



**Roger D. Breed, Ed.D., Commissioner**  
**Scott Swisher, Ed.D., Deputy Commissioner**

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February 19, 2013

Mr. Patrick J. O'Donnell  
Clerk of the Legislature  
Nebraska State Legislature  
State Capitol - Room 2018  
Lincoln, NE 68509

Dear Mr. O'Donnell:

Enclosed is the Chief Negotiator's report required under Neb. Rev. Stat. §81-1384, of the State Employee Collective Bargaining Act, for labor negotiations between the Department of Education and the Nebraska Association of Public Employees, AFSCME, Local 61, for the 2013-2015 biennium.

Sincerely,

A handwritten signature in blue ink that reads "Roger D. Breed".

Roger D. Breed, Ed.D.  
Commissioner of Education

Enclosures

cc/ Senator Greg Adams  
Speaker of the Legislature

Senator Heath Mello  
Chairman of the Appropriations Committee

Senator Steve Lathrop  
Chairman of the Business and Labor Committee



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February 19, 2013

The Honorable Dave Heineman  
Governor of Nebraska  
State Capitol Building  
Lincoln, NE 68509

Dear Governor Heineman:

Enclosed is the Chief Negotiator's report required under Neb. Rev. Stat. §81-1384, of the State Employee Collective Bargaining Act, for labor negotiations between the Department of Education and the Nebraska Association of Public Employees, AFSCME, Local 61, for the 2013-2015 biennium.

Sincerely,

A handwritten signature in blue ink that reads "Roger D. Breed". The signature is written in a cursive style.

Roger D. Breed, Ed.D.  
Commissioner of Education

Enclosure

**REPORT ON THE STATUS OF NEGOTIATIONS  
FOR 2013-2015  
BETWEEN THE NEBRASKA DEPARTMENT OF EDUCATION  
AND  
THE NEBRASKA ASSOCIATION OF PUBLIC  
EMPLOYEES/AFSCME, LOCAL 61**

**SUBMITTED BY  
THE NEBRASKA DEPARTMENT OF EDUCATION**

The Nebraska Department of Education (NDE) and the Nebraska Association of Public Employees/AFSCME, Local 61 began the collective bargaining procedure for the 2013-2015 biennium on September 21, 2012. The negotiations were successfully concluded on November 28, 2012. NDE was notified of ratification of the agreement by NAPE/AFSCME members on January 2, 2013. The agreement was approved by the State Board of Education on January 11, 2013.

A copy of the completed agreement between NDE and NAPE/AFSCME for 2013-2015 is attached.

AGREEMENT BETWEEN  
STATE OF NEBRASKA  
THE DEPARTMENT OF EDUCATION  
AND  
THE NEBRASKA ASSOCIATION OF  
PUBLIC EMPLOYEES/AFSCME, LOCAL 61

JULY 1, 2013 - JUNE 30, 2015

TABLE OF CONTENTS

ARTICLE 1 PREAMBLE .....1

ARTICLE 2 MANAGEMENT RIGHTS .....4

ARTICLE 3 NAPE/AFSCME .....5

ARTICLE 4 PERSONNEL FILE INFORMATION.....8

ARTICLE 5 PERFORMANCE APPRAISALS .....10

ARTICLE 6 ORIGINAL PROBATIONARY PERIOD .....12

ARTICLE 7 SCHEDULED WORK WEEK AND HOURS .....14

ARTICLE 8 OVERTIME.....16

ARTICLE 9 TRAVEL .....17

ARTICLE 10 CLASSIFICATION PLAN.....18

ARTICLE 11 VACANCIES, PROMOTIONS AND DEMOTIONS .....20

ARTICLE 12 EMPLOYEE EDUCATION OPPORTUNITIES .....24

ARTICLE 13 SALARY .....26

ARTICLE 14 INSURANCE AND BENEFITS .....30

ARTICLE 15 LEAVE AND HOLIDAYS .....31

ARTICLE 16 LAYOFF, RECALL, AND RESIGNATION .....44

ARTICLE 17 GRIEVANCE PROCEDURE .....48

ARTICLE 18 CORRECTIVE AND DISCIPLINARY ACTIONS .....54

ARTICLE 19 DEFINITIONS.....61

APPENDIX A .....65

APPENDIX B .....67

APPENDIX C .....69

APPENDIX D.....71

## ARTICLE 1

### PREAMBLE

- 1.1 Effective Dates. This Agreement shall become effective and shall remain in effect from July 1, 2013, when signed by all the parties and remain in effect until the thirtieth (30th) day of June, 2015.
- 1.2 Party Cooperation. Pursuant to the provision of Chapters 48 and 81, Reissue Revised Statutes of Nebraska (R.R.S.), 1943, this Agreement is made and entered into by and between the State of Nebraska Department of Education, hereafter referred to as the "Agency", and the Nebraska Association of Public Employees, Local 61 of the American Federation of State, County, and Municipal Employees, hereafter referred to as "NAPE/AFSCME." It is hereby agreed by the parties signatory hereto that it has been and will continue to be in their mutual interest to promote and encourage areas of understanding and cooperation in labor management relations; to promote procedures and methods to promptly and fairly adjust differences, misunderstandings, and disparities; to promote reasonable and fair working conditions and to encourage an environment of good will and harmony between Agency and employees for the benefit of all. It is the intent of the parties to comply with the provisions of Chapters 48 and 81, R.R.S. adopted by the Nebraska Legislature, and through a system of employee-employer cooperation, to foster and improve the efficient administration of State service, to provide for the well-being of employees and maintain high standards of performance in behalf of the public; and, entering upon this responsibility, the parties wish to declare their intention to cooperate fully in what must be the joint objectives of both bodies in providing for the employees the best working conditions possible consistent with the provision of the best possible service for the people of the State of Nebraska.
- 1.3 Sole Bargaining Representative. The Agency recognizes NAPE/AFSCME as the sole and exclusive bargaining representative in accordance with the Nebraska State Statutes for the purpose of establishing salaries, wages, hours and other conditions of employment as defined by law, for all permanent employees of the Agency, including those on probation, and those occupying fixed-term positions in classification titles listed in Appendix A of this Agreement.
- 1.4 Union Representation. NAPE/AFSCME agrees to represent employees in the bargaining unit to the degree required by law.
- 1.5 Address for Union Notification. When this Agreement requires the Agency to give notice or make any other specified contact with NAPE/AFSCME, such notice of contact shall be with the primary business office of NAPE/AFSCME.
- 1.6 Excluded from Agreement Coverage. Temporary employees, contract employees, and all other employees in the position class titles identified in Appendix B of this Agreement are excluded from the terms and conditions of this Agreement.
- 1.7 Management Rights. The parties hereto are cognizant of certain terms and conditions of employment which exist in and are within the management rights authority of the Agency and affect employees in the bargaining unit, but which may not be addressed in writing either by way of this Agreement or by way of work rules or policies and regulations.
- 1.8 Voluntary Participation. Employees shall have the right to join and participate in, or to refrain from joining and participating in NAPE/AFSCME or other union or association. There shall be no inference, restraint, or coercion by the Agency or by NAPE/AFSCME against any employee because of membership or non-membership in NAPE/AFSCME or in any other union or association.
- 1.9 Non-Discrimination. The provisions of this Agreement shall be applied to all employees in the bargaining unit without discrimination as to age, sex, sexual orientation, marital status, race, religion, color, national origin, political affiliation, or disability. Each of the parties hereto recognize their individual responsibilities under this Article and agree to fulfill those responsibilities. All reference to employees in this Agreement designate both sexes; and wherever the male gender is used, it shall be construed to include male and female employees.

- 1.10 Prohibited Activity. Neither the Agency nor NAPE/AFSCME shall willfully hinder, delay, limit, or suspend the continuity of any government service by lockout, strike, or other means; or coerce, instigate, induce, conspire with, intimidate or encourage any person to participate in any lockout, strike, or other activity which would hinder, delay, limit, or suspend the continuity or efficiency of any governmental service; or aid or assist any such lockout, strike, or other such activity by giving direction or guidance in the conduct of any such activity or provide the funds for the conduct or directions thereof.
- 1.11 Application of Personnel Rules. In the case of inconsistency between any rule contained in Title 93, Nebraska Administrative Code, Chapters 1-16, (93 NAC 1-16) or work rules of the Agency and this Agreement, this Agreement shall prevail. During the term of this Agreement, no changes shall be made to Title 93, Nebraska Administrative Code, Chapters 1-16, (93 NAC 1-16) or work rules of the Agency which would conflict with the terms of this Agreement without concurrence of NAPE/AFSCME; however, those provisions of the personnel rules or work rules of the Agency which involve management rights as described in Article 2 of this Agreement may be amended at any time during the Agreement upon notice to NAPE/AFSCME and Agency employees.
- 1.12 State Laws and Regulations. Any item not specifically covered by the terms of this Agreement as set forth herein shall be governed by the statutes and regulations of the State of Nebraska applicable thereto; to this extent, both are made a part hereof and incorporated herein as though fully set forth herein.
- 1.13 Changes in the Law. In the event that the Legislature shall, during the term of this Agreement, specifically mandate benefits for employees covered by this Agreement which are greater than and which conflict with, or are in addition to those benefits provided for by this Agreement, this Agreement shall be modified by such changes in the law and employees shall be entitled to such benefits from and after the effective date of the law and during its term. Benefits which are currently made a part of this Agreement by statutory references only and then become discretionary shall not, during the term of this Agreement, be reduced or otherwise modified.
- 1.14 State Regulatory Changes. During the term of this Agreement, should an administrative agency other than the Department of Education change in any way a rule or regulation dealing with the subject matter of this Agreement, the Agency shall notify NAPE/AFSCME and the parties shall meet and negotiate regarding such change.
- 1.15 Savings Clause. If any provision of the Agreement is subsequently declared by the Nebraska Legislature or proper judicial authority to be unlawful, unenforceable, or not in accordance with applicable statutes, all other provisions of this Agreement shall remain in full force and effect for the duration of this Agreement.
- Should any Article, Section, or portion thereof of this Agreement be held unlawful and unenforceable by any court of competent jurisdiction, or caused to be unlawful and unenforceable by an act of the Nebraska Legislature, such decision of the court or act of the Nebraska Legislature shall apply only to the specified Article, Section or portion thereof specified in the decision or affected by the act; upon the issuance of such a decision or passage of such act, the parties agree to negotiate a substitute for the invalidated Article, Section or portion thereof. Should any judicial or legislative action determine that or cause similar language to that contained in this Agreement to be unlawful or unenforceable, the parties agree to negotiate regarding any affected Article, Section, or portion thereof contained in this Agreement.
- 1.16 Final and Complete Agreement. The parties acknowledge that during the negotiations which resulted in this Agreement, each had the right and opportunity to make demands and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and that the understandings and agreements arrived at by the parties after the exercise of that right and opportunity are set forth in this Agreement. Therefore, the Agency and NAPE/AFSCME, for the duration of this Agreement, each voluntarily and unqualifiedly waives the right, and each agrees that the other shall not be obligated to bargain collectively with respect to any subject or matter referred to, or covered in this Agreement. This Agreement may only be amended during its terms by the Parties' mutual agreement in writing.



1.17

Successor Agreement. The parties may agree to meet at any time prior to the beginning of negotiations to discuss parameters of ensuing negotiations and/or to exchange proposals.

## ARTICLE 2

### MANAGEMENT RIGHTS

- 2.1 Agreement. The Agency retains all of its inherent rights, functions, and responsibilities with the right to determine and make decisions, except where those rights may be modified, restricted, or limited by this Agreement. The Agency retains the right to determine the manner in which the operations of the Agency are to be conducted except where those rights may be modified, restricted, or limited by this Agreement. Neither shall anything in this Article be construed to extend management rights to areas in which such management rights do not inherently exist.
- 2.2 Examples of Management Rights. It is recognized that these management rights shall be exercised consistent with the provisions of this Agreement and shall include, but not be limited to, the following:
- 2.2A To implement and maintain a classification system and classify employees pursuant to Article 10. To hire, promote, demote, transfer, assign, train or retain employees in positions within the Agency.
  - 2.2B To maintain order and efficiency by:
    - 2.2B1 Establishing and maintaining Agency mission, goals, and objectives, and reasonable work rules and workplace expectations, the contents of which are not inconsistent with this Agreement.
    - 2.2B2 Suspending, demoting, discharging or taking other appropriate disciplinary action against employees for just cause.
    - 2.2B3 Scheduling work and promoting its accomplishment through managing, directing, and assigning duties and work schedules to employees.
  - 2.2C To determine what charitable and/or social activities may be supported or sponsored.
  - 2.2D To determine the type and number of employees to be employed and, consistent with other provisions of this Agreement, to lay off employees in the event of lack of work or funds or under conditions where the continuation of such work would be inefficient or nonproductive.
  - 2.2E To dismiss an employee from employment in the event the employee is unable to perform the essential functions of the position due to physical or mental impairments even with reasonable accommodations.
  - 2.2F To determine, in accordance with the Constitutional and statutory mandates and goals assigned to the Agency, the personnel, methods, and means necessary to efficiently fulfill the Agency mission, goals, and objectives, including but not limited to, implementing a budget; contracting out for the transfer of any goods or services; or altering, curtailing or discontinuing any goods or services. However, the provisions of this Section shall not be used for the purpose of undermining NAPE/AFSCME or discriminating against any members of the Bargaining Unit.
  - 2.2G To take all reasonable and necessary steps to comply with the Americans with Disabilities Act (ADA) and/or the Nebraska Fair Employment Practices Act.
- 2.3 Negotiations. Nothing in this Article shall be construed to limit or interfere with, or to extend, the scope of permissible negotiations.

## ARTICLE 3

### NAPE/AFSCME

#### NAPE EMPLOYEE REPRESENTATIVE ACTIVITIES

- 3.1 Acceptable NAPE/AFSCME Representative Activities. The Agency agrees that during work hours, on the Agency's premises, official representatives of NAPE/AFSCME (persons employed by NAPE/AFSCME), provided they first notify the appropriate representative of the Agency, shall be allowed with no harassment to:
- 3.1A Post NAPE/AFSCME notices as limited by this Article on bulletin board space provided by the Agency.
  - 3.1B Attend grievance meetings.
  - 3.1C Consult with the Agency or employees of the Agency concerning the enforcement of any provisions of this Agreement.
- 3.2 NAPE/AFSCME Representative Names. NAPE/AFSCME shall provide the Agency a list containing the names of NAPE/AFSCME's authorized representatives for the purpose of this Article within fifteen (15) calendar days after the effective date of this Agreement. NAPE/AFSCME will notify the Agency of change to this list a minimum of seven (7) calendar days prior to the effective date of change.
- 3.3 File Cabinet. NAPE/AFSCME shall be permitted to maintain one NAPE/AFSCME provided file cabinet at each major work site (State Office Building and other NDE offices) for use by NAPE/AFSCME representatives, unless the location of such cabinet is not practicable.
- 3.4 NAPE/AFSCME Employee Representatives. Employees selected by NAPE/AFSCME to act as representatives shall be known as "NAPE/AFSCME Employee Representatives." NAPE/AFSCME shall provide the Agency, on a yearly basis, a current list of the NAPE/AFSCME Employee Representatives and shall update the list as changes occur.
- 3.4A The NAPE/AFSCME Employee Representatives shall be an employee who is employed to perform full-time work for the Agency and shall be responsible for such full-time work on his or her part except at the time of performing authorized duties as a NAPE/AFSCME Employee Representative. The performance of duties as a NAPE/AFSCME Employee Representative shall not relieve employees of the responsibility to properly accomplish their duties as an employee of the Agency.
  - 3.4B The NAPE/AFSCME Employee Representative shall function on behalf of the employees within the certified collective bargaining unit in the NAPE/AFSCME Employee Representative's assigned area.
- 3.5 Employee Representative Distribution. Employees designated as NAPE/AFSCME Employee Representatives shall be reasonably distributed throughout the Agency to allow proper support for employees. However, no two (2) NAPE/AFSCME Employee Representatives may actively process the same grievance or any other matter at the same time.
- 3.6 Employee Representative Hours. A NAPE/AFSCME Employee Representative, upon notification to his or her immediate supervisor or other designated supervisor, shall be permitted without unnecessary delay to devote up to a maximum of twelve (12) hours per month to conduct the following activities listed in Sections 3.6A through 3.6C. The twelve (12) hours per month is neither transferable nor cumulative, and shall be limited to the NAPE/AFSCME Employee Representative's normal schedule. NAPE/AFSCME Employee Representatives who are working under this Section will be paid their regular hourly rate for such time.

3.6A Investigate any grievance or problem or dispute in his or her jurisdictional area so that the same can be properly presented in accordance with the grievance procedure.

3.6A1 Employees may discuss alleged or actual grievances with NAPE/AFSCME Employee Representatives or NAPE/AFSCME staff for reasonable amounts of time during their regular work shift without loss of pay provided immediate supervisor approval is granted. Such approval shall not be unreasonably withheld. If the immediate supervisor's approval is withheld, a stay of the time period for filing the grievance will go into effect until the employee is released to meet with the NAPE/AFSCME Employee Representative or NAPE/AFSCME staff.

3.6B Represent employee members of the bargaining unit in his or her meetings with management or other Agency representatives when such meetings are necessary to adjust grievances or disputes.

3.6B1 All meetings or hearings relative to grievances shall be scheduled from 8 a.m. to 5 p.m., Monday through Friday, excluding those days offices are not open. However, should such hearing or meeting extend past 5 p.m., it shall not be considered to have violated said Agreement. Time spent in grievance meetings, hearings, or associated activities, which occur outside the NAPE/AFSCME Employee Representative's normal work hours, shall not be considered compensable time.

3.6C Confer, after informing the Agency, with staff members or officers of NAPE/AFSCME and employees on Agency premises, at reasonable times and places in advance of the above designated meetings, under the limits and conditions noted earlier.

3.7 Employee Representative Notice to Supervisors. No NAPE/AFSCME Employee Representative shall leave his or her regularly assigned work area to conduct activities under Section 3.6 without first notifying and receiving approval from his or her supervisor, or in the absence of his or her supervisor, the person in charge. Such approval shall not be unreasonably withheld. Upon returning to work the supervisor shall again be notified.

The NAPE/AFSCME Employee Representative and/or any other NAPE/AFSCME representative or agent, when entering any Agency areas or an office site to conduct NAPE/AFSCME business authorized by other provisions of this Agreement, shall notify the supervisor of that area or site of his/her presence and of the nature of the issue.

3.8 Cause for Discipline. Violation of the Agreement concerning the responsibility of NAPE/AFSCME Employee Representatives by an employee may be cause for application of discipline.

#### INFORMATION DISTRIBUTION

3.9 NAPE/AFSCME Orientation. The Agency agrees to include a NAPE/AFSCME orientation notice in the package of material provided to new employees, such notice to be supplied voluntarily by NAPE/AFSCME. Upon a request from NAPE/AFSCME, the Agency will provide an opportunity for a NAPE/AFSCME representative to meet with new employees for up to twenty (20) minutes at the close of the orientation session, or where orientation is not provided, to meet with new employees for up to twenty (20) minutes during the work day, in a non-work space normally used for employee meetings. Attendance by new employees at such meetings shall be voluntary.

3.10 Information Distribution and Solicitation. The Agency agrees that during the involved employee's nonworking time on the Agency's premises outside of employee office areas, employees or members of NAPE/AFSCME, provided they first notify the appropriate representative of the Agency, shall be allowed to distribute NAPE/AFSCME literature and solicit NAPE/AFSCME membership. The term employees in the above sentence refers to the employee who is soliciting as well as the employee whose membership is being solicited.

- 3.11 Prohibition. NAPE/AFSCME agrees that its official representatives or members will not solicit membership in NAPE/AFSCME or otherwise carry on NAPE/AFSCME business or activities in a manner which prevents full attention of all employees to their respective jobs.
- 3.12 Bulletin Board Space. The Agency agrees to reserve adequate space on bulletin boards for use by NAPE/AFSCME provided the Agency shall not be obligated to install any additional bulletin boards.
- 3.13 Bulletin Board Notices. Material to be placed on the bulletin boards shall be limited to notices of NAPE/AFSCME's recreational, educational, and social affairs; notices of NAPE/AFSCME elections, appointments and results of NAPE/AFSCME elections; notices of NAPE/AFSCME meetings and any other notice from NAPE/AFSCME that would be of interest to the unit members. Such notice shall indicate both posting and removal date. NAPE/AFSCME will be responsible for the posting and removal of NAPE/AFSCME notices. A copy of such material shall be provided to the Human Resources Office of the Agency prior to or at such time as it is posted. All material posted by NAPE/AFSCME on Agency bulletin boards shall be on NAPE/AFSCME stationery or otherwise authenticated by NAPE/AFSCME.
- 3.14 Information for Publication. NAPE/AFSCME shall be allowed to submit articles to the Agency for publication in the Agency publication designated for internal communication with employees. Publication shall be totally at the discretion of the Agency.

