with an effective period of twenty years, from July 1, 2013 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

REVENUE: This act designated 1/4 of 1 percent of state General Fund sales tax revenue to be used for state and local surface transportation projects. This revenue is distributed 85% into the State Highway Capital Improvement Fund and 15% into the Highway Allocation Fund for the cities and counties.

EXPENDITURES: The State Highway Capital Improvement Fund is administered by the Department of Transportation. At least 25% is to be used for the construction of the state expressway system and federally designated high priority corridors, with the remaining funds for surface transportation projects of highest priority as determined by the Department of Transportation.

State Highway Capital Improvement Fund						
	Current Month	Fiscal Year To Date	Life To Date	Active Projects Unexpended Balance	Planned Future Expenditures	
Revenue	\$ 7,594,852.37	\$ 20,569,062.01	\$ 469,235,789.18			
Expenditures						
Expressway and High Priority Corridors	3,530,318.87	8,737,148.42	231,200,653.83	332,712,514.34	364,837,449.87	
Other Highways	35,526.89	41,192.30	110,929,925.56	23,114,109.85	191,109,158.62	
BNA Projects Completed/Closed			111,468,089.96			
Total	\$ 3,565,845.76	\$ 8,778,340.72	\$ 453,598,669.35	\$ 355,826,624.19	\$ 555,946,608.49	
Funds Available			\$ 15,637,119.83			

Transportation Innovation Act Financial Status September 30, 2020

The **Transportation Innovation Act** was passed into law in April 2016 (LB 960, codified as law 39-2801 through 39-2824) with an effective period from July 1, 2016 through June 30, 2033. The Department was given the authority to adopt and promulgate rules and regulations to carry out this act.

This act also created the **Transportation Infrastructure Bank Fund(TIB)** to be used for purposes of this act. Any unobligated funds in the TIB on June 30, 2033 are to be transferred to the state Cash Reserve Fund.

REVENUE: This act designated the additional fuel tax revenue generated by Laws 2015, LB 610 to be transferred from the Roads Operations Cash Fund to the Transportation Infrastructure Bank Fund for the period July 2016 through June 2033. In addition, this act also directed the State Treasurer to transfer \$50 million from the state Cash Reserve Fund to the Transportation Infrastructure Bank Fund before July 15, 2016.

EXPENDITURES: The TIB is to be used for three specific purposes:

1 Accelerated State Highway Capital Improvement Program

The purpose of this program is to accelerate capital improvement projects, including the expressway system and federally designated high priority corridors and needs-driven capacity improvements. The expressway, as designated on January 1, 2016, is to be completed by June 30, 2033.

2 County Bridge Match Program

The purpose of this program is to promote innovative solutions and provide additional funding to accelerate the repair and replacement of deficient bridges on the county road system. The funding is limited to \$40 million and this program terminates on June 30, 2023.

3 Economic Opportunity Program

The purpose of this program is to finance transportation improvements to attract and support new businesses and business expansions by successfully connecting such businesses to the transportation network. The funding is limited to \$20 million.

	Transportation Infrastructure Bank (TIB)			Active Projects Unexpended	Planned Projects
	Current Month	Fiscal Year To Date	Life To Date		
Revenue	\$ 2,451,108.25	\$ 7,043,436.15	\$ 136,358,852.56		
Expenditures					
Accelerated State Highway Capital Improvement Program	5,422,253.97	14,350,361.28	72,778,216.51	51,948,980.92	183,549,806.96
County Bridge Match Program	30,476.45	327,812.16	6,271,638.94	10,310,557.41	12,087,383.00
Economic Opportunity Program			1,059,837.13	2.00	19,170,440.14
TIB Projects Completed/Closed					
Total Expenditures	\$ 5,452,730.42	\$ 14,678,173.44	\$ 80,109,692.58	\$ 62,259,540.33	\$ 214,807,630.10
Funds Available			\$ 56,249,159.98		

**STATUS OF LOCAL PROGRAMS WITH OBLIGATION LIMITS
FEDERAL FY-2020 OCT-SEPT**

(\$MILLIONS)