#### INFORMATION TO BE PROVIDED TO NAPE/AFSCME

- 3.15 Agency Representative Names. The Agency shall provide to NAPE/AFSCME a current list containing the names of the Agency's designated representatives who are to receive notice as required by this Article within fifteen (15) calendar days after the effective date of this Agreement. The Agency will notify NAPE/AFSCME of change to the list a minimum of seven (7) calendar days prior to the effective date of change.
- 3.16 Annual Employee List. At the beginning of each fiscal year, the Agency will provide to NAPE/AFSCME electronically a list of all bargaining unit employees. This list shall contain the employee's name, home address, classification title, classification code, annual salary, date employed and work location. NAPE/AFSCME agrees to be responsible for the cost of this annual report. This list will be held confidential by NAPE/AFSCME.
- 3.17 Quarterly List Update. The Agency will provide quarterly to NAPE/AFSCME an electronic list of names, home addresses, classification title and classification code of all newly hired, terminated, transferred, promoted, demoted, or reclassified employees in the unit. NAPE/AFSCME agrees to be responsible for the cost of this quarterly report. This list will be held confidential by NAPE/AFSCME.
- 3.18 Confidentiality. NAPE/AFSCME will keep the information provided in Sections 3.16 or 3.17 above confidential and will not use the information for any purpose other than record keeping and other official NAPE/AFSCME business. For purposes of this Article, solicitation of employees for membership in NAPE/AFSCME will be considered a part of official NAPE/AFSCME business.

#### LABOR/MANAGEMENT COMMITTEE

- 3.19 Establishment. The parties agree to the establishment of Labor/Management Committees.
- 3.20 Committee Membership. The Committees may be established on a regional, facility, and/or statewide basis with mutual agreement of the parties. The maximum number of employees participating with pay on behalf of NAPE/AFSCME shall be three (3), with selection at the discretion of NAPE/AFSCME. If a greater number of participating employees is approved by the Deputy Commissioner, selection of additional representatives shall also be at the discretion of NAPE/AFSCME. Labor/Management Committee meetings may only be called with the mutual consent of the Agency and NAPE/AFSCME.

## ARTICLE 4

### PERSONNEL FILE INFORMATION

- 4.1 Home Address. All employees are required to provide a current physical home address to the Human Resources Office.
- 4.2 Content. Personnel records shall include all information stored in any form by the Human Resources Office of the Agency which is personally identifiable with an individual employee or former employee. The Agency agrees to maintain one official Agency personnel file per individual for the provisions of this Agreement. The one official Agency personnel file will be maintained at the State Office Building in the Agency's Human Resources Office. It is understood and agreed that copies of materials from the official personnel file on an employee may be maintained at the work site of the particular employee.
- 4.2A Public Information. Public information contained in personnel records shall consist only of the full name of the employee; the employee's job title and date(s) of employment; a statement as to whether the individual is or was an employee of the Agency; the employee's work location and work phone number; and, the gross salary of the employee. Public personnel information shall be provided to any party requesting it. Additionally, pursuant to Section 84-712.05(15) R.R.S., all "job application materials", as defined in that subsection, that are submitted by persons who are hired and become employees of the Agency, are public records and are required to be provided upon proper request under the state public records laws.
- 4.2B Confidential Information. Confidential information contained in personnel records shall include all information that is not considered public information. Confidential information shall be released only to the employee; the Board; any Agency administrator or supervisor in the line of authority to the employee; staff from the Human Resources Office or the General Counsel's Office; any governmental law enforcement or investigative agency or representative upon presentation of proper identification to the Human Resources Office; a subpoena or court order; anyone who presents a document signed by the employee granting access to confidential information or as reasonably needed for the Agency to defend itself in any legal or administrative proceeding brought against the Agency or any of its officials or employees in their professional capacities, or as reasonably needed by the Agency to prosecute legal or administrative actions brought by the Agency.
- 4.3 Inspection and Copies. An employee of the Agency shall be allowed to inspect and/or obtain a copy of their own personnel file maintained by Human Resources at any time during work hours. Upon an employee's specific request to the Human Resources Office the Agency will, within ten (10) work days, provide electronic access or copies of the specific documents requested.
- 4.4 Cost. The cost of any copies of the files will be assumed by the Agency unless said copies are of material which had been provided the employee, in which case the cost of such copies shall be assessed to the employee at the rate per copy charged for public records per the Agency's Administrative Memorandum #606.
- 4.5 Notice. Employees shall be notified by the Agency in writing within ten (10) work days of any information being placed in their personnel file by persons other than the employee which information bears upon the character of the employee or the employee's job performance. The Agency will be required to reproduce the information for the employee in accordance with Section 4.4.

- 4.6 Release of Information. All third party inquiries requesting information regarding the employment record of an employee shall be directed to the Human Resources Office. Upon receipt of a completed release of information form, the Human Resources Office will provide the requested information. When the employee does not specify on the release form the information to be disclosed Human Resources will disclose to the authorized third party the information described in Section 48-201 R.R.S. When a request for other than public information is received, and a completed release form is not on file to allow release of the requested information, the Human Resources Office shall forward an Authorization for Release of Employment Records form to the employee or the requestor. If no written authorization is received, the Human Resources Office shall release only public information. The release form shall be retained in the employee's personnel file. Documented employment information from a current or former employee's personnel file shall be shared with other state agency human resources staff or hiring supervisors, upon request, when the current or former employee has applied for a position in another department/division/agency on the basis of the release on the state job application.
- 4.7 Medical Records. Employee records of medical examination and other miscellaneous medical records will be maintained electronically by Human Resources separate from personnel file documents. Access to medical records will be provided only to personnel who require them to carry out an assigned responsibility.
- 4.8 Post-employment. This Agreement does not cover the inclusion of information in any employee's personnel file following termination of the employee. Such being the case, NAPE/AFSCME takes no responsibility or liability for the manner or method by which the personnel files are utilized by management after termination. Former employees shall have reasonable rights of access to their Agency personnel file, subject to the provisions in the NDE Personnel Rules (Title 93, Nebraska Administrative Code, Chapters 1-16).

## ARTICLE 5

### PERFORMANCE APPRAISALS

- 5.1 Purpose. The purpose of the employee performance appraisals shall be to promote high levels of employee achievement and morale through a system which encourages management and employees to work together to improve individual performance and to facilitate communication concerning employee progress toward the attainment of the Agency objectives. Specifically, performance appraisals will be used for the following purposes:
- 5.1A To review the employee's duties and performance during the probationary period and annually thereafter.
  - 5.1B To identify the level at which the employee is meeting performance expectations, including associated competencies.
  - 5.1C To identify and acknowledge performance worthy of recognition.
  - 5.1D To identify, discuss, and develop action plans to address areas of performance needing improvement.
  - 5.1E To identify work-related goals.
  - 5.1F To create development plans that address ongoing professional development.
- 5.2 Appraisal Schedule. Written performance appraisals shall be prepared at prescribed intervals during the probationary period and annually thereafter as described in Section 5.2C. The absence of any required appraisals shall not prohibit nor delay the ending of a successful probationary period and the establishment of a permanent appointment. If a performance appraisal was not completed during the previous twelve (12) months, an employee's performance shall be considered above satisfactory for salary purposes until such time as a performance appraisal is completed.
- 5.2A Six-Month Probationary Period. For employees with a six-month probationary period the following schedule of appraisals shall be maintained:
    - 5.2A1 Prior to the end of ninety (90) calendar days of employment; and,
    - 5.2A2 Prior to the end of the 6th month of employment.
    - 5.2A3 Additional appraisals may be scheduled at the discretion of the employee's supervisor.
  - 5.2B Twelve-Month Probationary Period. For employees with a twelve-month probationary period, the following schedule of appraisals shall be maintained:
    - 5.2B1 Prior to the end of ninety (90) calendar days of employment;
    - 5.2B2 Prior to the end of the 6th month of employment; and,
    - 5.2B3 Prior to the end of the 12th month of employment.
    - 5.2B4 Additional appraisals may be scheduled at the discretion of the employee's supervisor.
  - 5.2C Annual Appraisals. Annual appraisals will be conducted prior to the employee's continuous service date. Additional appraisals may be scheduled at the discretion of the employee's supervisor.



- 5.3 Discrimination. An employee may grieve the performance appraisal following procedures prescribed in Article 17 only if:
- 5.3A The employee alleges discrimination by reason of sex, race, color, age, national origin, marital status, religion, or disability; or,
  - 5.3B The individual completing the appraisal:
    - 5.3B1 Maliciously misrepresented the employee's manner of performance;
    - 5.3B2 Provided false information on the appraisal instrument; or,
    - 5.3B3 Is not the immediate supervisor or next level supervisor or management position covering the daily work of the employee rated.
- 5.4 Special Appraisals. A special performance appraisal may be submitted whenever the supervisor desires to record instances of performance worthy of recognition either favorable or unfavorable. Reasons for submission of this type of appraisal shall be explained in the comments section of the appraisal.
- 5.5 Signing the Appraisal Form. The employee being appraised shall indicate by his/her dated signature on the appraisal instrument that the appraisal has been reviewed and discussed. The employee's signature does not imply agreement with the appraisal. The employee may, within seven (7) calendar days of receipt of an appraisal, submit a written statement that shall become a permanent part thereof. No additional written observation shall be made on the appraisal after the employee has signed the appraisal without notification to the employee. Employees shall be provided with either electronic access to, or a paper or electronic copy of, the completed performance appraisal.

## ARTICLE 6

### ORIGINAL PROBATIONARY PERIOD

- 6.1 Purpose. Every person appointed to a position in the bargaining unit shall be required to serve a probationary period, which shall be of sufficient length to enable the employee's supervisor to observe the employee. Employees may have their probationary period extended according to the provisions in this Article in order for the supervisor to have additional opportunities to observe the employee. Probationary periods may also be extended to allow for adequate observation opportunities in cases where employees have been absent for significant periods of time. An employee who accepts a new position as described in Section 11.1B, a promotion, a lateral transfer, or a demotion, shall be placed on secondary probation per Section 11.6, with the exception of promotions to the following positions:

Disability Determination Section (DDS)  
DDS Trainee to DDS Adjudicator I  
DDS Adjudicator I to DDS Adjudicator II  
DDS Adjudicator II to DDS Adjudicator III

Vocational Rehabilitation (VR)  
VR Associate to VR Senior Associate  
VR Service Specialist to VR Senior Service Specialist  
VR Rehabilitation Specialist to VR Senior Rehabilitation Specialist  
VR Service Specialist or VR Senior Service Specialist to VR Rehabilitation Specialist

- 6.2 Period of Time. Each employee shall serve an appropriate probationary period based on the classification occupied.

- 6.2A Six-Month Probationary Periods. Employees occupying the following classifications shall serve a probationary period of six (6) months:

Administrative Associate I – V  
Infrastructure Support Technician  
IT Help Desk Specialist  
Office Associate I – IV  
Office Associate Senior  
Office Associate Executive  
Program Associate I – IV  
VR Associate and Senior Associate

- 6.2B Twelve-Month Probationary Periods. Employees occupying the following classifications shall serve a probationary period of twelve months:

Administrative Specialist I – III  
Administrator  
Assistant Commissioner  
Disability Adjudicator Trainee  
Disability Adjudicator I, II and III  
Disability Determination Section Hearings Officer  
Education Specialist I – IV  
General Counsel  
IT Applications Developer, Senior, and Lead  
IT Data/Database Analyst, Senior, and Lead  
IT Infrastructure Support Analyst, Senior, and Lead  
IT Help Desk Specialist Senior  
Legal Counsel III  
Program Specialist I – IV  
Senior Administrator  
VR Service Specialist and Senior Service Specialist  
VR Rehabilitation Specialist and Senior Rehabilitation Specialist  
VR Office Director I and II  
VR Program Director I and II

- 6.2C Extensions. Employees with original probationary periods of six (6) months may be extended by up to six (6) months not to exceed a total of twelve (12) months upon written notification to the affected employee, which includes reasons for such extension. Employees with original probationary periods of twelve (12) months may be extended by up to six (6) months not to exceed a total of eighteen (18) months upon written notification to the affected employee, which includes reasons for such extension.
- 6.3 Discrimination Prohibition. An employee serving an original probationary period may be terminated without recourse including terminations for not successfully passing the Agency's background screen, except for cases of unlawful discrimination based on race/ethnicity, color, sex, religion, age, disability or national origin, at any time during the original probationary period. The appropriate Leadership Council Member or Commissioner's designee shall notify the employee in writing of the date services are to be terminated.
- 6.4 End of Probation. If the appropriate Leadership Council Member or Commissioner's designee determined that the services of an employee have been acceptable, the appointment will become permanent. Permanent appointment of an employee shall begin on the day following the day ending the probationary period, unless notice of extension has been given prior to such time. In the event of an extension of the probationary period, permanent appointment begins on the day following the day ending the extension of the probationary period.
- 6.5 Termination of Employment. If at any time during the original probationary period it is determined that the services of the employee have not been acceptable or the employee does not successfully pass the Agency's background screen, the appropriate Leadership Council Member or Commissioner's designee shall notify the employee in writing of the date services are to be terminated.
- 6.6 Transfer During Probationary Period. Except when the transfer results in a promotion, employees transferred to a position of another classification during their probationary period must satisfy all conditions required for an original appointment to the classification and shall not be required to serve a complete probationary period in the new position but shall be credited with all probationary service in the old position. When the transfer does result in a promotion, a probationary period shall begin at the time of promotion, just as in any other promotion.

## ARTICLE 7

### SCHEDULED WORK WEEK AND HOURS

- 7.1 Work Schedule. The Agency shall establish for each employee a normal work week schedule of seven (7) consecutive days, beginning at 12:01 AM Sunday and ending at 12:00 midnight the following Saturday. Regular pay and overtime pay shall be calculated based on the work week. As part of that schedule, the Agency shall schedule a minimum of two (2) consecutive days off each week.
- 7.2 Work Days and Hours. Except as otherwise authorized by the appropriate Leadership Council Member or Commissioner's designee and the immediate supervisor, each full-time employee shall be scheduled to work at least eight (8) hours per work day and forty (40) hours per work week. For purposes of calculating and reporting leave, a work day shall be considered to be eight (8) hours for a full-time employee or the corresponding percentage of FTE for part-time employees.
- 7.2A Regular Work Hours. Work hours shall fall between the hours of 7:00 a.m. and 6:00 p.m., however, exceptions outside those hours may be allowed if approved by both the Leadership Council Member or Commissioner's designee and the immediate supervisor. Permission shall not be unreasonably denied. Job related travel may cause exceptions to these work hours.
- 7.2B Consistency. Employees shall work the same period each work day, unless otherwise agreed upon with their supervisor in advance. In addition, monthly adjustments may be made by the employee with prior approval of the supervisor.
- 7.2C Lunch. Each day employees shall have an unpaid lunch break of at least thirty (30) minutes and no more than sixty (60) minutes unless they are: (1) scheduled to work six (6) hours or less that day; or (2) required to attend a working lunch that is considered compensable time. If an employee is working six (6) hours or less and would like to forgo the lunch break, the supervisor can approve the request. Scheduling the time of the lunch break is subject to the approval of the immediate supervisor. Schedule requests shall not be unreasonably denied by the supervisor. Employees may not take a lunch break for a shorter period of time than one-half (1/2) hour. A lunch break may not be saved for use in shortening the work day.
- 7.2D Breaks. All employees' work schedules shall provide for a paid fifteen (15) minute break during each four (4) hours an employee is on the job. The rest periods shall be scheduled at the middle of each such four (4) hour period whenever this is feasible. In the event that pressing work requirements exist, rest breaks shall be provided as soon as practicable following the normal schedule during the same work day. Employees shall not receive additional compensation or compensatory time off in lieu of rest periods. Break time may not be saved for use in shortening the work day or in extending the lunch break.
- 7.2E Management Rights. The Agency may establish schedules of employee's work hours in order to insure proper staffing in such units between the hours of 8 a.m. and 5 p.m. The Agency retains the right to specify work hours for specific employees or groups of employees due to ongoing work requirements or in emergency situations.
- 7.2E1 The Agency shall provide ten (10) work days written notice to the affected employees prior to making changes in their permanent work schedules. The Agency may temporarily change an employee's work schedule for cause without the ten (10) work days notice.
- 7.3 Overtime. Nothing contained in this Article shall be construed as limiting the Agency's right to require overtime work by employees, subject to the provisions of Article 8.

7.4

Job Sharing. Job sharing may be allowed by mutual agreement of the Deputy Commissioner, the appropriate Leadership Council Member or Commissioner's designee and the immediate supervisor. The Agency will attempt to maintain job sharing arrangements in effect as of the effective date of this Agreement for as long as is practical and both involved employees remain employed in their current positions.

## ARTICLE 8

### OVERTIME

- 8.1 FLSA Status Determination. The Human Resources Office shall determine whether or not each position in the Agency is exempt or non-exempt under the Fair Labor Standards Act (FLSA). When a new position is created, or an existing position changes, the appropriate Leadership Council Member or Commissioner's designee shall contact the Human Resources Office to request a review of the exempt or non-exempt status. The Human Resources Office shall determine whether or not the position is exempt.
- 8.2 Non-Exempt. All employees working in positions classified as "non-exempt" from overtime requirements under the FLSA, Title 29, United States Code, Chapter 8, who are required to work in excess of forty (40) hours in any work week shall be paid at the rate of one and one-half (1-1/2) times the employee's current hourly rate or, if paid monthly, computed on the current annual hourly rate, or granted compensatory time off at the rate of one and one-half (1-1/2) times each one tenth (1/10) hour worked in excess of forty (40) in any work week. This Section shall in no way limit any rights or powers of the employees as provided by law.
- 8.2A Whether payment for overtime work is in the form of cash or compensatory time off shall be at the discretion of the appropriate Leadership Council Member or Commissioner's designee and the immediate supervisor. Payment for overtime hours shall be calculated at the hourly rate that was in effect for overtime at the time excess hours were actually worked.
- 8.2B Compensatory time off when taken in lieu of pay shall be used in the subsequent sixty (60) calendar day period following the date the hours were worked, unless such period is extended by the Agency. Employees who worked overtime hours between July 1, 2013 and June 30, 2014, shall either be provided time off by June 30, 2014 or be paid by July 31, 2014 at the June 2014 pay rate. Employees who worked overtime hours between July 1, 2014 and June 30, 2015, shall either be provided time off by June 30, 2015 or be paid by July 31, 2015 at the June 2015 pay rate.
- 8.2C Upon termination, employees covered by Section 8.1 will be compensated for accumulated unused compensatory time off at the rate provided for by the FLSA.
- 8.2D No overtime is to be worked by an employee covered by Section 8.2 without the prior approval in writing by the immediate supervisor, the appropriate Leadership Council Member or Commissioner's designee, and the Commissioner or Deputy Commissioner.
- 8.2E Holidays shall be considered as work hours for overtime purposes. Hours worked by an overtime eligible employee on a holiday shall be compensated at one and one-half (1.5) times the employee's normal hourly rate of pay. All hours worked on a holiday in excess of employee's normally scheduled work day shall be compensated at two (2) times the employee's normal hourly rate of pay.
- 8.2F Paid leave (e.g., sick, vacation, bereavement) shall not be considered as work hours for overtime purposes.
- 8.3 Exempt. Employees not eligible for one and one-half (1-1/2) times compensation for overtime, who, in fulfilling their job responsibilities (which may include travel time) extend their accountable work hours beyond the expected eight (8) hour work day or approved work schedule, may deviate from their usual work hours upon advance approval and agreement between the employee and immediate supervisor as to when the deviation from their usual work hours will take place.

## ARTICLE 9

### TRAVEL

- 9.1 Non-Exempt Employee Travel Time. Required travel for nonexempt employees will be considered work time per the requirements as set forth in the Fair Labor Standards Act (29 CFR §785).
- 9.2 Defensive Driving. The Agency will allow the use of state-owned vehicles for business use to employees who have successfully completed a Defensive Driving course approved by the State and who currently hold a valid driver's license for State business.
- 9.3 Personal Vehicles. When reasonably requested by the Agency, or when requested by the employee and approved by the Agency, employees may use their own automobile for work-related travel at a rate of reimbursement as provided for in NDE Administrative Memorandum #205.
- 9.4 Lodging and Meal Reimbursement. The Agency shall reimburse lodging and meal expenses per NDE Administrative Memorandum #205.
- 9.5 Lodging Arrangements. Single occupancy lodging shall be made available to any employee traveling on Agency business unless the employee(s): waives the option, prefers multiple accommodation lodging, or lodging availability in the town precludes single occupancy.

## ARTICLE 10

### CLASSIFICATION PLAN

- 10.1 Classification Specifications. The Agency shall maintain a master set of all approved classification specifications applicable to the bargaining unit. Each classification specification shall constitute the official classification specification in the Classification Plan. The copies of each classification specification shall indicate the date of adoption or of the latest revision of the classification specification. The Agency shall furnish NAPE/AFSCME with and keep current one copy of the classification specifications for each bargaining unit class. Classification specifications shall be available to any employee of the Agency for examination and information.
- 10.2 Notice Regarding New Classifications or Series. In the event that any new classifications, or series are developed or revised by way of content or title during the term of this Agreement, the Agency will notify NAPE/AFSCME as to whether such classification, or series is considered to be included or excluded from the bargaining unit. If NAPE/AFSCME disagrees with this determination, the parties will meet to discuss and resolve the issue. If the parties are unable to resolve the issue, the matter will be settled by the Commission of Industrial Relations.
- 10.2A If a specific position's designation relative to inclusion in the bargaining unit changes, NAPE/AFSCME will be notified in writing.
- 10.3 Process for Classification Review. Permanent employees may request a review of the classification of their position at any time providing the position has not been reviewed within the previous six (6) months. The employee's supervisor may request a review of the classification of an employee's position at any time providing the position has not been reviewed within the previous six (6) months. The employee's supervisor(s) may waive the six (6) month interval requirement.
- 10.3A Following such request by an employee, which shall be made through but not impeded by the supervisor(s), or following such request by an employee's supervisor(s), the Human Resources Office shall review the classification of such employee. Such review by the Human Resources Office will include the review of a Position Description Questionnaire completed by the employee and reviewed by the employee's supervisor(s), and the appropriate Leadership Council Member or Commissioner's designee. The Position Description Questionnaire shall be provided to the employee by the Human Resources Office within five (5) work days of such request.
- 10.3B An employee shall have fifteen (15) work days to complete the Position Description Questionnaire, obtain the supervisor's review, and return it to the Human Resources Office. The Human Resources Office shall have five (5) work days from the receipt of the completed Position Description Questionnaire to provide a copy to the Classification Panel. The Human Resources Office and the Classification Panel shall have thirty (30) work days from the Classification Panel's receipt of the completed Position Description Questionnaire to complete the review of the reclassification request, meet, and make separate recommendations.
- 10.3C A copy of the Human Resources Office and the Classification Panel recommendations shall be forwarded to the supervisor(s), the appropriate Leadership Council Member or Commissioner's designee and the employee. The supervisor(s), the appropriate Leadership Council Member or Commissioner's designee, and the employee shall then have an opportunity to provide comments and/or documentation in response to the recommendations from the Human Resources Office and the Classification Panel within ten (10) work days.



At this time the employee, supervisor(s) and/or the appropriate Leadership Council Member or Commissioner's designee have the right to request and meet jointly with the Human Resources Office representative and the Classification Panel. The Human Resources Office and the Classification Panel may also request that the employee, supervisor(s) or the appropriate Leadership Council Member or Commissioner's designee meet with them to provide additional information about the request.

10.3D If additional information is submitted by the employee, supervisor, or appropriate Leadership Council Member or Commissioner's designee, or if a meeting has been requested, the Human Resources Office and the Classification Panel will jointly meet, and within ten (10) work days make final recommendations to the Deputy Commissioner.

10.3D1 The Classification Panel shall be made up of five (5) members, two chosen by the Agency, and two chosen by NAPE/AFSCME and a fifth member chosen by a majority vote of these four members. In addition, the four members shall select an alternate fifth member to serve in the absence of the original fifth member. All members shall undergo training. The Classification Panel and the Human Resources Office shall mutually determine the nature of the training and the source of the training. The Agency and NAPE/AFSCME shall each designate one alternate to serve as their representative on the Classification Panel when a regular member is unable to attend or when a regular member is unable to vote. All members shall serve for the duration of this Agreement. Recommendations from the Classification Panel shall be decided by majority vote.

10.3E The Deputy Commissioner shall have fifteen (15) work days after receiving the recommendations from the Human Resources Office and the Classification Panel to make a final decision. A copy of the action taken by the Deputy Commissioner shall be forwarded to the Human Resources Office, the Classification Panel, supervisor(s), the appropriate Leadership Council Member or Commissioner's designee, and the employee within five (5) work days of the decision.

10.3F If the Deputy Commissioner's decision is made on or before the 15th of the month, and a pay adjustment is warranted, the pay adjustment shall be effective as of the 1st day of the current month. If the Deputy Commissioner's decision is made after the 15th of the month, the pay adjustment shall be effective as of the 1st day of the next month. The Deputy Commissioner's decision will be final.

10.4 Time Requirements. The time requirements in this Article may be extended by written agreement between the employee and the Deputy Commissioner in cases where additional time is needed in order to conduct the review process. Pay adjustments under Section 10.3F may be made retroactive by order of the Deputy Commissioner.

## ARTICLE 11

### VACANCIES, PROMOTIONS AND DEMOTIONS

- 11.1 Vacancies. Whenever a position opening occurs in any existing job classification within the NAPE/AFSCME bargaining unit, or as a result of the development or establishment of a new position classification within the bargaining unit, a notice of such opening shall be e-mailed to employees and posted on appropriate websites. Upon posting, the position shall be subject to application by qualified employees of the Agency for a period of not less than ten (10) calendar days. The Agency will foster the filling of such vacancies by qualified employees of the bargaining unit, provided, however, nothing herein shall preclude the Agency from accepting applications from, or hiring the most qualified persons whether or not they are current employees. The determination of qualifications shall be made by the Agency.
- 11.1A For purposes of Section 11.1, a job will not be considered to have been open, or a position to have been vacant, if a qualified employee of the Agency is placed in the position, when such placement is the result of any of the following situations:
- 11.1A1 The employee so placed has (i) assisted the employee who previously occupied the position for a period of at least six (6) calendar months, and has been in training for that particular position during such period, and is by virtue of such previous assignment uniquely suited for such position; or (ii) been or was satisfactorily performing the majority of the essential duties of a newly created position while in his or her current position, as determined by the Agency, for a period of at least six (6) months.
  - 11.1A2 The employee so placed has had such action taken as a result of disciplinary action, or the placement is accomplished to prevent the necessity of such action.
  - 11.1A3 The placement of said employee is for the purpose of protecting, or otherwise related to, the health of the employee. In such instances, the action must be voluntary. This includes, but is not limited to, placement of employees for the purpose of reasonable accommodation under the Americans with Disabilities Act (ADA) or the Nebraska Fair Employment Practices Act.
  - 11.1A4 In the case of lateral transfers only, the placement is the result of Agency organizational changes which did not result in the establishment of new positions.
  - 11.1A5 Nothing herein shall be construed as preventing the Agency, in emergency situations, from filling a position on a temporary basis without posting the position. Such temporary assignment shall not exist for a longer period than the emergency situation.
  - 11.1A6 The position is filled by an employee displaced by other personnel action (i.e., layoff, non-disciplinary demotion).
  - 11.1A7 Voluntary transfers, at the employee's request, to an existing position of the same classification and pay grade.
  - 11.1A8 The vacant position is filled as the result of a grievance or litigation settlement, court order, State Board of Education order, or order of a governmental agency with proper jurisdiction and authority.

- 11.1B Employees who apply for and are selected as the successful applicant to fill a vacant position opening that was posted pursuant to Section 11.1, except as provided below, shall be subject to the same salary range that was identified on the posting for all applicants. Employees are not entitled to retain their current salary level if they accept the offer to fill the vacancy. The salary provisions contained in Sections 11.2C and 11.3B do not apply to such a situation.
- 11.1B1 The Commissioner or Deputy Commissioner may authorize a higher salary than was identified in the posting in cases where it is determined that the current NDE employee selected possesses superior or unique experience or qualifications that will provide added benefit to NDE in the job.
- 11.2 Promotions. In situations where the employee's job duties have changed significantly, the employee may be reclassified to a new classification at a higher pay grade as a result of the classification review process identified in Article 10, or as provided in Article 11, Sections 11.2A and 11.2B, as long as minimum qualifications are met.
- 11.2A Within the Disability Determination Classification Series, employees shall not be required to go through the Classification Review Process described in Article 10 in order to be reclassified from DDS Trainee to DDS Adjudicator I, from DDS Adjudicator I to II, or from DDS Adjudicator II to III. Within the Vocational Rehabilitation Classification Series, employees shall not be required to go through the Classification Review Process described in Article 10 in order to be reclassified in the following positions:
- VR Associate to VR Senior Associate;  
VR Service Specialist to VR Senior Service Specialist;  
VR Rehabilitation Specialist to VR Senior Rehabilitation Specialist; and  
VR Service Specialist or VR Senior Service Specialist to VR Rehabilitation Specialist.
- 11.2B The Agency will provide NAPE/AFSCME advance notice of a reclassification of an employee subject to this Agreement to a classification not subject to this Agreement without the use of the Classification Review process described in Article 10. NAPE/AFSCME will have five (5) work days to respond to the notice.
- 11.2C Promotion Rates. An employee who is reclassified to another classification at a higher pay grade shall receive a five percent (5%) salary increase for moving up one pay grade, a seven and one-half percent (7.5%) salary increase for moving up two pay grades or a ten percent (10%) salary increase for moving up three or more pay grades. In no case shall the employee receive a salary that is less than the minimum or more than the maximum of the new pay grade.
- 11.2C1 The appropriate Leadership Council Member or Commissioner's designee may recommend to the Deputy Commissioner a pay increase greater than that which would be provided for above. The Deputy Commissioner's decision will be final.
- 11.3 Demotions. In cases in which the employee accepts a demotion to avoid being laid off, voluntarily requests a demotion, or, is reclassified to a lower pay grade pursuant to the review process in Article 10, a non-disciplinary demotion may result. The employee shall have the right to elect demotion as the alternative to a layoff. The right to elect shall be granted to employees in accordance with the provisions of Article 16.
- 11.3A In all cases involving demotion, the employee must meet the requirements of the position to which he/she is demoted, and except as provided in layoff procedure, no employees in a lower level position shall be laid off by reason of a demotion action involving another employee.

11.3B Demotion Rates. An employee who is reclassified to another classification at a lower pay grade shall receive a five percent (5%) salary decrease for moving down one pay grade, a seven and one-half percent (7.5%) salary decrease for moving down two pay grades, or a ten percent (10%) salary decrease for moving down three or more pay grades. In no case shall the employee receive less than the minimum or more than the maximum of the new pay grade.

11.3B1 The appropriate Leadership Council Member or Commissioner's designee may recommend to the Deputy Commissioner a pay reduction less than that which would be provided for above. The Deputy Commissioner's decision will be final.

11.4 Service Dates. Promotion, demotion and transfer of employees shall not change the employee's service date.

11.5 Loss in Pay. No employee shall, as a result of Agency action, suffer a loss in pay through a promotion or transfer to a position of the same classification or through a transfer to a differently classified position in the same pay grade. This provision shall not apply in layoff situations.

11.6 Secondary Probationary Periods. An employee accepting a new position as described in Section 11.1B, a promotion, a lateral transfer, or a demotion shall be placed on probation for a period of time as set forth in Article 6 to determine his or her ability to perform the job. If the employee is, in the opinion of the Agency, not performing satisfactorily in the new position during the probationary period, the employee may be reverted to the employee's previous position and pay rate or may be placed in a vacant position of a similar classification with the same pay rate as the employee received prior to a promotion, a lateral transfer, or a demotion. If no such vacancy is available, the Agency may place the employee in a vacant position in a lower classification according to the provisions in Section 11.3B or may terminate the employee per provisions in Article 6. The Agency shall not be required to utilize the disciplinary process to revert an employee back to the employee's former position or a vacant position in the same geographical area (thirty [30] miles from the current work site) equivalent to the former position's pay grade. The Agency shall document efforts to provide the promoted employee with performance improvement counseling when utilizing this provision.

11.6A During the probationary period for the new position, performance appraisals shall be conducted according to the schedule as set forth in Article 5.

11.7 Relocation. Full-time employees receiving a promotion which requires relocation to another geographical section of the state by direction of the Agency shall be reimbursed for their actual moving expenses incurred for household goods, family, and self as provided under Department of Administrative Services (DAS) guidelines. For purposes of this Section, a relocation to another geographical section of the state is when the employee is directed to work at a primary work site that is at least thirty (30) miles from both the present work site and the employee's current residence, whichever is the shorter distance.

All other employees transferring to or relocating in another geographical section of the state for the benefit of the Agency, including those who relocate through the exercise of Section 11.1 of this Agreement, shall be eligible for reimbursement of moving expenses pursuant to Department of Administrative Services' guidelines, upon approval of the Commissioner.

No payment of moving expenses shall be made until a receipted statement is received and approved by the Commissioner of Education.

11.7A Payment of a relocation allowance on a transfer of a distance less than required by Section 11.7 may be approved by the Commissioner of Education based on sufficient justification.

- 11.7B The Agency may reimburse newly appointed employees for reasonable expenses incurred in relocating to their place of employment provided that the employees agree in writing to remain in the employment of the state for a period of one (1) year. Termination within the one (1) year period for any reason within their control may be cause to require them to reimburse the Agency for moving expenses.
- 11.7C Payment of moving expenses shall not be made to any employee until a receipted statement for each qualified expense and, in the case of new employees, a signed agreement to remain in the Agency's employ for one (1) year are received by the Commissioner.
- 11.7D If any employee who has been directed by the Agency to transfer and that transfer meets the requirements of a geographical location to another section of the state under Section 11.7, and is required to report for duty at his or her new work site prior to moving and establishing residency, the employee shall be reimbursed for expenses in accordance with regulations issued by the Department of Administrative Services for a period of up to sixty (60) calendar days or up until the employee moves and residency is established.
- 11.7E Permanent employees who receive moving expense reimbursement under this Article may be reimbursed for costs and expenses of relocation not covered by the moving expense reimbursement. This relocation reimbursement shall be based upon expenditures verified by receipt and may not exceed two hundred and fifty dollars (\$250). In cases in which the relocation is an Agency requirement and does not involve a salary increase, the two hundred and fifty dollars (\$250) limitation may be waived by the Commissioner.
- 11.7E1 Payment of the relocation allowance shall be entered in the employee's permanent personnel records and the fact that such payment was made shall be signed by the Commissioner and the employee.
- 11.7F Employees who transfer under the terms of Section 11.7 to another geographical location of the state shall be allowed sixteen (16) hours with pay for the purpose of attending to their personal affairs in their present location and establishing their personal affairs in their new location. Additional time off required shall be vacation leave, compensatory time, or leave without pay at the employee's discretion.

## ARTICLE 12

### EMPLOYEE EDUCATION OPPORTUNITIES

- 12.1 Postsecondary Coursework During Work Time. Employees may request approval to use work time to take up to seven (7) credit hours each calendar year from postsecondary institutions on the semester schedule or nine (9) credit hours each calendar year from postsecondary institutions on the quarter schedule. However, no more than four (4) credit hours may be taken in any one (1) semester, or no more than four and one-half (4.5) credit hours in any one (1) quarter, during work time without loss of pay. All summer sessions combined will be considered equivalent to a one semester period. If a class crosses calendar years, eligibility to take a course will be determined based on the start date of the class. Approval under this section is intended to allow employees to use work time to attend courses that have a regular meeting schedule as opposed to self-paced, online courses or credits for activities such as thesis or doctoral work. Depending on the distance and location, travel time may also be considered regular work time. Prior approval for coursework and related travel on work time must be obtained from the immediate supervisor, Leadership Council Member or Commissioner's designee, and Deputy Commissioner. Management may limit the amount of work release time granted. Requests and subsequent documentation must be submitted on a form provided by the Agency. Requests shall be directed through the employee's immediate supervisor to the Human Resources Office. Any additional credit hours may be scheduled outside the normal work day. Approval under this Article shall not be unreasonably denied; however, approval is discretionary and may be made on bases such as, but not limited to, fiscal considerations, workload, and employee performance. For purposes of this Article, the term "postsecondary institution" means an institution of higher learning accredited by an accrediting body that is recognized by the United States Secretary of Education and is authorized to grant associate, baccalaureate or post-baccalaureate degrees.
- 12.2 Reimbursement When Employee Elects to Take Postsecondary Coursework. As an alternative to Section 12.1, employees may be eligible to receive not more than seven (7) credit hours each calendar year of tuition reimbursement for coursework at postsecondary institutions on the semester schedule or nine (9) credit hours each calendar year of tuition reimbursement for coursework at postsecondary institutions on the quarter schedule for job-related courses. If a class crosses calendar years, eligibility to take a course will be determined based on the start date of the class. Job relatedness shall be determined by the Deputy Commissioner, whose decision shall be final. Reimbursement may be for any portion of the tuition cost, required course fees, and books, with the employee being notified of the amount of reimbursement, prior to the beginning of the course. Reimbursement for course-related expenses will be prorated based on the number of credit hours reimbursed. Approval for reimbursement shall not be unreasonably denied; however, approval is discretionary and may be made on bases such as, but not limited to, fiscal considerations, workload, and employee performance. Eligibility for reimbursement requires a course grade of "C" or better for undergraduate courses, or a pass for pass/fail courses, or a course grade of "B" or better for graduate courses. Requests for reimbursement and substantiating documentation must be submitted in writing prior to the beginning of the course on a form provided by the Agency. Requests shall be directed through the employee's immediate supervisor to the Human Resources Office. Employees who receive tuition reimbursement will be required to reimburse the Agency if they voluntarily leave their employment with the Agency within one year of the course completion date.
- 12.3 Employee Directed to Take Postsecondary Coursework. If an employee is directed by the Agency to take a job-related course at a postsecondary institution, the Agency shall pay for all costs of said course including tuition, books, other required instructional materials, and fees. Job relatedness shall be determined by the Deputy Commissioner, whose decision shall be final. The employee so directed shall be considered to be working for the Agency during classroom time and any travel incurred to attend such courses shall be treated as travel for the Agency. The employee so directed shall be considered to be on work time while participating in said course. The Agency shall require written documentation to verify the employee's enrollment, course completion and the grade earned.

- 12.4 Online Coursework. Employees may use Agency computers, Internet access, printers, and office supplies for online courses and related homework if the employee has been directed to take a job-related course for professional development per Section 12.3, or if an employee elects and receives supervisory approval to take an online course available through the State's Employee Development Center - Learning. If employees voluntarily take an online postsecondary or other educational or vocational course, the Agency's computers, Internet access, printers, and office supplies may not be used.
- 12.5 Conference or Meeting Participation. In addition to the assigned activities of each employee, the appropriate Leadership Council Member or Commissioner's designee shall maintain and carry out a policy of approving a reasonable request of each employee to participate in meetings, conferences or in-service activities related to the employee's job. Determination of reasonable requests and job relatedness shall rest with the appropriate Leadership Council Member or Commissioner's designee. Upon approval of such a request, the employee may attend such meeting, conference, or in-service activity without loss of pay and at the expense of the Agency. Additionally, an employee may be permitted to participate in at least one (1) meeting, conference, or in-service activity per year relating to the employee's job consisting of a total of not more than five (5) work days at the expense of said staff member without loss of pay.
- 12.6 All decisions made by management pursuant to the application of the provisions of this entire Article are final.

## ARTICLE 13

### SALARY

- 13.1 New Classification Systems or Salary Structures. The parties recognize that classification of positions and assignment of classifications to pay grades are not negotiable items under this Agreement. However, prior to implementation of any new salary structure or classification system, the Agency will meet with NAPE/AFSCME to discuss and negotiate those factors relating to conversion to the new classification system or salary structure which affect employees that are negotiable. Such negotiations shall not be construed a reopening of negotiations for this Agreement, but shall be intended to reach a separate agreement between NAPE/AFSCME and the Agency.
- 13.2 Pay Plan Requirements.
- 13.2A Within three (3) months of the end of the fiscal year, the Agency, through DAS, shall transmit to NAPE/AFSCME information containing the wage and salary budget and expenditures for the previous fiscal year.
- 13.2B Pay increases for promotions and pay decreases for demotions are addressed in Article 11.
- 13.3 Pay Plan. The pay plan is based on a structure that contains sixteen (16) pay grades. The range for each pay grade begins with the minimum salary, is anchored by the midpoint, and ends with a maximum salary.
- 13.3A The pay structure for this Agreement is contained in Appendix D.
- 13.4 Pay Structure Adjustment. Each pay grade (minimum through maximum) in the NDE Pay Structure will be adjusted upward by two and one-quarter percent (2 1/4 %) on July 1, 2013 and on July 1, 2014.
- 13.5 Annual Increases. On July 1, 2013, the salary for each employee will be adjusted upward by two and one-quarter percent (2 1/4 %). On July 1, 2014, the salary for each employee will be adjusted upward by two and one-quarter percent (2 1/4 %).
- 13.5A Employees on administrative probation, disciplinary suspension without pay, or suspension with pay as of July 1, 2013, or July 1, 2014, will remain at their current salary until satisfactory completion of all of the requirements of their employment condition.
- 13.5B If a suspension is for investigative purposes and the employee is subsequently determined not to be subject to disciplinary action, the actions described in Section 13.5 will be effective retroactive to the date any pay increases would have been granted had the suspension not been in effect.
- 13.5C In the event that the Master Contract negotiations result in annual salary increases that differ from those provided for in Section 13.5, the parties agree to meet and discuss salary issues notwithstanding the provisions of Article 1, section 1.16.
- 13.5D In no case shall employees receive salaries that are less than the minimum of their pay grade.