Obligation Limitation Percentage 90.60%

	FAST Act ⁽¹⁾ FY-2020 <u>APPORT</u>	FY-2020 OBLIGATION <u>AUTHORITY</u>	PRIOR ⁽²⁾ YEAR <u>BALANCE</u>	CHANGES ⁽³⁾ TO <u>ORIGINAL</u>	REVISED FY-2020 <u>OBL LIMIT</u>	OBLIGATED THRU <u>09/30/20</u>	<u>BALANCE</u>
AMNESTY BRIDGE	-	-	0.600	-	0.600	1.639	(1.039)
BRIDGE STP OFF SYSTEM (BRO)	3.777	3.422	-	-	3.422	3.593	(0.171)
AMNESTY URBAN 5K - 200K	-	-	1.792	-	1.792	-	1.792
MAPA - OMAHA	16.338	\$ 14.802	-	-	14.802	53.905	(39.103)
LCLC - LINCOLN	6.439	\$ 5.834	5.826	-	11.660	2.687	8.973
SubTotal Local	\$ 26.554	\$ 24.058	\$ 8.218	\$ -	\$ 32.276	\$ 61.824	\$ (29.548)
METRO PLANNING	1.788	1.620	-	-	1.620	1.494	0.126
Omaha	66.836%	1.128	1.022	-	1.022	1.150	(0.128)
Lincoln	26.341%	0.469	0.425	-	0.425	0.306	0.119
South Sioux City	1.688%	0.067	0.061	-	0.061	0.016	0.045
Grand Island	5.135%	0.124	0.112	-	0.112	0.022	0.090
TAP - Flex	2.900	2.627	-	(2.706)	(0.079)	(0.079)	-
TAP - 5K and Under	0.874	0.792	-	0.404	1.196	1.196	-
TAP - 5K-200K	0.573	0.519	-	5.687	6.206	6.206	-
TAP - MAPA - OMAHA	1.042	0.944	-	-	0.944	0.265	0.679
TAP - LCLC - LINCOLN	0.411	0.372	-	0.730	1.102	1.102	-
REC TRAILS	1.205	1.092	-	-	1.092	(0.240)	1.332
TOTAL	\$ 35.347	\$ 32.024	\$ 8.218	\$ 4.115	\$ 44.357	\$ 71.768	\$ (27.411)

(1) FY20 Apportionment per Public Law 114-94 through September 30, 2020.

(2) Includes balance of prior year funds.

(3) Includes transfers, fund relinquishments, rescission, and adjustments.

(4) Does not include unspent balance of \$41.2 Million from previous years.

**FEDERAL FUND PURCHASE PROGRAM
FINANCIAL STATUS**

Beginning in FY13 the Department of Transportation purchased the cities and counties' share of Federal Surface Transportation Program and bridge funds. The Department purchases each dollar of federal funds with state highway funds at 80% for FY13 and FY14; FY15 forward is purchased at 90%. Funds purchased will be used for projects on the state highway system.

		Federal FY-16	Federal FY-17	Federal FY-18	Federal FY-19	Federal FY-20
		Payment was made March 2017	Payment was made March 2018	Payment was made March 2019	Payment was made March 2020	Payment will be made March 2021
Bridge						
Annual Obligation Authority		273,727,580.00	273,085,952.00	274,849,099.00	277,028,447.00	284,111,089.00
10% for Bridges		27,372,758.00	27,308,595.20	27,484,909.90	27,702,844.70	28,411,108.90
60% Local Share		16,423,654.80	16,385,157.12	16,490,945.94	16,621,706.82	17,046,665.34
Less STP Bridge Off System		(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)	(3,777,257.00)
Less Fracture Critical Bridge Inspection		(900,000.00)	(900,000.00)	(900,000.00)	(1,000,000.00)	(300,000.00)
Less Under Water Inspection		-	-	(500,000.00)	-	-
Less Quality Assurance		(400,000.00)	(400,000.00)	(400,000.00)	(300,000.00)	(300,000.00)
Less City of Omaha Major Bridge		(2,500,000.00)	(2,500,000.00)	-	-	-
Load Rating of Fracture Critical Bridges		(250,000.00)	(400,000.00)	-	-	-
Funds Available To Be Purchased		8,596,397.80	8,407,900.12	10,913,688.94	11,544,449.82	12,669,408.34
Bridge Buy Out Total	90%	\$ 7,736,758.00	90% \$ 7,567,110.00	90% \$ 9,822,320.00	90% \$ 10,390,005.00	90% \$ 11,402,468.00
Less Major On System Bridges Reserve		-	-	(2,000,000.00)	(2,000,000.00)	(2,000,000.00)
Bridge Buy Out Payment		\$ 7,736,758.00	\$ 7,567,110.00	\$ 7,822,320.00	\$ 8,390,005.00	\$ 9,402,468.00
Counties						
Annual Apportionment		11,682,320.00	12,129,914.00	12,652,394.00	13,189,762.00	13,697,023.00
Funds Available To Be Purchased	94.9%	11,086,521.68	92.8% 11,256,560.19	91.7% 11,602,245.30	90.1% 11,883,975.56	90.6% 12,409,502.84
County Buy Out Payment	90%	\$ 9,977,870.00	90% \$ 10,130,904.00	90% \$ 10,442,021.00	90% \$ 10,695,578.00	90% \$ 11,168,553.00
First Class Cities						
Annual Apportionment		7,658,625.00	7,952,055.00	8,294,580.00	8,646,863.00	8,979,411.00
Funds Available To Be Purchased	94.9%	7,268,035.13	92.8% 7,379,507.04	91.7% 7,606,129.86	90.1% 7,790,823.56	90.6% 8,135,346.37
First Class City Buy Out Payment	90%	\$ 6,541,232.00	90% \$ 6,641,556.00	90% \$ 6,845,517.00	90% \$ 7,011,741.00	90% \$ 7,321,812.00
Total Funds Distributed To Locals		\$ 24,255,860.00	\$ 24,339,570.00	\$ 25,109,858.00	\$ 26,097,324.00	\$ 27,892,833.00