- 13.6 Horizontal Movement. The Deputy Commissioner may distribute salary increases to employees who meet established criteria as necessary to address internal/external inequities and recognize noteworthy performance. The salary increases will be included into the employee's regular rate of pay and base salary. NAPE/AFSCME will be provided written notice of such salary increase identifying the employee, the amount, and justification for the payment.
- 13.7 Merit Stipends. Upon receiving a recommendation from the appropriate Leadership Council Member, or Commissioner's designee, the Deputy Commissioner may distribute merit stipends to recognize employees, with at least two (2) years of service with NDE, who have demonstrated exemplary performance or who have completed temporary assignments that required, time, skill and effort beyond the normal scope of the employee's position. The stipend will be a one-time payment, above and beyond the regular rate of pay. The stipend will not become part of the employee's base salary. NAPE/AFSCME will be provided written notice of such stipends identifying the employee, the amount and justification for the payment.
- 13.8 Recruitment and Retention. The Parties agree to negotiate over the implementation of a compensation model designed to enhance the Agency's ability to recruit and retain quality employees by way of additional compensation for performance based progression within the employee's Classification Series.
- 13.9 Temporary Pay Increase for Performing Higher Classification Duties. When any employee performs, in whole or part, the duties of a position in a higher pay grade than the classification pay grade currently held by the employee, the employee shall receive a temporary pay increase to the minimum salary of the higher pay grade or an increase in accordance with Section 11.2B, whichever is higher, but in no case shall the employee receive a pay reduction. Such temporary pay increase shall apply only when the employee has been requested by the Agency to perform the duties of someone at a higher pay grade, and when the period of time in which the employee is performing the duties exceeds ten (10) work days. Any such pay increase will begin on the eleventh (11<sup>th</sup>) work day, shall be retroactive to the date of the temporary transfer, and shall end when the employee reverts to his/her previous classification. If the employee devotes less than 1.0 FTE to the higher pay grade duties, the work will be time certified at the differential rates. A temporary pay increase for performing the duties of a higher classification may not exceed one (1) year, without written approval of the Deputy Commissioner.

#### PAYCHECKS

- 13.10 Timing. The Agency will attempt to ensure that all employees have their paychecks or payroll advice made available to them on the same day or have their pay deposited in their designated account on the same day, as applicable.
- 13.11 Release to Immediate Relatives. Payroll and expense warrants will be released to employees named on the warrant or to the person designated by the employee in writing. In case of employee illness or injury, warrants may be released to immediate relatives who do not have a note of authorization but have proof of identification. Immediate relatives shall be considered as: spouse; children including step, adopted, and foster children; parents; and, parents of the spouse.
- 13.12 Lost Warrants. In the event a payroll warrant is lost, the provisions of Section 77-2215, R.R.S. and applicable Department of Administrative Services (DAS) Payroll procedures will be complied within the preparation of another payroll warrant.



- 13.17 Discontinuation of Payroll Deductions. Upon receipt of a list of employees for whom dues deductions are to stop, certified to the Agency in writing by an authorized representative of NAPE/AFSCME, the Agency will discontinue the automatic payroll dues deduction from such employees.
- 13.17A Additions to the list received at least seven (7) calendar days before the next payroll posting will be processed in that payroll. Lists received less than seven (7) calendar days before the next payroll posting will be processed in the next available payroll.
- 13.17B NAPE/AFSCME shall indemnify the Agency and hold it harmless against any and all claims, demands, suits or other forms of liability that may arise out of, or by reason of, any action taken by the Agency for the purpose of complying with the provisions of this Article.
- 13.18 Withdrawing Membership. Employees may withdraw membership from NAPE/AFSCME only during the month of June each year by notifying NAPE/AFSCME in writing of their withdrawal. NAPE/AFSCME will place the names of those withdrawing on the list of employees as described in Section 13.17 above.

## ARTICLE 14

### INSURANCE AND BENEFITS

- 14.1 Insurance Coverage Consistency with Master Contract. The Agency agrees to provide employees with the same health, dental and vision insurance plans at the same cost sharing ratio as the State will provide other NAPE/AFSCME represented bargaining units for the 2013-2015 Agreement period as identified in the Master Contract between the State of Nebraska and NAPE/AFSCME. In the event that such insurance programs grant to the employee various options, the Agency's obligation will apply only to the mandatory portion to be paid by the Agency under the program. The Agency further agrees to provide all employees all other insurance plans that are made available in the Master Contract at the same cost.
- 14.2 Voluntary Coverage. Insurance benefits are not mandatory and may be disclaimed.
- 14.3 Part-Time Employees. Part-time permanent employees of the Agency who work twenty (20) hours or more per week are eligible to participate in group health, life insurance and other benefits as administered by the State of Nebraska while they are employed by the Agency. State contributions to health and life insurance benefits for part-time permanent employees shall be prorated based on their FTE.
- 14.4 COBRA. Pursuant to the procedures developed in accordance with the provisions of the Consolidated Omnibus Budget Reconciliation Act (COBRA), the Agency shall within three (3) work days, submit a COBRA Qualifying Event Notice to the State Personnel Office for any employee or dependent who becomes ineligible for health insurance coverage. Qualifying events include, but are not necessarily limited to, termination or death of an employee and ineligibility of dependents due to age or change in student status and legal divorce or legal separation from spouse. Employees shall notify the Agency of those qualifying events.
- 14.5 Flexible Spending Plans. Employees may participate in the Internal Revenue Service Section 125 flexible spending plans that are made available by the State.
- 14.6 EAP. The Agency agrees to provide to each employee the same Employee Assistance Program (EAP) as is provided by the State to the other NAPE/AFSCME represented bargaining units.
- 14.6A Records concerning an employee's treatment for alcoholism, drug abuse or psychological conditions shall be kept in a file separate from the employee's official personnel file per Article 4.
- 14.6B All Employee Assistance Program records shall remain confidential unless their release is required by law or court order, or if their release is authorized by the employee in writing.

## ARTICLE 15

### LEAVE AND HOLIDAYS

15.1 Leave of Absence. Employees of the Agency with at least three (3) years of service are eligible for leaves of absence without pay. Leave of three (3) months or less for professional advancement, special training, or other good and sufficient reasons, may be granted by the Commissioner. Leaves of more than three (3) months are subject to approval by the State Board of Education, which will review the request and the recommendation of the Commissioner of Education.

15.1A At the expiration of leave approved under Section 15.1, the employee shall return to a position of a similar classification or pay grade as the position he/she held prior to leave, if available. If no such position is available, the employee shall be considered "laid off" and eligible to exercise transfer and bumping rights under the provisions of Sections 16.5 and 16.6. Failure on the part of an employee to return to work on the agreed upon date, except for satisfactory reasons submitted to and approved by the Commissioner prior to the agreed upon return date, may be cause for dismissal.

15.1B Vacation and sick leave shall not accrue while on leave of absence without pay; however, vacation and sick leave earned, but not used prior to leave of absence, shall be carried forward upon employee's return to duty.

If the State or Federal program from which the employee was paid prior to the leave is terminated during the leave, the Agency may choose to pay the employee on leave for his/her unused vacation leave balance.

15.2 Sick Leave. Employees shall, in accordance with the provisions of this Agreement, during each year of continuous employment, accrue sick leave with full pay at a rate based on the schedule below. Hours accrue on a monthly basis but are not available to the employee prior to the start of the following month except as provided by Section 15.2G2. Employees who work less than a full year, or less than full-time, shall accrue sick leave on a prorated, monthly basis. Employees who have worked less than one (1) full calendar year will earn sick leave in an amount proportionate to time worked in the calendar year. Accrual of sick leave while off work and being compensated under Workers' Compensation is as described in Sections 15.2E and 15.3C.

<u>Years of Service</u>	<u>Hours Per Year</u>	<u>Hours Per Month (Average)</u>
First through Fifth Year	96	8.00
Sixth through Fifteenth Year	112	9.34
Sixteenth and Following Years	144	12.00

15.2A The following conditions are valid reasons that sick leave may be used:

15.2A1 Employee. When an employee is unable to perform his/her duties because of:

- 15.2A1(i) Illness,
- 15.2A1(ii) Absence due to psychological treatment or counseling,
- 15.2A1(iii) Disability,
- 15.2A1(iv) Injury,
- 15.2A1(v) Employee's presence at work jeopardizes the health of others by exposing them to a contagious disease,
- 15.2A1(vi) Pregnancy complications, post-natal recovery, and miscarriage,

- 15.2A1(vii) Absence due to treatment for alcoholism or drug addiction, if medically diagnosed by a licensed physician, and if the employee is receiving assistance or has agreed to an approved course of treatment,
- 15.2A1(viii) Medical, surgical, dental, audiological or optical examinations or treatment, or
- 15.2A1(ix) Emergency medical treatment.

15.2A2 Employee's immediate family member(s). When the illness, disability, injury, surgery, medical examination, procedure, or treatment of an immediate family member requires the employee's presence. The seriousness of the illness, disability, injury, or surgery shall be considered by the immediate supervisor when determining the number of days of sick leave to be granted. For the purposes of this Section, immediate family shall mean spouse, children, including step, adopted and foster children, daughter/son-in-law, mother, father, and mother or father of the spouse. At the discretion of the appropriate Leadership Council Member or Commissioner's designee, sick leave benefits may be extended for the care of other individuals with a similar personal relationship (e.g., acted as a mother, father, etc.) to the employee as that of an immediate family member.

15.2A2(i) After the birth of a baby, the employee who is the father of the baby may use up to five (5) days of sick leave. If more than five (5) days of sick leave is necessary and required, for medical reasons, to care for the new baby or the mother, a note from the medical provider shall be required to establish the medical necessity.

15.2A2(ii) After the birth of a baby, an employee, other than the father, may use sick leave if his or her presence is necessary and required, for medical reasons, to care for the mother (if the mother meets the definition of immediate family). A note from the medical provider shall be required to establish the medical necessity.

15.2A2(iii) Under this Section, employees may use sick leave to attend school appointments for an immediate family member with a disability.

15.2B Sick leave shall be requested by the employee in writing and in advance whenever possible, for anticipated health reasons such as medical appointments and physical examinations. In cases of sickness, injury emergencies, or any other absence not approved in advance, the employee shall advise appropriate Agency personnel of the circumstances as soon as possible. An employee may be required to submit substantiating evidence and/or documentation when the reason for the leave request was a medical or dental appointment or when the immediate supervisor suspects sick leave abuse. Substantiating evidence may also be required if the sick leave absence exceeds five (5) consecutive work days.

15.2B1 If an employee has been absent on sick leave exceeding five (5) consecutive work days, the employee may be required to produce written verification from a medical provider to document fitness to return to work, including notice of any necessary work restrictions.

15.2B2 Independent of an employee's use of sick leave and exceeding the five (5) consecutive work day requirement noted above, if an immediate supervisor has reason to believe that an employee's presence at work poses a significant health or safety risk, the employee may be required to produce medical verification regarding fitness for work.

- 15.2C Sick leave shall not be used as vacation leave.
- 15.2D Sick leave shall be taken and reported in increments of not less than one tenth (1/10<sup>th</sup>) of an hour. Holidays falling within a period of sick leave shall not be counted as hours worked for overtime purposes.
- 15.2E Sick leave shall not accrue during leaves without pay, suspensions, layoffs or when leave is compensated under Workers' Compensation, except as provided in Section 15.3C.
- 15.2F Probationary employees shall be entitled to sick leave at the same rate as permanent employees. Sick leave may be granted during the probationary period up to the number of accrued hours available to the employee. When a probationary employee takes sick leave in excess of accrued hours available, the provisions of Section 15.2G shall apply.
- 15.2G If an absence extends beyond the sick leave accrued to the credit of the employee:
  - 15.2G1 Such employee shall be required to utilize (1) accumulated unused compensatory time off if the employee is subject to Section 8.2 and (2) accrued vacation leave, in that order. For the purposes of this subsection, accrued sick and vacation leave does not include advanced sick and vacation leave. After all accrued sick leave, compensatory time off, and vacation leave have been exhausted, the employee, upon written request, may be granted leave without pay (outside FMLA) at the discretion of the appropriate Leadership Council Member or Commissioner's designee. However, granting such leave without pay is discouraged and should not be done on a regular basis. Written notice regarding the leave without pay must be provided to the Human Resources Office.
  - 15.2G2 Sick leave may, at the discretion of the immediate supervisor and appropriate Leadership Council Member or Commissioner's designee, be advanced to employees with six (6) months of service with the Agency, in an amount not to exceed that which the employee would earn in the following three (3) month period. Employees shall reimburse the Agency for all used, unearned sick leave upon termination either from a deduction in their final check or by separate payment. Employees may not request more than one advancement in a three (3) month period. If at the end of the advancement period the employee has not earned back the advanced sick leave used, another request for advancement cannot be approved until the employee has earned back the advanced sick leave used. Written notice of advancement must be provided to the Human Resources Office.
  - 15.2G3 Should an employee require medical treatment while on vacation, vacation leave may be changed to sick leave under the following circumstances:
    - 15.2G3(i) For the period of time medical treatment was required and for reasonable periods of bed-rest thereafter that were required and that occurred within the otherwise normally scheduled work hours of the employee;
    - 15.2G3(ii) Upon submission of a physician's statement substantiating treatment and probable duration of illness; and
    - 15.2G3(iii) Upon approval of the immediate supervisor and appropriate Leadership Council Member or Commissioner's designee. Denial by the supervisor or Leadership Council Member or Commissioner's designee shall not be arbitrary.
- 15.2H Sick leave, both as to earned unused hours and as to years of service for accumulation purposes, may be transferred when the employee transfers between another Nebraska State agency, Nebraska State University or college and the Agency without a break in service by mutual agreement between employers.

15.2I Upon separation of employment, if the employee is at least fifty-five (55) years of age, the employee shall be paid one-fourth (1/4) of the accumulated unused sick leave paid at the hourly rate in effect upon separation. In the event of death, the employee's beneficiary shall be paid one-fourth (1/4) of the accumulated unused sick leave computed at the rate of pay earned by the employee at the time of death. An employee may only receive this payout once no matter how many times they are re-employed with the State of Nebraska. Payment for one-fourth (1/4) of the accumulated unused sick leave balance in the case of separation or death shall not exceed four hundred and eighty (480) hours.

15.2I1 Employees who are at least fifty-five (55) years of age, who are laid off, shall have the option to defer the payment of one-quarter (1/4) of their sick leave account for up to twelve (12) months. Should the laid off employee return to NDE employment within twelve (12) months, the employee's sick leave balance and service date shall be reinstated (minus time in a non-pay status). Should the laid off employee not obtain further NDE employment at the end of the twelve (12) month period, NDE shall pay them one-quarter (1/4) of their sick leave account, not to exceed four hundred and eighty (480) hours.

15.2J Employees returning to work with the Agency on or after July 1, 2001, after a break in service of less than five (5) calendar years shall have their accumulated unpaid sick leave balance reinstated. The employee's service date shall be adjusted for the period of absence. The employee's vacation leave and sick leave earning rate will also be adjusted, and the new rate of earning will be based on the adjusted service date. Employees returning to work after a break in service of more than five (5) calendar years shall start with a zero (0) sick and vacation leave balance and shall be considered to be new employees for service date purposes, and shall earn vacation and sick leave at the beginning earning rate of a newly hired employee.

15.3 Injury Leave. Employees who are subject to the provisions of the Workers' Compensation Act are entitled to the benefits of that law due to injury or occupational disease arising out of and in the course of their employment.

15.3A Injury or occupational disease arising out of and in the course of employment shall be reported to the Agency as soon as possible.

15.3B An employee entitled to be paid Workers' Compensation shall be granted injury leave with full pay for the first five (5) work days they are unable to work due to injury or due to treatments for such injury, including the day of injury (if inability to work began on that day). At the expiration of injury leave, provisions of the Workers' Compensation Act shall apply. Injury leave shall not be charged to vacation or sick leave.

15.3C An employee who is receiving Workers' Compensation for injury or occupational disease occurring out of and in the course of employment, shall have the option of electing to use accumulated unused sick and/or vacation leave and accumulated unused compensatory time (if applicable) to supplement Workers' Compensation up to but not exceeding the regular rate of pay. Employees electing this option shall be charged sick or vacation leave or compensatory time, and earn sick and vacation leave in proportion to the percentage of gross wages paid by the Agency. The Agency's share of the health insurance premium will be paid during an absence under Workers' Compensation after all accrued leave and compensatory time have been depleted. Employees on Workers' Compensation shall be treated as part-time employees for purposes of leave earnings. They shall earn prorated sick and vacation leave based on the number of hours worked and/or accrued leave time hours used to supplement the Workers' Compensation payment. If employees do not have, or choose not to use, accrued leave time to supplement the Workers' Compensation payment they will earn leave time only on the number of hours worked, if any.



15.3D Holidays occurring during a pay period during which workers compensation benefits are received shall be paid at a rate proportionate to the number of hours worked and/or accrued leave time hours used during the pay period.

15.4 Adoption Leave. The mother or single parent father of a newly adopted child shall, upon a request that is within thirty (30) calendar days of such adoption, receive up to six (6) weeks of leave, which is the generally accepted medical standard leave period for new mothers by natural birth. The leave shall be taken first as sick leave, and if and when sick leave is exhausted, then as vacation leave, or compensatory time, if available. FMLA leave is also available in accordance with the provisions of Section 15.16. A father of a newly adopted child shall receive leave on the same terms as a father by natural birth, per Section 15.2A2(i).

An employee is not eligible for adoption leave if the child being adopted is a special needs child over eighteen (18) years of age, a child who is over eight (8) years of age and is not a special needs child, a stepchild being adopted by his or her stepparent, a foster child being adopted by his or her foster parent, or a child who was originally under a voluntary placement for purposes other than adoption without assistance from an attorney, physician, or other individual or agency which later results in a petition for the adoption of the child by the person with whom the voluntary placement was made.

15.5 Vacation Leave. Employees shall, in accordance with the provisions of this Agreement, during each year of continuous employment accrue vacation leave with full pay at a rate based on the schedule below. Hours accrue on a monthly basis but are not available to the employee prior to the start of the following month except as provided by Section 15.5C. Employees who work less than full-time, shall accrue vacation on a prorated, monthly basis. Employees who have worked less than one (1) full calendar year will earn vacation leave in amount proportionate to the time worked during the calendar year. The following schedule establishes the accrual rate for vacation leave:

Years of Service

	<u>Hours Per Year</u>	<u>Hours Per Month (Average)</u>
First through Fifth Year	96	8.00
Sixth Year	120	10.00
Seventh Year	128	10.67
Eighth Year	136	11.34
Ninth Year	144	12.00
Tenth Year	152	12.67
Eleventh Year	160	13.34
Twelfth Year	168	14.00
Thirteenth Year	176	14.67
Fourteenth Year	184	15.34
Fifteenth Year	192	16.00
Sixteenth Year and Following Years	200	16.67

See Section 15.2J for accrual rates for employees returning to employment with the Agency after a break of less than five (5) calendar years.

15.5A Vacation leave must be requested in advance by the employee. Vacation leave may be used when approved by the employee's supervisor. Denial of vacation leave requests must not be arbitrary and the taking of vacation leave by employees may not unreasonably be deferred.

15.5A1 If the nature of the work makes it necessary to limit the number of employees on vacation at the same time, the employee with the greater seniority shall be given the preference in the selection of vacation. The seniority preference herein identified shall not apply where such preference would create an unusual hardship for a less senior employee who had been granted the vacation leave prior to the request of the more senior employee where the more senior employee would incur no unusual hardship.

- 15.5B Vacation leave shall be taken and reported in increments of not less than one tenth (1/10) of an hour.
- 15.5C The appropriate Leadership Council Member or Commissioner's designee may at his/her discretion advance vacation leave to employees with six (6) months of service with the Agency in an amount not to exceed that which the employee would earn in the succeeding three (3) month period. For employees with more than five (5) continuous years of service and with no record of leave abuse, request for vacation leave advancement of thirty (30) hours or less will not unreasonably be denied. Employees shall reimburse the Agency for all used unearned vacation leave upon termination either from a deduction in their final check or by separate payment. Employees may not request more than one advancement in a three (3) month period. If at the end of the advancement period the employee has not earned back the advanced vacation leave used, another request for advancement of vacation cannot be approved until the employee has earned back the advanced vacation leave used. Written notice of advancement must be provided to the Human Resources Office.
- 15.5D Employees may carry over a balance of two hundred and eighty (280) hours of vacation leave from one (1) calendar year to the next. In the event an unforeseen work-related emergency prohibits an employee from taking planned vacation leave before December 31 and causes them to lose that vacation leave, additional carryover vacation leave may be requested of and approved in advance of calendar-year-end by the Deputy Commissioner. In such cases, the hours above two hundred and eighty (280) hours that are carried over must be used within the next six (6) months.
- 15.5E Vacation leave shall not accrue to any employee on leave of absence without pay, leave without pay, suspension without pay, layoff, or during time off of work that is compensated under Workers' Compensation, except as provided in Section 15.3C.
- 15.5F All earned accrued vacation leave, which does not include advanced vacation leave, shall be used by an employee before granting leave without pay. Employees may request leave without pay in writing from the appropriate Leadership Council Member or Commissioner's designee; however, granting leave without pay is discouraged and should not be done on a regular basis. Written notice of leave without pay must be provided to the Human Resources Office.
- 15.5G Holidays falling within a period of vacation leave shall not be counted as work hours for purposes of overtime.
- 15.5H Vacation leave, both as to earned unused hours and as to years of service for accumulation purposes, may be transferred when employees transfer between another Nebraska State agency, Nebraska State University or college and the Agency without a break in service upon mutual agreement of the employers. Absent an agreement, the Agency will pay for the leave balance per Section 15.5I.
- 15.5I Upon termination of employment of any employee for any reason, except as provided in Section 15.5H, the employee shall be paid in full for any accumulated unused vacation leave. Such payment shall be calculated at the hourly rate in effect at the time of termination.

15.6 Bereavement Leave

- 15.6A For a death in the immediate family, up to forty (40) hours leave with pay may be granted. For a death not in the immediate family, up to eight (8) hours leave with pay may be granted.
- 15.6B The hours of bereavement leave that may be granted to part-time employees shall be proportionate to the percentage of FTE that they work.
- 15.6C Bereavement leave is not charged to sick leave or vacation leave.

- 15.6D Immediate Family: Spouse, children, step children, foster children, parents, children-in-law, grandchildren, grandparents, brothers, sisters, brother-in-law, sister-in-law, or persons bearing the same relation to the spouse. At the discretion of the appropriate Leadership Council Member or Commissioner's designee, bereavement leave benefits may be extended for other individuals with a similar personal relationship (e.g., acted as a mother, father, etc.) to the employee as that of an immediate family member.
- 15.6E Bereavement leave shall be available for a period of thirty (30) calendar days after the death, or first notice of the death, of an immediate family member, and for a period of fifteen (15) calendar days after the death or first notice of the death of persons not immediate family members. Exceptions due to extenuating circumstances may be approved by the appropriate Leadership Council Member or Commissioner's designee.
- 15.6F No employee shall be unreasonably denied the granting or advancement of up to an additional eighty (80) hours of vacation leave (prorated for less than full-time employees) when such additional time is available and necessary to settle personal matters related to a death in the immediate family. The granting of leave under this Section shall not prohibit or interfere with the granting of leave under any other section or provision of this Agreement.
- 15.7 Civil Leave. Time in court or at an administrative hearing as a plaintiff, defendant or witness on a non-work related matter shall be charged to vacation leave or compensatory time, if available. If the employee does not have vacation or compensatory time available, the employee may be granted leave without pay. In the event the employee is subpoenaed for non-work related matters and does not have vacation leave or compensatory time, the appropriate Leadership Council Member or Commissioner's designee shall grant leave without pay. Any witness fee paid to the employee for such appearances may be retained by the employee. The employee shall provide the Agency with documentation to verify eligibility for civil leave.
- 15.7A An employee shall be given necessary time off without loss of pay when performing jury duty, performing emergency civilian duty in connection with national defense or national disaster, and two (2) hours for the purpose of voting as provided in subsection 15.7A1 below. Civil leave does not include leave for military duty in connection with national defense, national disaster or civil disturbances.
- 15.7A1 An employee who is a registered voter and does not have two (2) consecutive hours in the period between the time of the opening and closing of the polls during which he or she is not required to be present at work for the Agency is entitled on election day to be absent from employment for such a period of time as will, in addition the employee's nonworking time, total two (2) consecutive hours between the time of the opening and closing of the polls. If the employee applies for such leave of absence prior to or on election day, paid civil leave of two (2) hours will be granted. The Agency may specify the hours during which the employee may be absent. The two (2) hours authorized for voting does not apply to those employees who choose to vote by the use of an absentee ballot or who by reason of their employment must vote by use of an absentee ballot.
- 15.7B When an employee serves as a juror, clerk, election inspector, or judge of an election board or a counting board, civil leave without loss of pay shall be granted during the time when the employee's physical presence is required by the court or the board. The employee shall also retain all fees paid them for their civil service.

- 15.7C While serving as a witness under a work-related subpoena or voluntarily at the request of the Agency, the employee shall be excused from work with pay during the time that the employee's presence is required by the court or administrative body which issued the subpoena, or as required to testify when requested by the Agency. At all other times during the employee's regular work day, the employee who is subject to a work-related subpoena shall be on the job and working. Any witness fee received under such subpoena shall be remitted to the funding source from which the employee's salary is paid.
- 15.8 Administrative Leave. Administrative leave with pay may be granted by the Commissioner or Deputy Commissioner due to natural disasters, public health emergencies, inclement weather or local work-related emergencies.
- 15.8A When state offices, located in a building not owned or operated by the state, are closed by other than State officials due to inclement weather or other local work-related emergencies, employees have the following options, subject to advance approval by their immediate supervisor:
- 15.8A1 Report to work at a pre-arranged alternate worksite;
  - 15.8A2 Telecommute from home if practicable due to the nature of the work, as determined by the appropriate Leadership Council Member or Commissioner's designee.
  - 15.8A3 Use accrued vacation leave, earned compensatory time, or leave without pay as a last resort.
  - 15.8A4 Make up the missed work time within the work week.
  - 15.8A5 Absent the inability to exercise any of the above options, employees may be placed on ready to work status. This means they are ready and available to be called back to a work location at any time. Employees in a ready to work status will be compensated and shall perform any state work possible during this status. Ready-to-work status is intended for office closures that are due to clearly unanticipated occurrences such as flooding, fire or other building damage. It is not intended for when the circumstances that resulted in the office closure were reasonably predictable in advance by the Agency and the employee, such as a snow storm predicted in advance. In such cases, the employee and his or her supervisor or other designee are to communicate and make arrangements in advance in regard to possible alternate work locations.
- 15.8B If State offices remain open during inclement weather or other work-related emergencies, employees are expected to make a reasonable effort to report for work or make alternative arrangements for work with their supervisor. Employees who fail to report for work shall be charged compensatory time, vacation leave, or leave without pay. The appropriate Leadership Council Member or Commissioner's designee, or the immediate supervisor, if authorized by the appropriate Leadership Council Member or Commissioner's designee, may grant permission to both exempt and non-exempt employees to make up missed hours during the same week rather than requiring them to use compensatory time, vacation leave or leave without pay.
- 15.8C Nonexempt and exempt employees who report for and remain at work in periods during which administrative leave was authorized shall be granted compensatory time off, to be used at a later date.
- 15.9 Military Leave. Military leave shall be granted in accordance with applicable federal and state laws, and is limited to one hundred and twenty (120) hours a year, with no accumulation of unused leave carried over to the following calendar year. Such military leave may be taken in hourly increments.

- 15.10 Interviews. Interviews with employees for positions within the Agency shall be performed during work hours. Time spent for interviews, and travel to attend such interviews which occur during the employee's regular work day shall be compensable.
- 15.11 Disaster Relief Leave. Employees who provide proof of their disaster relief volunteer certification with the American Red Cross may, with appropriate supervisory authorization, be granted paid civil leave not to exceed fifteen (15) work days in each calendar year to participate in specialized disaster relief services in Nebraska for the American Red Cross, upon the request of the American Red Cross.
- 15.12 Employee Assistance Program. Employees attending Employee Assistance Program (EAP) sessions at the Agency's direction shall do so at the Agency's expense and may attend during work time. If an employee wishes to voluntarily attend EAP sessions in order to address personal issues that are affecting work performance, supervisors may authorize the employee to do so during work time without being charged sick or vacation leave. Employees attending EAP sessions on their own initiative shall do so at their own expense and shall use approved sick or vacation leave, compensatory time, or leave without pay.
- 15.13 Attendance at Legislative Hearings. Employees may be allowed to utilize vacation leave or compensatory time off (or modified work schedule for those employees who do not earn compensatory time) for the purpose of attending legislative hearings of their own choosing. Upon notification to the employee's supervisor of the purpose of such request for time off, said request may not be unreasonably denied. Any employee of the Agency who is a registered lobbyist for NAPE/AFSCME may be permitted leave without pay during the legislative session for that time necessary to carry out the lobbying function. Such employee's supervisor shall be given as much prior notice as possible under the circumstances as to when such leave is proposed. Said request may not be unreasonably denied.
- 15.14 Leave to Donate Blood. With the advance approval of their immediate supervisor, employees may be allowed paid time away from the job to donate blood in state-sponsored drives held in state offices, or to donate blood at the request of blood banks or centers facing a blood supply emergency. The amount of leave time granted shall be at the discretion of the immediate supervisor. Donating blood under circumstances unrelated to state-sponsored drives or supply emergencies shall require employees to obtain advance approval to use vacation leave or to adjust their work hours to complete a full work day.
- 15.15 Retirement Seminars. Leave with pay shall be provided to eligible employees to attend up to two (2) planning seminars and two (2) pre-retirement planning programs presented by the Nebraska Public Employees Retirement Systems.
- 15.16 Family and Medical Leave (FML)
- 15.16A Family and Medical Leave (FML) is unpaid time off from work, and is subject to the provisions of 29 CFR 825. An employee must have at least twelve (12) total months of State service and have worked at least 1,250 hours in the previous twelve (12) month period to be eligible for FML. Employees may request that accrued paid leave (e.g., vacation, sick) and compensatory time, if the employee is subject to Section 8.2, be applied along with the unpaid FML entitlement. In this case, any paid leave will run concurrently with the FML entitlement.
- Employees who are absent and receiving Worker's Compensation benefits, or using any paid leave exceeding 40 hours for full-time employees or exceeding the number of hours proportionate to the percentage of FTE for part-time employees, taken for any of the reasons listed in Section 15.16B, will have such leave credited against the twelve (12) week FML entitlement. Unpaid FML not charged to sick or vacation is subject to service date adjustments under Section 15.19.

If FML exceeds 40 hours during a twelve month period starting with the date FML is first used for full-time employees, or exceeds the number of hours proportionate to the percentage of FTE for part-time employees, sick leave must be used concurrently if the reason for the FML is also a reason sick leave may be used under Section 15.2. If the reason for FML is not also a reason sick leave may be used, or if and when accumulated sick leave is exhausted, such employee shall be required to utilize (1) accumulated unused compensatory time off if the employee is subject to Section 8.2 and (2) accrued vacation leave, in that order until exhausted; however, vacation leave may be reserved in an amount up to 40 hours for full-time employees, or proportionate to the percentage of FTE for part-time employees, unless Catastrophic Leave is requested per Section 15.18.

15.16B Conditions for Using Family and Medical Leave. Family and Medical Leave may be used for the following reasons:

- 15.16B1 Because of the birth of a child of the employee and in order to care for such newborn child.
- 15.16B2 Because of the placement of a child with the employee for adoption or foster care.
- 15.16B3 In order to care for the employee's spouse, child, or parent, if such spouse, child, or parent has a serious health condition.
- 15.16B4 Because of the serious health condition that makes the employee unable to perform the functions of the employee's job.

**NOTE:** Spouse means a husband or wife as defined or recognized under state law for purposes of marriage in the state where the employee resides, including common law marriage in states where it is recognized. Child may include a biological, adopted, or foster child, stepchild, a legal ward, or a child of a person standing in loco parentis, who is under eighteen (18) years of age or is eighteen (18) years of age or older and incapable of self-care because of a mental or physical disability. Care for mother-in-law or father-in-law is not included. However, parent may include individuals other than natural or adoptive parents who served in a long-term parental role for the employee.

**NOTE:** Serious health conditions are defined as illness, injury, impairment, or physical or mental conditions that involve: (1) in-patient care in a hospital, hospice, or residential medical care facility including any period of incapacity, or any subsequent treatment in connection with such in-patient care; or, (2) continuing treatment by a health care provider as provided for in 29 C.F.R. §825.115. Examples of serious health conditions include: heart attack, heart by-pass or valve operations, most cancers, back conditions requiring extensive therapy or surgery, strokes, severe respiratory conditions, spinal conditions, severe arthritis, severe nervous disorders, mental illness, need for prenatal care, severe morning sickness, childbirth, and recovery from childbirth. This does not include voluntary or cosmetic treatments, unless inpatient hospitalization is required.

15.16C Certification of Serious Health Conditions. Except as provided in Section 15.16C7, when requesting Family and Medical Leave for serious health conditions, an employee must provide certification from a health care provider which includes:

- 15.16C1 The date on which the serious health condition commenced;
- 15.16C2 The probable duration of the condition;
- 15.16C3 Any appropriate medical facts;

- 15.16C4 A statement that the employee is needed to care for the child, spouse, or parent and estimate of the amount of time that such employee is needed to care for the child, spouse, or parent; or, a statement that the employee is unable to perform the functions of the job;
- 15.16C5 If the leave is to be intermittent, or on a reduced leave schedule, a statement of the medical necessity for the intermittent leave or leave on a reduced leave schedule, and the expected duration of the intermittent leave or reduced leave schedule; or, a statement that the employee's intermittent leave or leave on a reduced leave schedule is necessary for the care of the child, parent, or spouse who has a serious health condition, or will assist in their recovery, and the expected duration and schedule of the intermittent leave or reduced leave schedule. In the case of certification for intermittent leave, or leave on a reduced leave schedule, for planned medical treatment, the dates on which such treatment is expected to be given and the duration of such treatment should be included.
- 15.16C5a Medical Second Opinions. The Agency may require a second opinion (the Agency's choice of health care provider) and must pay for the cost of the second opinion. If the second opinion differs from the first, a third opinion may be sought (from a mutually agreed upon health care provider, again, at the Agency's expense). The results of the third opinion are final.
- 15.16C6 In the event the employee is requesting leave due to more than one serious health condition, the certification must specifically address each individual condition. Separate forms shall be submitted as appropriate. Regardless of whether a single or multiple health condition(s) are involved, the limit in Section 15.16E applies.
- 15.16C7 When paid leave will be used for an absence, which may qualify as Family/Medical Leave, medical certification may be requested at the Agency's discretion.
- 15.16D Notice of Intent to Use Family and Medical Leave. A minimum of thirty (30) calendar days notice to the Agency must be provided by the employee before he or she may use Family and Medical Leave. Where thirty (30) calendar days notice is not foreseeable, notice must be given as early as possible. If certification of a serious health condition is required, FMLA forms should be obtained from the Agency's Human Resources Office.
- 15.16E Family and Medical Leave Duration. Unpaid Family and Medical Leave is limited to a total of twelve (12) weeks within a twelve (12) month period, starting with the date the Family and Medical Leave is first credited against the twelve (12) week entitlement pursuant to Section 15.16A.
- 15.16F Family and Medical Leave Not Cumulative. Family and Medical Leave cannot be carried forward beyond the twelve (12) month period and banked for future use.
- 15.16G Incremental Use of Family and Medical Leave. With approval of the Agency, Family and Medical Leave may be taken in increments with proper medical certification (federal law allows employees not eligible for overtime "exempt employees" to make incremental use of unpaid Family and Medical Leave without affecting their "salaried" status).
- 15.16H Health Insurance while on Family and Medical Leave. Employer health insurance contributions shall continue during an employee's unpaid Family and Medical Leave absence, provided the employee makes his/her required contribution. Employer contributions shall be based as if the employee had continued to work his/her normal schedule.

15.17 Family Military Leave. Family Military Leave is available to employees in accordance with the terms and conditions of the *Nebraska Family Military Leave Act*, Sections 55-501 through 55-507 R.R.S. and the amendments to the Family Medical Leave Act made by the National Defense Authorization Act (NDAA) of 2008 (29 U.S.C. §2612 et.seq.).

15.17A Requesting employees shall provide the Agency with certification from the proper military authority to verify eligibility for the leave taken under Sections 55-501 through 55-507 R.R.S.

15.17B Requesting employees shall provide the Agency with certification by the covered service member's health care provider for leave requested to care for a service member under the provisions of the National Defense Authorization Act amendments to the Family Medical Leave Act.

15.17C Requesting employees shall provide the Agency with such certification as may be provided for through regulations issued by the United State Secretary of Labor for leave requested for a "qualifying exigency" arising out of the fact that an employee's spouse, son, daughter or parent is on active duty, or has been notified of impending call for active duty, in the Armed Forces in support of a contingency operation under the provisions of the National Defense Authorization Act amendments to the Family Medical Leave Act.

15.18 Catastrophic Illness Donation. The provisions of this Section are non-grieveable. Employees may contribute accrued vacation leave to benefit another employee in the Agency who is personally experiencing a catastrophic illness. The recipient must initiate a request by completing and signing a donation request form provided by the Agency. The contributing employee must complete and sign a donation authorization form provided by the Agency and identify the number of hours of vacation leave being donated and the recipient. Vacation leave donated to, and used by, another employee pursuant to this provision cannot be returned to the credit of the donor's vacation leave account. Catastrophic Leave will be available only to employees who have exhausted their own paid leave through bona fide serious illness or accident.

Leave donated will be converted to a dollar value and then converted to hours based on the recipient's hourly rate. No more than 800 converted hours of donated leave may be received by an employee during a twelve (12) month period.

15.18A Eligibility of Recipient:

15.18A1 Must be experiencing a serious illness or injury that requires a prolonged absence of at least thirty (30) consecutive calendar days during the past six (6) months;

15.18A2 Must produce satisfactory medical verification of the requirements of Section 15.18A1;

15.18A3 Must have one (1) year of service to the Agency;

15.18A4 Must have exhausted all earned paid leave time including compensatory time, sick leave, and vacation leave; and

15.18A5 Must not have offered anything of value in exchange for the donation.

15.18B Eligibility of Donor Employee:

15.18B1 Only four (4) hour increments may be donated;

15.18B2 Must not have solicited nor accepted anything of value in exchange for the donation; and

15.18B3 Must have remaining to his/her credit at least forty (40) hours of accrued vacation leave after the donation has been made.



15.18C Donation Period. Donations cannot be retroactively applied to the thirty (30) consecutive calendar day qualifying period required in Section 15.18A1.

15.19 Service Date Adjustments. The employee's Service Date shall be adjusted when an unpaid absence exceeds fourteen (14) consecutive calendar days. The adjustment will correspond to the number of days the employee is on unpaid leave. Employees may apply available, accumulated paid leave on their monthly timesheet in order to prevent a service date adjustment. The leave time taken shall be no less than one (1) work day for purposes of this Section. One (1) work day shall be eight (8) hours for a full-time employee or the corresponding percentage of FTE for part-time employees.

#### HOLIDAYS

15.20 Holiday Schedule. The following shall be paid holidays:

<u>DAY</u>	<u>DATE</u>
New Year's Day	January 1
Martin Luther King, Jr. Day	Third Monday in January
President's Day	Third Monday in February
Arbor Day	Last Friday in April
Memorial Day	Last Monday in May
Independence Day	July 4
Labor Day	First Monday in September
Columbus Day	Second Monday in October
Veteran's Day	November 11
Thanksgiving Day	Fourth Thursday in November
The Day After Thanksgiving	The fourth Friday in November
Christmas Day	December 25

Others when declared by law or proclaimed by the Governor or President of the United States as provided in Section 84-1001(3) R.R.S.

15.20A Whenever any of the above holidays fall on Saturday, the preceding Friday shall be observed as the holiday; and whenever any of the above holidays fall on Sunday, the succeeding Monday will be observed as the holiday.

15.20B In the case of Veterans' Day, whenever the holiday falls on Saturday or Sunday, the Governor may declare the preceding Friday or the following Monday as the holiday on which date the holiday shall be observed.

15.21 Eligibility for Holiday Pay. Pursuant to Section 84-1001 R.R.S., in order to receive pay for such holiday an employee, whether part-time or full-time, must be in paid work status on both the work day immediately preceding and immediately following the holiday unless excused by his or her supervisor.

15.22 Working on Holidays. An employee may be directed to work on a holiday or may request advance approval from the immediate supervisor to voluntarily work on a holiday. Any work on a day that is defined as a paid holiday by Section 84-1001 R.R.S. shall be compensated for by granting the employee compensatory time off or by paying the employee. Non-exempt employees shall be compensated per Section 8.2E. Exempt employees shall be compensated at straight time. The method of compensation for the holiday worked shall be at the discretion of the Agency. If compensatory time off is granted, the employee shall determine when such time shall be taken, subject to Section 8.2B.