Soft Match Balance By County

As of September 30, 2020

The Soft Match bridge program permits a county to build a bridge on any county road not on the Federal-aid Secondary system and receive credit for 80% of eligible costs. The credit is used as a county's share of matching funds for a Federal-aid bridge replacement or rehabilitation project at another location.

County Apportionment	County Name	Balance
3001	ADAMS COUNTY	976,173.44
3002	ANTELOPE COUNTY	292,670.86
3005	BLAINE COUNTY	246,228.67
3006	BOONE COUNTY	240,742.45
3010	BUFFALO COUNTY	366,185.31
3012	BUTLER COUNTY	31,531.06
3013	CASS COUNTY	945,097.22
3014	CEDAR COUNTY	385,774.67
3018	CLAY COUNTY	264,835.13
3019	COLFAX COUNTY	1,181,409.44
3020	CUMING COUNTY	530,925.77
3022	DAKOTA COUNTY	122,490.17
3024	DAWSON COUNTY	52,663.61
3026	DIXON COUNTY	242,862.34
3028	DOUGLAS COUNTY	426,645.21
3030	FILLMORE COUNTY	806,662.47
3032	FRONTIER COUNTY	159,902.38
3033	FURNAS COUNTY	51,521.22
3034	GAGE COUNTY	261,482.01
3036	GARFIELD COUNTY	39,048.13
3037	GOSPER COUNTY	54,238.31
3039	GREELEY COUNTY	10,779.53
3040	HALL COUNTY	677,667.44
3045	HOLT COUNTY	212,495.70
3047	HOWARD COUNTY	9,628.91
3048	JEFFERSON COUNTY	364,176.36
3049	JOHNSON COUNTY	165,620.55

County Apportionment	County Name	Balance
3050	KEARNEY COUNTY	43,405.72
3052	KEYA PAHA COUNTY	232,446.58
3054	KNOX COUNTY	156,612.23
3056	LINCOLN COUNTY	446,670.51
3059	MADISON COUNTY	145,127.61
3061	MERRICK COUNTY	63,997.07
3063	NANCE COUNTY	69,584.79
3064	NEMAHA COUNTY	236,939.80
3065	NUCKOLLS COUNTY	410,070.02
3066	OTOE COUNTY	740,974.69
3067	PAWNEE COUNTY	224,234.57
3069	PHELPS COUNTY	148,419.38
3070	PIERCE COUNTY	542,519.04
3071	PLATTE COUNTY	29,069.74
3074	RICHARDSON COUNTY	31,602.69
3076	SALINE COUNTY	2,300,424.42
3078	SAUNDERS COUNTY	145,069.59
3079	SCOTTS BLUFF COUNTY	8,548.15
3080	SEWARD COUNTY	1,437,607.03
3084	STANTON COUNTY	1,177,433.28
3085	THAYER COUNTY	217,593.46
3087	THURSTON COUNTY	354,623.30
3089	WASHINGTON COUNTY	1,484,996.48
3090	WAYNE COUNTY	387,125.53
3091	WEBSTER COUNTY	301,985.84
3092	WHEELER COUNTY	55,150.94
3093	YORK COUNTY	489,494.14