## ARTICLE 16

### LAYOFF, RECALL, AND RESIGNATION

- 16.1 Management Rights. NAPE/AFSCME recognizes the right of the Agency to layoff, furlough or to reduce hours of employment at the Agency's sole discretion so long as such layoff takes place in accordance with procedures set forth in this Article and observes all rights of the employees protected by this Agreement. Such procedures shall not apply to temporary layoff of less than thirty (30) calendar days within a twelve (12) month period.
- 16.1A The Agency agrees to provide to NAPE/AFSCME a copy of any Request for contract proposals (RFP) which would result in the loss of one (1) or more permanent position(s) in the Agency. This RFP copy is to be provided to NAPE/AFSCME at the same time it is distributed to the media and/or vendor(s).
- 16.2 Layoff General Rules. When a layoff of employees holding positions in Appendix A occurs the following general rules shall apply:
- 16.2A Layoffs shall be identified by the budget and program areas within a specific locality. The Agency shall identify the classification(s) and affected positions within classification(s) for layoff to provide the most efficient and productive operation of the Agency. Within the identified classifications, the order of layoff will be based on seniority (based on the employee's company service date with the Agency). For the purposes of bumping rights, only the employee's agency service date or agency adjusted service date is considered as opposed to any adjusted service date that is calculated due to prior state service at another state agency.
- 16.3 Layoff Plan. Prior to a layoff notice being issued per Section 16.4, NAPE/AFSCME and the Agency shall meet to discuss and assure compliance with procedures outlined herein, protection of the rights of employees affected by such layoffs, and possible alternative means of dealing with the problem which gave rise to the layoff. The layoff plan shall be provided to NAPE/AFSCME at least ten (10) work days prior to the meeting between the Agency and NAPE/AFSCME, unless such time period is waived in writing by NAPE/AFSCME.
- 16.3A The layoff plan shall at a minimum include the following:
- 16.3A1 The employees to be laid off and positions eliminated, including names, job classifications and work sites of the affected employees;
- 16.3A2 The reason for the layoff (i.e., reduction in work load, reduction in funding);
- 16.3A3 Whether the layoff is geographical, organizational (a particular team), functional (a particular classification or program), financial, or other;
- 16.3A4 The effective date of the layoff; and,
- 16.3A5 Specific relocation alternatives available to laid off employees within the Agency.
- 16.4 Layoff Notice to Employees. The Agency will notify the affected employee(s) holding positions in Appendix A in writing as soon as they are formally identified for layoff, but not less than thirty (30) work days in advance of the layoff date. Upon being identified for layoff, affected employees shall have the right to schedule and take up to ten (10) work days of leave without pay during the notice period for interviews, traveling, resume preparation, and other activities associated with securing new employment.

16.4A The Agency agrees that it will:

16.4A1 Request job vacancy and placement information from DAS State Personnel and Nebraska state college and university offices and have it available in a convenient location for all employees laid off under this Section. Access to such information shall continue to be available upon request to all employees laid off under this Section throughout the period of recall and re-employment identified in Section 16.11.

16.4A2 Provide information on sources of employment seeking skills.

16.5 Transfer Rights – Vacant Positions. Subsequent to providing layoff notice per Section 16.4, the Agency shall provide all laid off employees occupying positions identified in Appendix A with all necessary information for the employee to determine what positions are available in order for the employee to exercise the transfer rights under this Section. If there is a vacant bargaining unit position in the Agency, for which the employee satisfies the qualification requirements as determined by the Agency, the employee may elect to transfer to such vacant position.

16.5A Within eight (8) calendar days of a laid off employee being provided such information, the employee must notify the Agency in writing of any intentions to transfer in accordance with this Section.

16.5B If more than one laid off employee elects to transfer into a vacant position, the selection will be made based on seniority.

16.5C In determining the priority of transfers identified in this Section, transfers to vacant positions in the same geographical area (i.e., within sixty [60] miles) shall be given priority over transfers to positions in a different geographical area.

16.5D An employee who transfers to another position in the same classification or pay grade shall be transferred so as to effectuate no loss in pay.

16.6 Bumping Rights. Subsequent to providing the layoff notice per Section 16.4, the Agency shall provide all laid off employees occupying positions identified in Appendix A with all necessary information for the employee to determine what bargaining unit positions are available in order for the employee to exercise the rights under this Section. Based on seniority, those employees may bump into the non-vacant position held by the least senior employee in the same classification, for which that employee satisfies the qualification requirements, as determined by the Agency, if no transfer positions were available per Section 16.5. However, if the least senior employee's permanent duty station is not in the same geographical area (i.e., within sixty [60] miles) of the laid off employee's permanent duty station, the laid off employee may elect to bump into the non-vacant position held by the least senior employee in the classification within the laid off employee's geographical area.

16.6A Within eight (8) calendar days of a laid off employee being provided such information, the employee must notify the Agency in writing of any intentions to bump in accordance with this Section.

16.6B If more than one laid off employee elects to bump into a position, the employee with the most seniority will be allowed to take the position.

16.6C An employee who is laid off because another laid off employee has bumped into their position under Section 16.6 shall have all the rights of a laid off employee including transfer in lieu of layoff.

16.7 Emergency Layoff Provisions. Only in the event (i.e., Special Session of the State Legislature, permanent impoundment or reduction of funds by the federal government or similar circumstances) the Agency receives official notice of a pending funding reduction from a particular funding authority, which imposes the funding reduction in less time than is required to meet the notice requirements in Sections 16.3 and 16.4, the following emergency layoff provisions shall govern the layoff.

- 16.7A In lieu of the provisions in Section 16.3, the Agency and NAPE/AFSCME shall meet within five (5) work days after the date the Agency mails the reduction in force notices to discuss the layoff plan and to discuss and assure compliance with the procedures outlined herein, protection of the rights of employees affected by such layoffs, and possible alternative means of dealing with the emergency that gave rise to the layoff. In addition to the requirements specified in Section 16.3A, the layoff plan will establish the date the Agency received notice of a funding reduction.
- 16.7B Upon being given notice for layoff under this Section, affected employees shall be allowed to schedule and take up to ten (10) work days of leave without pay during the notice period for interviews, traveling, resume preparation, and other activities associated with securing new employment.
- 16.7C The Agency agrees that it will:
- 16.7C1 Request job vacancy and placement information from DAS State Personnel and Nebraska state college and university offices and have it available in a convenient location for all employees laid off under this Section. Access to such information shall continue to be available to all employees laid off under this Section throughout the period of recall and re-employment identified in Section 16.11.
- 16.7C2 Provide information on sources of employment seeking skills.
- 16.7D Official notice for all funding sources means the final official action which determines that the provisions of a bill will become effective. Official notice for Cash and Revolving Funds may also be notice from the external funding source that funds are or will be terminated. Official notice for federal fund sources may also be written notice by the Federal funding agency of intent not to fund a discretionary grant program.
- 16.8 Layoff Requirements – Limitation of Application. The requirements and procedures of Sections 16.2 through 16.7 apply only to layoffs.
- 16.9 Recall and Re-employment. This Section specifies how laid off employees shall be either recalled or given re-employment opportunities for vacancies created following a layoff.
- 16.9A Recall to Previously Eliminated Position. Following a layoff, when a position previously eliminated is restored, the employee occupying the position at the time of reduction in force shall have first recall priority subject to Sections 16.9B1 through 16.9B2. The recall salary will be based on the salary rate in effect at the time of layoff.
- 16.9B Recall to Vacancy in the Same Classification Series. Following a layoff, when a vacancy is created in the same classification series, employees who satisfy the required qualifications as determined by the Agency shall be recalled in reverse order of a layoff or transfer in lieu of layoff as follows:
- 16.9B1 At the time the vacancy occurs, the Agency shall notify the first five (5) qualified employees in line for recall of the vacancy.
- 16.9B2 Such employee(s) shall then have ten (10) work days following date of notification to accept or refuse the recall by providing written notice to the Agency. The recall vacancy will be offered to the employee with the most seniority who provides written acceptance within ten (10) work days.
- 16.9C Failure to Respond. Employees who fail to respond by either accepting or refusing the recall vacancy on two (2) successive notifications shall forfeit further recall rights per Sections 16.9A and 16.9B.

- 16.9D Re-employment Opportunities. In the event that a vacancy occurs as provided in Section 16.9B and there are no persons laid off or transferred in lieu of layoff who held a position in the classification series at the time layoff began, the Agency shall notify all laid off employees of the vacancy and the qualifications required to hold the position. Such employees shall then have ten (10) calendar days following receipt of such notice to apply for said position. The Agency has the right to hire the most qualified applicant. The pay rate of an employee who occupies a position of a lower classification as a result of recall from layoff or re-employment shall be set in accordance with Section 11.3B.
- 16.10 Financial Assistance for Relocating. In cases in which employees have received state financial assistance in geographically relocating to avoid layoff, there shall be no requirement for such assistance if recalled to their previous position.
- 16.11 Waive Rights. Employees may waive their rights to receive recall and re-employment notices by providing a written statement to the Human Resources Office.
- 16.12 Time Period for Recall and Re-employment. During the twelve (12) month period following layoff or transfer in lieu of layoff of the employee, no new employees will be hired to fill vacant positions until all employees on layoff or transfer in lieu of layoff who desire to return to work and who are qualified for the vacant position(s) have had the opportunity to be recalled per Sections 16.9A and 16.9B or re-employed per Section 16.9D. It shall be the responsibility of the employee who was laid off or transferred in lieu of layoff to be available for recall or re-employment during such time, and such employee shall be responsible for providing the Agency with a current address. It shall be the responsibility of the employee who was laid off or transferred in lieu of layoff to update and/or supplement the written documentation in their personnel file regarding their employment qualifications so as to allow the Agency to evaluate their eligibility for recall or re-employment under Sections 16.9A, 16.9B and 16.9D.
- 16.13 Accumulated Leave Payoff. Employees who are laid off shall be paid at the time of layoff for all accumulated and unused vacation leave, sick leave if eligible under Section 15.2I, compensatory time, and overtime.
- 16.14 Agreement Coverage. In cases in which reductions in force procedures extend from one Agreement period to another, the terms of the Agreement in effect when notification of layoff is given shall govern.
- 16.15 Notification. "Notification," as used in Article 16 shall mean Certified Mail to the employee's last known address, or personal delivery or deposit at the last known residence. Notifications issued to an individual employed by the Agency may be made by e-mail or personal delivery to the employee's office. In the event of personal delivery, a receipt acknowledging delivery will be signed by the laid off employee.
- 16.16 Resignation. A resignation is effective when accepted in writing or orally by a supervisor or any member of the Agency's Human Resources Office or the Agency's General Counsel's Office.
- 16.16A Absent extenuating circumstances, an employee will be considered as abandoning his/her job if absent from their designated work site more than two (2) consecutive work days without giving notice. Abandonment is considered a voluntary resignation.

## ARTICLE 17

### GRIEVANCE PROCEDURE

- 17.1 Purpose. The purpose of this procedure is to reduce potential areas of conflict and to secure, at the lowest possible administrative level, equitable and timely resolutions to problems which may arise. Through resolution of problems affecting the welfare or working conditions of employees in the Agency, morale is improved and staff effectiveness is increased.
- 17.2 Definition. A grievance is defined as a contention of misapplication or violation of any of the following: Agency policies, rules or regulations not in conflict with this Agreement, articles of this Agreement, written administrative policies, other written operating procedures or laws, or written instructions pertaining to employees.
- 17.3 Non-Grievable Matters. The following issues, when done in compliance with established law, rule or Agency policy, are examples of non-grievable matters. The list below is not to be considered all inclusive:
- 17.3A Performance appraisals;
  - 17.3B Involuntary transfers not requiring the employee to relocate;
  - 17.3C Approval of leave of absence requests;
  - 17.3D Suspension with pay for investigatory purposes;
  - 17.3E Position classifications including, but not limited to, Article 10 reclassifications.
  - 17.3F Salary adjustments per Sections 13.6, 13.7 and 13.8.
  - 17.3G Fair Labor Standards Act (FLSA) overtime exempt and non-exempt determinations.
  - 17.3H Regardless of compliance with laws, rules, regulations or Agency policies, an employee may not grieve actions or inactions that were alleged to have been done to or concern another employee.
  - 17.3I NOTE: Employees may contest disciplinary dismissals according to the terms in Section 18.10C.
  - 17.3J An employee laid off may grieve layoff (and/or transfer, bumping, recall and re-employment rights) only on the grounds of unlawful discrimination or failure to follow the terms of this Agreement.
- 17.4 Effective Dates of Management Actions. Filing of a grievance does not delay the effective date of any management action. Initiation of a grievance from suspension without pay or demotion shall not stay the effective date. In the event that a suspension without pay or demotion is not supported through the grievance procedures, the employee shall be returned to his or her position as though said suspension without pay or demotion had not taken place.
- 17.5 Employees Without Grievance Rights. Applicants, temporary employees, contract employees, and employees on original probation have no grievance rights under this Agreement except as expressly provided elsewhere in this Agreement. An employee who has successfully completed an original probation period shall retain grievance rights during secondary probationary periods per Section 11.6.
- 17.6 Forms. A grievance form shall be provided by the Agency and made available to each and every employee upon request. Said form shall be as prescribed by the Agency. Said form shall specifically include the following statement:

"Since you are a member of a bargaining unit certified by the Commission of Industrial Relations for the State of Nebraska, your bargaining agent, the Nebraska Association of Public Employees/American Federation of State, County, and Municipal Employees, will be notified immediately upon your filing of this grievance. This does not prevent you, whether you are a NAPE/AFSCME member or not, from bringing matters to the attention of your supervisor or other officials, or from choosing your own representative in any grievance or legal action." Any attachments to the grievance "form" provided as a part of the written grievance response shall be considered an integral part of the "form" and shall be forwarded in the grievance process in the same manner as the grievance "form."

17.6A Upon the filing of a formal written grievance form by an employee, NAPE/AFSCME shall be notified. NAPE/AFSCME shall, if requested, be given notice of the time and the place of each grievance step through the grievance procedure. To the extent such participation does not interfere with the employee's right to select or utilize such representative or pursue such grievance, NAPE/AFSCME shall be permitted to participate in the grievance on matters in which such grievance involves interpretation of this Agreement regardless of whether NAPE/AFSCME is the selected representative of the employee. NAPE/AFSCME taking a position contrary to that of the grievant shall not be deemed an interference under this Section.

17.6B No employee shall be denied the right to a grievance hearing by reason of the employee's failure to properly fill out or fully complete the grievance form. However, a signed grievance form must be utilized in order to file a grievance.

17.7 Number of Days. The number of days indicated in each step of the procedure shall be the maximum. Failure of the grievant to proceed to the next step within the maximum time limit shall be considered as termination of the grievance. Failure of the Agency in any step to render a decision to the aggrieved employee(s) within the maximum time limit shall automatically allow the aggrieved person(s) to proceed to the next step.

17.7A "Days" shall mean regularly scheduled work days when the State Department of Education, Nebraska State Office Building offices, are open, excluding, however, such regularly scheduled work days during which employees involved in the procedure are absent from the work site on approved leave or travel status. For all employees who are permanently located at work sites other than 301 Centennial Mall South, Lincoln, Nebraska, days as defined above shall be counted from the date of receipt. The day in which an item is received is not counted as a day for the receiving party.

17.7B Any time period established herein may be extended upon the written consent of the employee and the Deputy Commissioner.

17.8 Grievance Process Waiver. Except as otherwise required by law, the parties may mutually agree, in writing, after obtaining consent from the Attorney General, to waive all further steps in the grievance procedure and proceed to institute a civil action in an appropriate court of competent jurisdiction.

17.9 Requests for Documentation and Information. Upon the filing of any grievance, following the procedures herein noted, the employee shall have a right to request from his or her supervisor and the supervisor must produce copies of personnel files regarding the grievance, the supervisor's personal records regarding the grievance, and any notes regarding the grievance maintained by the employee's supervisor. These same rights shall be held by the Agency to request such notes and records kept by the employee and require the employee to produce the same. At any stage following step one during the grievance of a suspension without pay or demotion, the employee and the Agency shall have the right to request the other party to provide answers to questions and to produce specified documents pertaining to the grievance. Further, either party may take the deposition of any witness or the other party upon ten (10) work days notice to the other party. Any such request and/or notice shall be addressed to the party from which the information or documents are requested with a copy supplied to

the person or body responsible for making or recommending a decision at that stage of the grievance. For any information requested under this Section, only information and documents which are relevant or would lead to relevant evidence for the grievance may be required to be produced; however, in no case must information and documents be produced which are recognized by the courts of the State as privileged. Personal records and notes of an employee's supervisors are specifically identified as not being privileged information for purposes of this Article.

- 17.9A Answers and documents shall be provided within ten (10) work days of receipt of request. Objections to such requests shall be made to the person or body responsible for making or recommending a decision at that stage of the grievance within five (5) work days of receipt of the request. Such person or body shall, after an informal hearing, confirm or deny such objections within five (5) work days of receipt of the objection. Within five (5) work days of receipt of the answers or documents, the requesting party shall notify the answering party of any failure on the part of the answering party to respond to the request. Unless the objection is entered, the responding party shall supply such answers or documents within five (5) work days of being so notified.
- 17.9B The failure to respond to any discovery request, except where objections to such requests are sustained, may result in the responding party being denied the right to introduce the evidence requested. Any person desiring the Commissioner to take action concerning a failure to respond to a discovery request may request such action by applying for such relief to the Commissioner. The Commissioner's decision shall be rendered at least five (5) work days prior to the hearing to which the discovery request relates.
- 17.9C Copies of any documents required to be produced under Section 17.9 shall be paid for by the requesting party. In the event such copies are made on state-owned copying machines, the charge established under Section 4.4 shall be assessed.

17.10 Grievance Procedure. The employee may be accompanied at any step of the grievance procedure by a representative of the employee's selection. Management may also be accompanied by a representative at any step. The Grievance Procedure shall be as follows:

17.10A **Step 1** - Within fifteen (15) work days of the employee's knowledge of the event or condition which caused the grievance, the grievant shall first request a meeting with the immediate supervisor and the next level of supervision. If an employee is placed on suspension with pay, the work days within that period are not counted against the fifteen (15) work day time frame. In cases where the next level of supervision is the Deputy Commissioner, then the Director of Human Resources shall attend the meeting as the Deputy Commissioner's designee. At the time the request is made, the employee shall notify the supervisors in writing that the meeting will constitute Step 1 in the grievance procedure. Within five (5) work days of the request, the immediate supervisor, next level supervisor, or designee if applicable, and grievant shall meet. The supervisors shall arrive at a response and communicate the same to the grievant, in writing, within four (4) work days of the meeting. If the response is not satisfactory to the grievant, Step 1 of the grievance shall be reduced to writing by the employee on the approved form within three (3) work days of receipt of the supervisors' response and the supervisors shall have five (5) work days upon receipt of the written grievance to provide the grievant with a written answer. The grievant shall specifically identify the rules, procedures, policies, or sections of the Agreement as defined in Section 17.2 that are contended to be misapplied.

17.10A1 In cases of disciplinary demotion or suspension without pay the grievant shall proceed as specified in Step 4 of this procedure without having to convene the meeting described in Section 17.10A. The appeal to the State Board referred to in Step 4 below must be filed with the Commissioner in writing on the appropriate form within fifteen (15) work days of the grievant's receipt of the Deputy Commissioner's suspension order or disciplinary demotion approval.



- 17.10A2 In cases of administrative probation, the grievant shall submit the grievance to the Deputy Commissioner in writing on the appropriate form within ten (10) work days of the date of the receipt of the notice described in Section 18.9A without having to convene the meeting described in Section 17.10A. The Deputy Commissioner shall give a written answer within ten (10) work days of receipt of the grievance. If a resolution to the grievance is not reached, the employee may proceed as specified in Step 4 of this procedure within fifteen (15) work days of the receipt of the Deputy Commissioner's answer.
- 17.10A3 In cases where a Leadership Council Member or Commissioner's designee other than the Director of Human Resources was one of the supervisors that was party to the meeting at Step 1, Section 17.10A, then the grievant shall skip Step 2 below and proceed directly to Step 3 within five (5) work days of the employee's receipt of the written answer to the employee's written grievance described in Section 17.10A.
- 17.10B **Step 2** - When the written answer in the preceding step is not satisfactory to the grievant, the grievant may, within five (5) work days of the receipt of the supervisor's written answer, present the completed grievance form to the appropriate Leadership Council Member or Commissioner's designee who shall give a written response to the grievant within five (5) work days thereafter. If the next level of supervision above the grievant's supervisor is the Deputy Commissioner, Step 2 shall be skipped and the grievant may proceed directly to Step 3 by presenting the completed grievance form to the Deputy Commissioner within five (5) work days of the employee's receipt of the supervisors' written answer in Step 1 above.
- 17.10C **Step 3** - When the response in the preceding step is not satisfactory to the grievant, the grievant may, within five (5) work days of receipt of the appropriate Leadership Council Member's or Commissioner's designee's response, present the grievance to the Deputy Commissioner by submitting the completed grievance form. The Deputy Commissioner shall give a written answer to the grievant within five (5) work days thereafter.
- 17.10D **Step 4.**
- 17.10D1 If a resolution to the grievance is not reached, the employee may, within fifteen (15) work days after receipt of the Deputy Commissioner's decision, appeal the grievance to the State Board of Education. The appeal at this level shall be directed to the Commissioner as Secretary of the State Board of Education. This appeal shall include the filing of the grievance form as described in Sections 17.6 through 17.6B.
- 17.10D2 Within ninety (90) calendar days after receiving the appeal, the Commissioner shall place the matter on the agenda of a meeting of the State Board of Education for a determination on if the Board or a hearing officer will conduct the hearing in accordance with Section 17.10D3.
- 17.10D3 The State Board of Education may elect to conduct the hearing or may designate that a hearing officer, not an employee of the Agency, conduct a hearing and recommend a decision to the Board. The employee shall be notified of the Board's determination on the conduct of the hearing and the time and place of the hearing. In cases in which the Board elects to have a hearing officer conduct the hearing, the hearing officer shall cause a complete record to be made of all evidence offered at the time of the hearing. The hearing officer shall prepare written findings of fact and recommend a decision to the Board. The hearing officer shall deliver these findings of fact and recommendation to the State Board of Education together with a complete transcript of all evidence offered at the time of the hearing. Hearings will be conducted in accordance with Title 92, Nebraska Administrative Code, Chapter 61. The hearing shall

take place within ninety (90) calendar days of the State Board meeting at which the matter appeared on the agenda under Section 17.10D2.

17.10D4 Both parties in a hearing pursuant to Step 4 may present witnesses, and these witnesses shall be subject to cross examination. If the witness is an employee of the Agency, he/she shall be paid for those hours outside his/her normal hours of work.

17.10D5 The Board may affirm, modify, or reverse the decision of the Deputy Commissioner. The State Board of Education shall notify the aggrieved employee within a reasonable time period in writing of the Board's decision.

17.11 Arbitration. At the time said appeal is filed pursuant to **Step 4**, the employee and/or representative and the Commissioner may mutually agree to submit the dispute to voluntary binding arbitration. Otherwise the dispute shall be submitted to the State Board of Education.

17.11A If both parties choose to submit the appeal to voluntary binding arbitration, they shall sign a waiver within ten (10) work days indicating they acknowledge that the decision of the arbitrator is final, except as provided in the Uniform Arbitration Act, and cannot be appealed.

17.11B The arbitrator's scope of review shall be to determine whether or not term(s) of this Agreement has/have been violated, and whether the Agency's action was taken in good faith and for cause. Arbitration hearings shall be informal and the rules of evidence shall not apply. The parties may be represented by attorneys in arbitration hearings. In cases involving discipline, the Agency shall present its case first, and in all other cases the employee shall present his/her case first. The decision of the arbitrator shall be final and may not be appealed. The arbitrator shall decide the grievance in question based upon the issues presented in the written grievance filed pursuant to the grievance procedure. The arbitrator may interpret relevant provisions of this Agreement and apply them to the particular case presented to him/her, but the arbitrator shall have no authority to add to, subtract from, or in any way modify the terms of this Agreement or any agreements made supplementary hereto. The fee and expenses of an arbitrator shall be borne equally by all parties. Arbitrators shall be selected from lists developed and mutually agreed upon by the parties. If the parties cannot agree upon an arbitrator, a method of alternate striking of names shall be employed.

17.11C The Commissioner or Deputy Commissioner shall have the authority to set time limitations for: the length of time within which an arbitrator must be chosen; the amount of time the parties will have to present their case (each party will receive the same amount of time); the time within which a case must be heard after an arbitrator is appointed; the length of time that will be allowed for the parties to submit post hearing briefs; and the period of time after a hearing within which the arbitrator must enter his/her decision. Post-hearing briefs shall not be allowed in any case unless the parties and the arbitrator are all in agreement as to the need for such briefs.

17.11D The decision of the arbitrator shall be made in writing within sixty (60) calendar days of the hearing and shall include findings of fact and conclusions of law. The findings of fact shall consist of a concise statement of the conclusions upon each contested issue of fact. Parties to the proceeding and the Human Resources Office shall receive a copy of the decision by first class U.S. mail. The arbitrator's decision will become public record upon submittal to the parties. If the arbitrator does not render a decision within ninety (90) calendar days from the date the arbitration hearing was held, a penalty of fifty dollars (\$50) per day will be imposed and deducted from the arbitrator's fee for each day over ninety (90) calendar days the decision is late, until the decision is received. This penalty may only be waived upon mutual agreement of the parties.

- 17.11E Both parties must provide the other party and the arbitrator with a listing of all exhibits to be introduced at the hearing, a copy of each exhibit, and a listing of individuals that the party plans to call as witness(es) in the arbitration/hearing at least five (5) calendar days prior to the hearing. Such requests and/or notice shall be addressed to the party from which the discovery is sought. Only discovery requests which are relevant or would lead to relevant evidence for the grievance will be granted; however, in no case will discovery be granted which seeks evidence which is recognized as privileged by the Courts of this State. Discovery requests must be provided within ten (10) work days of the receipt of the request, unless objections are entered. Objections to discovery shall be made to the arbitrator, and the arbitrator shall consider the matter and issue a decision.
- 17.12 Reprisals. No reprisal of any kind, including a loss in pay, shall be taken by the State Board of Education, the Commissioner, or by any employee of the Agency against any participant in the grievance procedure by reason of such participation.
- 17.13 Civil Rights. Nothing contained in this procedure shall be construed so as to abridge, limit, or restrict the civil rights of persons.
- 17.14 Confidentiality. Proceedings shall be kept confidential by any and all parties involved, insofar as such confidentiality is reasonable, except as provided for elsewhere in this Agreement. Unless specifically otherwise requested by a grievant in writing at the time a grievant appeals under Step 4, the grievant's name will appear on State Board of Education agenda items where matters concerning the grievance are before that Board.

## ARTICLE 18

### CORRECTIVE AND DISCIPLINARY ACTIONS

18.1 Purpose. Corrective actions are those actions which do not affect pay or status and are imposed to correct and improve an employee's job performance. Disciplinary actions are those actions which may affect pay or status and are imposed to discipline an employee for actions which are harmful to the best interests of the State, the Agency, or the employee workforce, or for failure of performance or conduct following imposition of corrective action. Unless otherwise agreed to, the employees are not entitled to representation at routine supervisory and/or corrective action conferences, meetings that are for the purposes of presenting a disciplinary action or corrective action decision or notification to the employee, or meetings that are only part of an investigation concerning another employee or other employees. Employees are entitled to representation at (i) investigatory meetings with supervisors or management when that meeting is for the purpose of investigating potential or suspected grounds for correction or disciplinary action under Section 18.4 by that employee; and (ii) when the meeting is for the purpose of the employee responding verbally to verified information under Section 18.2A. However, the Agency may require that meetings described in (i) or (ii) take place within two (2) work days of the first request for such meetings regardless of whether or not the employee has secured or arranged for representation.

18.1A Two (2) forms of corrective action exist:

Oral counseling; and,  
Written warning.

18.1B Four (4) forms of disciplinary action exist:

Suspension without pay;  
Administrative probation;  
Disciplinary demotion; and,  
Dismissal.

18.1C Corrective actions are not grievable. Disciplinary actions are grievable.

18.2 Decision Criteria. The decision to impose either corrective or disciplinary action and which type of action to impose shall be governed by the nature, severity, and effect of the offense; the type and frequency of previous offenses; the period of time elapsed since a prior offensive act; the record and the length of service to the Agency; and consideration of extenuating circumstances. The Agency shall ensure that all employees are equally treated with respect and dignity and are afforded the right of privacy when being counseled on performance issues. Disciplinary action may be imposed whether or not corrective action has preceded it. The employee against whom any disciplinary action is brought shall be notified of such action as soon as it is initiated and shall be kept informed of all processes during the disciplinary action. Not more than one (1) form of disciplinary action may be in effect against an employee at any one (1) time for the same infraction, except in the case of a suspension pending a dismissal action.

18.2A The Commissioner or designated representative, upon obtaining information that would indicate the possibility of administering any written corrective or disciplinary action, or upon completion of preliminary investigation, if such is felt to be required, shall within thirty (30) work days of obtaining such information or completing such investigation, verify the information and afford the employee the opportunity to refute the information or present mitigating evidence. The employee may respond verbally or in writing, whichever is designated by the Commissioner/representative. The employee shall respond within the timeline designated by the Commissioner/representative, which shall not exceed five (5) work days. The Commissioner or designated representative shall specifically identify the rules, procedures, policies, or sections of the Agreement for which disciplinary action is being considered. Failure of the employee to respond shall not be construed as indicating the truth

or accuracy of the allegations. If action has not been taken within two (2) calendar months of the date of such verification, barring new evidence, no action may be taken on that set of allegations or circumstances.

18.2B Based upon information and evidence presented and the individual circumstance of the case, the Commissioner or his/her designated representative shall determine the appropriate action to be taken.

18.2B1 For oral counseling and written warnings, the employee's opportunity to respond is during the oral counseling or as provided in Sections 18.6 and 18.6B. The Commissioner or designated representative has the authority to rescind or modify a written warning after such response if deemed appropriate.

18.3 Suspension With Pay: An ordered absence from duty while on full pay status for a prescribed period of time. A suspension with pay may be ordered only upon the approval of the Deputy Commissioner. This action allows for an immediate response to a suspected but not fully substantiated offense or for a period in which an investigation can be thoroughly pursued, or for other reasons at the discretion of the Deputy Commissioner. Suspensions with pay are not grievable.

18.3A The ordered suspension with pay shall be in writing and shall be signed by the Deputy Commissioner and shall become a part of the employee's official personnel file. If a suspension with pay is ordered to allow for an investigation of a suspected offense, and if the offense is not substantiated, then the ordered suspension shall be removed from the employee's personnel file.

18.3B If an employee is absent when a suspension with pay is ordered, the Deputy Commissioner shall direct written notice to the employee either by Certified Mail with instructions to "Deliver to Addressee Only, Return Receipt Requested" or by personal delivery or deposit to the employee's last known address.

18.3C In the absence of the Deputy Commissioner, the appropriate Leadership Council Member or Commissioner's designee may order an employee's suspension with pay for up to ten (10) calendar days. Upon the Deputy Commissioner's return, the Deputy Commissioner will affirm or modify the suspension with pay within five (5) calendar days.

18.4 Corrective or Disciplinary Action Grounds.

18.4A Violation of or failure to comply with, the State Constitution, any statute, an executive order, published rules and regulations of the Agency; policies or procedures including work rules, this Agreement; or administrative memoranda.

18.4B Failure or refusal to comply with a lawful order or to accept a reasonable or proper assignment from an authorized supervisor.

18.4C Inefficiency, incompetence, or negligence in the performance of duties.

18.4D Careless, negligent, improper, or unsafe use of state property, equipment, or funds, or conversion of state property. This includes transmitting threatening, obscene, or harassing material through the State's communication systems.

18.4E Use of bribery or undue influence to gain or attempt to gain promotion, leave, favorable assignment, or other individual benefit or advantage.

18.4F Falsification, fraud or willful omission of information when applying for a position, applying for or renewing required credentials, performing the duties of a position or completing records or reports relevant for the Agency.

- 18.4G Unauthorized or improper use of any type of leave, repeated tardiness, repeated failure to comply with scheduled work hours, including meal or rest periods, or absence without approved leave.
  - 18.4H Failure to maintain satisfactory working relationships with the public, other Agency employees, supervisors or managers, or with persons placed under direct care and responsibility of the employee.
  - 18.4I Failure to obtain and maintain a current license required by law or Agency standards as a condition of employment.
  - 18.4J Violation of any provision of the Agency Code of Ethics, which is located in the Personnel Rules, Title 93 of the Nebraska Administrative Code, Chapter 16.
  - 18.4K Conviction of a felony or a misdemeanor when the conviction involves any act or conduct that also meets the grounds of Section 18.4L.
  - 18.4L Conduct which brings discredit to the Agency, the State or which impairs an employee's services and/or the Agency's performance or function.
  - 18.4M Unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance or alcoholic beverage, in the workplace or reporting for duty under the influence of alcohol and/or unlawful drugs.
  - 18.4N Unlawful work place discrimination (harassment) based, in whole or in part, on race, color, sex, sexual orientation, religion, age, disability, or national origin, which manifests itself in the form of unwelcome comments, jokes, printed material, and/or unwelcomed sexual advances, requests for sexual favors, or other verbal or physical conduct of a sexual nature.
  - 18.4O Display of obscene materials and/or the utterance of offensive comments in the workplace that are derogatory toward a group or individual based upon race, sex, sexual orientation, color, religion, disability, age, or national origin.
  - 18.4P Possession of any type of firearm during the course of performing their job. This prohibition includes transporting firearms into any work site or onto state property or in a state vehicle.
- 18.5 Oral Counseling. Oral counseling is an informal level of corrective action. It is a warning given by an immediate supervisor in conference with an employee in which the matter that caused the corrective action to be taken is discussed and the employee is advised of what action is expected of him/her to correct the problem. A written record of the oral counseling may be prepared if a copy is provided to the employee; however, no record of it shall be placed in the employee's personnel file.
- 18.6 Written warning. When, in the opinion of the supervisor, oral counseling is not an adequate measure to correct the deficiency, a written warning, which shall be considered a corrective action, may be imposed. The written warning must be fully documented and must include an oral conference between the supervisor and the employee at the time the written warning is presented or as otherwise agreed to by both parties. The written narrative shall be consistent with the oral conference. The written warning shall be dated, shall explain the reason for the warning, and shall explain the action expected of the employee to correct the cause or problem. Both the supervisor and the employee shall sign the written warning, and the employee shall be given a copy of the completed signed warning. The employee's signature thereon does not imply agreement with the contents of the written warning, but only that he/she has seen it and understands it. The written warning shall be considered effective on the date that it is issued to and received by the employee.
- 18.6A A written warning is issued on whatever official form the Agency may designate for this purpose.

- 18.6B The employee has the opportunity to attach written rebuttal, clarification, or objection to the written warning within five (5) work days of receipt by the employee.
- 18.6C The written warning shall become inactive ninety (90) calendar days after having been issued to the employee unless the originating supervisor shall renew it by additional written commentary on the original form explaining the renewal.
- 18.6C1 When the written warning is renewed, the employee shall again have the opportunity to offer written commentary in response to the renewal and shall be provided with a copy of the annotated (renewed) form.
- 18.6C2 A written warning may be renewed no more than one (1) time.
- 18.6D All record of the written warning shall be removed from the employee's personnel file ninety (90) calendar days after it shall become inactive. It may only be retained by the Agency in a separate file of warnings and may be referenced by the Agency or employee in the event of litigation, personnel action, audit, or other administrative proceeding or complaint, if relevant.
- 18.7 Suspension Without Pay. An ordered absence from duty for a prescribed period of time for which no pay, vacation time, sick leave or holiday leave is granted, nor is vacation, sick or holiday leave accrued. A suspension without pay may be ordered only upon the written approval of the Deputy Commissioner.
- 18.7A The length of the suspension shall be determined by the Deputy Commissioner in view of the seriousness of the violation and/or continued violation after the employee has been duly warned.
- 18.7B A suspension without pay shall be in writing and shall be signed by the Deputy Commissioner and shall become a part of the employee's official personnel file.
- 18.7C If the employee is absent when a suspension without pay is ordered, the Deputy Commissioner shall direct written notice to the employee by Certified Mail with instructions to "Deliver to Addressee Only, Return Receipt Requested." If an attempt to deliver by Certified Mail is not successful, then delivery may be accomplished by personal delivery or deposit to the employee's last known address.
- 18.8 Disciplinary Demotion. The movement of an employee from the present position to one of lesser responsibility and/or authority and at a lower salary, may be ordered only upon the written approval of the Deputy Commissioner, a copy of which is provided to the employee.
- 18.8A A request for disciplinary demotion shall be in writing with full documentation, signed by the supervisor and the appropriate Leadership Council Member or Commissioner's designee. The disciplinary demotion shall be signed by the Deputy Commissioner and shall become a part of the employee's official personnel file.
- 18.9 Administrative Probation. For a period of time, not more than six (6) calendar months, administrative probation is imposed for disciplinary reasons during which the employee must rectify the performance or behavior which led to the imposition of the disciplinary action.
- 18.9A Administrative Probation Status. Administrative probation may be imposed by the appropriate Leadership Council Member or Commissioner's designee for a period of not more than six (6) calendar months. The notice of administrative probation shall be in writing, dated, and shall inform the employee of the reason for the probation, the action required for improvement, and state that failure to improve may result in further action. The employee shall acknowledge receipt of the probation notice by signing the document. The employee's signature on the notice of administrative probation does not imply agreement with the notice of administrative probation.

- 18.9A1 Employees placed in an administrative probationary status shall not be promoted or granted pay increases consistent with the provisions in Article 18.
  - 18.9A2 Employees granted vacation, sick, holiday, bereavement, civil, administrative, or military leave while serving in this status may have their probation extended by the number of days absent on leave.
  - 18.9A3 The administrative probation may be extended by the Deputy Commissioner for a period not to exceed a cumulative total of one (1) calendar year. Reasons for such extension shall be made known to the employee.
  - 18.9A4 The termination of a permanent employee on administrative probation does not preclude the filing of a grievance by the employee.
  - 18.9A5 An employee may be placed on administrative probation upon return to work following a suspension.
  - 18.9A6 An employee may be removed from administrative probation at any time.
- 18.10 Dismissal. An employee may be dismissed from employment with the Agency for failure to respond to previous disciplinary actions or when extreme circumstances render any preceding steps unnecessary or inappropriate.
- 18.10A The Commissioner shall recommend dismissal to the State Board of Education, and the decision to dismiss shall be made by the State Board of Education.
  - 18.10B Prior to the State Board of Education making a final decision, the Commissioner may elect to take whatever action he/she chooses which affects the employee.
    - 18.10B1 The Commissioner shall inform the employee in writing of a time at which the employee may present any additional facts, material, or evidence regarding his/her dismissal to the Commissioner. Failure by the employee to appear before the Commissioner shall act as a waiver by the employee to the aforementioned meeting prior to action by the State Board.
    - 18.10B2 The employee may be represented by a third party in the meeting with the Commissioner, but the time, date and/or place of said meeting shall not be postponed or rescheduled because the representative of the employee is unable to attend unless both the Commissioner and the employee mutually agree to another time, date and/or place.
    - 18.10B3 Within three (3) work days following the scheduled date of the meeting with the Commissioner, the Commissioner shall provide the employee a copy of his/her recommendations and the action which the Commissioner has decided to impose. A copy of the Commissioner's dismissal recommendation shall be forwarded to the Board. This written recommendation will be hand delivered or sent by Certified Mail to the employee. If an attempt to deliver is not successful, then delivery may be accomplished by personal delivery or deposit to the employee's last known address. The three (3) work day period may be extended upon agreement between the Commissioner and the employee.
  - 18.10C Within ten (10) work days of the receipt or notice to NAPE/AFSCME with documentation of attempted delivery of the Commissioner's written recommendation, the employee may request a hearing before the State Board of Education to appeal the Commissioner's recommendation for dismissal. The request for a hearing must be in writing.



- 18.10C1 If the written request for hearing is not received during the time prescribed in Section 18.10C, the Commissioner's recommendation for dismissal shall appear in the Commissioner's Report for the next regularly scheduled meeting of the State Board of Education.
- 18.10C2 If the employee submits a timely request for a hearing, the matter shall be placed on the agenda of a meeting of the State Board of Education within the next ninety (90) calendar days.
- 18.10C3 The State Board of Education may elect to conduct the hearing or may designate that a hearing officer, not an employee of the Agency, conduct a hearing and recommend a decision to the Board. The employee shall be notified of the Board's determination on the conduct of the hearing and the time and place of the hearing. In cases in which the Board elects to have a hearing officer conduct a hearing, the hearing officer shall cause a complete record to be made of all evidence offered at the time of the hearing. The hearing officer shall prepare written findings of fact and recommend a decision to the Board.
- The hearing officer shall deliver his or her findings of fact and recommendation to the State Board of Education together with a complete transcript of all evidence offered at the time of the hearing. The State Board of Education may accept or reject the recommendations of the hearing officer, but shall not impose disciplinary action in excess of that recommended by the hearing officer unless the Board finds on the basis of an independent review of the record that grounds exist for imposing a harsher penalty.
- 18.10C4 Hearings will be conducted in accordance with Title 92, Nebraska Administrative Code, Chapter 61.
- 18.10C5 The State Board of Education may affirm, modify or reverse the dismissal recommendation of the Commissioner. The State Board of Education will notify the employee in writing of its final action within forty-five (45) calendar days of when the action was taken.
- 18.10D If the State Board of Education modifies or reverses the recommendation of the Commissioner, the employee may be entitled to back pay at the discretion of the Board.
- 18.10E If the State Board of Education causes an appeal hearing to be conducted at a location other than the work site, the Board may instruct the Agency to reimburse the employee travel expenses to attend the appeal hearing.
- 18.10F The dismissal of a probationary employee as defined in Section 6.5 shall be final with the decision of the appropriate Leadership Council Member or Commissioner's designee unless appealed for reasons permitted in Section 6.3.
- 18.11 Disciplinary Action Limitations. With the exception of suspensions pending a dismissal action, employees shall not be disciplined more than once for a single specific offense; however, they may be disciplined for each additional offense of the same or similar nature occurring after the original notice of the previous disciplinary action.
- 18.12 Grievance Rights. Employees who are disciplined shall have the right to grieve the disciplinary action imposed upon them in accordance with the provisions of Article 10.
- 18.12A Pursuant to the Grievance Process, if it is determined that disciplinary action imposed is too harsh for the offense committed, the individual or body charged with the making of such determination may modify the disciplinary action imposed and shall notify the employee of the modifications and also the employee's supervisors.

- 18.13 Personnel File. Reports, letters, and documents which reflect unfavorably on an employee shall not be placed in his or her personnel file without the employee's knowledge per Section 4.5.
- 18.13A Records of disciplinary action shall be maintained in the employee's personnel file for a period not to exceed three (3) calendar years from the date of the disciplinary action unless an appeal case, litigation, or other administrative proceeding or complaint has been filed. Upon the expiration of the three (3) calendar year period or final resolution of an appeal, litigation, or other administrative proceeding or complaint, whichever is longest, records of disciplinary action shall be removed from the employee's personnel file. They may only be retained by the Agency in a separate file of disciplinary actions and may be referenced by the Agency or employee in the event of litigation, personnel action, audit, or other administrative proceeding or complaint, if relevant.
- 18.13B Employees shall have the right to have placed in their personnel files their own statements of rebuttal or clarification concerning written disciplinary measures taken against the employee. The rebuttal or clarification shall be affixed to the notice of discipline.
- 18.14 Delivery of Notices. When the Agency determines that immediate disciplinary action is required for an employee not officed in Lincoln, the Commissioner or Deputy Commissioner may designate an individual to sign and deliver the notice of disciplinary action to the employee for the Commissioner or Deputy Commissioner. The disciplinary action will be effective immediately upon such notice. The Commissioner or Deputy Commissioner will subsequently sign and forward a copy of such notice to the disciplined employee.

## ARTICLE 19

### DEFINITIONS

- 19.1 Applicability. The definitions contained in the following Sections of this Article shall be used throughout this Agreement except where the context would require another definition. All other words shall have their normal accepted meaning.
- 19.2 "Absence Without Leave" means the unauthorized absence of an employee from work or the work station during normal duty hours.
- 19.3 "Agreement" means the agreement entered into and executed by and between the State of Nebraska, Department of Education and the Nebraska Association of Public Employees Local 61 of the American Federation of State, County, and Municipal Employees Department of Education Bargaining Unit.
- 19.4 "Agency" means State of Nebraska Department of Education.
- 19.5 "Appointment" means the act of the Agency filling a position.
- 19.6 "Assigned Activity" as it relates to determinations on staff travel requests shall include any activity which is a normal or anticipated part of the sequence of completion of responsibilities of job tasks assigned to the employee, or assignments or approved activities which provide a non-repetitive opportunity for the employee to maintain a level of currency, expertise and leadership in the fields in which the employee has assigned job responsibilities commensurate with management expectations of the employee's capacity to serve the needs of the Agency and the state in those fields.
- 19.7 "Board" means the State Board of Education.
- 19.8 "Classification" means a group of positions sufficiently similar as to the duties performed, degree of supervision exercised or required; minimum requirements of training, experience or skill; and such other characteristics that the same title and the same minimum qualifications may be applied to each position in the group, and so that the same salary grade may be assigned.
- 19.9 "Classification Specification" means the official written description of a classification of work, which summarizes information such as the purpose of the classification, distinguishing characteristics, examples of duties, minimum qualifications, supervisory and fiscal authority and physical requirements.
- 19.10 "Commissioner" is defined as the Commissioner of Education. The Deputy Commissioner may act as Commissioner in accordance with the provisions of Section 79-321, R.R.S.
- 19.11 "Company Service Date" is defined as the first day the employee starts work for the Agency. The service date may be revised due to an absence per Section 15.19.
- 19.12 "Continuous Service" shall mean the period of time during which an individual is in some official paid employee category, and which is interrupted by termination of employment for a period of not more than five (5) years for any single interruption. Periods of approved leave do not break service, but the time the person is not working for the State in a leave of absence without pay status does not count toward seniority. Dismissal shall result in an interruption in continuous service, regardless of length of interruption of employment. Upon recall from layoff employees shall resume the same seniority status they had prior to layoff.
- 19.13 "Continuous Service Date" means a state service date that may have been revised due to employee absence per Section 15.19 or prior state service, and from which an employee's vacation and sick leave is computed.

- 19.14 "Contract Employee" means an individual with whom the Agency has entered into a contract under Administrative Memorandum #110 which creates an employer-employee relationship.
- 19.15 "Demotion" means moving an employee from one classification to another classification at a lower pay grade. Demotions may be non-disciplinary as described in Article 11, Section 11.3, or disciplinary as described in Article 18, Section 18.8.
- 19.16 "Discharge or Dismissal" means the disciplinary termination of employment pursuant to Article 16.
- 19.17 "Employee" means any person within the employment of the Agency whose classification title is included in Appendix A, and who is not excluded by Appendix B.
- 19.18 "Fixed-Term Employee" means an employee in a fixed-term position.
- 19.19 "Fixed-Term Position" means a position which, due to the funding source, has a predetermined duration of employment which is designated at the time of hire subject to the continuation and availability of funding. Fixed-term positions may be worked on a full-time or part-time schedule and are subject to all of the terms of this Agreement except for the provisions of Article 16.
- 19.20 "Full-time Schedule" means a forty-hour (40) work week schedule.
- 19.21 "Household Goods" shall mean household furniture, including appliances, lawn mowers, bicycles, toys and personal effects such as clothing, professional equipment and books but shall not include power vehicles, boats, pets or other animals.
- 19.22 "Human Resources Office" shall mean the office within the Agency that is responsible for personnel administration in the Agency.
- 19.23 "Lateral Transfer" means changing an employee from one position to another position in the same classification at the same pay grade, except as provided for in Section 11.1A4.
- 19.24 "Lay Off" means the involuntary termination (reduction in force) of an employee or employees because of a lack of work or lack of funds or under conditions in which the continuation of such work would be inefficient or non-productive.
- 19.25 "Leave Without Pay" means leave or time off from work for the employee's personal reasons granted by the appointing authority for which period the employee receives no pay.
- 19.26 "Minimum Qualifications" means the least amount of training and experience and other qualifications required to perform adequately in a specific position or classification of positions.
- 19.27 "Original Hire Date" is defined as the first day the employee starts work for the *State*.
- 19.28 "Original Probationary Period" shall mean a period of time during which an employee is required to demonstrate fitness for a particular position as a part of the selection process for permanent appointment.
- 19.29 "Paid Work Status" means time paid for work or any paid leave.
- 19.30 "Part-time Schedule" means less than a forty (40) hour work week schedule.
- 19.31 "Pay Grade" means a specified range of salary or wage, the starting and intermediate rates within such range, and the maximum rate of such range.
- 19.32 "Permanent Employee" means an employee in a regular full-time or part-time position who has completed the required probationary period or who had acquired permanent status in accordance with this Agreement.

- 19.33 "Personnel Files" shall mean the files retained by the Human Resources Office of the Agency which is personally identifiable with an individual employee or former employee.
- 19.34 "Position" means a group of specific duties, tasks and responsibilities to be performed by one (1) employee. A position may be part-time or full-time; temporary, fixed-term, or permanent; or occupied or vacant.
- 19.35 "Professional Growth" as it relates to determinations on staff travel requests shall include approved travel related to activities which are ancillary to the assigned field of responsibility or which will provide opportunities for leadership and expertise in the field(s) of the employee's assigned responsibility which are in excess of management expectations or which serve a professional interest of the employee which is outside the area(s) of assigned responsibility.
- 19.36 "Promotion" means changing an employee from one classification to another classification at a higher pay grade.
- 19.37 "Reduction in Force" shall mean the same as "lay off."
- 19.38 "Resignation" means the voluntary termination of employment by an employee.
- 19.39 "Retirement" means the transfer of an eligible employee from active to retired status.
- 19.40 "Schedule Deviation" means an adjustment of work hours or length of the work day.
- 19.41 "Secondary Probation" means an employee on probation under Section 11.6 of this Agreement.
- 19.42 "Series" or Job Family" means two (2) or more classifications, similar as to duties and training required but differing primarily in difficulty, responsibility, knowledge and skills, and supervision exercised or received.
- 19.43 "Suspension" means an ordered absence for disciplinary, investigatory or other purposes.
- 19.44 "Temporary Employee" means an employee hired through a temporary agency or via contract for a limited period of time to perform requested job duties on either a full-time or part-time schedule.
- 19.45 "Transfer of Employee" means the movement of an employee from one (1) position to another position within the Agency. A transfer action does not require termination of the employee.
- 19.46 "Tuition" shall mean the cost per credit hour of instruction at an accredited postsecondary institution.
- 19.47 "Work Rules" shall mean those practices, policies, and procedures established and adopted by the Agency concerning the employee's terms and conditions of employment.


IN WITNESS WHEREOF, the parties have set their hands

FOR THE NEBRASKA  
DEPARTMENT OF EDUCATION

  
\_\_\_\_\_  
Roger D. Breed, Commissioner

*Jan. 11, 2013*  
\_\_\_\_\_  
Date

FOR THE NEBRASKA ASSOCIATION OF  
PUBLIC EMPLOYEES, LOCAL 61 OF THE  
AMERICAN FEDERATION OF STATE, COUNTY  
AND MUNICIPAL EMPLOYEES

  
\_\_\_\_\_  
Ted Buri, NAPE/AFSCME

*01/03/13*  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Sue Martin, NDE Employee Representative

*1-3-2013*  
\_\_\_\_\_  
Date

## NEBRASKA ASSOCIATION OF PUBLIC EMPLOYEES

### CLASSIFICATIONS/EMPLOYEES INCLUDED IN THE BARGAINING UNIT

Seven of the Agency's eight classification series are listed below (the remaining classification series is "Legal"). Individual classifications that comprise each series are also identified.

#### ADMINISTRATION

Administrative Associate I  
Administrative Associate II  
Administrative Associate III (Except those excluded under Appendix B)  
Administrative Associate IV (Except those excluded under Appendix B)  
Administrative Specialist I (Except those excluded under Appendix B)  
Administrative Specialist II (Except those excluded under Appendix B)

#### DISABILITY DETERMINATIONS

DDS Hearings Officer  
Disability Adjudicator I  
Disability Adjudicator II  
Disability Adjudicator III  
Disability Adjudicator Trainee

#### EDUCATION SERVICES

Education Specialist I (Except those excluded in Appendix B)  
Education Specialist II  
Education Specialist III (Except those excluded in Appendix B)  
Education Specialist IV (Except those excluded in Appendix B)

#### INFORMATION TECHNOLOGY

Applications Developer  
Applications Developer Lead  
    Tim O'Leary  
Applications Developer Senior  
Data/Database Analyst  
Data/Database Analyst Senior  
Help Desk Specialist  
Infrastructure Support Analyst  
Infrastructure Support Analyst Lead  
    Dan Dulaney  
Infrastructure Support Analyst Senior  
Infrastructure Support Technician

NOTE: Changes in Appendix A that occur after the Agreement negotiations have been completed will be noted on the electronic version of the Agreement found on InsideNDE in legislative format with a date showing when the change was made.

Office Associate I  
Office Associate II  
Office Associate III (Except those excluded under Appendix B)  
Office Associate IV (Except those excluded under Appendix B)

PROGRAM SERVICES

Program Associate I  
Program Associate II  
Program Associate III  
Program Associate IV  
Program Specialist I  
Program Specialist II (Except those excluded under Appendix B)  
Program Specialist III (Except those excluded under Appendix B)

VOCATIONAL REHABILITATION

VR Associate  
VR Senior Associate  
VR Service Specialist  
VR Senior Service Specialist  
VR Rehabilitation Specialist  
VR Senior Rehabilitation Specialist



## CLASSIFICATIONS/EMPLOYEES **EXCLUDED** FROM THE BARGAINING UNIT

Seven of the Agency's eight classification series are listed below (the remaining classification series is "Disability Determinations"). Individual classifications that comprise each series are also identified.

### ADMINISTRATION

Administrative Associate III  
Jayne Uher  
Administrative Associate IV  
Cinda Wacker  
Mike Weber  
Administrative Specialist I  
Pam Marker  
Gil Kindsfater  
Administrative Specialist II  
Tracey Hillman  
Susan Koch  
Amy Spellman  
Administrative Specialist III  
Administrator  
Assistant Commissioner  
Clerk—Professional Practices Commission  
Commissioner of Education  
Deputy Commissioner of Education  
Senior Administrator

### EDUCATION SERVICES

Education Specialist I  
Susan Henry  
Education Specialist II  
Donelle Johnson  
Education Specialist III  
Mary Duffy  
Anthony Glenn  
Jolene Palmer  
Tricia Parker  
Carol Ringenberg  
Deborah Romanek  
Nancy Rowch  
Alice Senseney  
Eleanor Shirley-Kirkland  
Bonnie Sibert  
James Woodland  
Beth Zillig  
Education Specialist IV  
Vicki Bauer  
Cory Epler  
Carol McClain  
Kevin Peters

IT Data/Database Analyst Lead  
IT Help Desk Specialist, Senior  
IT Infrastructure Support Analyst Lead (Except those included in Appendix A)

LEGAL

General Counsel  
Legal Counsel I  
Legal Counsel II  
Legal Counsel III  
Senior Legal Research Analyst

OFFICE SERVICES

Office Associate IV  
    Nikole Sander  
    Brenda Wid  
Office Associate, Senior  
Office Associate, Executive

PROGRAM SERVICES

Program Specialist II  
    Dave Altman  
    Cathy Callaway  
    Peg Hansen  
    Vicki Hughes  
    Nancy Noha  
    Lauren Rock  
    Jeff Schneider  
    Betty Van Deventer  
Program Specialist III  
    Julane Hill  
    Julianne Kaiser  
    Julie Kokrda  
    Matt Hastings  
Program Specialist IV

VOCATIONAL REHABILITATION

VR Office Director I  
VR Office Director II  
VR Program Director I  
VR Program Director II

NOTE: Changes in Appendix B that occur after the Agreement negotiations have been completed will be noted on the electronic version of the Agreement found on InsideNDE in legislative format with a date showing when the change was made.

## NEBRASKA ASSOCIATION OF PUBLIC EMPLOYEES

### Series and Classification Titles

#### **Office Services**

Office Associate I  
Office Associate II  
Office Associate III  
Office Associate IV  
Office Associate, Senior  
Office Associate, Executive

#### **Program Services**

Program Associate I  
Program Associate II  
Program Associate III  
Program Associate IV  
Program Specialist I  
Program Specialist II  
Program Specialist III  
Program Specialist IV

#### **Education Services**

Education Specialist I  
Education Specialist II  
Education Specialist III  
Education Specialist IV

#### **Disability Determinations**

DDS Adjudicator I  
DDS Adjudicator II  
DDS Adjudicator III  
DDS Hearings Officer

#### **Vocational Rehabilitation**

VR Associate  
VR Senior Associate  
VR Service Specialist  
VR Senior Service Specialist  
VR Rehabilitation Specialist  
VR Senior Rehabilitation Specialist  
VR Office Director I  
VR Office Director II  
VR Program Director I  
VR Program Director II

IT Applications Developer Senior  
IT Applications Developer Lead  
IT Data/Database Analyst  
IT Data/Database Analyst Senior  
IT Data/Database Analyst Lead  
IT Help Desk Specialist  
IT Help Desk Specialist Senior  
IT Infrastructure Support Technician  
IT Infrastructure Support Analyst  
IT Infrastructure Support Analyst Senior  
IT Infrastructure Support Analyst Lead

**Legal**

Legal Counsel I  
Legal Counsel II  
Legal Counsel III  
General Counsel  
Senior Legal Research Analyst

**Administration**

Administrative Associate I  
Administrative Associate II  
Administrative Associate III  
Administrative Associate IV  
Administrative Specialist I  
Administrative Specialist II  
Administrative Specialist III  
Administrator  
Senior Administrator  
Senior Administrator/Assistant Commissioner

NOTE: Changes in Appendix C that occur after the Agreement negotiations have been completed will be noted on the electronic version of the Agreement found on InsideNDE in legislative format with a date showing when the change was made.

APPENDIX D  
 NDE PAY STRUCTURE FOR JULY 1, 2013 – JUNE 30, 2014  
 PERCENT INTO THE PAY GRADE RANGE

PAY GRADE	MIN. SALARY	10%	20%	30%	40%	50%	60%	70%	80%	90%	MAX. SALARY
35	1,870.97	1,936.62	2,002.28	2,067.93	2,133.59	2,199.24	2,264.89	2,330.55	2,396.20	2,461.86	2,527.51
36	1,959.58	2,028.26	2,096.93	2,165.61	2,234.28	2,302.96	2,371.64	2,440.31	2,508.99	2,577.66	2,646.34
37	2,009.93	2,090.29	2,170.64	2,251.00	2,331.35	2,411.71	2,492.06	2,572.42	2,652.77	2,733.12	2,813.48
38	2,114.64	2,199.23	2,283.82	2,368.40	2,452.99	2,537.58	2,622.17	2,706.76	2,791.34	2,875.93	2,960.52
39	2,225.42	2,314.64	2,403.86	2,493.07	2,582.29	2,671.51	2,760.73	2,849.95	2,939.16	3,028.38	3,117.60
40	2,295.91	2,399.23	2,502.54	2,605.86	2,709.18	2,812.50	2,915.81	3,019.13	3,122.45	3,225.76	3,329.08
41	2,416.74	2,525.49	2,634.25	2,743.00	2,851.76	2,960.51	3,069.26	3,178.02	3,286.77	3,395.53	3,504.28
42	2,543.63	2,658.02	2,772.41	2,886.80	3,001.19	3,115.59	3,229.98	3,344.37	3,458.76	3,573.15	3,687.54
43	2,694.67	2,829.40	2,964.14	3,098.87	3,233.60	3,368.34	3,503.07	3,637.80	3,772.53	3,907.27	4,042.00
44	2,914.18	3,059.79	3,205.40	3,351.01	3,496.62	3,642.24	3,787.85	3,933.46	4,079.07	4,224.68	4,370.29
45	3,149.82	3,307.31	3,464.80	3,622.30	3,779.79	3,937.28	4,094.77	4,252.26	4,409.76	4,567.25	4,724.74
46	3,500.25	3,675.26	3,850.27	4,025.28	4,200.29	4,375.31	4,550.32	4,725.33	4,900.34	5,075.35	5,250.36
47	3,739.91	3,964.06	4,188.22	4,412.37	4,636.52	4,860.68	5,084.83	5,308.98	5,533.13	5,757.29	5,981.44
48	3,999.71	4,279.85	4,559.99	4,840.13	5,120.27	5,400.42	5,680.56	5,960.70	6,240.84	6,520.98	6,801.12
49	4,408.55	4,761.19	5,113.83	5,466.48	5,819.12	6,171.76	6,524.40	6,877.04	7,229.69	7,582.33	7,934.97
50	5,008.70	5,459.42	5,910.15	6,360.87	6,811.60	7,262.32	7,713.04	8,163.77	8,614.49	9,065.22	9,515.94

APPENDIX U  
 NDE PAY STRUCTURE FOR JULY 1, 2014 – JUNE 30, 2015  
 PERCENT INTO THE PAY GRADE RANGE

PAY GRADE	MIN. SALARY	10%	20%	30%	40%	50%	60%	70%	80%	90%	MAX. SALARY
35	1,913.07	1,980.20	2,047.33	2,114.46	2,181.59	2,248.73	2,315.86	2,382.99	2,450.12	2,517.25	2,584.38
36	2,003.67	2,073.89	2,144.11	2,214.33	2,284.55	2,354.78	2,425.00	2,495.22	2,565.44	2,635.66	2,705.88
37	2,055.15	2,137.31	2,219.48	2,301.64	2,383.80	2,465.97	2,548.13	2,630.29	2,712.45	2,794.62	2,876.78
38	2,162.22	2,248.71	2,335.20	2,421.69	2,508.18	2,594.68	2,681.17	2,767.66	2,854.15	2,940.64	3,027.13
39	2,275.49	2,366.72	2,457.94	2,549.17	2,640.39	2,731.62	2,822.85	2,914.07	3,005.30	3,096.52	3,187.75
40	2,347.57	2,453.21	2,558.85	2,664.49	2,770.13	2,875.78	2,981.42	3,087.06	3,192.70	3,298.34	3,403.98
41	2,471.12	2,582.32	2,693.52	2,804.72	2,915.92	3,027.13	3,138.33	3,249.53	3,360.73	3,471.93	3,583.13
42	2,600.86	2,717.83	2,834.79	2,951.76	3,068.72	3,185.69	3,302.65	3,419.62	3,536.58	3,653.55	3,770.51
43	2,755.30	2,893.07	3,030.83	3,168.60	3,306.36	3,444.13	3,581.89	3,719.66	3,857.19	3,995.19	4,132.95
44	2,979.75	3,128.64	3,277.52	3,426.41	3,575.30	3,724.19	3,873.07	4,021.96	4,170.86	4,319.73	4,468.62
45	3,220.69	3,381.73	3,542.76	3,703.80	3,864.83	4,025.87	4,186.91	4,347.94	4,508.98	4,670.01	4,831.05
46	3,579.01	3,757.96	3,936.91	4,115.85	4,294.80	4,473.75	4,652.70	4,831.65	5,010.59	5,189.54	5,368.49
47	3,824.06	4,053.26	4,282.45	4,511.65	4,740.84	4,970.04	5,199.24	5,428.43	5,657.63	5,886.82	6,116.02
48	4,089.70	4,376.15	4,662.59	4,949.04	5,235.48	5,521.93	5,808.37	6,094.82	6,381.26	6,667.71	6,954.15
49	4,507.74	4,868.32	5,228.89	5,589.47	5,950.05	6,310.63	6,671.20	7,031.78	7,392.36	7,752.93	8,113.51
50	5,121.40	5,582.27	6,043.13	6,504.00	6,964.86	7,425.73	7,886.59	8,347.46	8,808.32	9,269.19	9,730.05